



Lidar measured wind profiles from space

An overview of Doppler lidar technology and comparison with current and future wind measurement capabilities

Sara Tucker

Lidar Systems Engineer

Ball Aerospace, stucker@ball.com

With special thanks to the Ball Aerospace OAWL development team & partners, including Mike Hardesty and Sunil Baidar of CU-CIRES



Topics



- Wind Measurements
 - What do we have now?
 - Why a Doppler lidar from space?
 - Aeolus
- Doppler Wind lidar observations
 - Wind lidar basics
 - Wind lidar technologies (including Aeolus)
 - The OAWL approach
- Shaping Future Wind Missions
 - Scales
 - Active & Passive Synergy
 - Mission concepts – building on Aeolus
- Next Steps & Questions for Discussion



WIND MEASUREMENTS

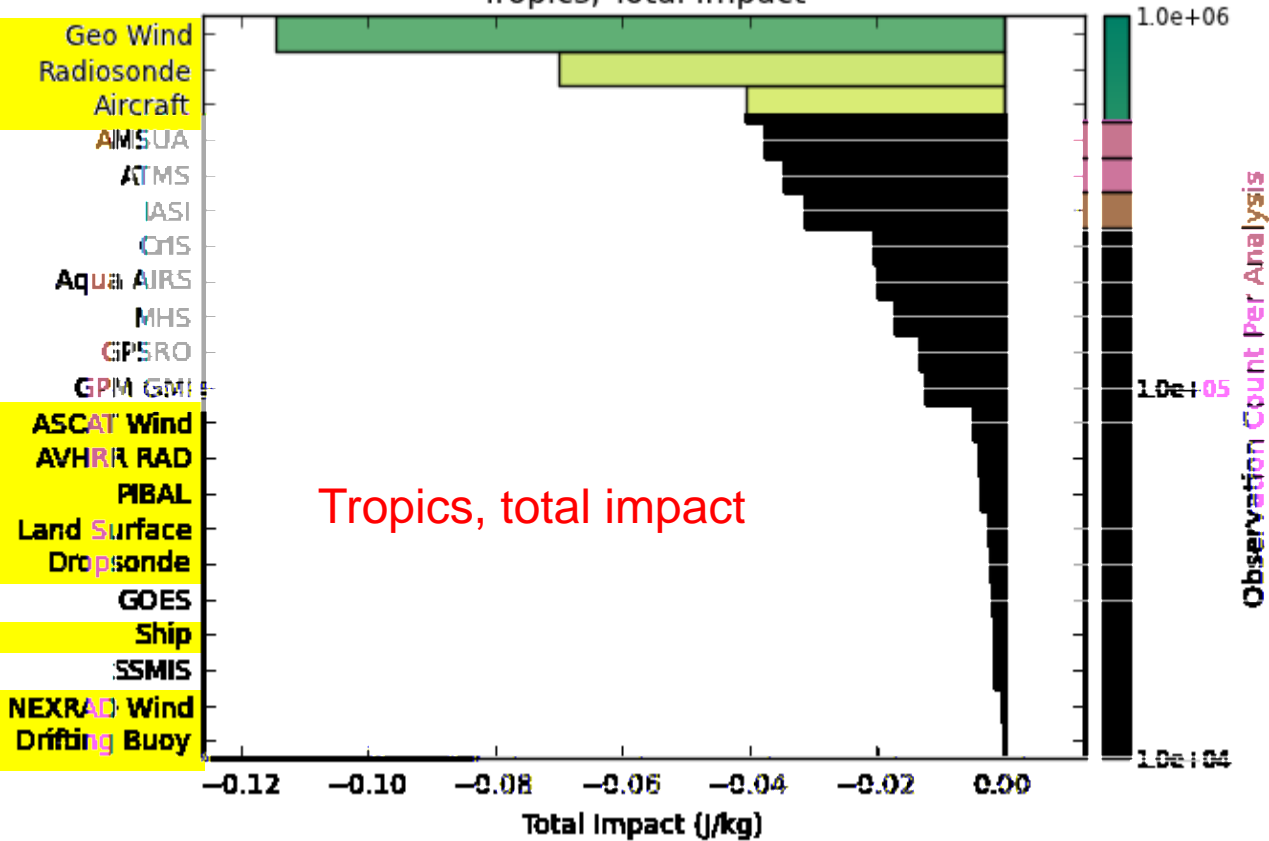
Wind observations have strong impacts on forecast



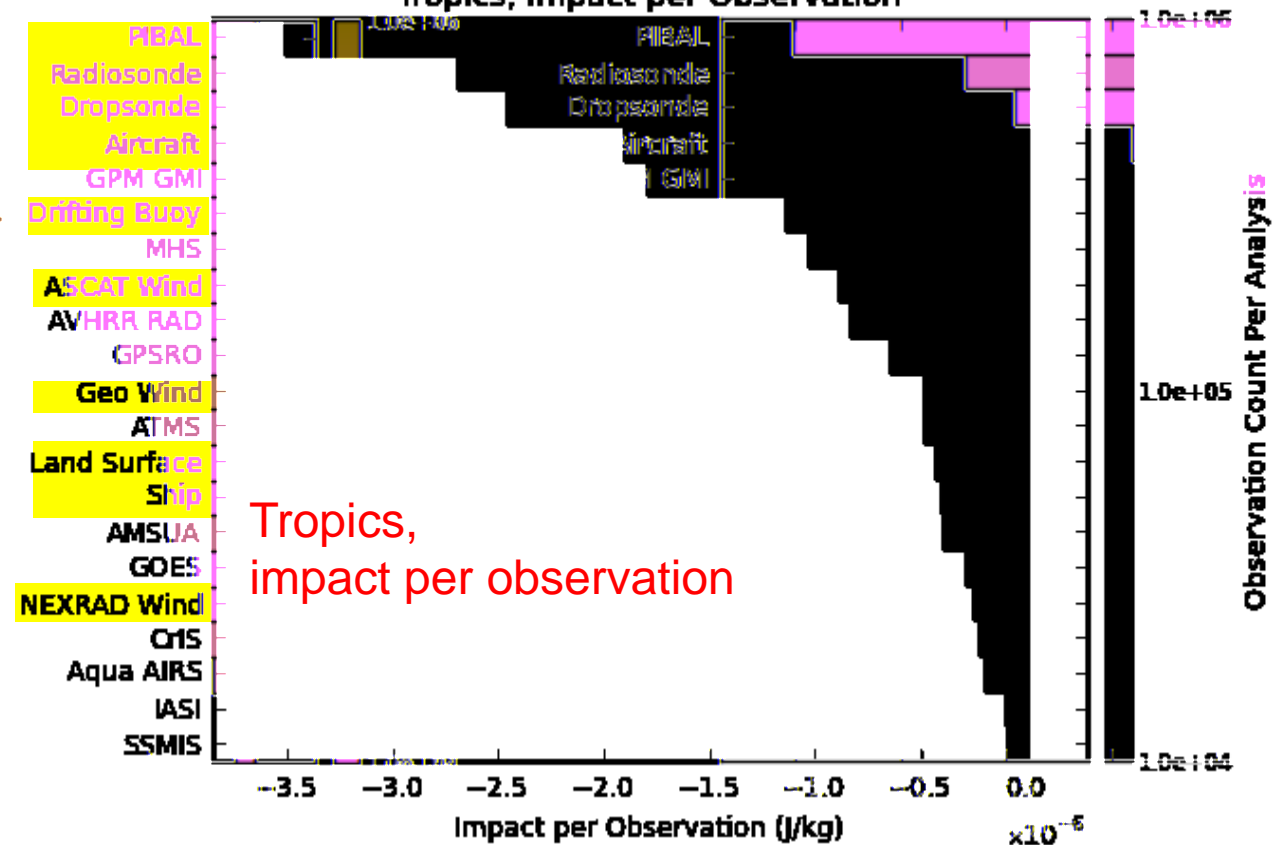
GEOS-5 Impact Summaries: July 2018-July 2019, Tropics

Observations that include winds (retrieved or measured)

GEOS 24h Observation Impact Summary
22 Jul 2018-21 Jul 2019 00z
Tropics, Total Impact



GEOS 24h Observation Impact Summary
22 Jul 2018-21 Jul 2019 00z
Tropics, Impact per Observation



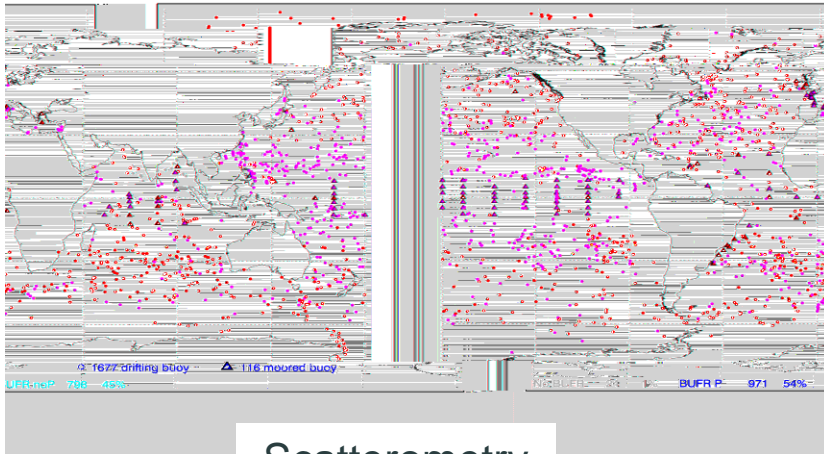
WMO: The greatest unmet observational need is still winds

Existing global winds measurements

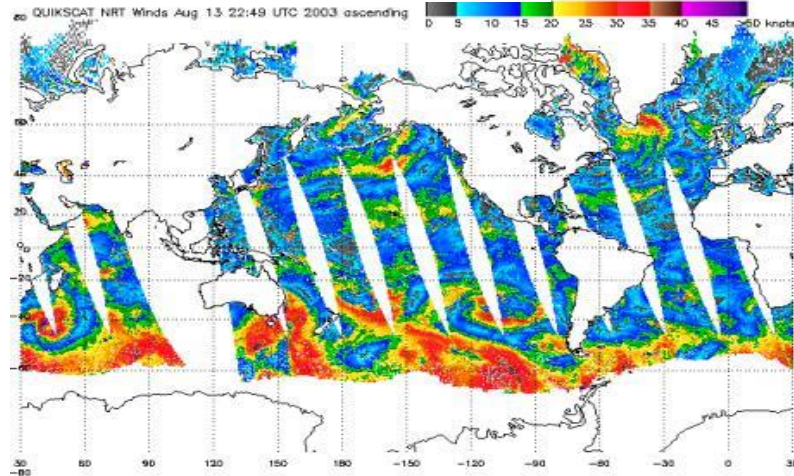


Surface

Surface Buoys

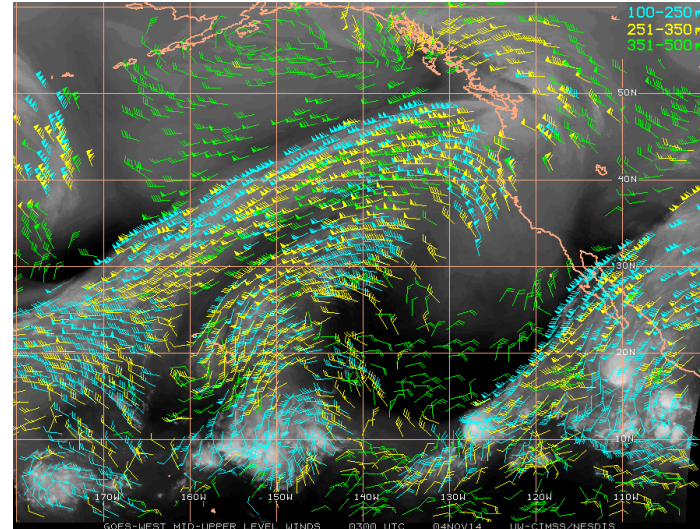


Scatterometry



Motion Vector

(aerosol/cloud tracking)

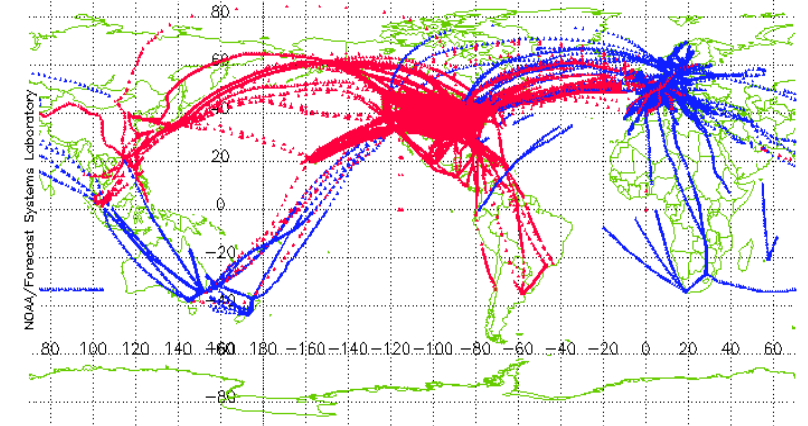


Visible or water vapor channels
 Requires 3 cloud images, cloud brightness temp, cloud mask, cloud height, cloud top pressure
 (for Water Vapor: GFS forecast temperature profile)

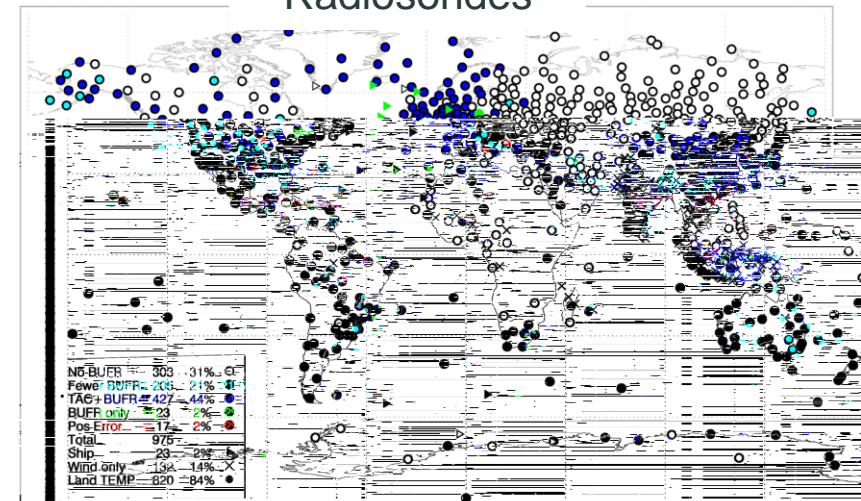
Requires Cloud/WV Features

In-situ Profiling

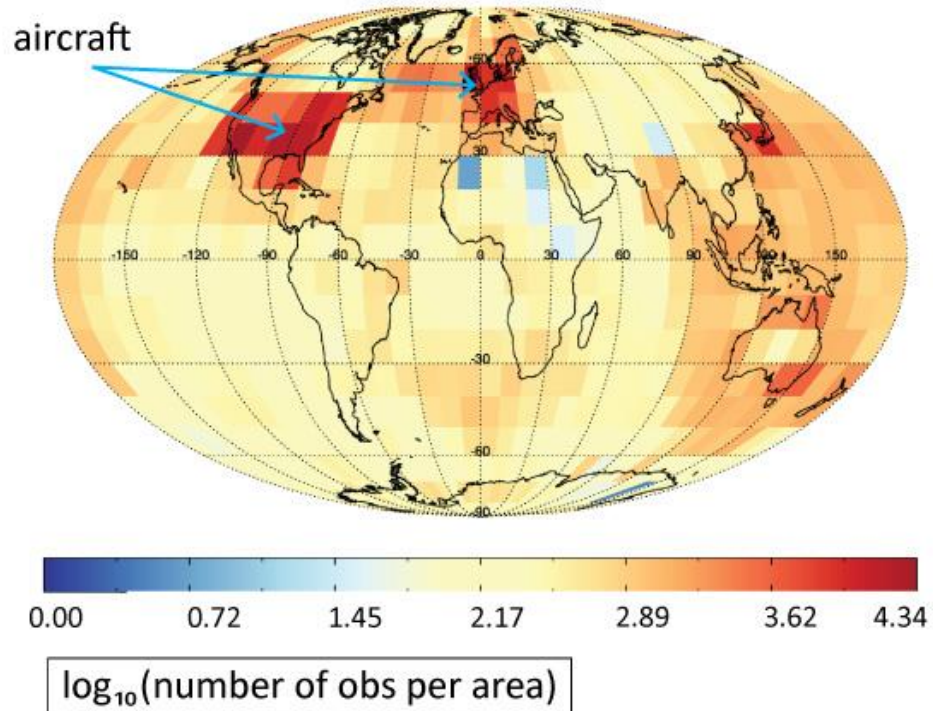
Aircraft



Radiosondes

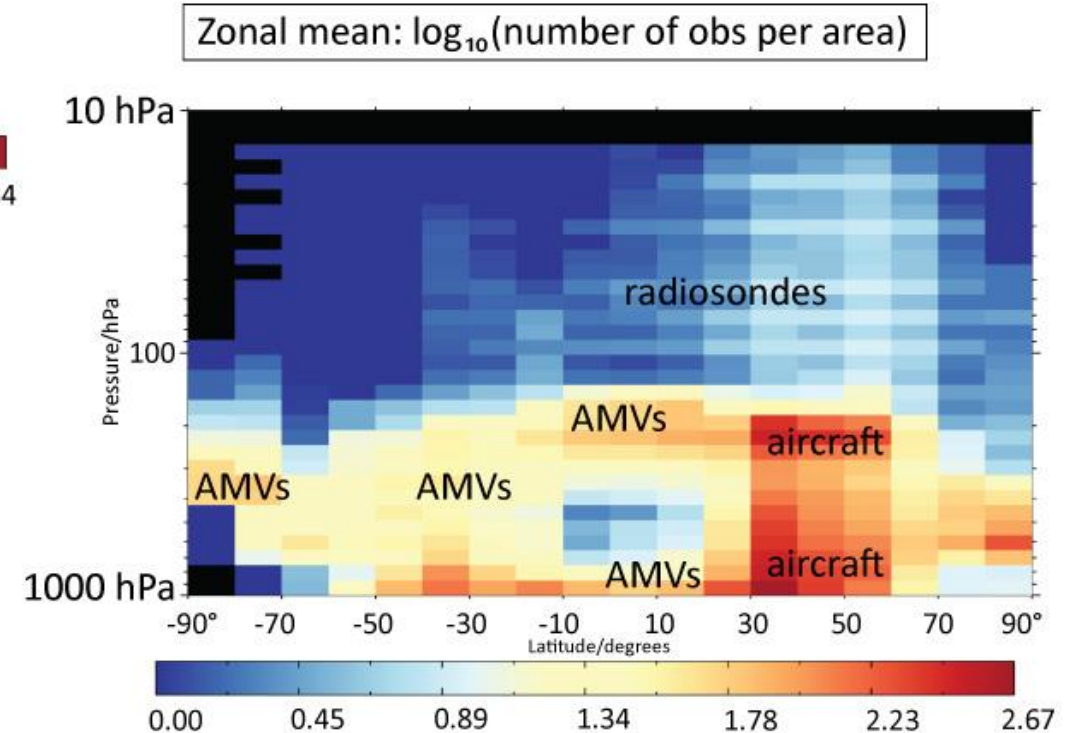


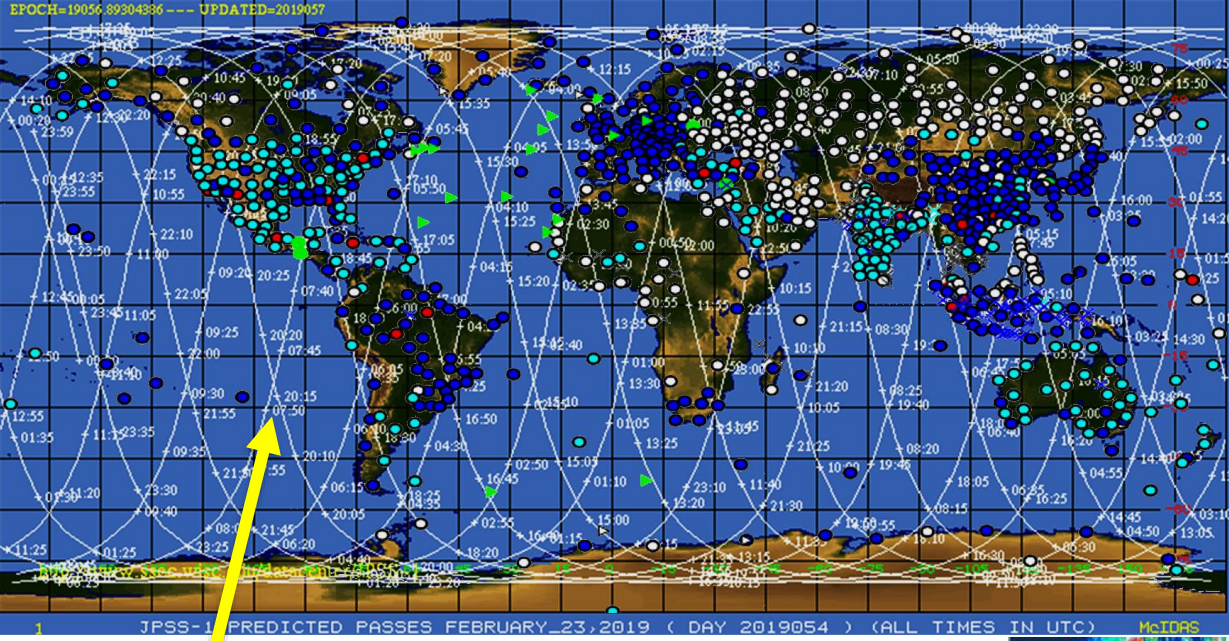
Wind assimilated in an ECMWF LWDA cycle (Oct. 2016)



- Uneven distribution
- Upper troposphere and stratosphere still poorly sampled

- Images from Lars Isaksen and Michael Rennie, ECMWF:
<https://www.ecmwf.int/en/about/media-centre/science-blog/2018/improving-forecasts-new-wind-data-esas-aeolus-mission>





Space-based Doppler Wind Lidar builds on CALIPSO



- 24 hrs of JPSS orbits + radiosonde sites
- CALIPSO data + wind profiles (vs. back trajectories)
- Analysis greatly improved with more ocean + Southern Hemisphere wind observations
- Options for UTLS

