



## DoD DIRECTIVE 3110.07

# PRE-POSITIONED WAR RESERVE MATERIEL (PWRM) STRATEGIC POLICY

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**Originating Component:** Office of the Under Secretary of Defense for Acquisition and Sustainment

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**Approved by:** Robert O. Work, Deputy Secretary of Defense

**Change 1 Approved by:** Patrick M. Shanahan, Deputy Secretary of Defense

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**Purpose:** This issuance:

- Establishes policy and assigns responsibilities for managing PWRM within the DoD in accordance with Sections 2229 and 2229a of Title 10, United States Code (U.S.C.).
- Establishes joint oversight of the Military Services' pre-positioning efforts to maximize efficiencies across the DoD.
- Defines proper use of PWRM.
- Establishes congressional notification criteria for changes to PWRM policy.
- Establishes annual reporting protocols for the DoD.
- Directs use of the enterprise reporting system for Military Services to report on both PWRM and the Combatant Commanders (CCDRs) ability to execute operation plans with available PWRM.
- Aligns PWRM with DoD priorities and the National Military Strategy.
- Establishes PWRM to be postured and balanced effectively in order to provide optimal support to the warfighter during the initial phases of an operation.

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## **SECTION 1: GENERAL ISSUANCE INFORMATION**

**1.1. APPLICABILITY.** This issuance applies to OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff and the Joint Staff (CJCS), the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the DoD (referred to collectively in this issuance as the “DoD Components”).

**1.2. POLICY.** It is policy that:

a. PWRM will be reported pursuant to Sections 2229 and 2229a of Title 10, U.S.C., and will be managed as prescribed in this issuance.

b. Military Services will take into account national security threats, strategic mobility, and the Combatant Commands’ requirements when determining PWRM size, acquisition, positioning, and management to ensure that they:

- (1) Maximize warfighter support.
- (2) Achieve the greatest flexibility.
- (3) Reduce reaction time.
- (4) Support forces worldwide in the initial phases of operations.
- (5) Minimize DoD expenses.

c. PWRM requirements are used as the basis for pre-positioned materiel until lines of communication can be established.

d. All Service PWRM programs require joint alignment with national priorities and CCDR requirements across the full range of military operations.

e. PWRM programs will use the definitions provided in this issuance and be reported in the DoD enterprise reporting system to assess PWRM sufficiency in fulfilling Service requirements, provide data metrics, and execute CCDR plans.

f. The Secretaries of the Military Departments may not divert materiel or equipment from pre-positioned stocks except for the purpose of directly supporting a contingency operation or providing humanitarian assistance in accordance with Chapter 20 of Title 10, U.S.C.

g. Pursuant to Sections 2229 and 2229a of Title 10, U.S.C., the Secretary of Defense (SecDef) will annually certify a report on the status of DoD programs for pre-positioned materiel and equipment to Congress not later than the date the President submits his or her budget request.

**1.3. INFORMATION COLLECTIONS.** The Annual Report on Pre-positioned Stocks, referred to in Paragraphs 2.6.f., 2.7.d., and 2.8.e. of this issuance, does not require licensing with a report control symbol in accordance with Paragraph 1.b.(10) of Volume 1 of DoD Manual 8910.01.

**1.4. SUMMARY OF CHANGE 1.** The changes to this issuance are administrative and update organizational titles and references for accuracy.

## **SECTION 2: RESPONSIBILITIES**

### **2.1. UNDER SECRETARY OF DEFENSE FOR ACQUISITION AND SUSTAINMENT (USD(A&S)).** In addition to the responsibilities in Paragraph 2.5., the USD(A&S):

- a. Establishes policy and develops implementing guidance on all matters relating to war reserve materiel, to include PWRM.
- b. Oversees the policies and programs that are designed and managed to improve standards of performance, ethics, economy, and efficiency and ensures that all Defense Agencies and DoD Field Activities under the authority, direction, and control of the USD(A&S) are attentive and responsive to the requirements of their organizational customers, both internal and external, and to DoD and its strategic priorities.
- c. Confirms that the DoD reports the status of pre-positioned stocks to Congress in accordance with Sections 2229 and 2229a of Title 10, U.S.C.
- d. Confirms PWRM is sized, acquired, managed, and positioned as specified in operational plans.
- e. Reports PWRM policy changes to the congressional defense committees.

