



Department of Defense

DIRECTIVE

NUMBER 5111.07
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DA&M

SUBJECT: Assistant Secretary of Defense for International Security Affairs (ASD(ISA))

- References:
- (a) Sections 113 and 138 of title 10, United States Code
 - (b) Deputy Secretary of Defense memorandum, "Delegation of Authority," November 30, 2006
 - (c) DoD Directive 5111.7, "Assistant Secretary of Defense for International Security Affairs (ASD(ISA))," October 9, 2004 (hereby canceled)
 - (d) DoD Instruction 5025.01, "DoD Directives Program," October 28, 2007
 - (e) DoD Instruction 8910.01, "Information Collection and Reporting," March 6, 2007
 - (f) DoD Directive 5530.3, "International Agreements," June 11, 1987

1. PURPOSE. Pursuant to the authority vested in the Secretary of Defense under Reference (a) and the Delegation of Authority under Reference (b), this Directive reissues Reference (c) to update the responsibilities and functions, relationships, and authorities of the ASD(ISA), as prescribed herein.

2. APPLICABILITY. This Directive applies to the OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the Department of Defense (hereafter referred to collectively as the "DoD Components").

3. RESPONSIBILITIES AND FUNCTIONS. The ASD(ISA) is the principal advisor to the Under Secretary of Defense for Policy (USD(P)) and the Secretary of Defense on international security strategy and policy on issues of DoD interest that relate to the nations and international organizations of Europe (including the North Atlantic Treaty Organization (NATO) and Russia), the Middle East, and Africa, their governments and defense establishments; and for oversight of security cooperation programs, including Foreign Military Sales in these regions. The regions and countries of focus for the ASD(ISA) are identified in the enclosure. In this capacity, the ASD(ISA) shall:

- a. Conduct and manage day-to-day, multilateral, regional, and bilateral defense relations with all foreign governments in assigned areas of responsibility.
- b. Develop regional security and defense strategy and policy, provide advice, and issue guidance to translate global and functional policies into region-specific country strategies, and oversee their implementation in coordination with the appropriate DoD officials.
- c. For assigned areas of responsibility, develop, coordinate, and oversee the implementation of:
 - (1) Defense policy and management of defense and military relations.
 - (2) Policy, plans, and activities, as well as uses of DoD resources engaged in encouraging the development of military capabilities; constitutional democracy, and respect for human rights, including civilian control of the military; institutionalizing an appropriate role for the military in a constitutional democracy; and encouraging the development of standards of military professionalism that promote respect for elected civilian authorities and human rights.
 - (3) DoD regional and country-specific policy and recommendations concerning security cooperation programs and organizations, including Foreign Military Sales, International Military Education and Training, and other missions pertaining to security cooperation, in coordination with the Assistant Secretary of Defense for Global Security Affairs, who has policy oversight for individual programs.
- d. Develop, coordinate, and oversee the implementation of policy related to NATO, the Euro-Atlantic Partnership Council, the Partnership for Peace, and other institutions with a security dimension, including the European Union, the Organization for Security and Cooperation in Europe (OSCE), and the African Union.
 - (1) Provide policy guidance and oversight to the Defense Advisor, United States Mission to NATO, Representative of the Secretary of Defense to the OSCE, and the Defense Policy Advisor to United States Mission to the European Union.
 - (2) Promote coordination, cooperation, and joint planning on nuclear policy and strategy with NATO Allies, in coordination with the Assistant Secretary of Defense for Special Operations/Low Intensity Conflict and Interdependent Capabilities. Support the NATO Nuclear Planning Group and its subordinate body, the High Level Group, and chair the High Level Group.
- e. Represent the USD(P) and the Secretary of Defense in interagency policy deliberations and international negotiations dealing with assigned areas of responsibility.
- f. Participate in those planning, programming, budgeting, and execution activities that relate to assigned areas of responsibility.
- g. Perform such other duties as the USD(P) or the Secretary of Defense may prescribe.

4. RELATIONSHIPS

a. In the performance of assigned functions and responsibilities, the ASD(ISA) shall serve under the authority, direction, and control of the Secretary of Defense, through the USD(P), and shall:

(1) Report directly to the USD(P).

(2) Coordinate and exchange information with other OSD officials, the Heads of the DoD Components, and Federal officials having collateral or related functions.