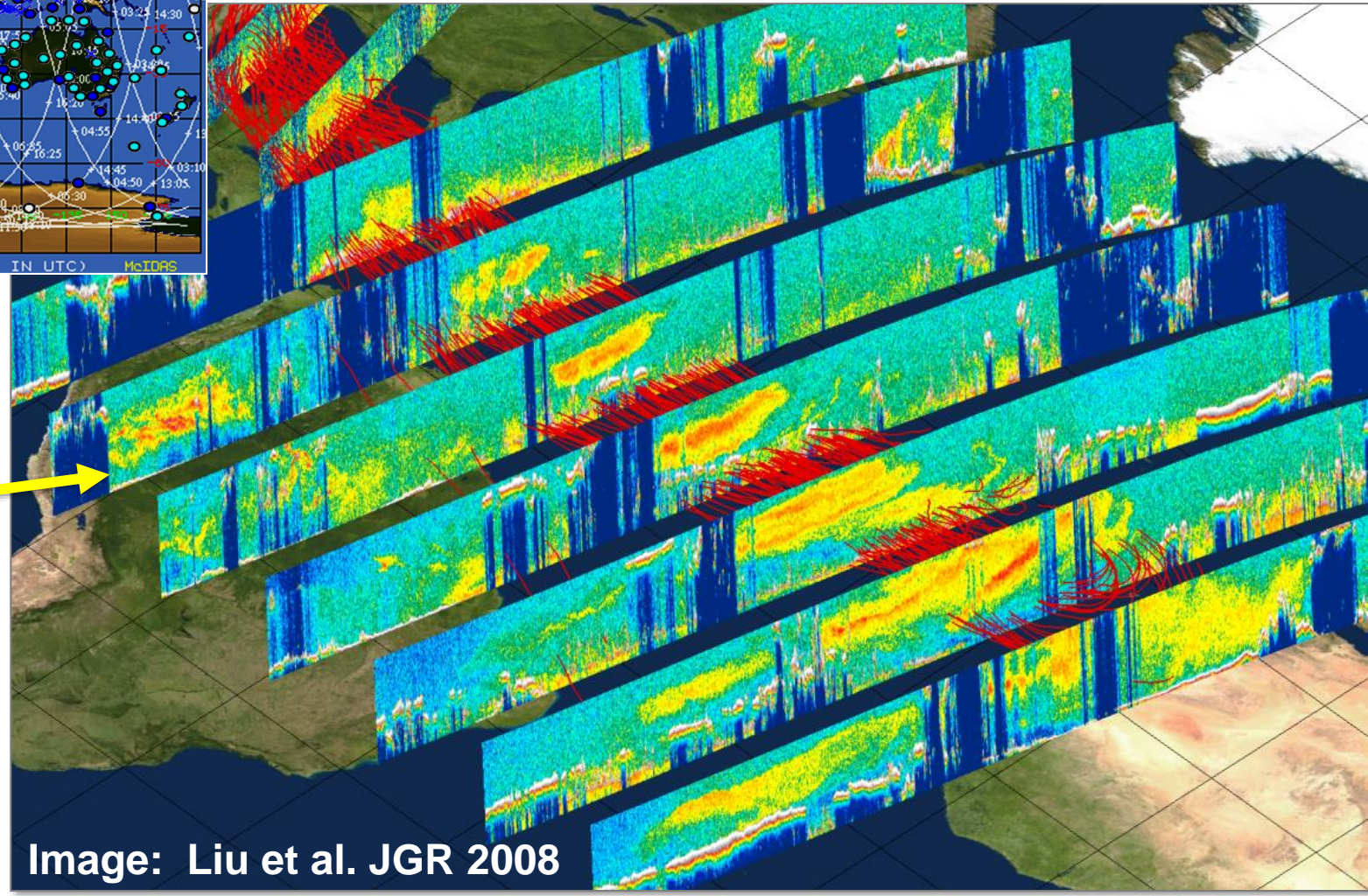


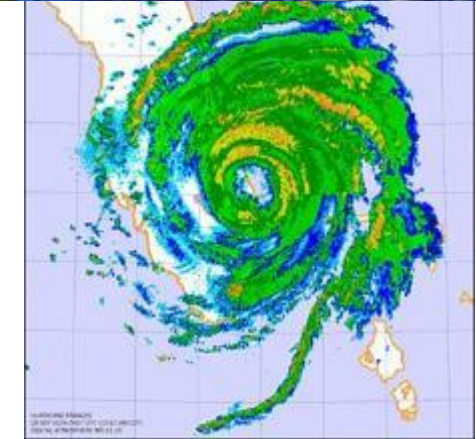
Image: Liu et al. JGR 2008

Wind lidar for operational weather

- Space-based DWL can provide
 - Direct measurements of altitude resolved wind profiles to initialize forecast models and observe large scale dynamics
 - Provide Global coverage: including oceans, tropics, and SH

- Multiple OSSE/OSE/etc. studies over decades show significant predicted impact:
 - Baker et al., *Bulletin of the American Meteorological Society*, 1995
 - Marseille, et al., *Proc. 5th Intl. Winds Workshop, EUM-P*, 2000
 - Riishojgaard et al., *J. Applied Meteorology*, 2004
 - Stoffelen, et al., *Quarterly J. of the Royal Met. Soc.*, 2006
 - Marseille, G.J., A. Stoffelen, & J. Barkmeijer, *Tellus A*, 2008 (2 papers)
 - Weissman & Cardinali, *Quarterly J. of the Royal Met. Soc.*, 2007
 - Atlas, et al., *IEEE IGARSS*, 2010
 - Baker, et al., *Bulletin of the American Meteorological Society*, 2014
 - Ma et al., *Journal of Atmospheric and Oceanic Technology*, 2014
 - Atlas, et al. *Journal of Atmospheric and Oceanic Technology*, 2015

- ESA's Aeolus operating on orbit – starting to look at impact of lidar wind measurements.



ESA's Aeolus Mission: Atmospheric LAser Doppler Instrument (ALADIN)



Launched 22 August 2018

**Single-look
(60%-70% of 2 looks)**

**Two Direct Detection channels:
Aerosol & Molecular (full
troposphere) - both at 355 nm**

**Sun-synchronous, dusk/dawn
orbit, 320 km**

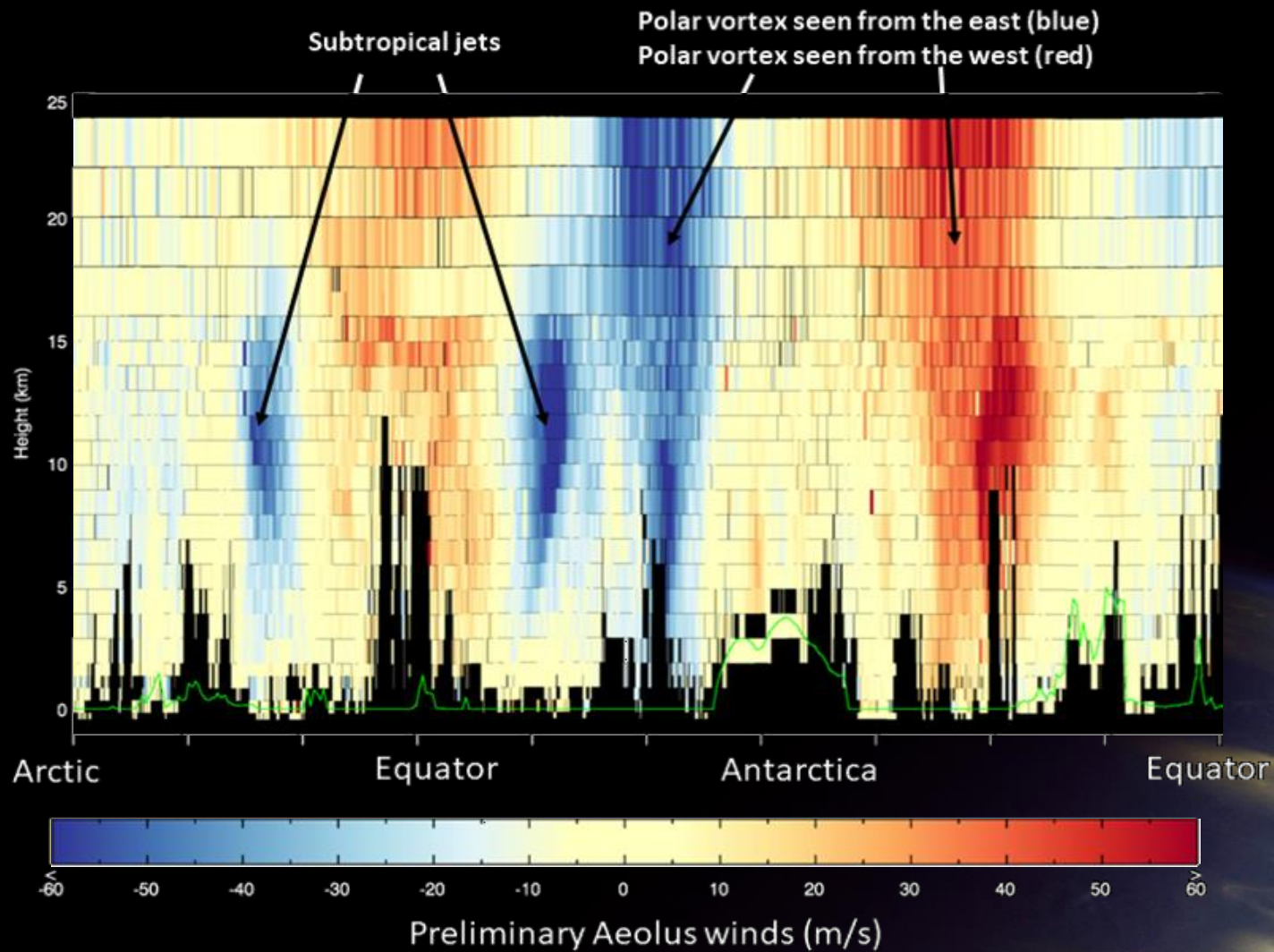
**3 year mission (consumables)
no planned follow-on (yet)**



Courtesy Anne Grete Straume

NOAA STAR Seminar - 25 July 2019 - NCWCP

ESA's Aeolus Mission: Atmospheric LAser Doppler Instrument (ALADIN)



Images: ESA

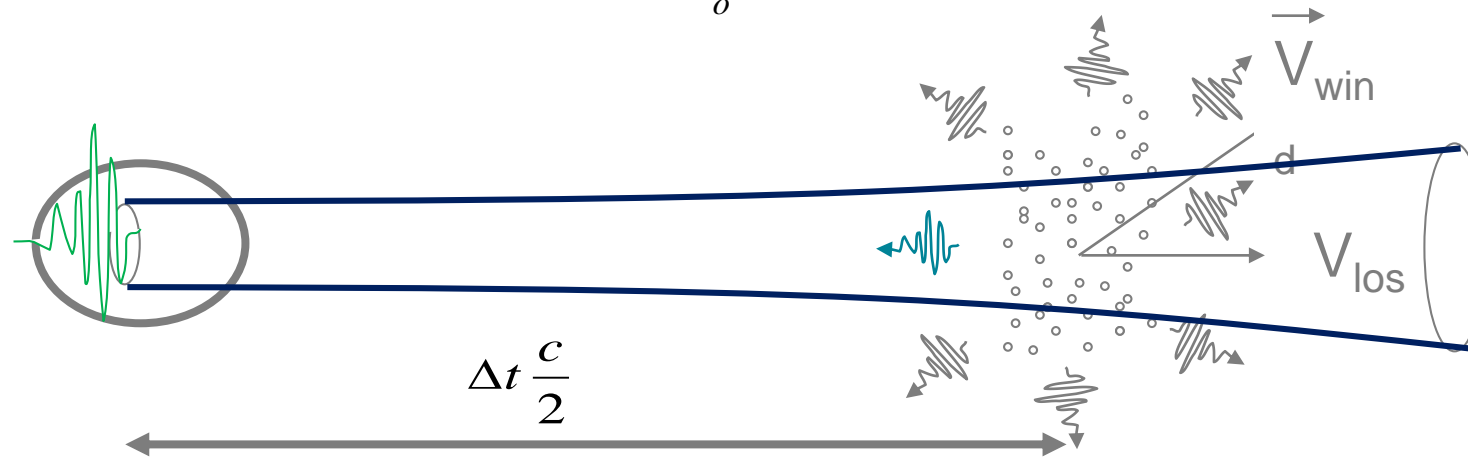


DOPPLER WIND LIDAR OBSERVATIONS

Principles of Doppler Wind Lidar

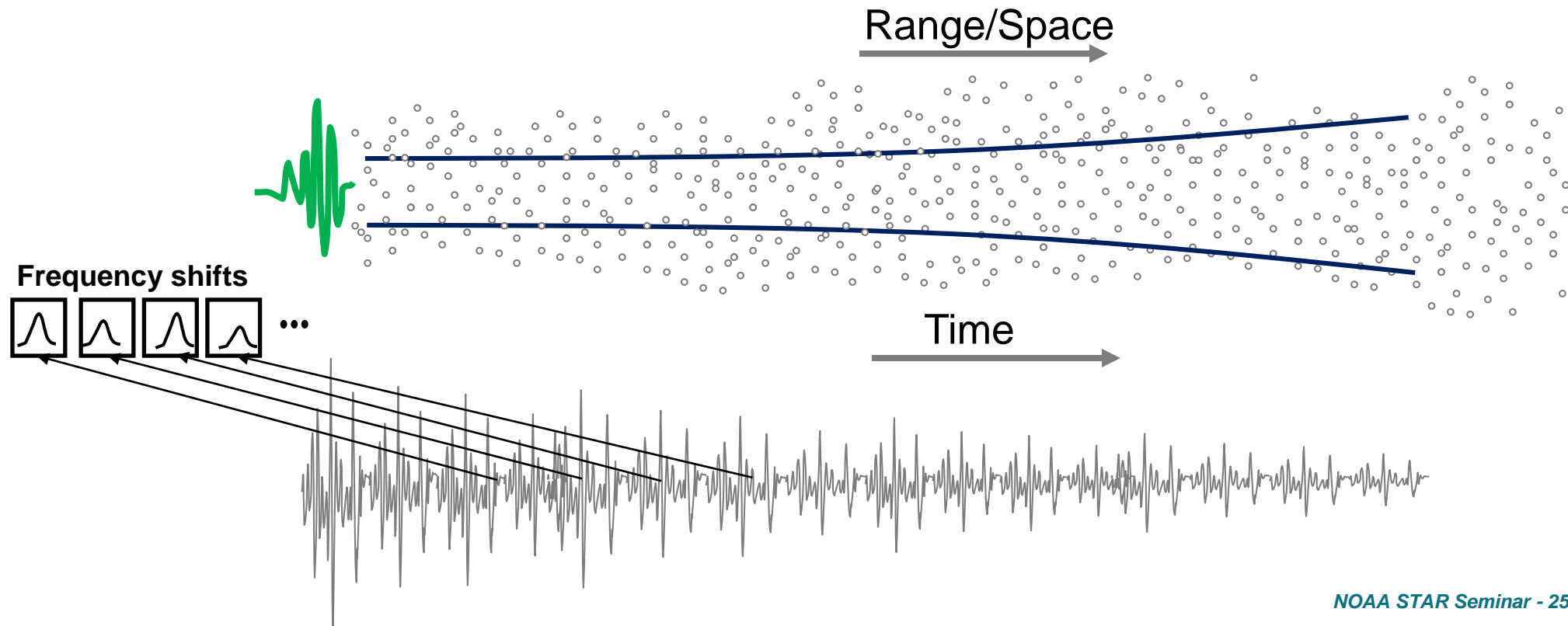
- Laser pulse is sent into the atmosphere (10-200-5000 times per second)
- The pulse scatters off the atmosphere in all directions
- Part of the scattered light returns to be detected – lidar backscatter, β
- If winds move the scattering targets, they impose Doppler shifts on the return light
- This Doppler shift depends on wind speed angle relative to the line of sight (LOS)

$$\delta f_{Doppler} = \frac{2V_{LOS} f_0}{c} = \frac{2V_{LOS}}{\lambda_o}$$



Distributed targets

- As the pulse propagates out, continuous signals are scattered back to the telescope and detected
- Time of return tells the range to each portion of the atmosphere
- Process signals to calculate range-resolved Doppler shifts
- Resolution depends on power, range, desired precision, etc.



Doppler Wind Lidar



What it does

- Provides direct measurements of LOS wind speed profiles
 - range-resolved – “sonde-like” – but averaging & accumulation along the curtain provides a more representative measurement for a grid cell than point samples
 - no tracking or multiple satellites or revisit time is required
 - Systems with molecular channels don’t require aerosol layers
- Provides accurate & precise observation to anchor other measurements and models
- Multiple looks/scans → retrieve horizontal wind speed & direction

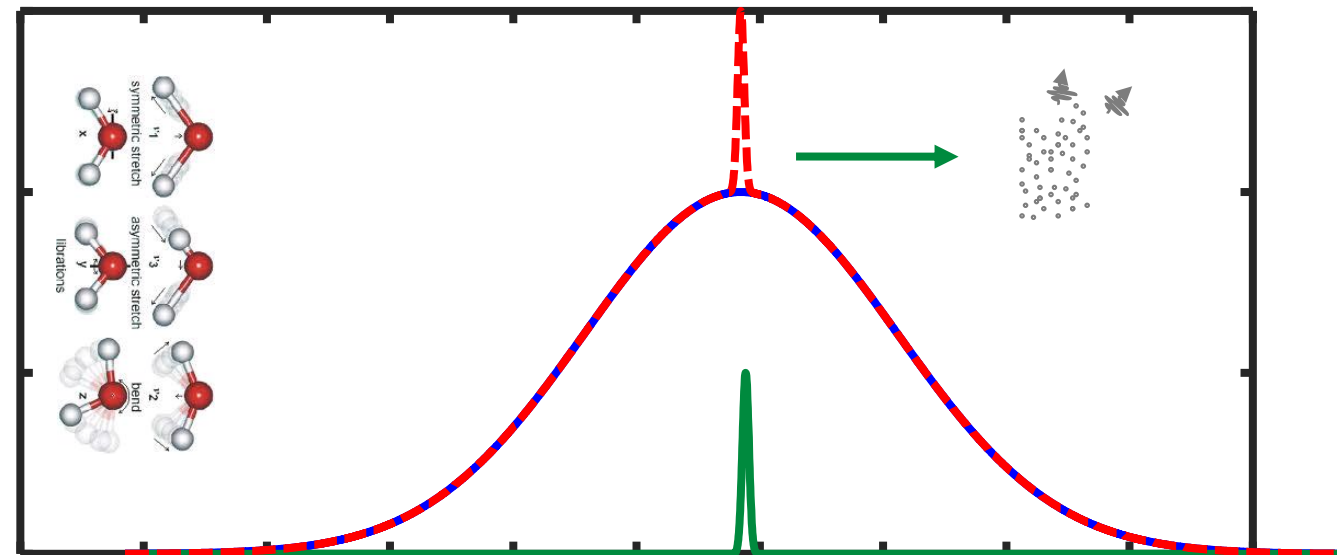
What it doesn’t do

- See through thick clouds (same as CALIPSO)
- From space
 - Provide a horizontal swath from space— profiles provided along orbit path
 - Provide frequent revisit times
 - Lots of coverage over 24 hours, but observations vary in location
 - A rate vs. a static variable
 - Measure small scale turbulence

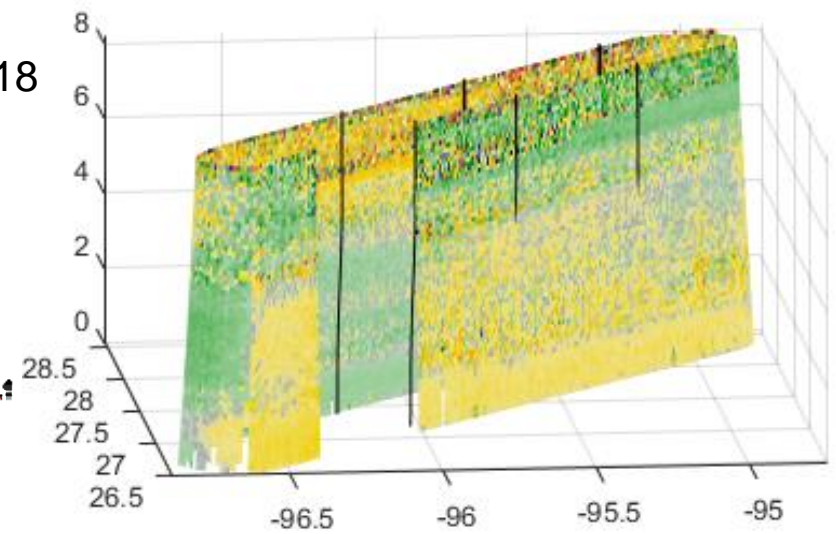
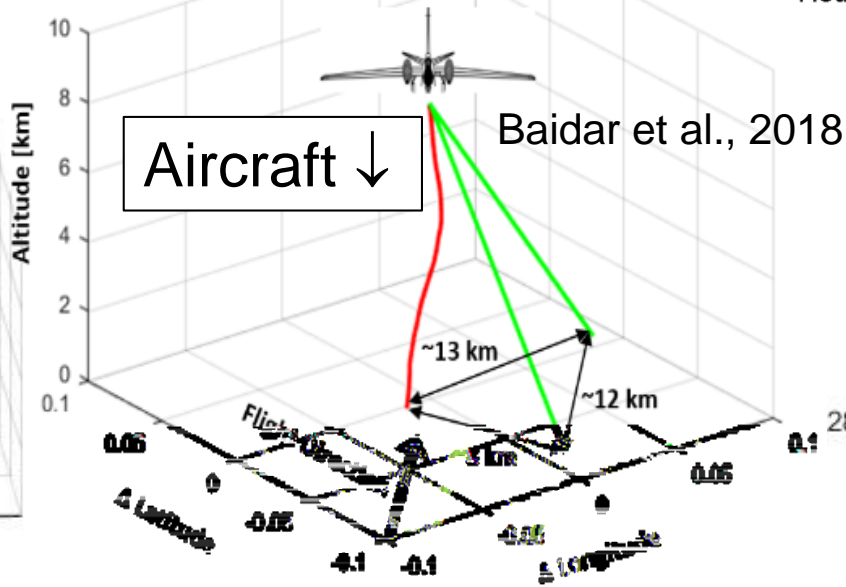
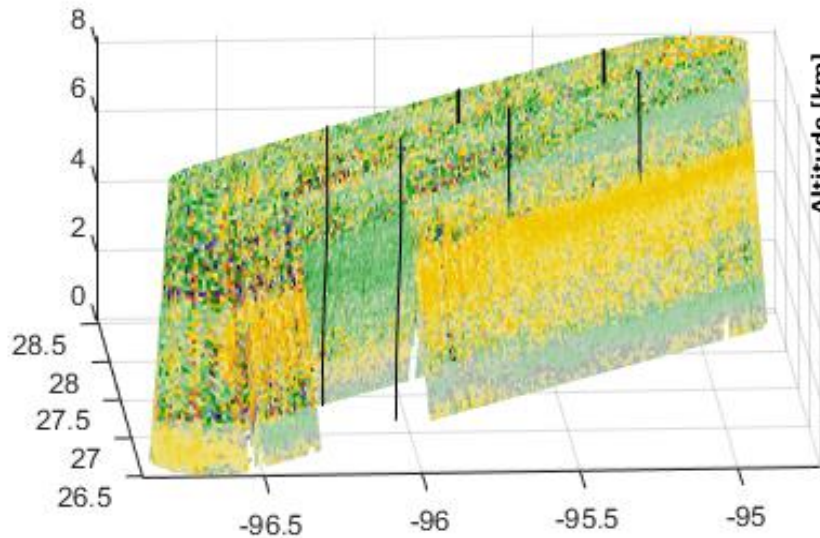
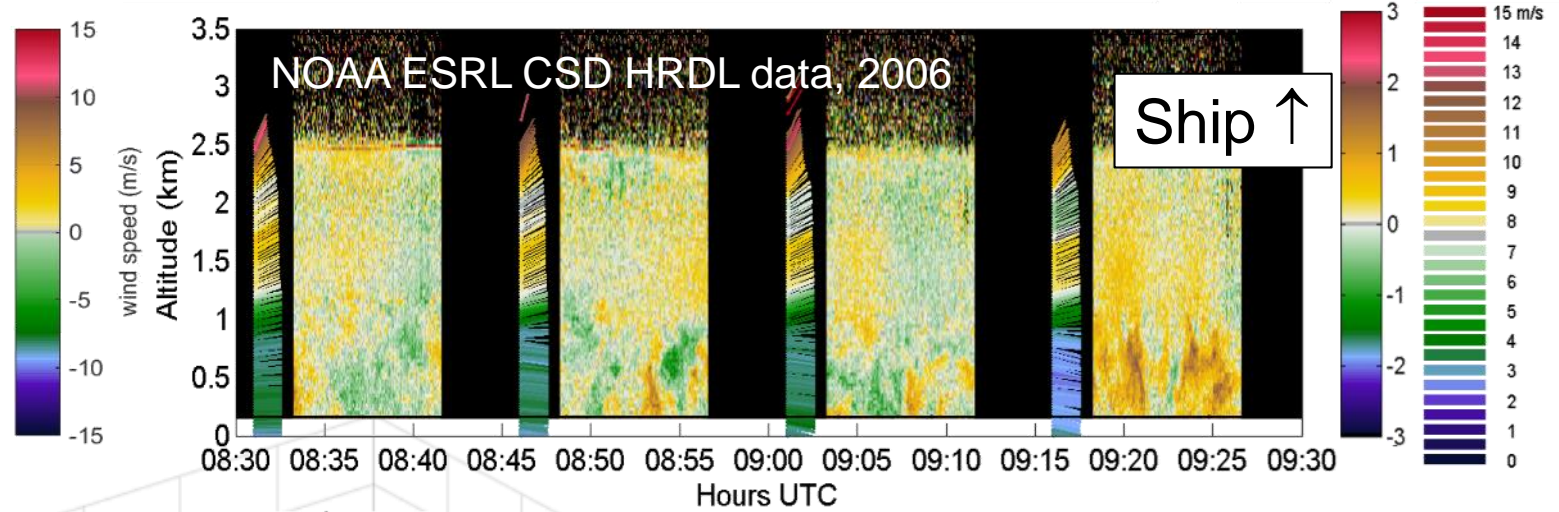
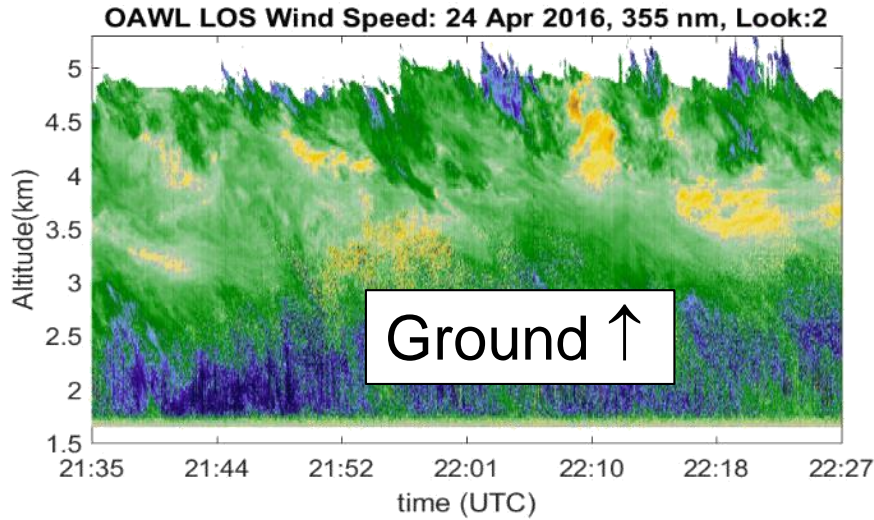
Atmospheric lidar return