### **2.2. UNDER SECRETARY OF DEFENSE FOR POLICY.** The Under Secretary of Defense for Policy:

- a. Develops and coordinates, for the SecDef's approval, the Guidance for Employment of the Force (GEF) and Defense Planning Guidance (DPG). GEF and DPG provide strategic planning and resource guidance, which may include direction on or affect war reserve materiel and PWRM requirements, to achieve desired capabilities in support of the national defense strategy.
- b. Assesses and evaluates, as part of the plan in-progress review process, PWRM requirements as related to the CCDRs' ability to meet GEF-directed contingency end states.
- c. Provides pre-positioning guidance, as appropriate, that takes into account the strategic environment, national security threats, strategic mobility, Military Service, and Combatant Command requirements and limitations associated with pre-positioning.

### **2.3. UNDER SECRETARY OF DEFENSE FOR PERSONNEL AND READINESS.** The Under Secretary of Defense for Personnel and Readiness:

- a. Tracks DoD-wide compliance in reporting PWRM requirements in accordance with Chairman of the Joint Chiefs of Staff Instruction 3401.02b and all applicable Military Service regulations.

b. Reviews all pertinent regulations and instructions on readiness reporting and makes any necessary proposals for regulations, changes to the system, or follow-on instructions relating to readiness reporting of PWRM.

c. Reports to the DoD senior leaders and appropriate DoD governance bodies any system issues or shortfalls related to reporting the readiness of PWRM.

**2.4. DIRECTOR, COST ASSESSMENT AND PROGRAM EVALUATION.** The Director, Cost Assessment and Program Evaluation:

a. Assesses the ability of PWRM programs to support the National Defense Strategy.

b. Assesses the adequacy of PWRM program funding in accordance with DoD priorities. Recommend funding alternatives as appropriate.

**2.5. USD(A&S) AND DOD COMPONENT HEADS.** The USD(A&S) and DoD Component heads:

a. Maintain strategic policy on pre-positioning programs. Such policy will take into account national security threats, strategic mobility, and Service and Combatant Command requirements and will address how the pre-positioning programs align with national defense strategies and department priorities. That policy will include:

(1) Component-specific guidance requiring planning and resourcing priorities addressing current and future requirements to maintain pre-positioned stocks optimally.

(2) Description of the Component's vision and desired end state.

(3) Specific interim goals demonstrating how vision and end state will be achieved.

b. Must develop and publish internal guidance in support of this issuance within 6 months of this issuance's approval.

c. Issue supplementary instructions, as required, in accordance with this issuance.

d. Oversee all PWRM policies are implemented and followed in accordance with this issuance.

**2.6. SECRETARIES OF THE MILITARY DEPARTMENTS.** In addition to the responsibilities in Paragraph 2.5., the Secretaries of the Military Departments:

a. Confirm PWRM is accurately reported within the enterprise reporting system, in accordance with Chairman of the Joint Chiefs of Staff Instruction 3401.02b and Service regulations.

b. Calculate PWRM requirements annually or as needed in accordance with the GEF and the DPG.

c. Plan, program, budget, and execute resources to meet PWRM requirements and mitigate shortfalls when requirements exceed assets.

d. Review, on at least a quarterly basis, the PWRM readiness reporting accuracy, completeness, and timeliness of all required reporting under its control.

e. Report annually to the CJCS on PWRM levels in accordance with this issuance to enable the Department to make the certification required by Section 2229 of Title 10, U.S.C., and the report required by Section 2229a of Title 10, U.S.C.

**2.7. CJCS.** In addition to the responsibilities in Paragraph 2.5., the CJCS:

a. Confirms the Military Services meet specified readiness reporting requirements, including the requirements in this issuance.

b. Advises the SecDef on appropriate measures to reduce risk associated with any PWRM readiness changes that affect the ability to complete assigned missions.

c. Validates PWRM operational requirements and the CCDRs' ability to meet GEF-directed contingency end-states for PWRM.

d. Reviews, analyzes, and evaluates PWRM programs for ability to execute approved CCDR strategies, ensuring that information on programs is presented accurately and completely.

e. Validates that sourcing assumptions and days of supply requirements are consistent with the DPG.

f. Develops a framework for synchronizing the Military Services' pre-positioning strategies to minimize duplicative efforts and to maximize efficiency and return on investment for pre-positioned materiel and equipment across DoD.

g. Provides analysis and advice for resource and capability discussions relating to PWRM requirements under consideration in the Joint Requirements Oversight Council.

h. Assesses and evaluates the CCDRs' ability to meet GEF-directed contingency end-states for PWRM.

i. Develops metrics for evaluating the extent to which the Components achieve PWRM objectives.

j. Reports the status of PWRM to the congressional defense committees in accordance with Section 2229a of Title 10, U.S.C.