(3) Use existing systems, facilities, and services of the Department of Defense and other Federal Agencies, when possible, to avoid duplication and to achieve maximum efficiency and economy.

b. Other OSD officials and the Heads of the DoD Components shall coordinate with the ASD(ISA) on all matters under their purview related to the responsibilities and functions cited herein.

c. Nothing herein shall be interpreted to subsume or replace the responsibilities, functions, or authorities of the Heads of the DoD Components as prescribed by law.

5. AUTHORITIES. The ASD(ISA) is hereby delegated the authority to:

a. Issue DoD Instructions, DoD Manuals, and one-time Directive-Type Memorandums, consistent with DoDI 5025.01 (Reference (d)), that implement policy approved by the Secretary of Defense or USD(P) in assigned areas of responsibility. Instructions to the Military Departments shall be issued through the Secretaries of the Military Departments. Instructions to the Combatant Commands normally shall be communicated through the Chairman of the Joint Chiefs of Staff.

b. Obtain reports and information, consistent with DoD Instruction 8910.01 (Reference (e)), as necessary to carry out assigned responsibilities and functions.

c. Communicate directly with the Heads of the DoD Components as necessary to carry out assigned responsibilities and functions, including transmitting requests for advice and assistance.

(1) Communications to the Military Departments shall be transmitted through the Secretaries of the Military Departments, or their designees, or as otherwise provided in law or directed by the Secretary of Defense in other DoD issuances.

(2) Formal communications to the Commanders of the Combatant Commands normally shall be transmitted through the Chairman of the Joint Chiefs of Staff.

d. Communicate with other Government officials, representatives and members of the Legislative Branch, members of the public, and representatives of foreign governments, as appropriate, in carrying out assigned responsibilities. Communications with representatives and members of the Legislative Branch shall be coordinated with the Assistant Secretary of Defense for Legislative Affairs or the Under Secretary of Defense (Comptroller)/Chief Financial Officer, Department of Defense, as appropriate, and be consistent with the DoD Legislative Program, as appropriate.

e. Negotiate and conclude international agreements in areas of assigned responsibility, in accordance with DoD Directive 5530.3 (Reference (f)), and in coordination with the General Counsel of the Department of Defense.

6. RELEASABILITY. UNLIMITED. This Directive is approved for public release. Copies may be obtained through the Internet from the DoD Issuances Web Site at <http://www.dtic.mil/whs/directives>.

7. EFFECTIVE DATE. This Directive is effective immediately.



Eric Edelman
Under Secretary of Defense for Policy

Enclosure
Regional and Country Focus of ASD(ISA)

ENCLOSURE

REGIONAL AND COUNTRY FOCUS OF ASD(ISA)

The alignment of individual countries under the focus of the ASD(ISA) and the other ASDs with regional responsibilities is subject to adjustment by the USD(P). Such adjustments shall be accomplished by administrative changes pursuant to Reference (d).

1. Europe and the member states of NATO. Includes Albania, Armenia, Austria, Azerbaijan, Belarus, Belgium, Black Sea, Bosnia/Herzegovina, Bulgaria, Caspian Sea, Croatia, Cyprus, Czech Republic, Denmark (including Greenland), Estonia, Finland, France, Georgia, Germany, Greece, Hungary, Iceland, Ireland, Italy, Kosovo, Latvia, Liechtenstein, Lithuania, Luxembourg, Macedonia, Malta, Mediterranean, Moldova, Monaco, Montenegro, Netherlands, Norway, Poland, Portugal, Romania, Russia, San Marino, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey, Ukraine, United Kingdom, and the Vatican.
2. Middle East. Includes Bahrain, Egypt, Iran, Iraq, Israel, Jordan, Kuwait, Lebanon, Oman, Palestinian Territories, Qatar, Saudi Arabia, Syria, United Arab Emirates, and Yemen.
3. Africa. Includes Algeria, Angola, Benin, Botswana, Burkina Faso, Burundi, Cameroon, Cape Verde, Central African Republic, Chad, Comoros Island, Cote d' Ivoire, Darfur, Democratic Republic of Congo, Djibouti, Equatorial Guinea, Eritrea, Ethiopia, Gabon, Gambia, Ghana, Guinea, Guinea-Bissau, Kenya, Lesotho, Libya, Liberia, Madagascar, Malawi, Mali, Mauritania, Mauritius, Morocco, Mozambique, Namibia, Niger, Nigeria, Rwanda, Republic of Congo, Sao Tome and Principe, Senegal, Seychelles, Sierra Leone, Somalia, South Africa, Southwest Indian Ocean, Sudan, Swaziland, Tanzania, Togo, Tunisia, Uganda, Zambia, and Zimbabwe.