- Elastic scatter aerosol/cloud (“Mie”) returns – mostly lower troposphere
 - Narrow bandwidth (< 100 MHz FWHM)
 - Easier to resolve precise frequency shifts,
 - Inconsistent opportunities – most are in the lower troposphere and cloud layers

- Doppler broadened molecular (Rayleigh-Brillouin) returns
 - Wide bandwidth (~1-3 GHz FWHM, based on wavelength, atmospheric temperature, pressure, and composition)
 - Harder to resolve precise frequency shifts
 - Molecules are consistently available (best coverage)

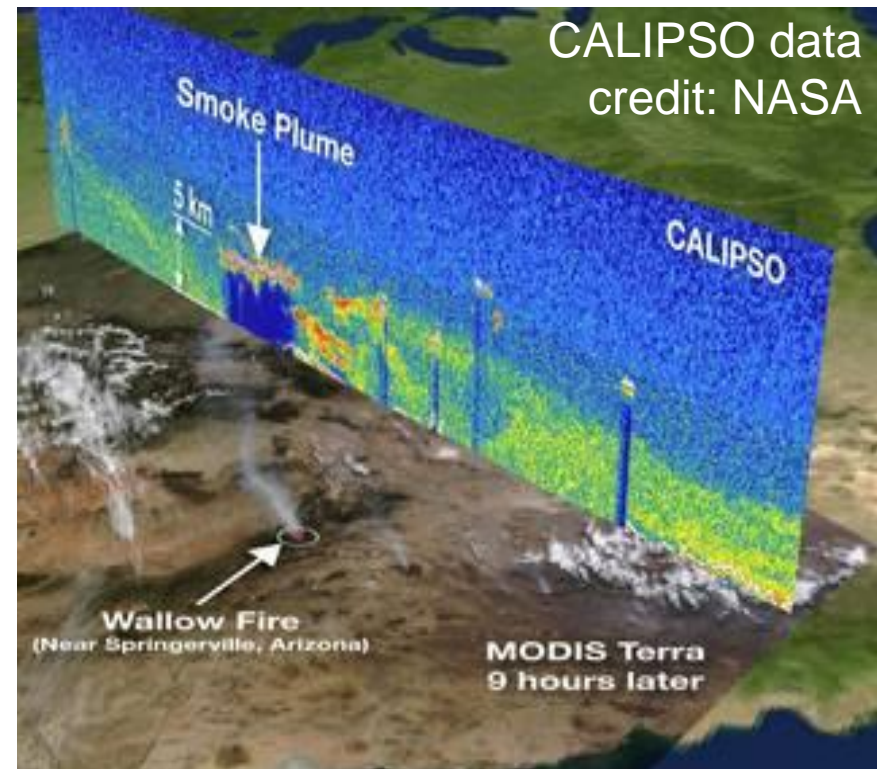
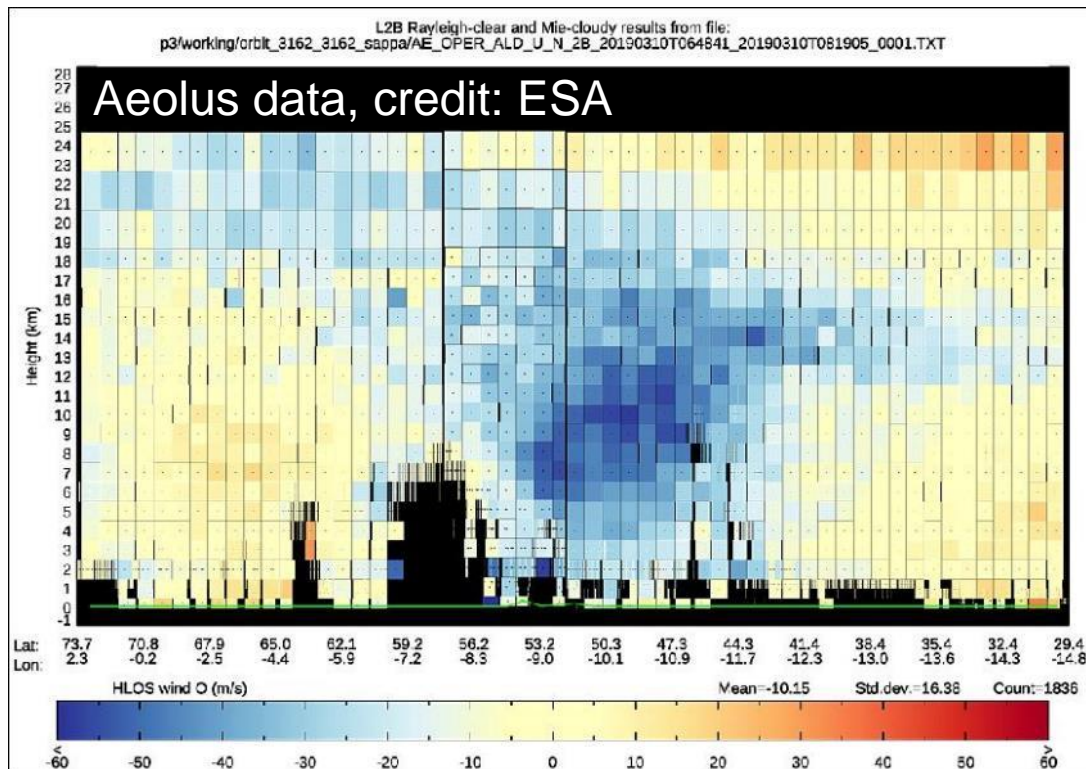


Wind Lidar data examples: Sub-orbital



Wind lidar data: Space-based

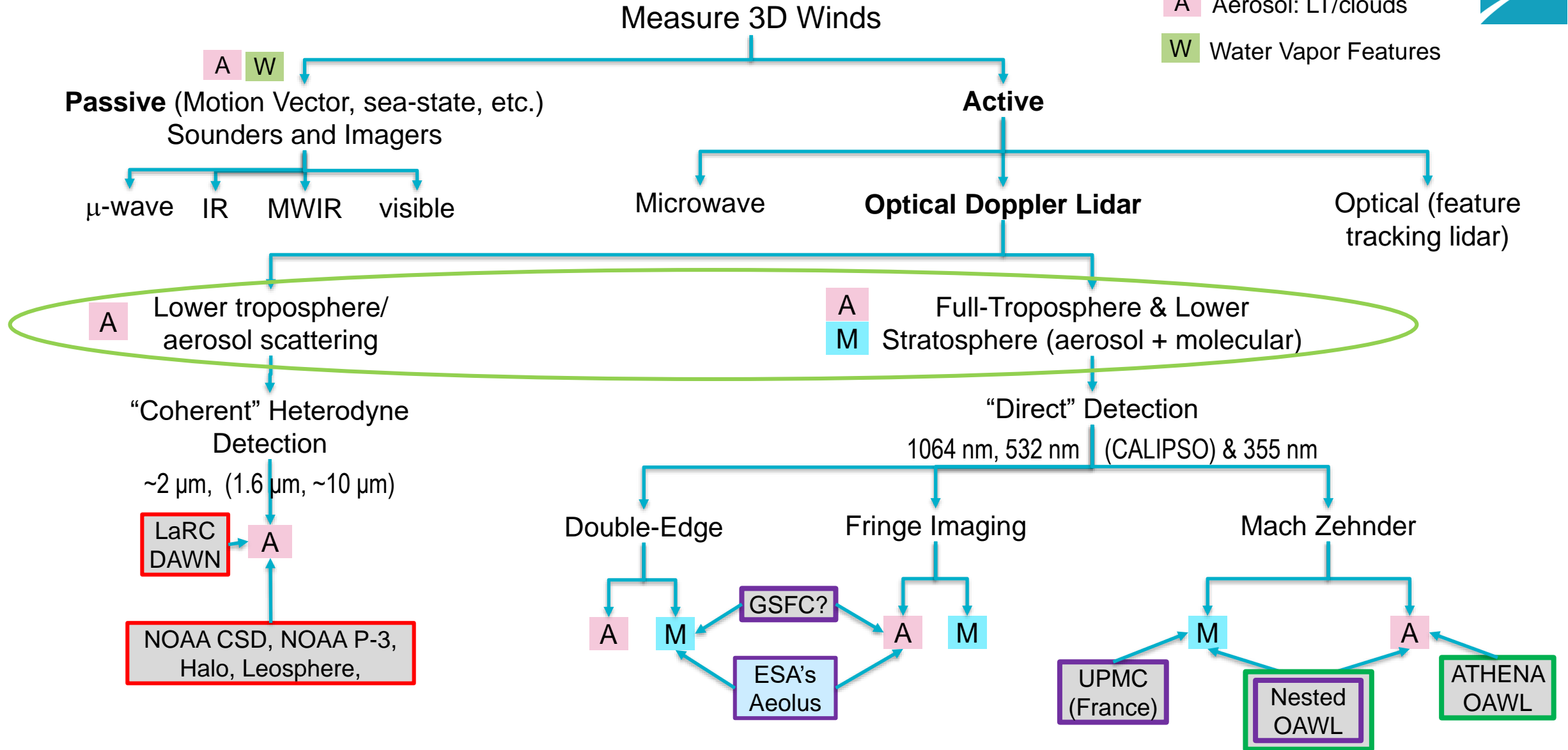
- Global curtains of wind profiles can add vertical dimensions to sounder & imager swath data
- Like CALIPSO & now Aeolus, the lidar “swath” is vertical – a curtain vs. a carpet



Observing Atmospheric Winds from Space

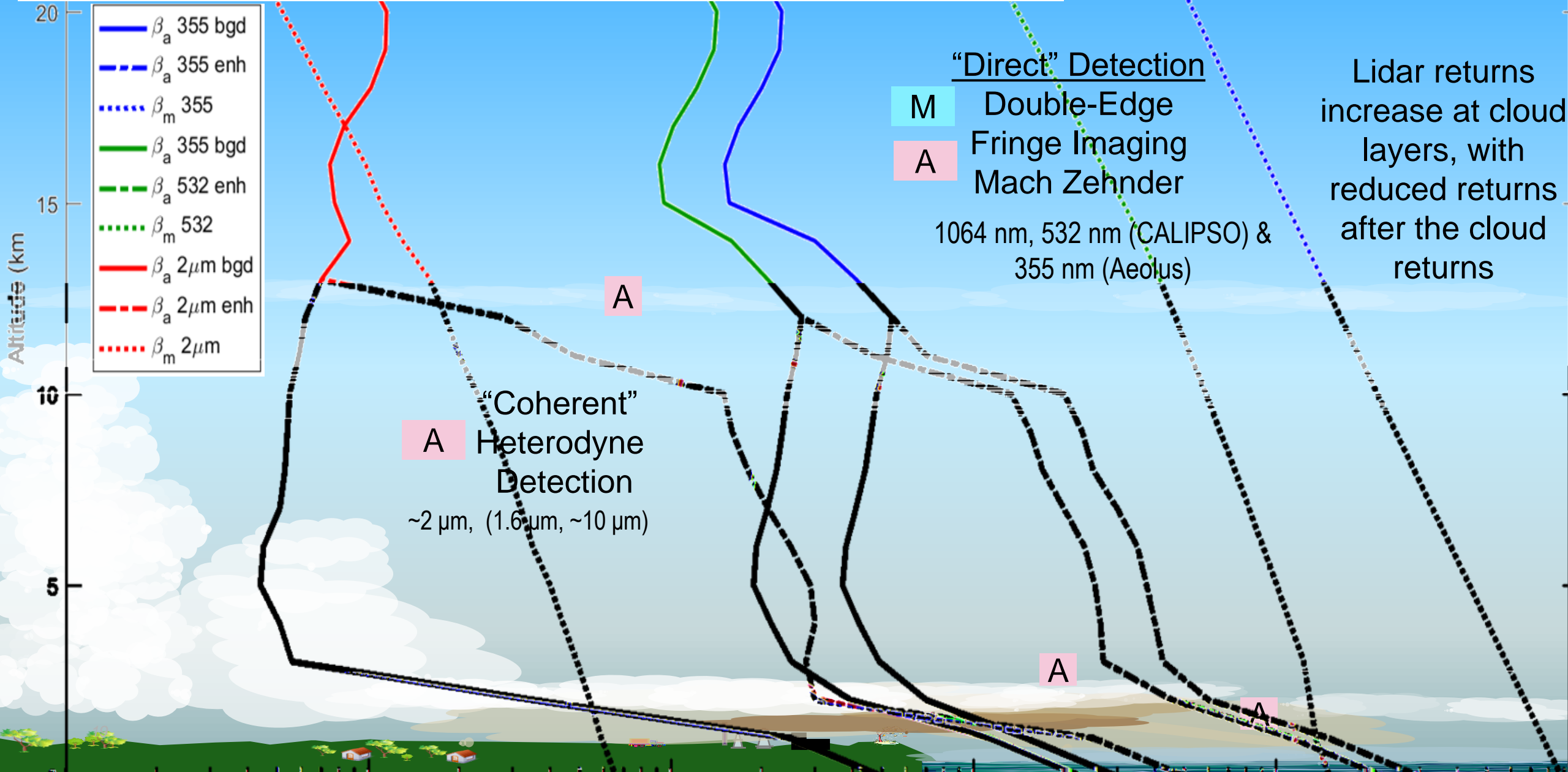


- M Molecular: UT/LS
- A Aerosol: LT/clouds
- W Water Vapor Features



Lidar Backscatter versus Altitude (km⁻¹sr⁻¹, log scale)

From <http://www.swa.com/target-atmosphere-profiles>



- β_a 355 bgd
- - β_a 355 enh
- ... β_m 355
- β_a 355 bgd
- - β_a 532 enh
- ... β_m 532
- β_a 2 μ m bgd
- - β_a 2 μ m enh
- ... β_m 2 μ m

“Direct” Detection

- M Double-Edge Fringe Imaging Mach Zehnder
- A

1064 nm, 532 nm (CALIPSO) & 355 nm (Aeolus)

Lidar returns increase at cloud layers, with reduced returns after the cloud returns

“Coherent” Heterodyne Detection
~2 μ m, (1.6 μ m, ~10 μ m)

A

A

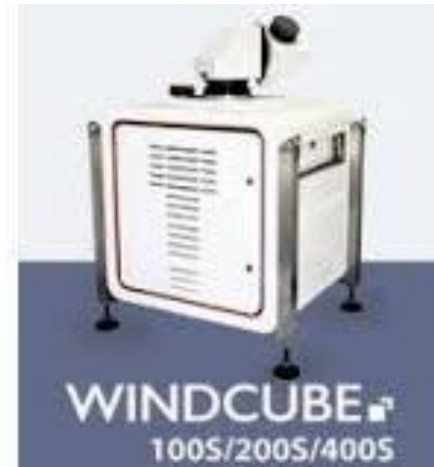
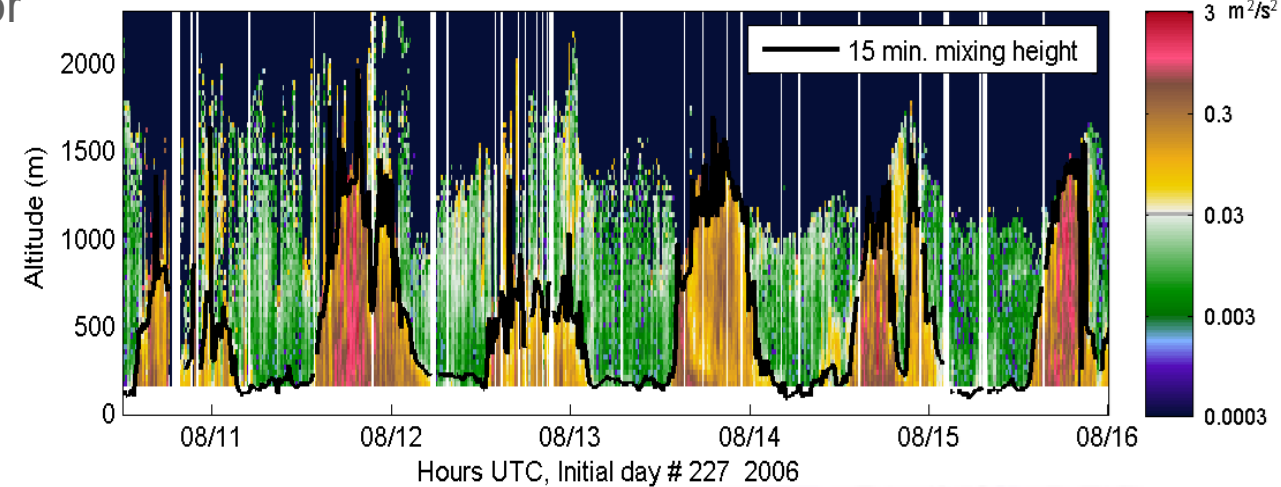
A

Coherent Detection Wind Lidars: used frequently in sub-orbital boundary layer/lower-troposphere research

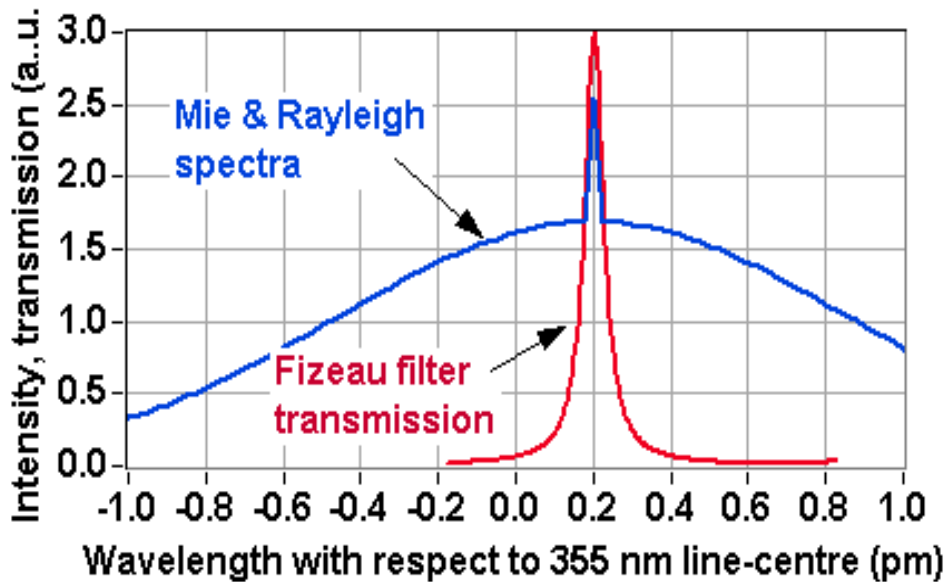
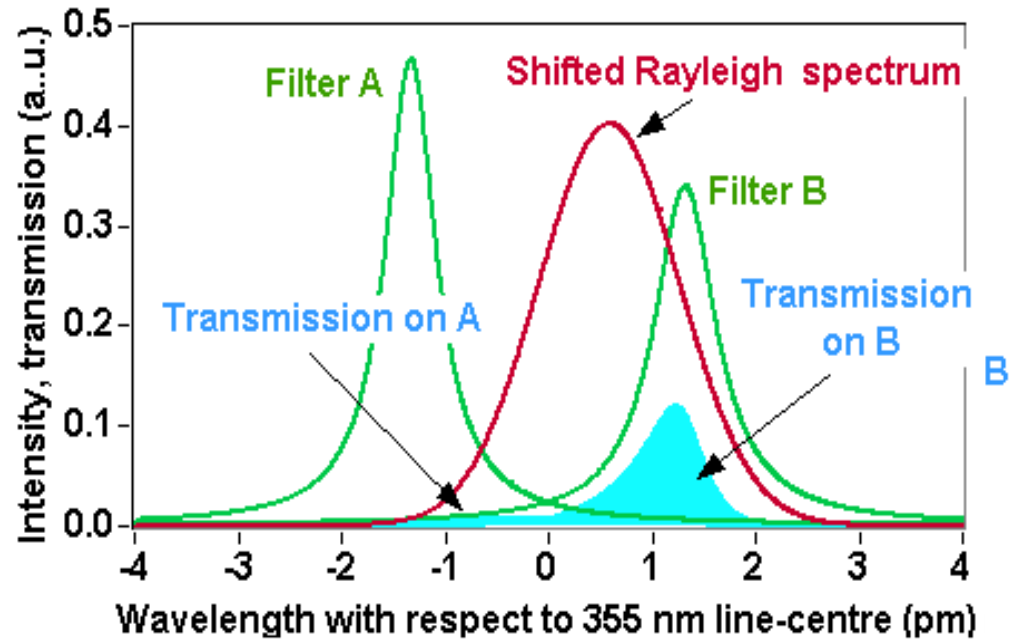


- Typically eye-safe, 1.5-10 μm wavelengths - great for PBL studies where sufficient aerosols are present
- NOAA ESRL CSD: Mini-MOPA, HRDL, TEAC0, Micro-Dop
- NOAA AOML: CTI Army system on P3 aircraft
- Coherent Technologies Inc. (now LMCT)
 - Wind Tracers (ground, airports)
 - Airborne Systems: DC-8 (NASA ACLAIM), P3 (Navy & NOAA), DLR Falcon, some DoD applications.
- Beyond Photonics
 - Folks formerly at CTI/LMCT
 - Currently working DAWN for Langley
- Leosphere (Vaisala)
- Halo Photonics (UK)
- NASA Langley (DAWN on the NASA DC-8)

HRDL RV Brown TexAQS 2006 - Vertical Velocity Variance σ_w^2 (m^2/s^2) Profiles. 10-Aug to 15-Aug



Principle of wind measurement with ESA's Aeolus ALADIN

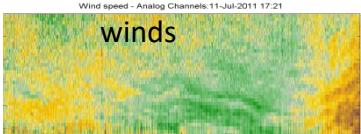
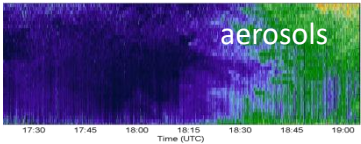