**2.8. CCDRS.** In addition to the responsibilities in Paragraph 2.5., the CCDRs:

- a. Determine PWRM operational requirements consistent with SecDef planning guidance and submits them to the CJCS for approval.
- b. Oversee that theater campaign, operations, and contingency plans provide clear guidance for the planning and use of PWRM.
- c. Report existing resource levels ability to execute operations plans.
- d. Annually report to the CJCS on PWRM in accordance with this issuance to enable the Department to make the report required by Section 2229a of Title 10, U.S.C.



## GLOSSARY

### G.1. ACRONYMS.

CCDR	Combatant Commander
CJCS	Chairman of the Joint Chiefs of Staff
DPG	Defense Planning Guidance
GEF	Guidance for the Employment of Force
PWRM	pre-positioned war reserve materiel
SecDef	Secretary of Defense
U.S.C.	United States Code
USD(A&S)	Under Secretary of Defense for Acquisition and Sustainment

**G.2. DEFINITIONS.** Unless otherwise noted, these terms and their definitions are for the purpose of this issuance.

**DPG.** A document issued by the SecDef that provides firm guidance in the form of goals, priorities, and objectives, including fiscal constraints, for the Military Departments and Defense Agencies to develop the Program Objective Memorandums.

**GEF.** The GEF merges contingency planning guidance and security cooperation guidance into one document that provides comprehensive, near-term planning guidance. The GEF provides Presidential and SecDef political-military guidance. The GEF forms the basis for strategic policy guidance, campaign plans, and the Joint Strategic Capabilities Plan.

**line of communications.** A route, either land, water, or air, that connects an operating military force with a base of operations and along which supplies and military forces move.

**materiel.** All items necessary to equip, operate, maintain, and support military activities without distinction as to its application for administrative or combat purposes.

**pre-position.** To place military units, equipment, or supplies at or near the point of planned use or at a designated location to reduce reaction time, and to ensure timely support of a specific force during initial phases of an operation.

**PWRM.** War reserve materiel and equipment strategically located to facilitate a timely response in support of Combatant Commander requirements during the initial phases of an operation. PWRM includes starter stock and swing stock intended to be used until sustainable logistical

lines of communication have been established. PWRM does not include defense articles stockpiled for foreign countries pursuant to Section 2321h of Title 22, U.S.C.

**starter stock.** PWRM in or near a theater of operations calculated to sustain ongoing actions until resupply at wartime rates are established.

**swing stock.** War reserve materiel ashore or afloat available for planning purposes to augment war reserve requirements within a single CCMD for use until operational necessity is determined, but not designated to any single CCMD.

**war reserve materiel.** Mission-essential secondary items, principal and end items and munitions sufficient to attain and sustain operational objectives in scenarios authorized in the SecDef and Joint Strategic Capabilities Plan scenarios.

## **REFERENCES**

Chairman of the Joint Chiefs of Staff Instruction 3110.01J, “Joint Strategic Capabilities Plan,”  
September 25, 2015

Chairman of the Joint Chiefs of Staff Instruction 3401.02B, “Force Readiness Reporting,”  
July 17, 2014

DoD Manual 8910.01, Volume 1, “DoD Information Collections Manual: Procedures for DoD  
Internal Information Collections,” June 30, 2014, as amended

Joint Strategic Capabilities Plan Fiscal Year 2014, March 2014

National Military Strategy of the United States of America 2015, June 2015

Unified Command Plan, April 6, 2011 (with Change 1), as amended<sup>1</sup>

United States Code, Title 10, Sections 2229 and 2229a

United States Code, Title 22, Section 2321h

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<sup>1</sup> Available via SIPRNET.