Atmospheric **LA**ser **Dop**pler **IN**strument **ALADIN**

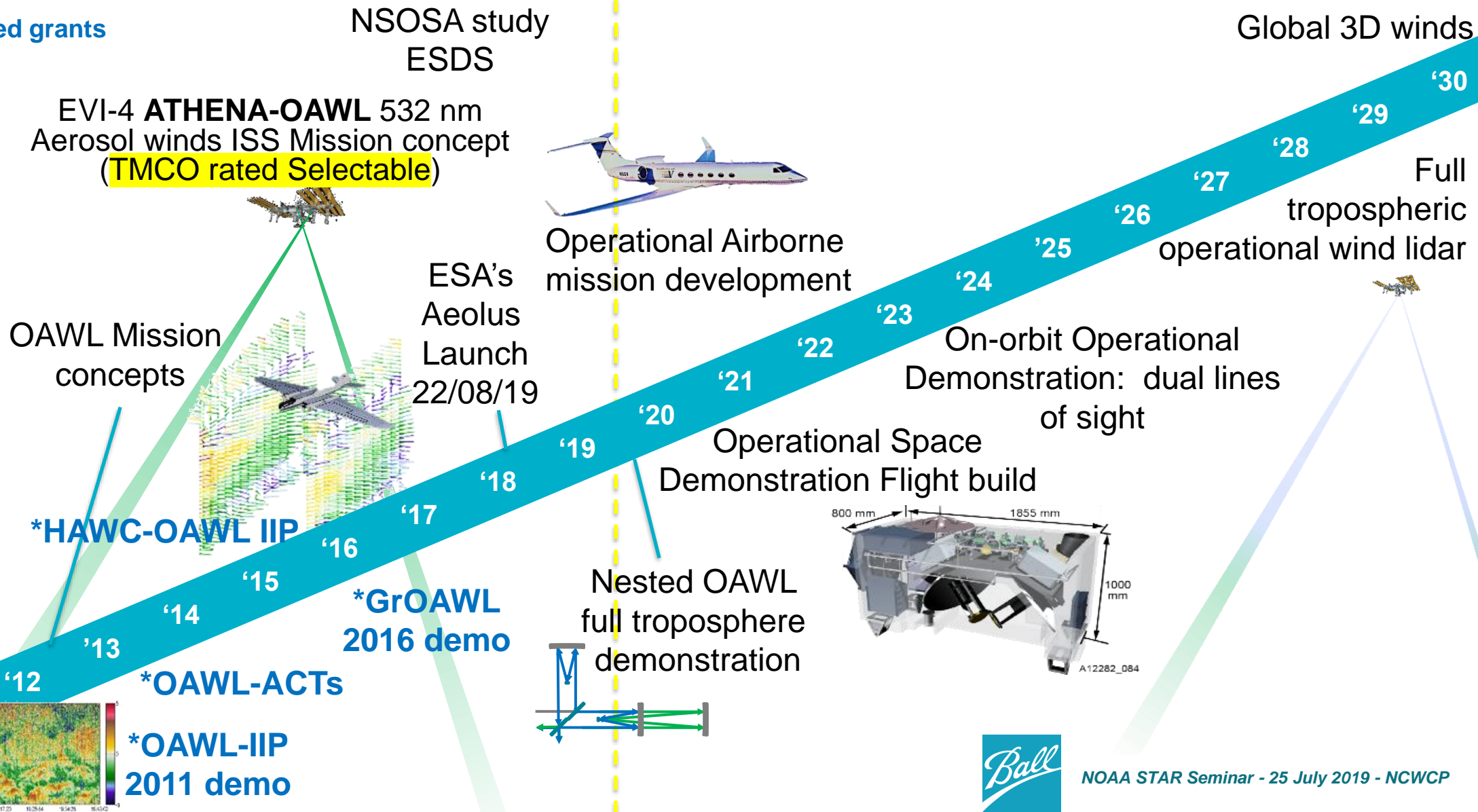
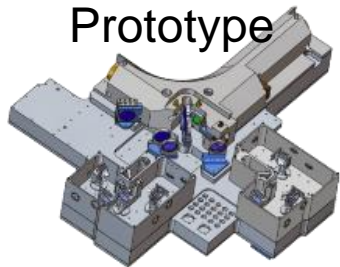
- Direct-Detection Doppler Lidar at 355 nm
- 2 types of etalon spectrometers to analyse backscattered return signal
 - Double edge Fabry-Perot etalon for spectrally broad molecular return (Rayleigh, like NASA GSFC's TWiLiTE system)
 - Fizeau etalon "Fringe Imaging" spectrometer narrows in on shifts in the spectrally narrow aerosol/cloud (Mie) returns

ESA's ADM Aeolus (single look - 355 nm Aerosol & Molecular)

*NASA ESTO funded grants (no profit)



Quadrature Mach Zehnder Interferometer Laboratory Prototype



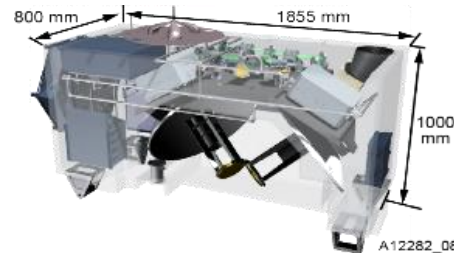
EVI-4 ATHENA-OAWL 532 nm Aerosol winds ISS Mission concept (TMC0 rated Selectable)

NSOSA study ESDS

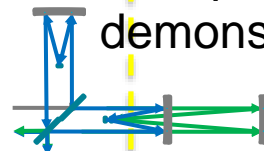


Operational Airborne mission development

ESA's Aeolus Launch 22/08/19



Nested OAWL full troposphere demonstration



On-orbit Operational Demonstration: dual lines of sight

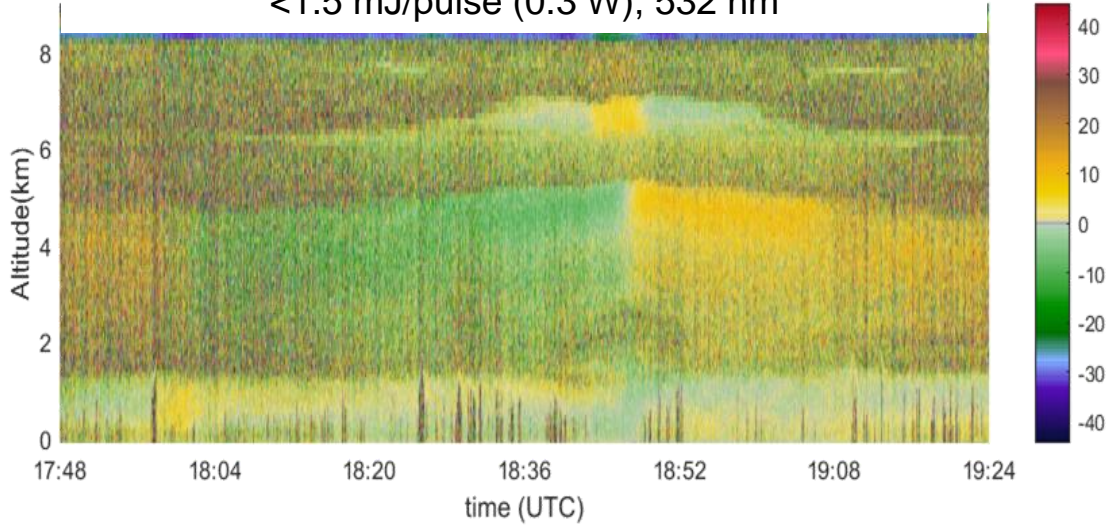
Global 3D winds Full tropospheric operational wind lidar



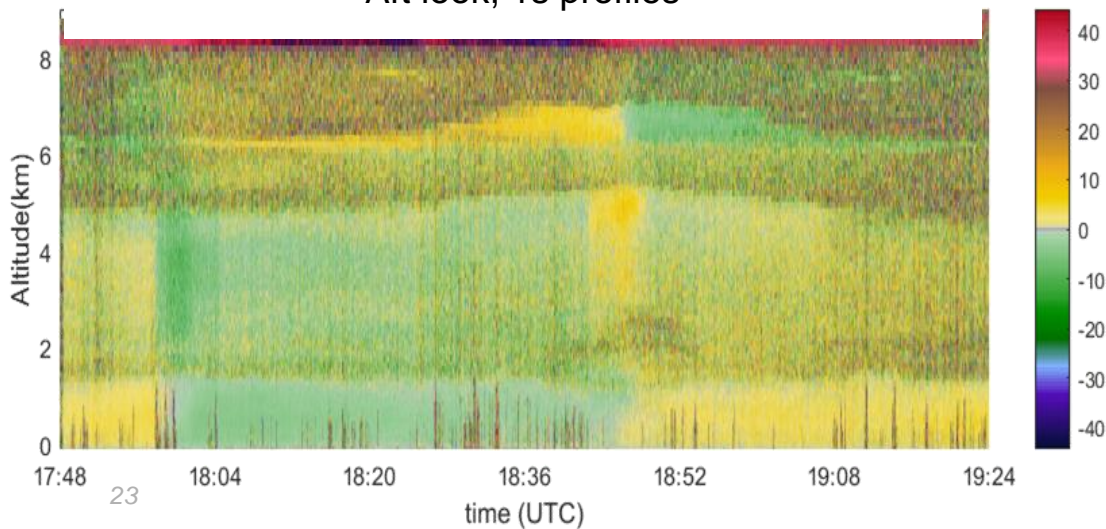
Airborne OAWL Validation: Simultaneous two-look wind profiles



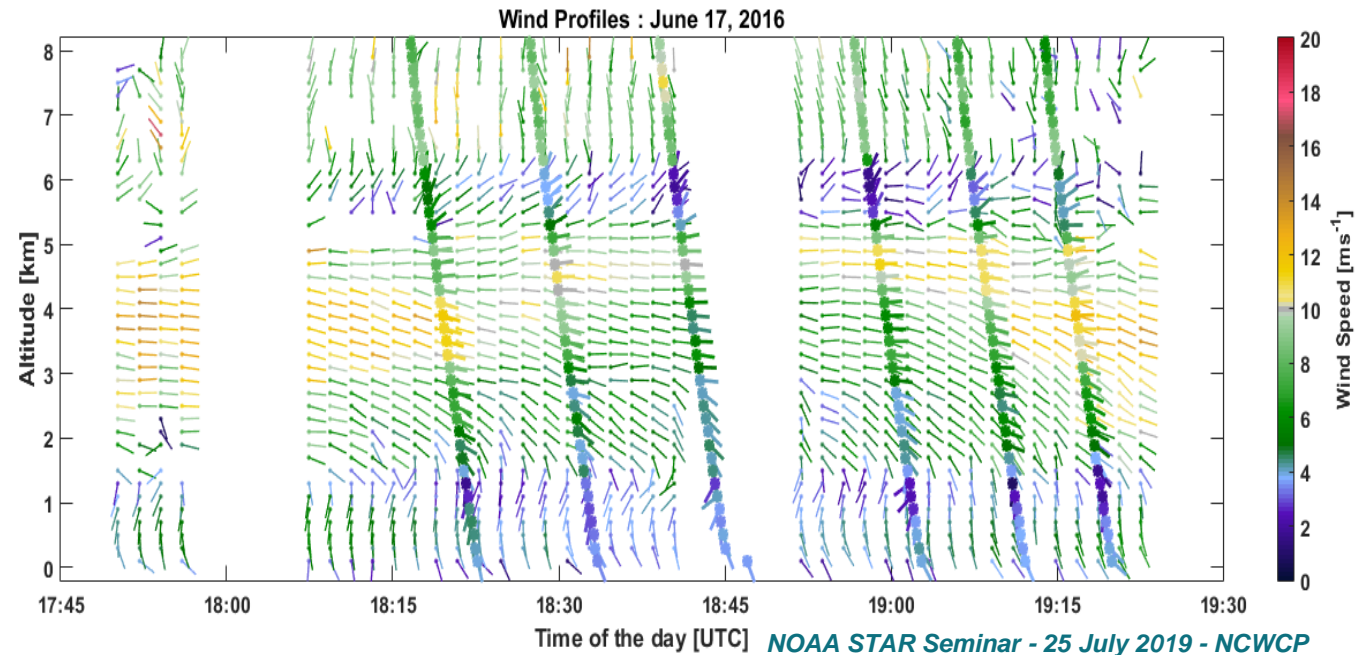
17 June 2016: Forward look, 1s profiles,
<1.5 mJ/pulse (0.3 W), 532 nm



Aft look, 1s profiles



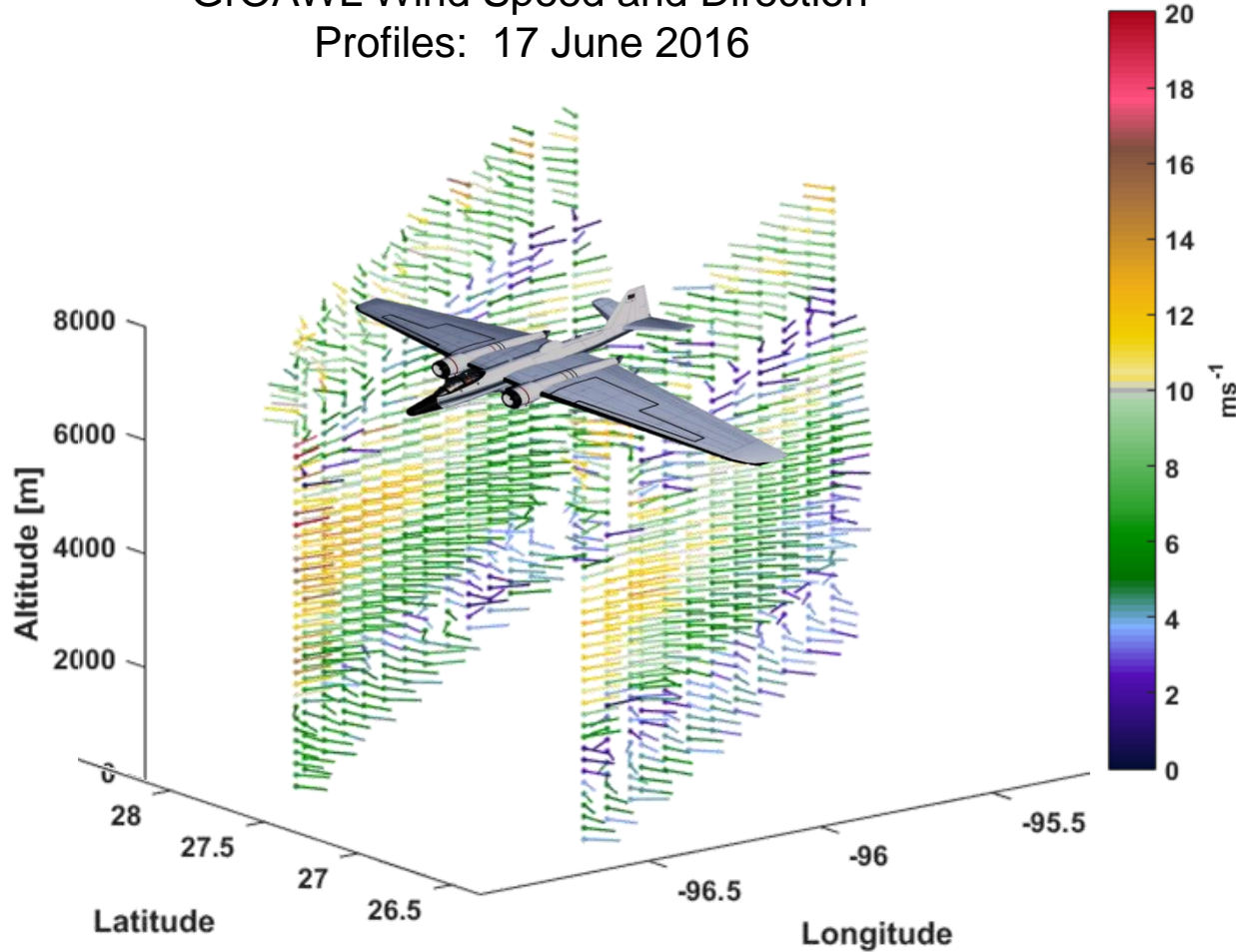
- Autonomous Green OAWL (“GrOAWL”) Airborne Demonstrator built, flown (WB-57), validated, and scaled to space
- Left: Dual 45° Line of Sight (LoS) wind speed data sets – can combine to get speed & direction (below)
- **See: Tucker et al., 2018 & Baidar et al., 2018, *J. Atmos. & Ocean. Tech*,**



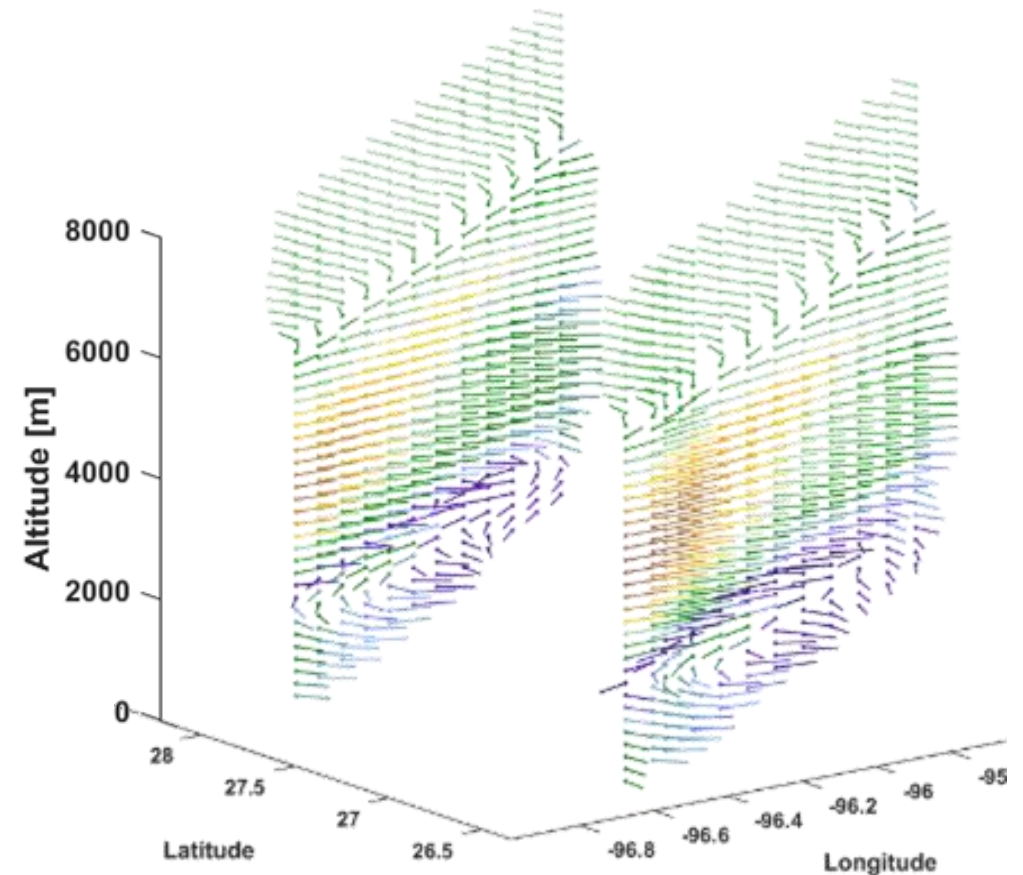
GrOAWL airborne measurements over the Gulf of Mexico captured spatial variability predicted by NOAA's HRRR



GrOAWL Wind Speed and Direction Profiles: 17 June 2016



HRRR Wind Profiles: 18Z 17 June 2016

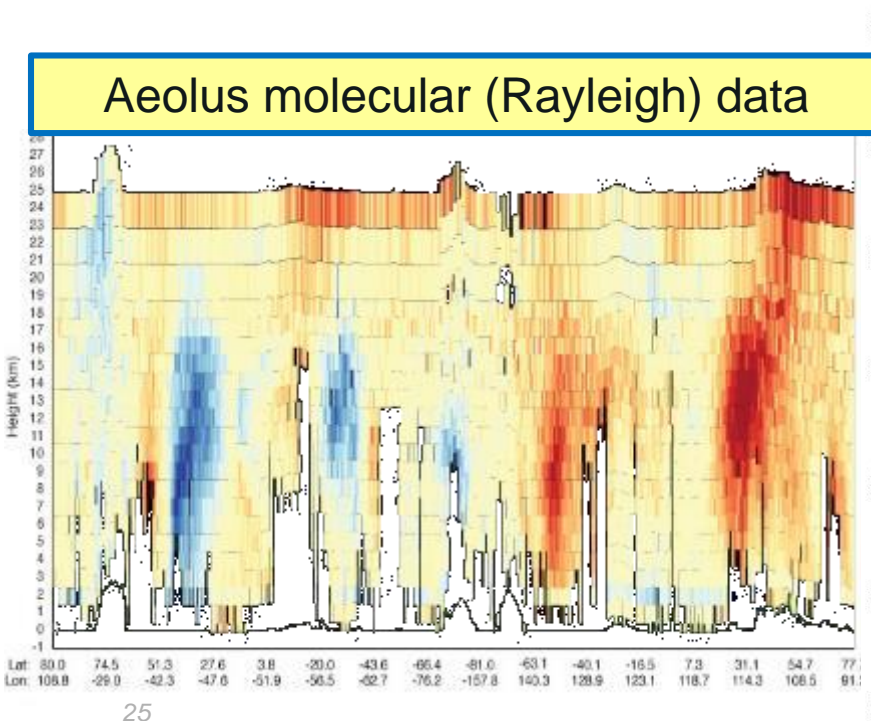


Nested-OAWL path to Full Atmospheric Wind Profiles

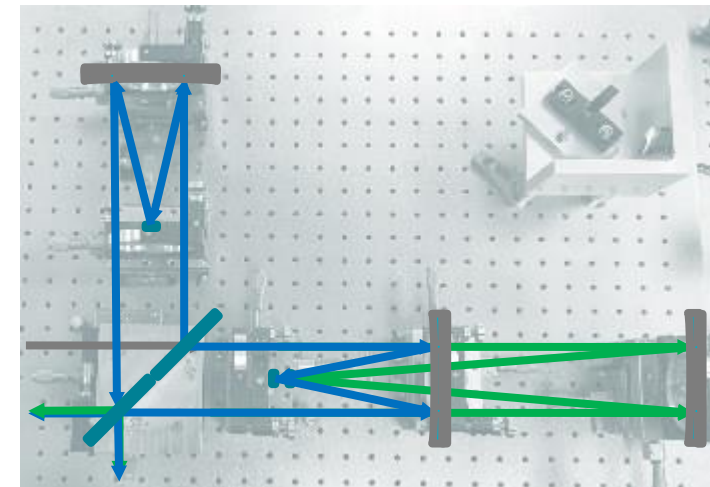
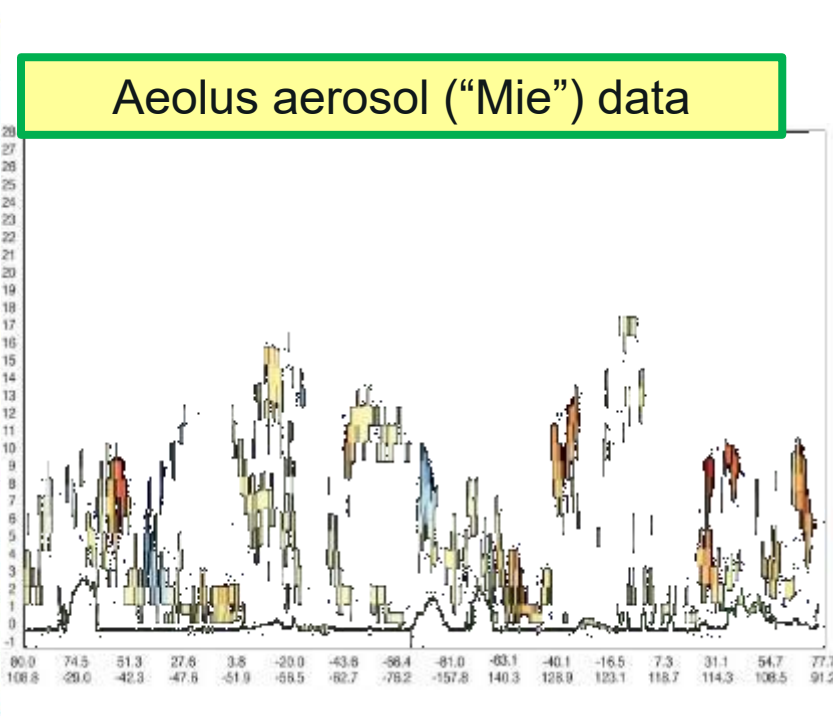
- Current Ball IRAD demonstrating the Nested interferometer to provide **Aerosol winds @ 532 nm (long OPD)** and **Molecular winds @ 355 nm (new short OPD)**
- Both wavelengths simultaneously generated in the same laser, both OPDs in the same interferometer.*
- DARPA-funded effort developed a UV-only short OPD OAWL interferometer



Aeolus molecular (Rayleigh) data



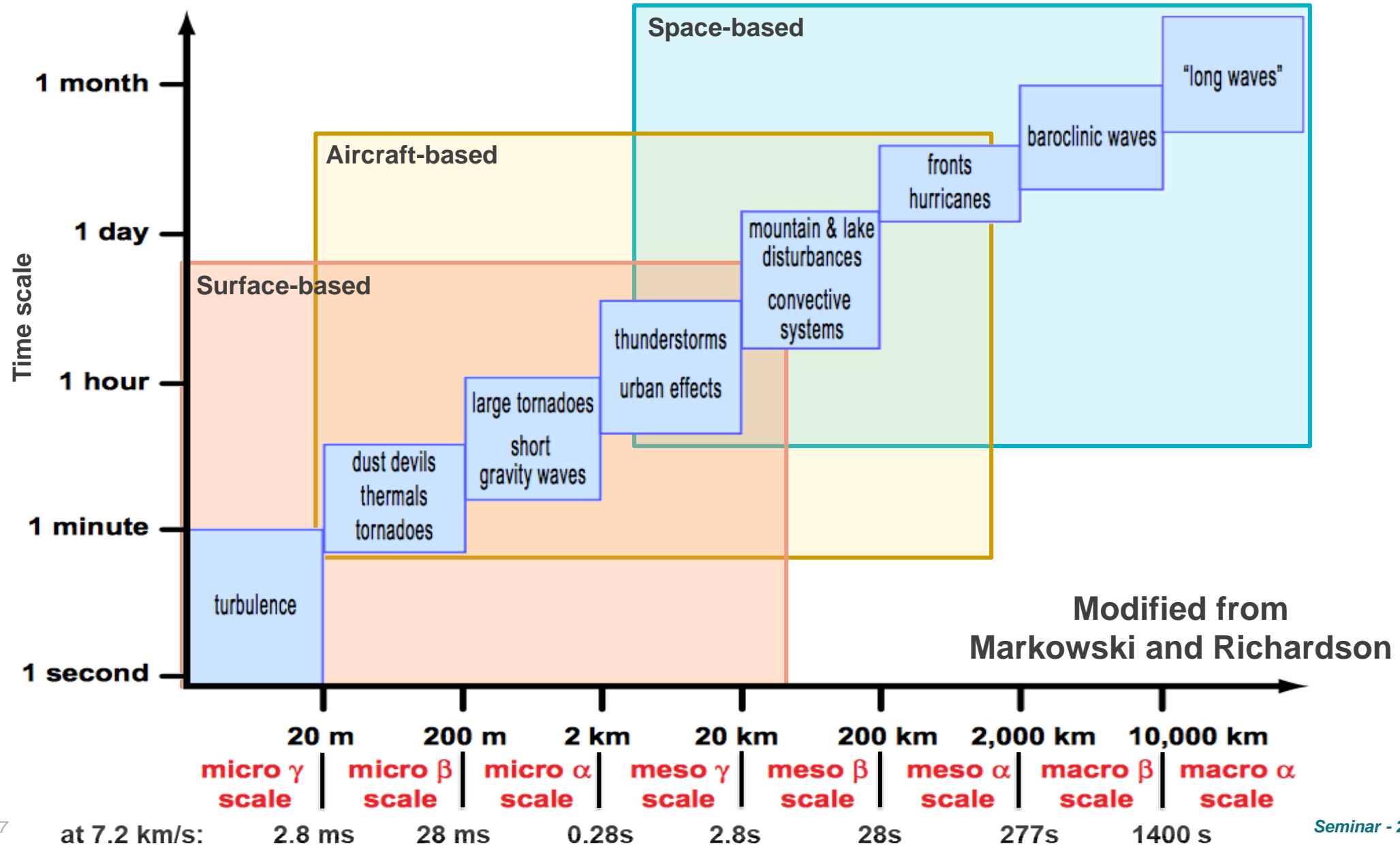
Aeolus aerosol ("Mie") data



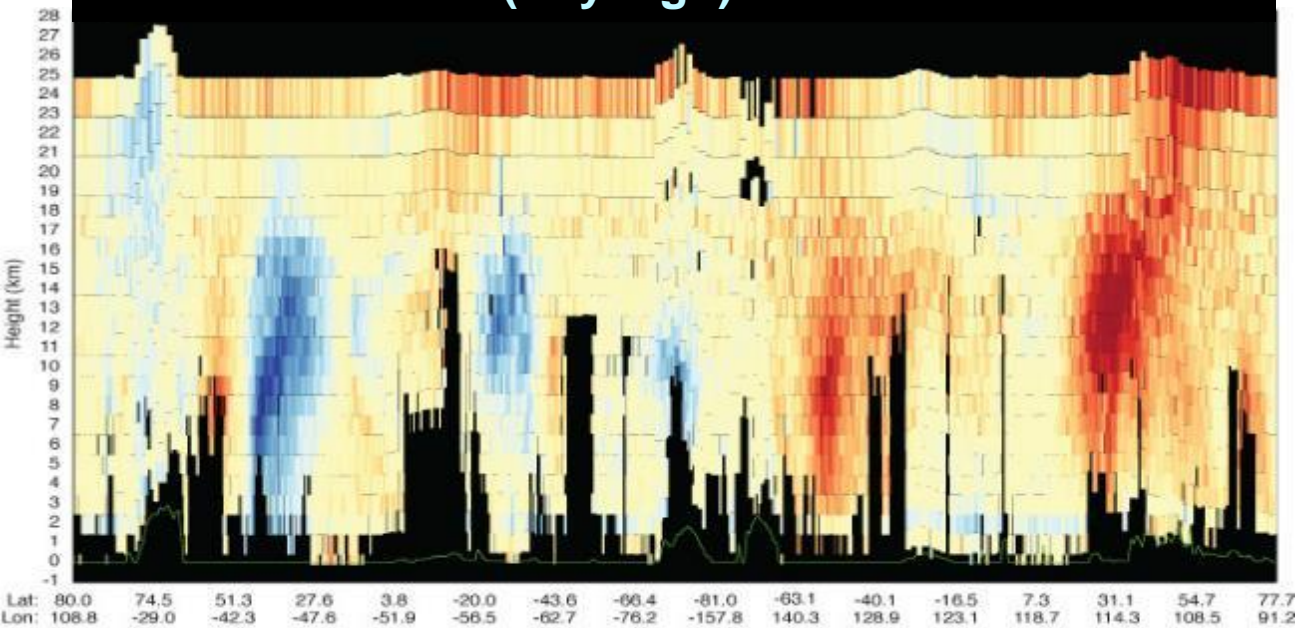


SHAPING FUTURE WIND MISSIONS

Scales of atmospheric processes

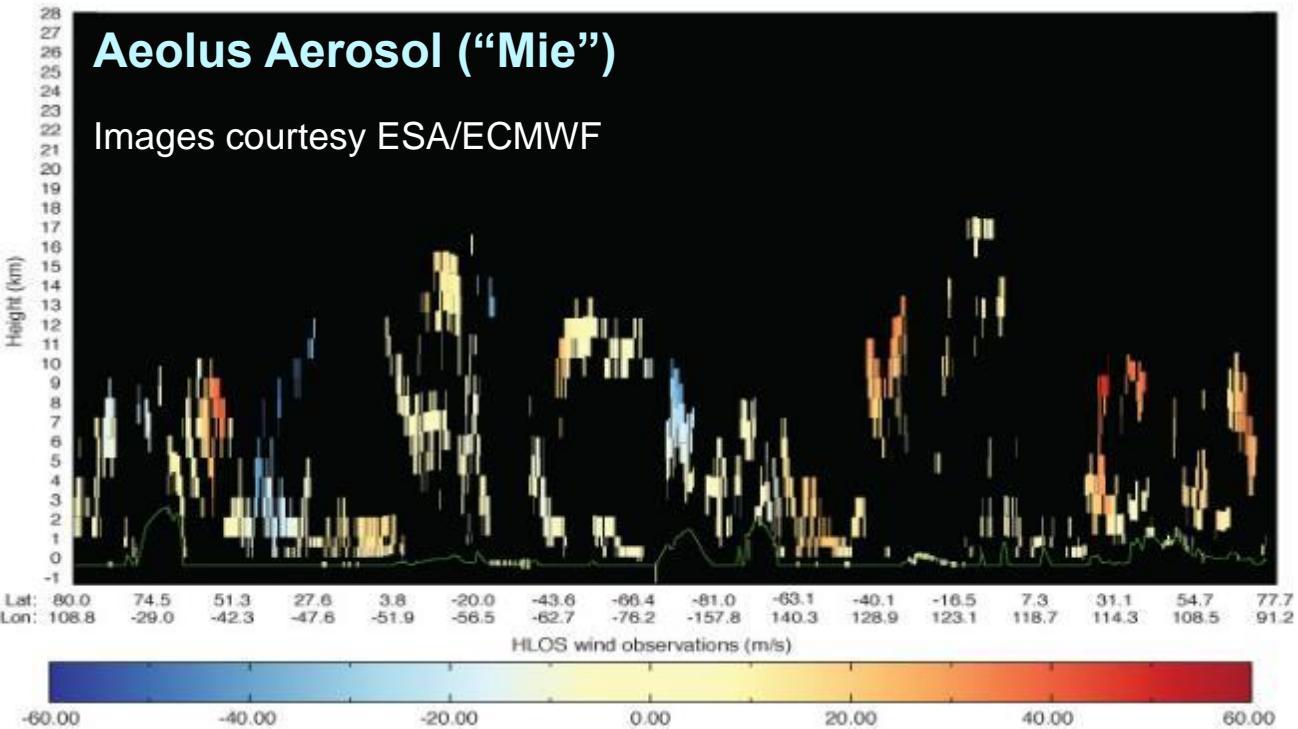


Aeolus Molecular (Rayleigh)



Aeolus Aerosol ("Mie")

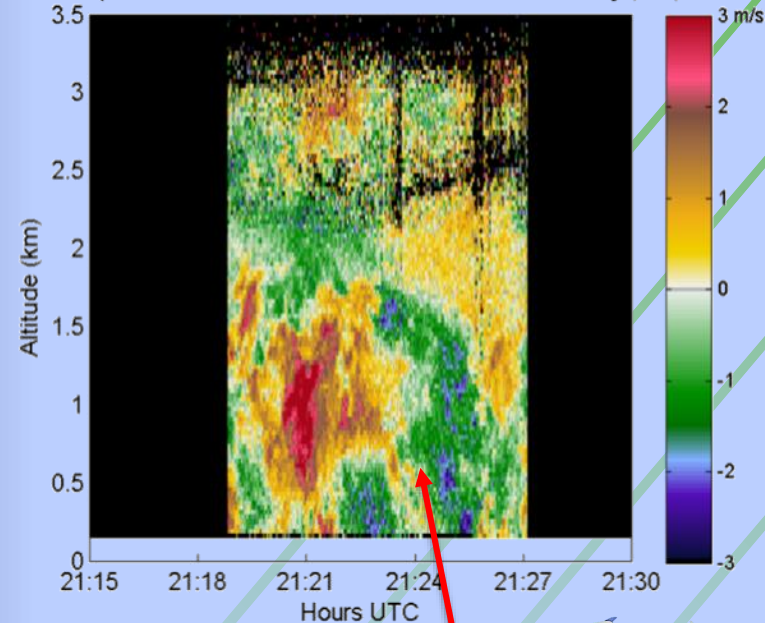
Images courtesy ESA/ECMWF



Doppler Lidar Applications

- Space: Global winds
- Aircraft: Regional winds, some PBL
- Ship/Ground: PBL focus, some upper troposphere

07-Sep-06 21:15 - 21:30 Motion corrected radial velocity (m/s)

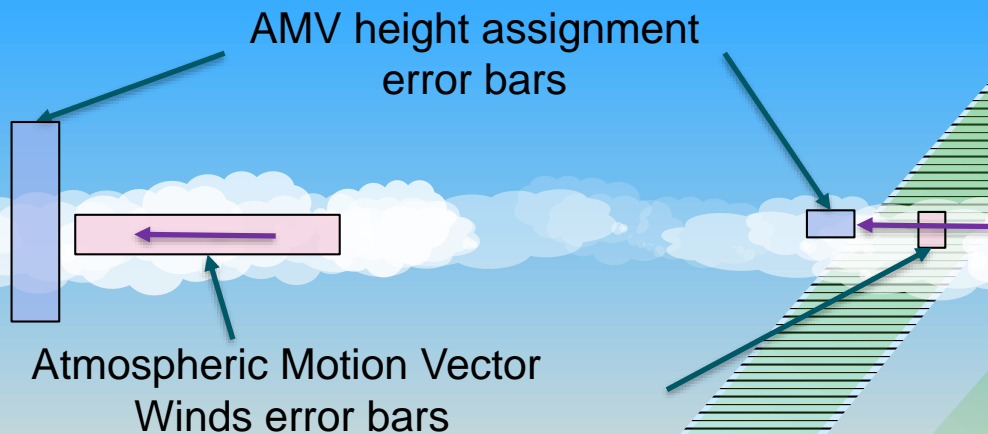


Range resolved Lidar anchors cloud heights to improve AMV results...

See Folger & Weissman, (2014 & 2016)

Lidar reveals presence of thin cirrus that (if neglected) can be detrimental to sounder retrievals

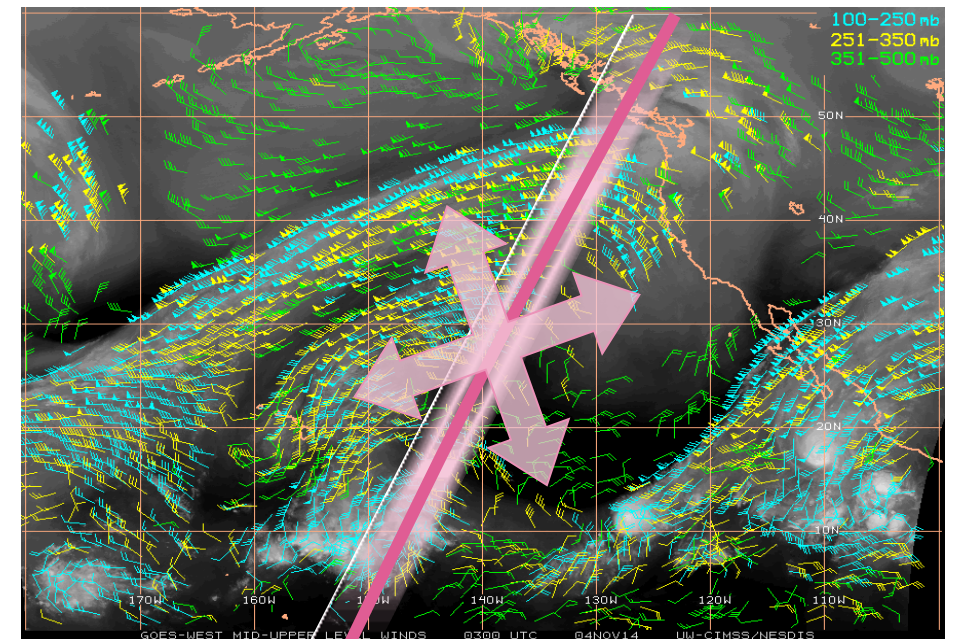
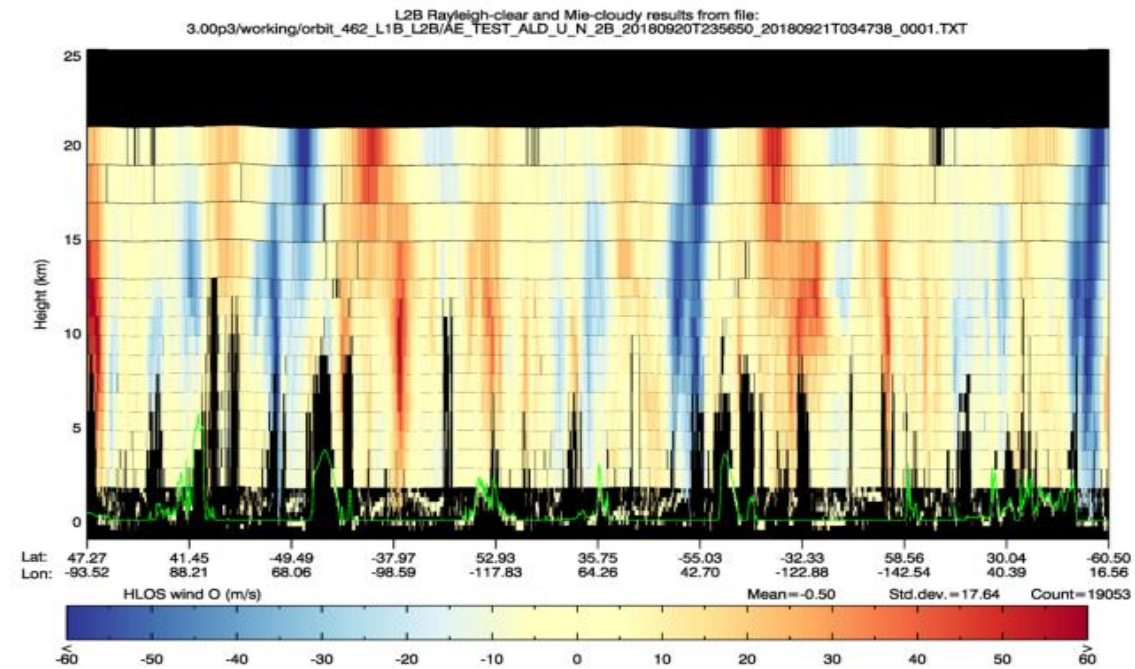
Clouds & aerosols enhance lidar precision at these layers



Lidar measures convergence/divergence even where features don't move (e.g. mountain wave clouds)

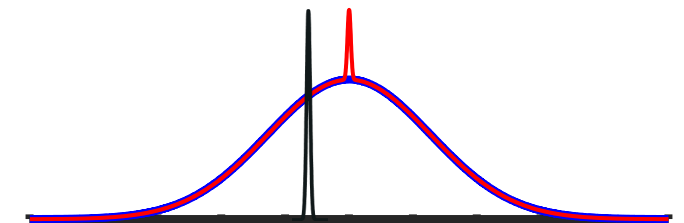
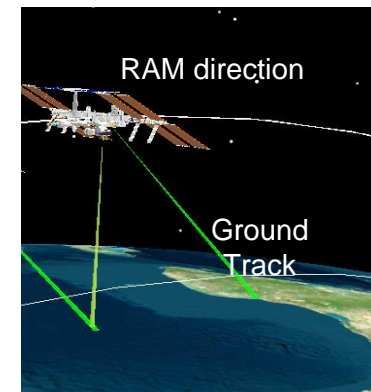
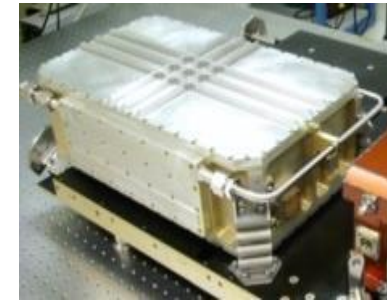
Possible Mission Architecture

- Aerosols (including clouds) and water vapor features → AMVs
- True clear air (no aerosols, low water vapor)
 - Aeolus Molecular lidar winds are providing lots of previously un-measured data
- AMV + molecular Doppler channel for space-based operation
 - Lidar anchors AMV \leftrightarrow AMV extends lidar
- Studies
 - How far (swath) does the anchor hold?
 - What is the overall benefit for lidar + AMV data?



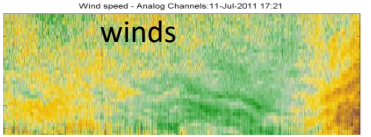
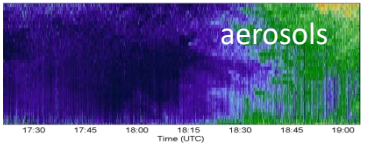
The OAWL approach builds on Aeolus & CALIPSO

- Laser - Nd:YAG doubled (CALIPSO) & tripled (AEOLUS)
- High Mach Zehnder interferometer throughput efficiency enables two lines of sight for less uncertainty
- Relaxed technical requirements for the laser, telescope, Tx/Rx alignment, field-of-view, etc. → less risk/costs
 - No frequency locking requirement
 - Wide FOV for alignment margin (like CALIPSO)
 - Pressurized laser (like CALIPSO)
- OAWL detectors & real-time processors → increased flexibility in processing parameters, altitude gate size, etc.
- Fewer and simpler calibration requirements
- No Mie contamination of the OAWL Rayleigh channel

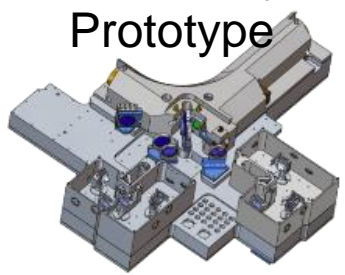


ESA's ADM Aeolus (single look - 355 nm Aerosol & Molecular)

*NASA ESTO funded grants (no profit)



Quadrature Mach Zehnder Interferometer Laboratory Prototype



NSOSA study ESDS

EVI-4 ATHENA-OAWL 532 nm Aerosol winds ISS Mission concept (TMCO rated Selectable)

OAWL Mission concepts

*HAWC-OAWL IIP

*OAWL-ACTs

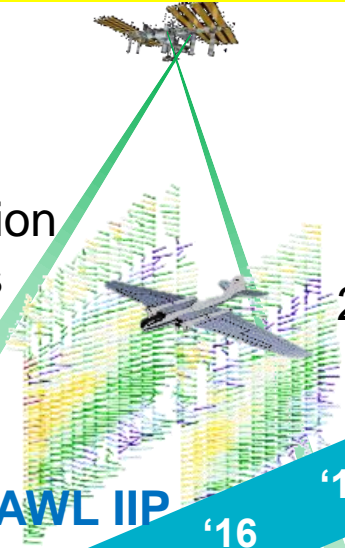
*OAWL-IIP 2011 demo

*GrOAWL 2016 demo

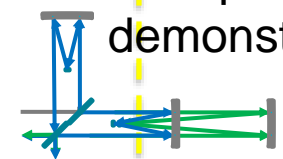


Operational Airborne mission development

ESA's Aeolus Launch 22/08/19

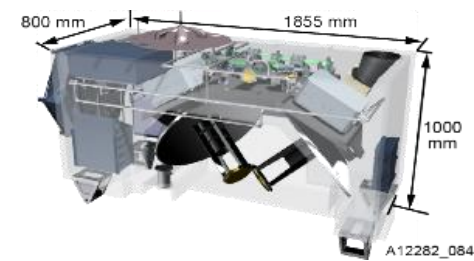


Nested OAWL full troposphere demonstration



On-orbit Operational Demonstration: dual lines of sight

Operational Space Demonstration Flight build



Global 3D winds

'30

'29

'28

'27

'26

'25

'24

'23

'22

'21

'20

'19

'18

'17

'16

'15

'14

'13

'12

'11

'10

'09

Full tropospheric operational wind lidar



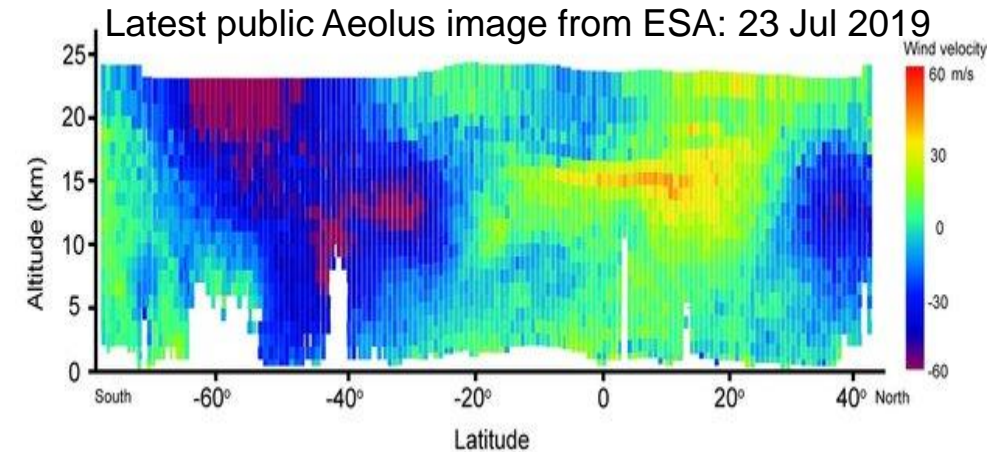


NEXT STEPS & QUESTIONS FOR DISCUSSION

Research to Demonstration to Operations



- ESA/ECMWF/KNMI/DLR/etc.
 - invested a lot of time and funding to advocate and then prepare for Aeolus
 - instrument modeling, technical demonstrations, data processing, data assimilation, data dissemination, etc.
 - Aeolus airborne demonstrator (DLR's A2D) for the mission – preparation and validation
- What could we all be doing to better advocate and prepare for a US mission?
- Current/Next steps
 - STAR: continue working with Aeolus data to understand and maximize impact
 - Ball: demonstrate OAWL molecular channel to provide full tropospheric winds
 - Community
 - Study/understand/optimize roles for passive and active wind sensing; “Yes, AND...”
 - Start shaping the next generation wind lidar mission to meet NWP needs
 - Potential: OAWL Aircraft integration and flight test hours for NOAA to demonstrate observations in space-like format and test optimal configurations and data types for future architectures.

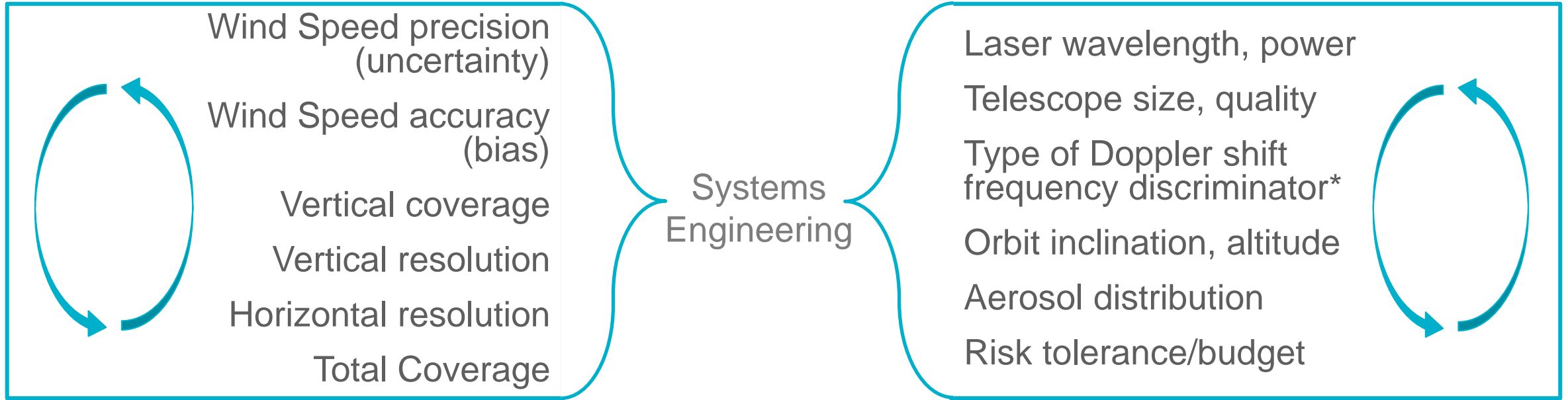


To think about: Shaping a future US wind lidar mission starts now

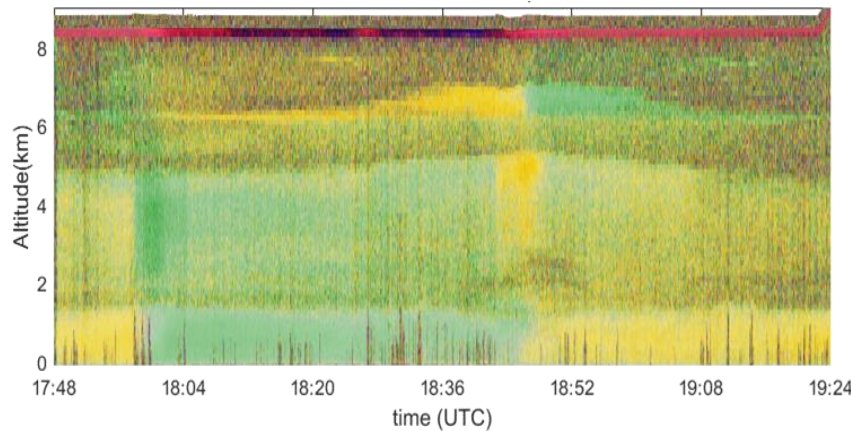


- What does NOAA and, specifically, STAR & the NWP community hope to get out of a winds mission?
 - Full troposphere vs. certain parts?
 - Large scale global winds from orbit?
 - Detailed PBL studies (from aircraft/surface obs)?
- What is the maximum resolution (horizontal-along track, and vertical) that supports an operational demonstration?
 - Is Aeolus resolution & performance good enough?
 - What data/science improvements are desired over Aeolus?
 - What are we willing to trade to keep costs down? Resolution? Duty cycle? Vertical coverage? Horizontal coverage?
- How many look-angles or lines-of-sight?
 - Aeolus finding (Horanyi et al. 2014): Single LOS provides 60%-70% the impact of dual-LOS on same satellite.
 - Assimilation: LOS winds provides more impact than horizontal winds
 - Two looks on same satellite, or two smaller satellites for double coverage?
- How would you take advantage of variable/flexible sampling/processing?

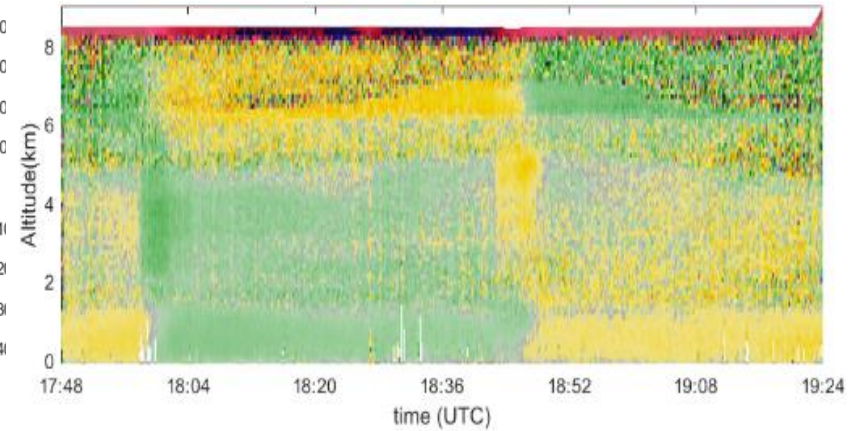
Wind Lidar Mission Trades



1s processing
Less vertical coverage, more uncertainty, more horizontal resolution



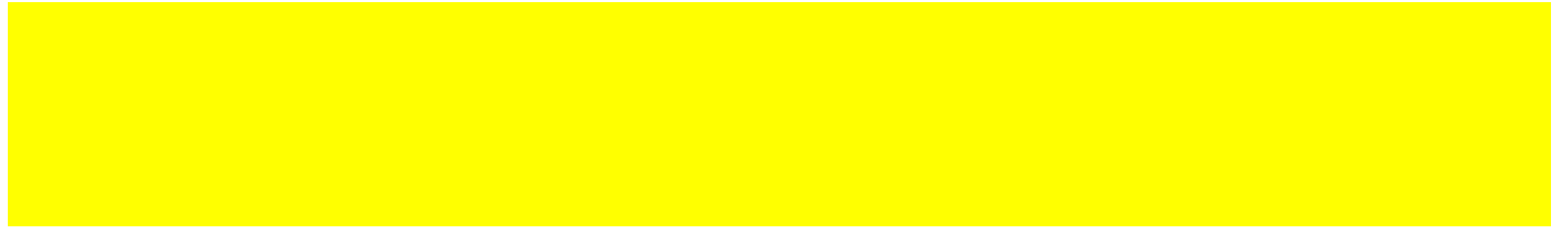
10s processing
More vertical coverage, less uncertainty, less horizontal resolution



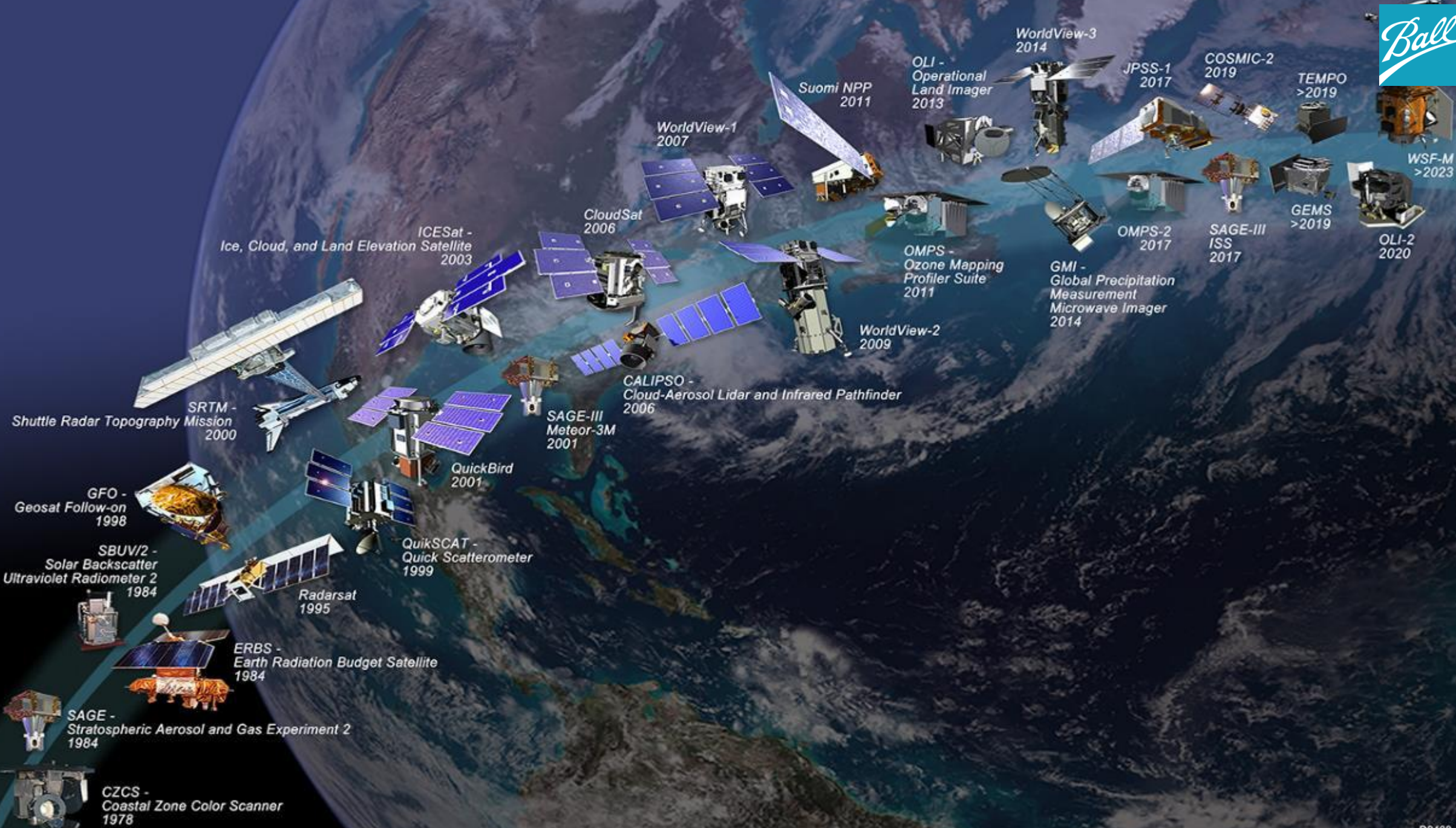
Summary – Thank you!



- Potential impact of full tropospheric wind measurements (including BL winds and UTLS) from lidar has been shown through OSSEs
- ESA-Aeolus' successes and lessons benefit the whole community
 - Demonstrated we can measure winds from space - the technology is available
 - Aeolus data is already showing impact and ESA is providing NOAA with a fantastic resource to test wind lidar data assimilation & impact
 - ESA/EUMETSAT are receptive to collaboration on a next generation winds mission. Discussions are underway in Europe. Perhaps NOAA could weigh in if interested.
- For NWP, the community needs to advocate for a winds mission with full tropospheric coverage. Current NASA wind lidar investments are focused on Decadal Survey based PBL studies (incubation for next DS).
- The 2016 ATHENA-OAWL Earth Venture proposal, a design to cost approach focused on extra-tropical weather and tropical cyclone research, was rated selectable - another indication of readiness of the technology
- The OAWL approach provides a high TRL, reduced risk, wind lidar mission, with options for full molecular channel (follow-on to Aeolus)
- Ball continues to invest in OAWL to enable wind observations through the full troposphere.



BACKUP/EXTRAS



CZCS - Coastal Zone Color Scanner
1978

SAGE - Stratospheric Aerosol and Gas Experiment 2
1984

ERBS - Earth Radiation Budget Satellite
1984

Radarsat
1995

GFO - Geosat Follow-on
1998

SRTM - Shuttle Radar Topography Mission
2000

QuickBird
2001

QuikSCAT - Quick Scatterometer
1999

SAGE-III Meteor-3M
2001

CALIPSO - Cloud-Aerosol Lidar and Infrared Pathfinder
2006

ICESat - Ice, Cloud, and Land Elevation Satellite
2003

CloudSat
2006

WorldView-1
2007

Suomi NPP
2011

WorldView-2
2009

OMPS - Ozone Mapping Profiler Suite
2011

GMI - Global Precipitation Measurement Microwave Imager
2014

OLI - Operational Land Imager
2013

WorldView-3
2014

JPSS-1
2017

COSMIC-2
2019

TEMPO
>2019

SAGE-III ISS
2017

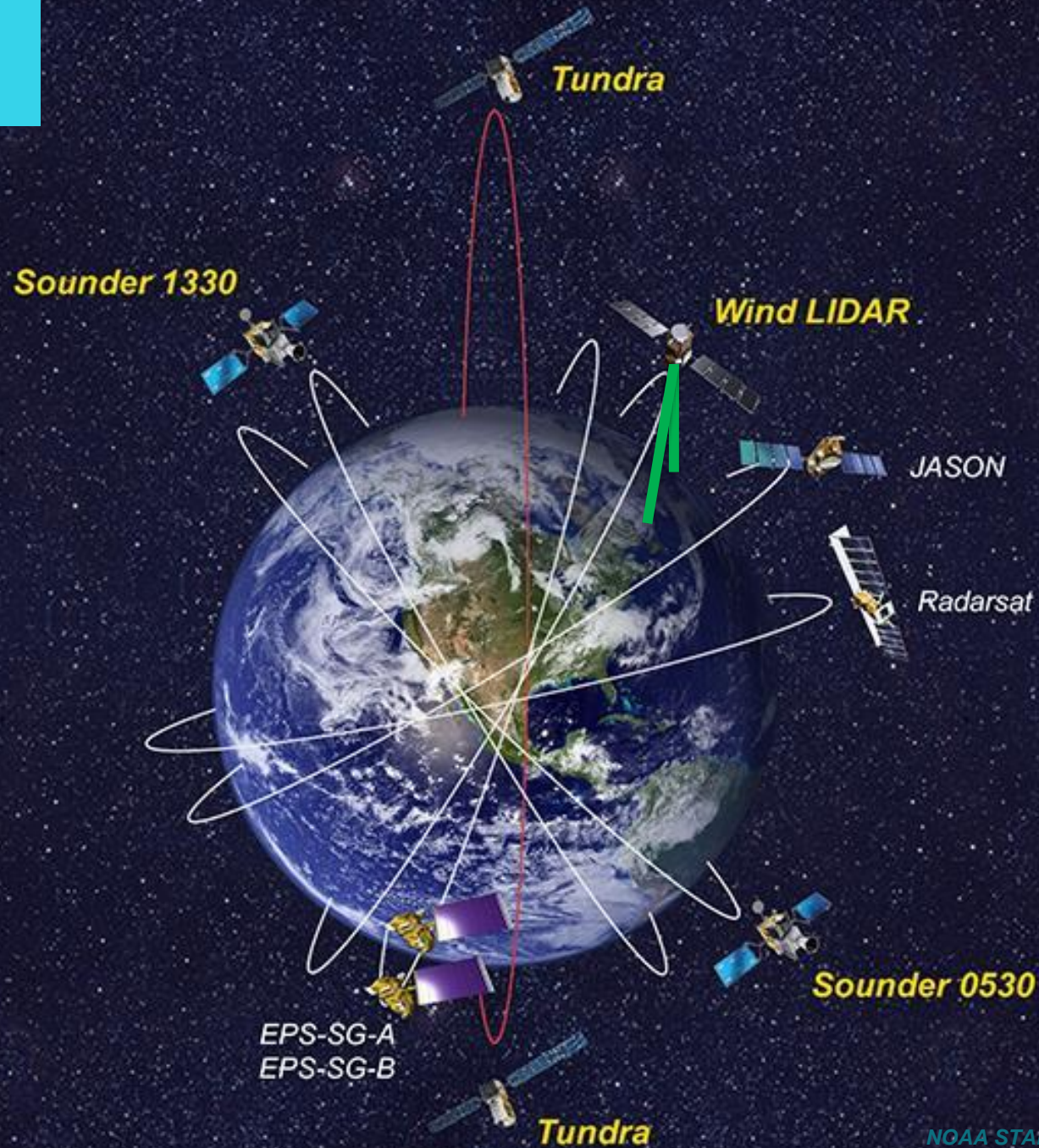
OMPS-2
2017

GEMS
>2019

WSF-M
>2023

OLI-2
2020

NEXT GENERATION OPERATIONAL LEO WEATHER ARCHITECTURE



Based on NOAA's Satellite Observing System
Architecture (NSOSA) Study

Mission motives – really-simplified



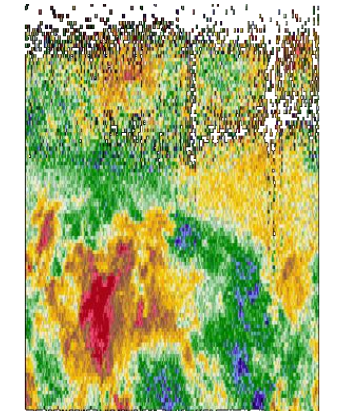
NOAA Requirements: focus on improving NWP, Protecting Lives and Property

- Global initialization of forecast models that use tested model physics
 - Global coverage
 - Variable scales (model grids)
- Research on model physics



NASA Requirements: Science. Processes, Modeling, Pre-operational

- Research to update model physics
 - Focused coverage
 - Variable scales
 - PBL emphasis
 - ESDS driven science

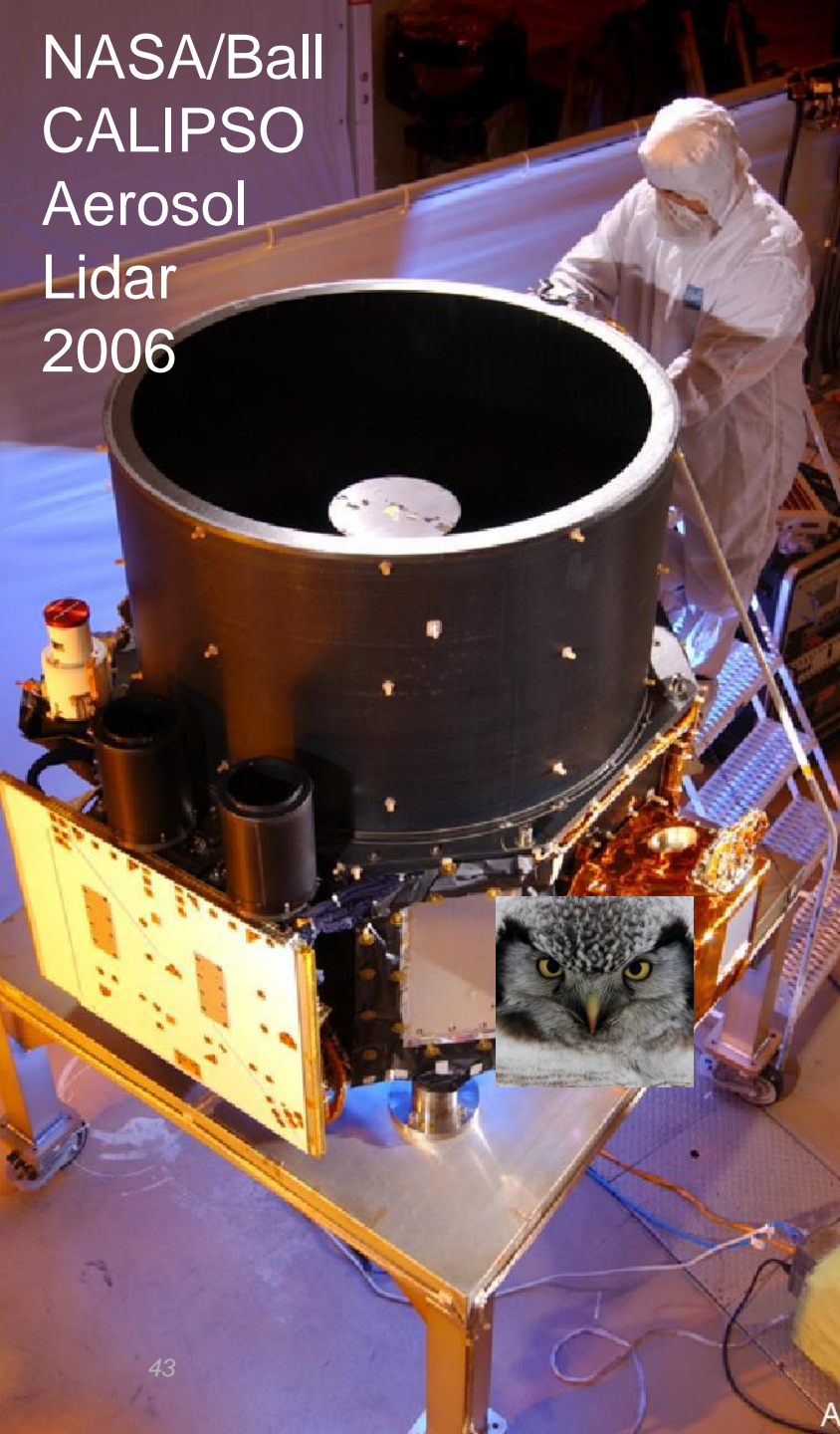


A well-planned mission can provide something for everyone

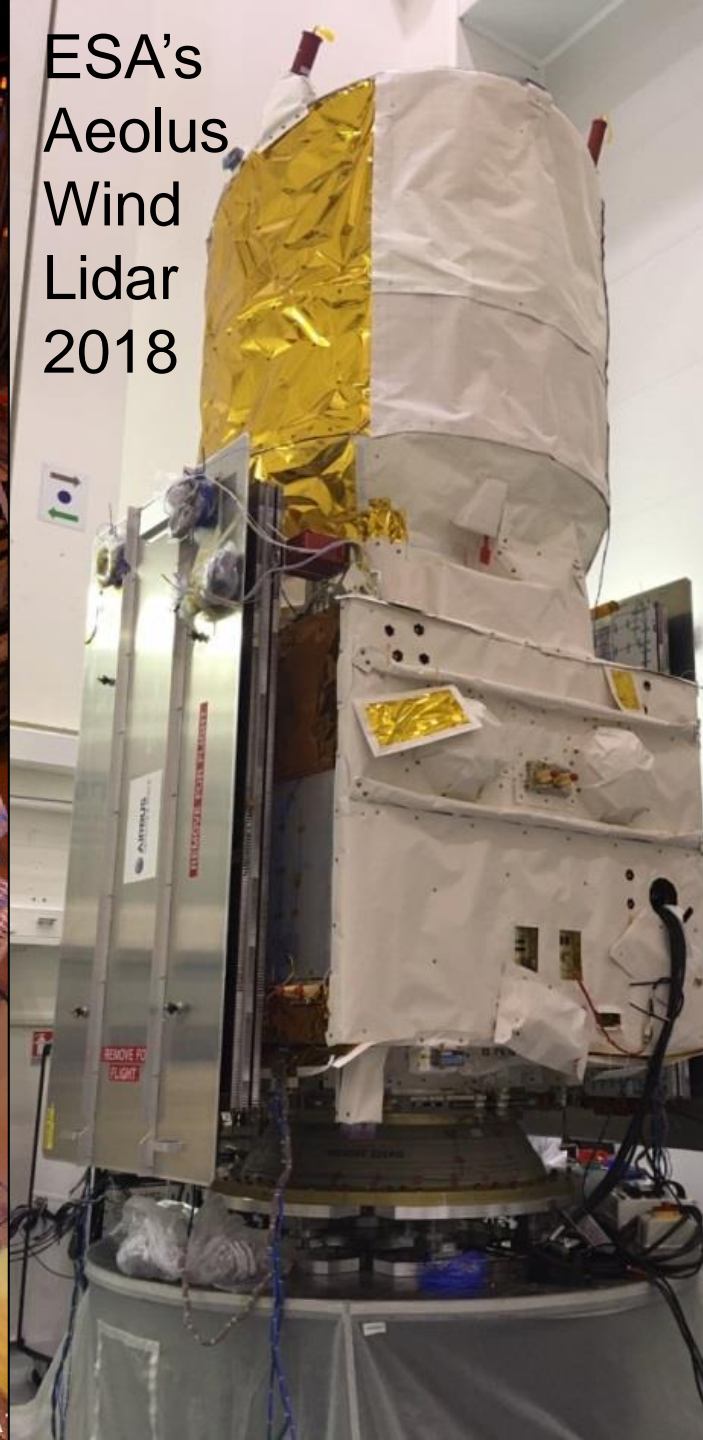
Additional Discussion

- Are NASA's current technical investments aligned with NOAA's priorities?
 - NASA Investments – no investment in molecular/upper tropospheric observations since 2015
 - Since 2017, all NASA focus is internal at Langley on heterodyne DAWN for PBL, with multiple successful Airborne demonstrations looking at lower tropospheric winds.
 - TRL (Technology Readiness Levels) – no heterodyne 2 micron laser system has flown in space. Current lasers are in early developmental phase.
 - Potential for Earth Explorer – lessons learned from ATHENA-OAWL

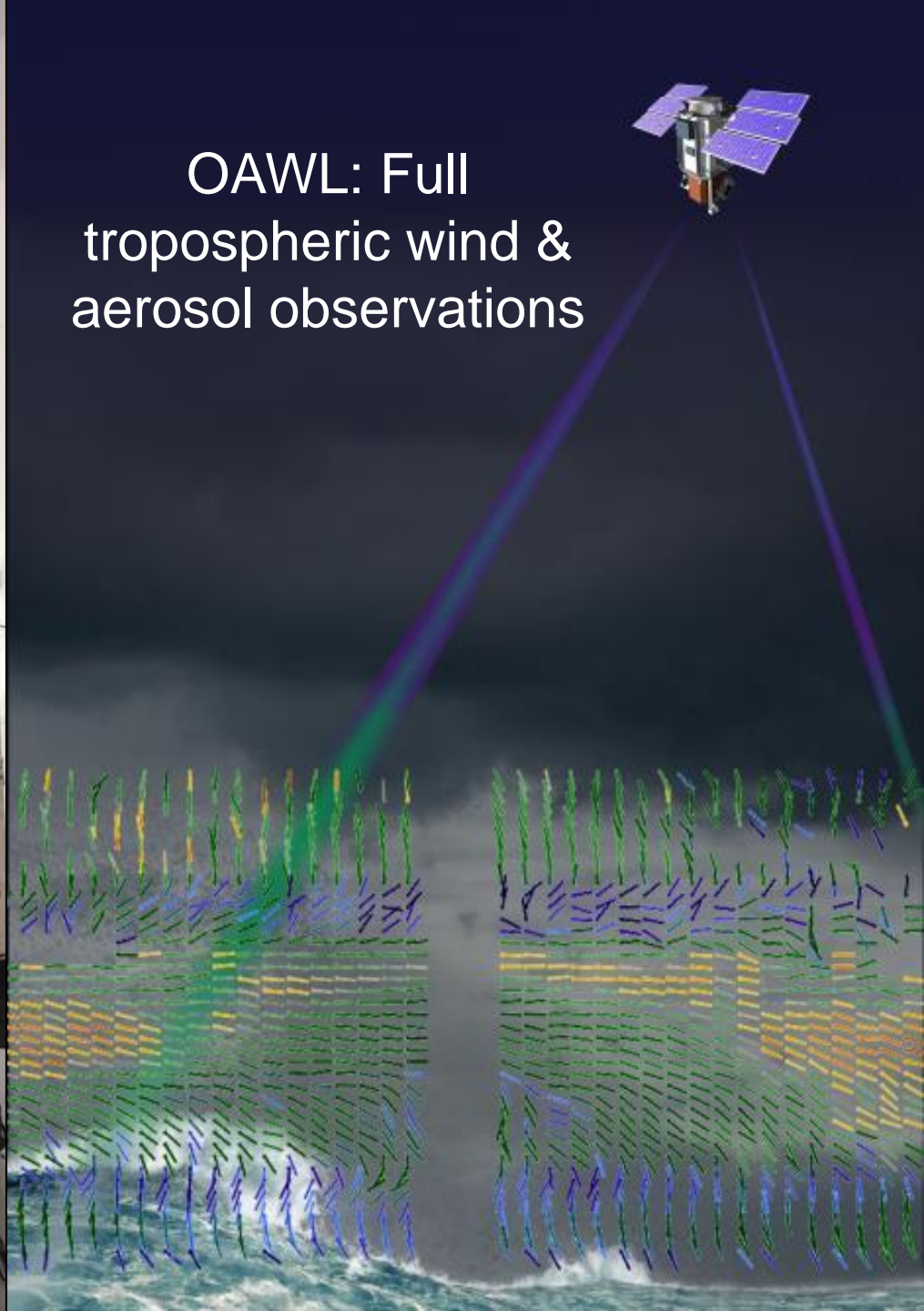
NASA/Ball
CALIPSO
Aerosol
Lidar
2006



ESA's
Aeolus
Wind
Lidar
2018



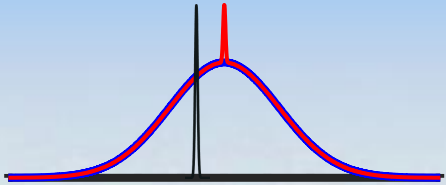
OAWL: Full
tropospheric wind &
aerosol observations



Advantages of OAWL approach

Like Aeolus, OAWL offers a path to *full* atmospheric lidar wind profiles – and adds a second look

FPGA-based pulse/sample accumulation enables pulse-to-pulse phase referencing → relaxed laser frequency and pointing stabilization requirements

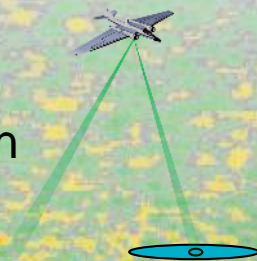


No atmospheric linewidth calibrations required for OAWL Doppler measurements,
No aerosol “contamination” of molecular signal

Narrow range gates provide precise cloud height estimation

Wide field of view receiver provides overlap margin (like on CALIOP)

Aircraft Demonstrations/Validation



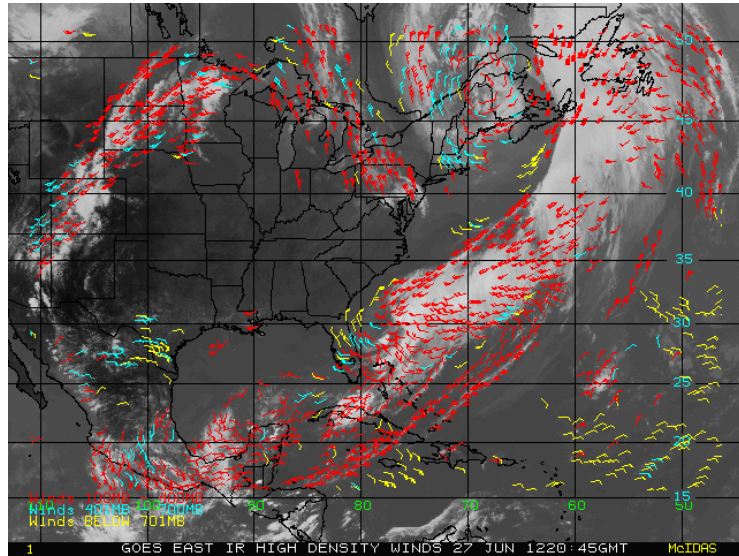
Wrapping fringes for removal of platform-motion-induced Doppler offsets in processing

Nested OAWL provides aerosol & molecular channels (no cross calibration required)

The MZ Interferometer's high throughput efficiency → enables two lines of sight



Lidar & Atmospheric Motion Vectors (AMVs)



- Cloud Drift and WV
- GEO: GOES/Himawari8/MeteoSat/etc., Visible and IR
- Polar: MODIS Cloud Drift Winds (Arctic, Antarctic, Aqua, Terra), AVHRR (Arctic, MetOp-B), VIIRS (Arctic)

- Height Assignment Uncertainty
 - Up to 70% of AMV error (Velden & Bedka, 2009)
- Using CALIPSO to assign heights
 - Folger & Weissman, (2014 & 2016): used CALIPSO cloud height measurements to anchor *Meteosat-10* AMV layer heights
 - Up to **15% improvement** in co-located (50km, 30 min.) AMVs vectors from Metosat-10.
 - lidar-based height bias adjustments (from previous days) resulted in up to 7% improvement, affecting all AMVs

ATHENA-OAWL: path-finding science for next-generation global weather prediction and climate analysis



- **ATHENA-OAWL: Aerosol Transport, Hurricanes, and Extratropical Numerical weather using OAWL.**
- **Design-to-cost approach** to NASA Earth Venture Instrument (EVI) based on heritage systems (mostly CALIPSO)
- EVI– *first proposed in 2013* was the first full US wind lidar mission concept developed, costed, and proposed in decades: Rated **Category 3**
- Re-proposed in 2016. Announced February 2018, rated **Category 2 (Selectable)**.
- Objectives: Co-located wind and aerosol profiles to provide:
 - breakthroughs in modeling and prediction of low and mid-latitude weather and climate.
 - better understanding of relationships between aerosol radiative forcing, atmospheric dynamics and the genesis and lifecycle of tropical cyclones
 - understanding of the impacts of long-range dust and aerosol transport on global energy and water cycles, air quality, and climate.

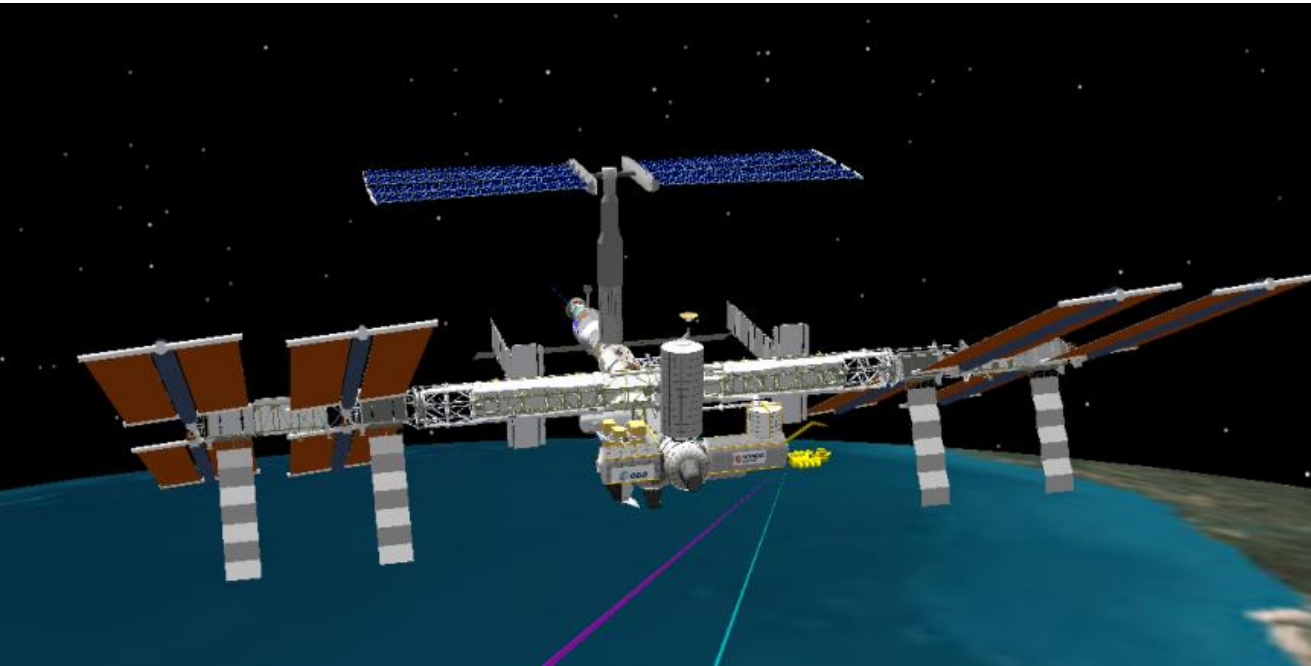


ATHENA-OAWL
ATMOSPHERIC TRANSPORT, HURRICANES,
AND EXTRATROPICAL NUMERICAL
WEATHER PREDICTION WITH THE
OPTICAL AUTOVARIANCE WIND LIDAR

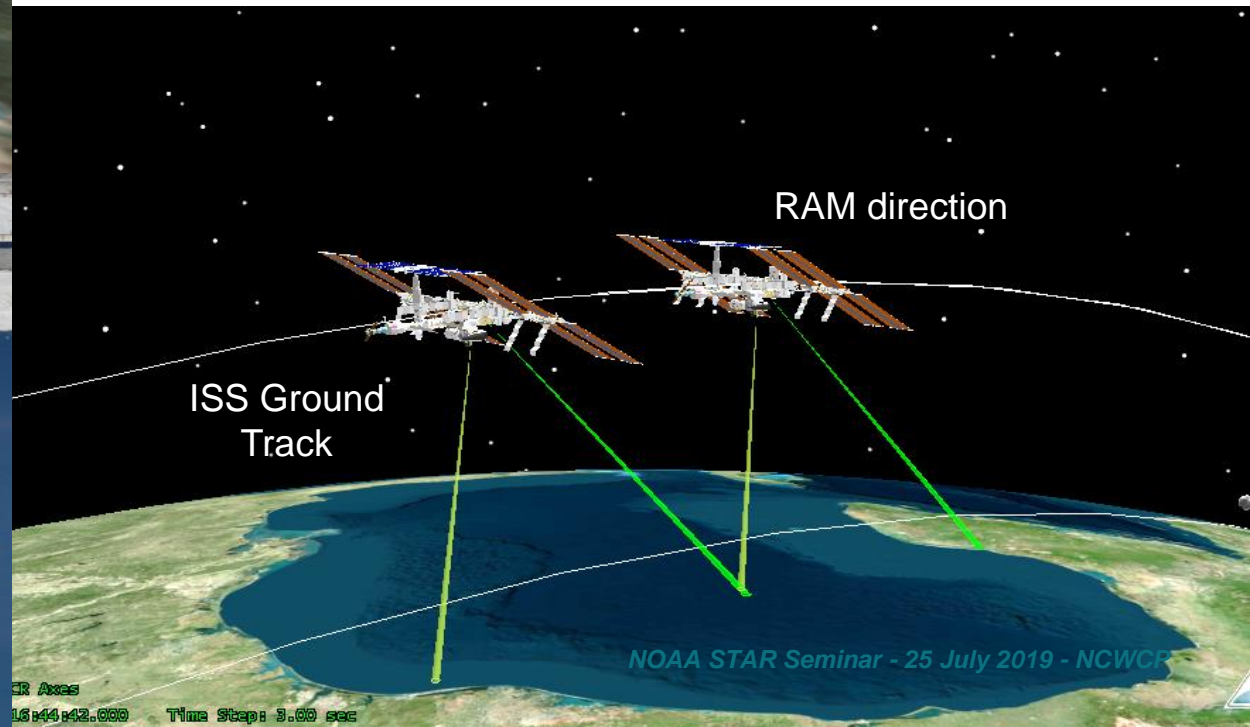
Proposing Organization:
University of Colorado
Principal Investigator:
Dr. R. Michael Hardesty

The poster features a satellite in the upper right corner with two green beams of light extending downwards to illuminate a 3D visualization of atmospheric data. The data is represented as a grid of vertical columns in various colors (green, yellow, orange, red, purple) against a dark blue background. Logos for the University of Colorado, Ball Aerospace, NASA, NOAA, and the University of Colorado Boulder are arranged vertically on the right side.

ATHENA-OAWL Earth Venture Mission for the ISS



- Japanese Experimental Module: JEM-EF chosen for mass/power, cooling availability
- Beams point off-nadir 40° inboard
- Forward + Aft views separated by 90° ($\pm 45^\circ$ from cross-track)



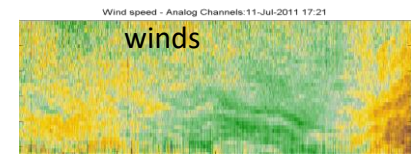
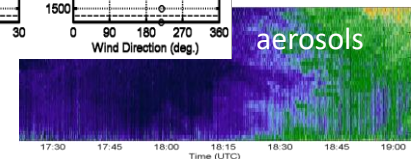
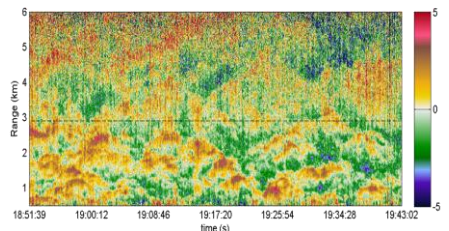
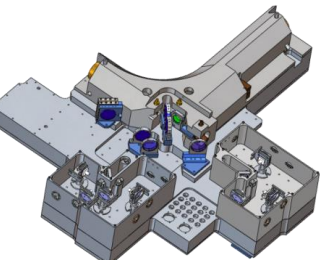
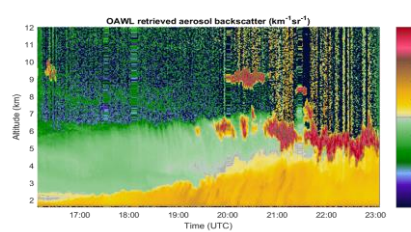
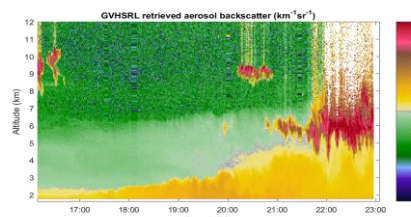
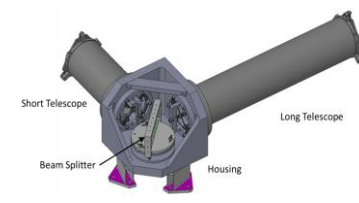
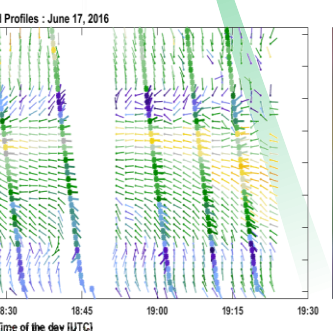
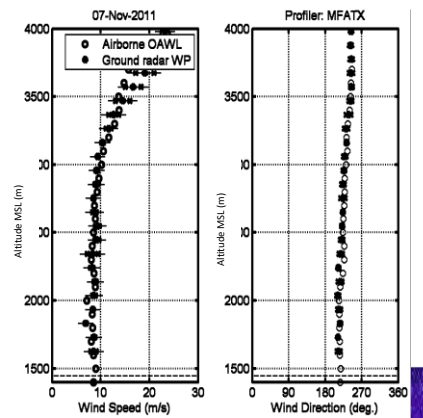
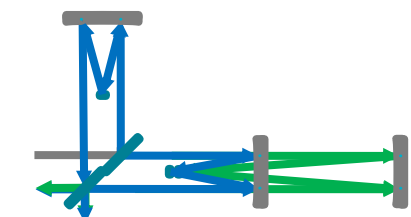
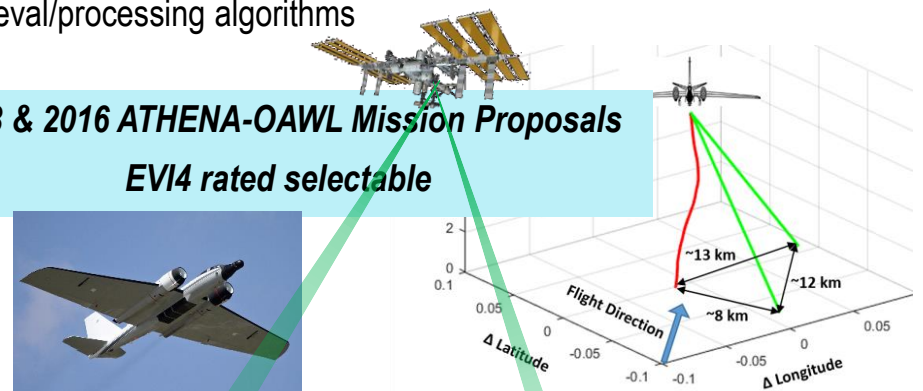
OAWL: Optical Autocovariance Wind (& Aerosol) Lidar



Since ~2003, Ball has worked in a public-private partnership with NASA, NOAA, and weather/wind lidar communities to advance space-based wind lidar technology and fill the global wind measurement gap.

1999-present: Ball designs, mission concepts, build and test of OAWL patented, field-widened, four-channel, Mach-Zehnder interferometer, mission concepts, and retrieval/processing algorithms

2013 & 2016 ATHENA-OAWL Mission Proposals
EVI4 rated selectable



- 2008-2012: OAWL IIP-07**
- Breadboard system
 - 355 nm only, 4x channels
 - Single look 12" telescope
 - Ground validation with NOAA Coherent system
 - Autonomous flights on NASA WB-57

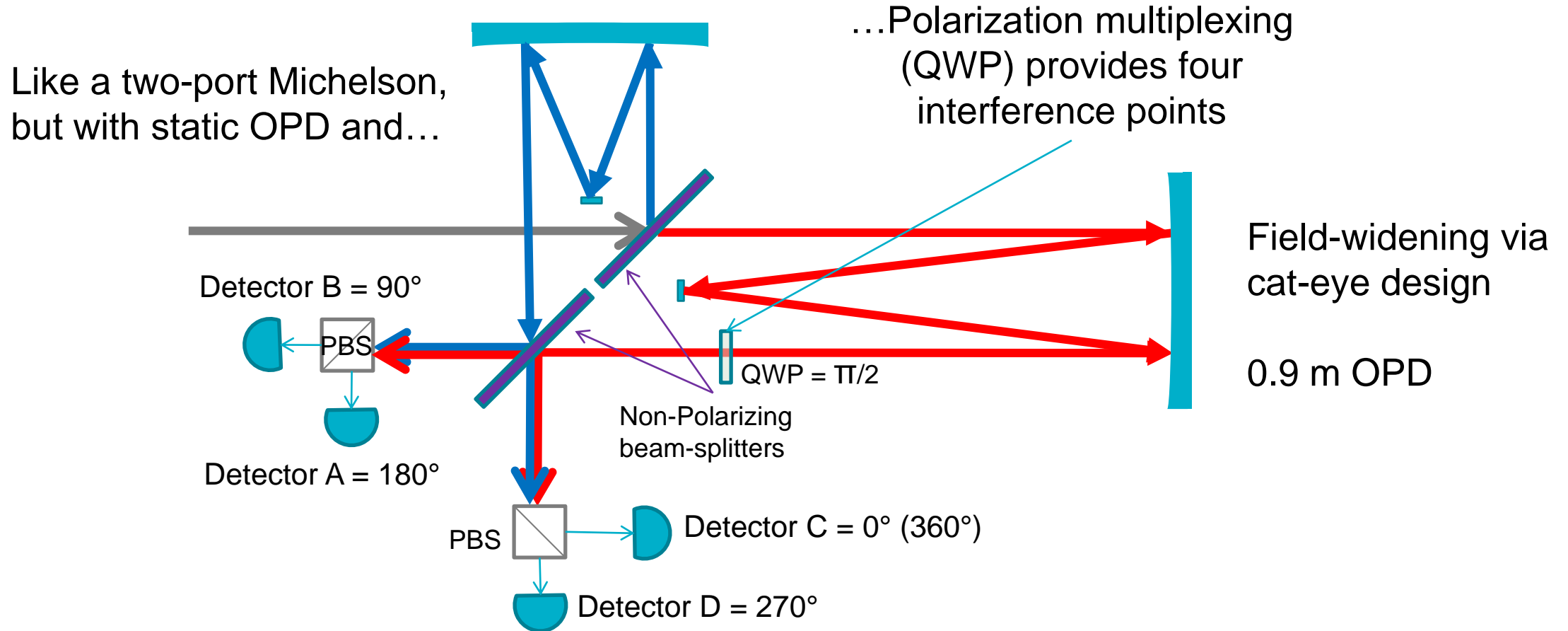
- 2012-2015: HOAWL ACT**
- Breadboard System
 - Demonstrate 532 nm wavelength channels & depolarization channels
 - Initial HSRL Aerosol retrieval algorithms

- 2015-2017: ATHENA-OAWL Venture-Tech: GrOAWL**
- Airborne demonstrator System (WB-57)
 - 2-lasers = 400 Hz eff. PRF
 - 4x 532 nm channels
 - 2 looks, 2 telescopes to demonstrate geometry for space-based operation

- 2014-2017: HAWC-OAWL IIP**
- Dual look, dual-wavelength winds + cross-polarization system
 - New Invar interferometer build
 - DC-8 hardware design/build

- 2018-2019: Ball IRAD**
- HSRL demonstrations
 - **Nested-OAWL:** Dual-wavelength aerosol & molecular winds
 - Full tropospheric profiling

Optical Autocovariance Wind Lidar (OAWL): Field-Widened, Quadrature Mach Zehnder Interferometer (QMZI)

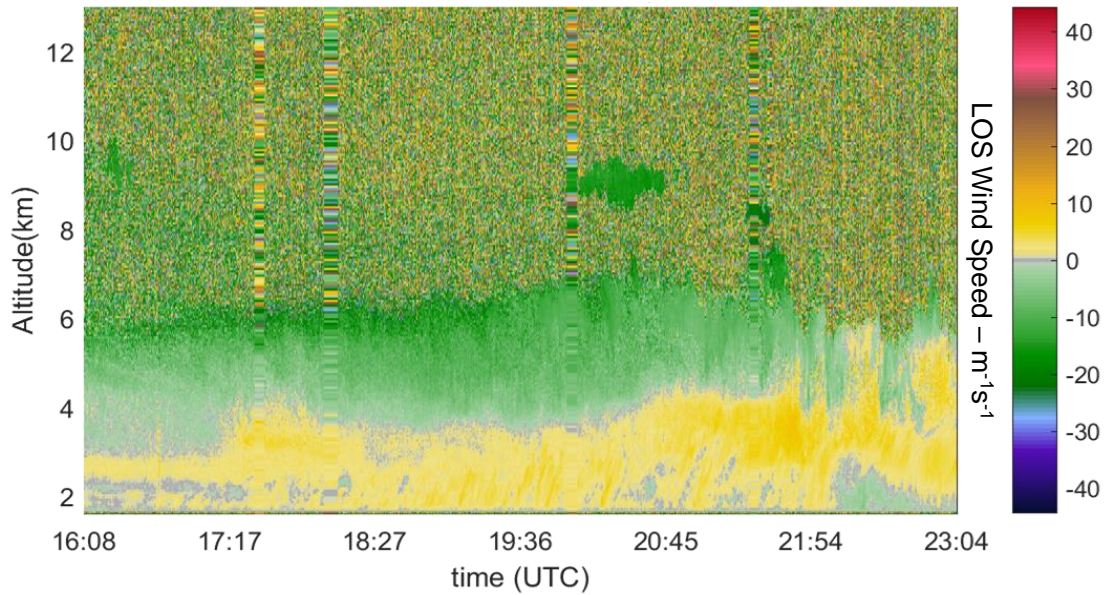




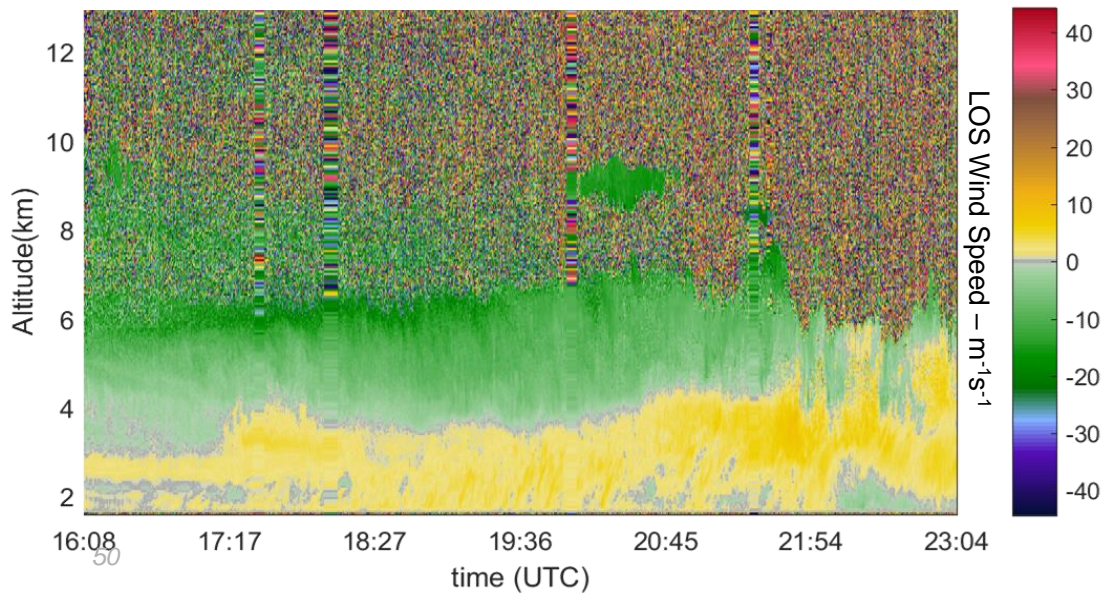
HAWC-OAWL Winds & Aerosol Backscatter

- Ground-based observations
 - Pointing 30° El, 270° Az.
- Dual wavelength (355 nm & 532 nm) OAWL aerosol winds & aerosol backscatter coefficients

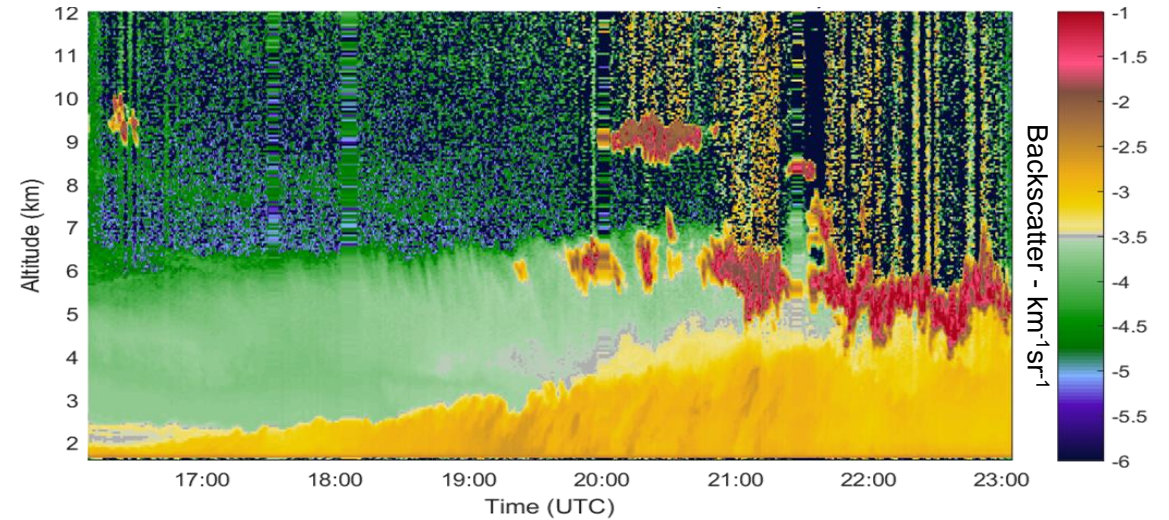
OAWL LOS Winds (m/s): 355 nm



OAWL LOS Winds (m/s): 532 nm



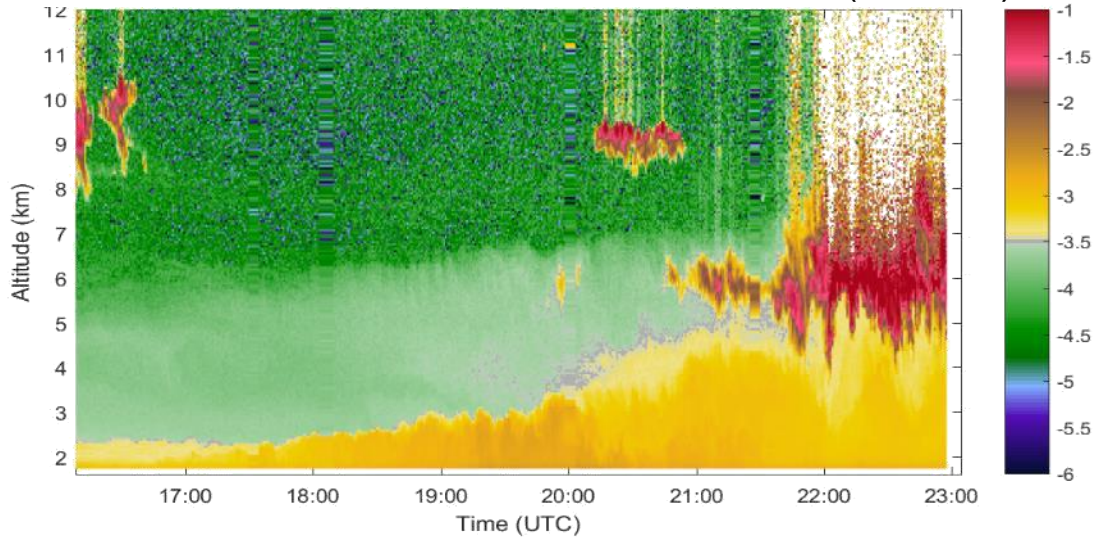
OAWL 532 nm aerosol backscatter coefficient ($\text{km}^{-1}\text{sr}^{-1}$)



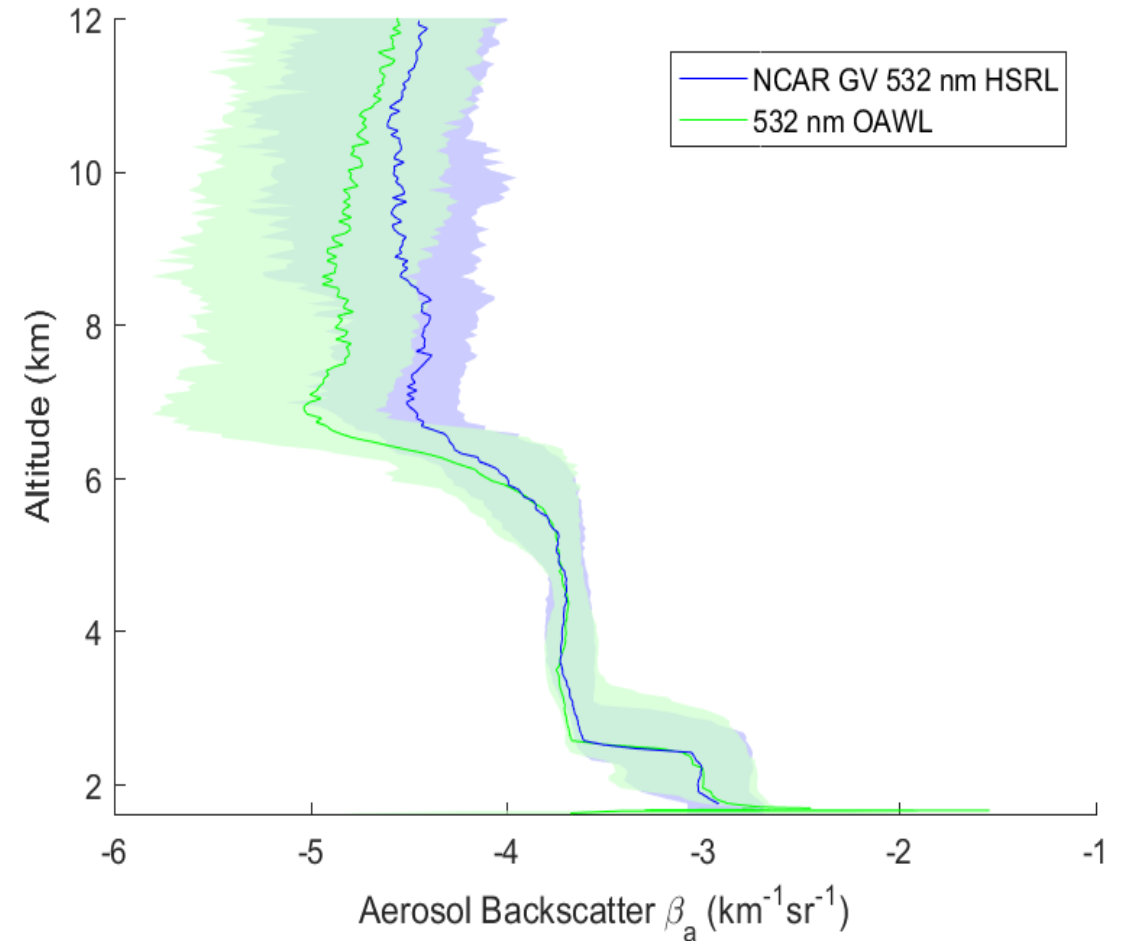
Preliminary comparison: aerosol backscatter coefficient



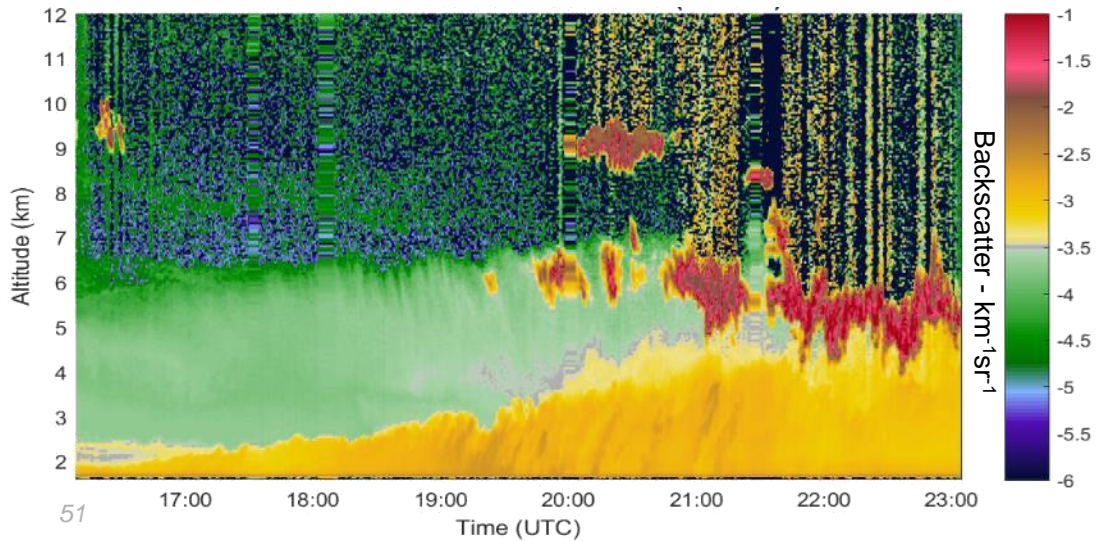
GV-HSRL aerosol backscatter coefficient ($\text{km}^{-1}\text{sr}^{-1}$)

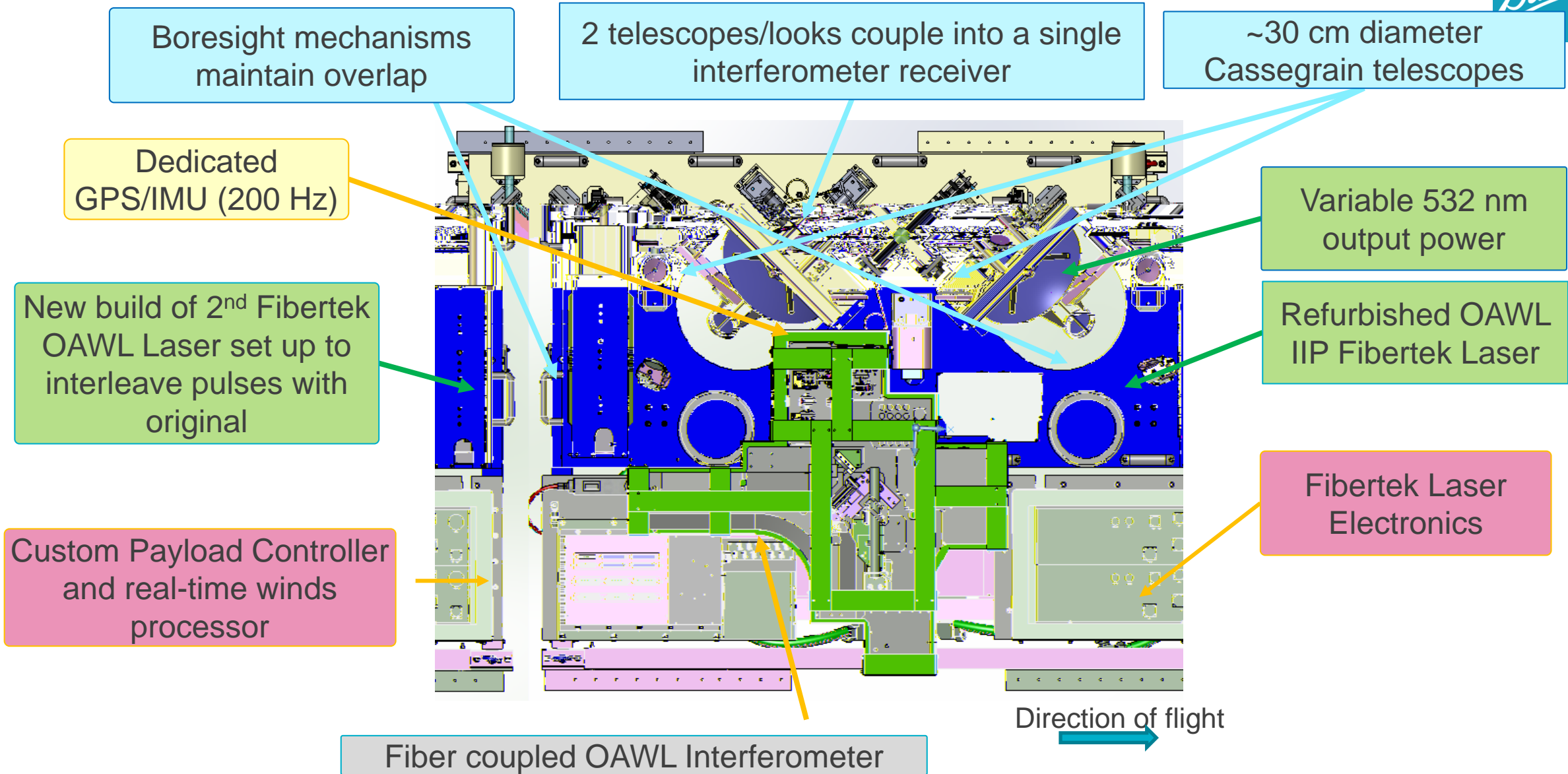


NCAR data courtesy of Matt Hayman and Scott Spuler



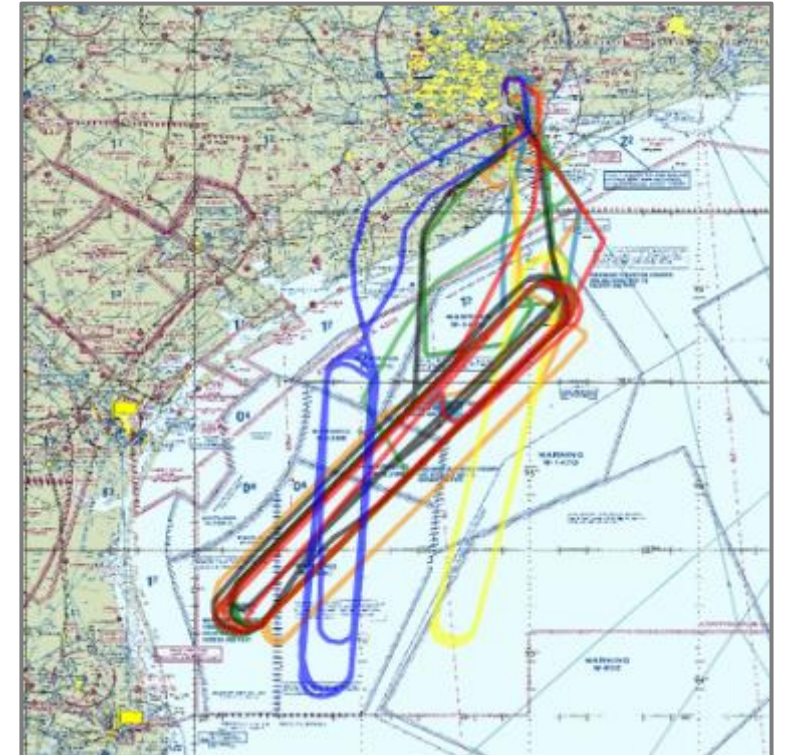
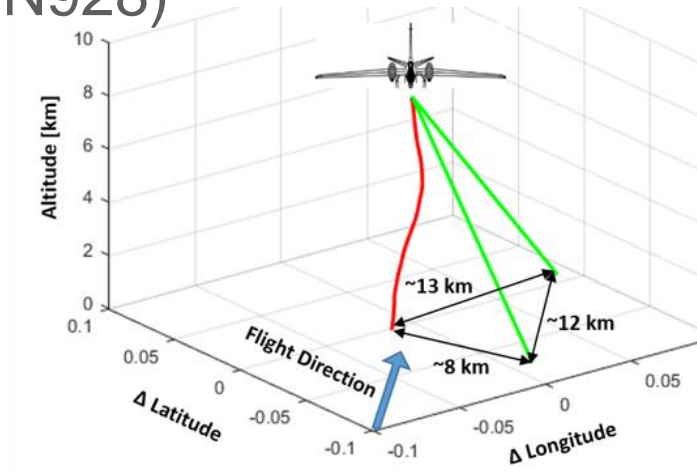
OAWL aerosol backscatter coefficient ($\text{km}^{-1}\text{sr}^{-1}$)





AOVT GrOAWL Airborne Flight Testing

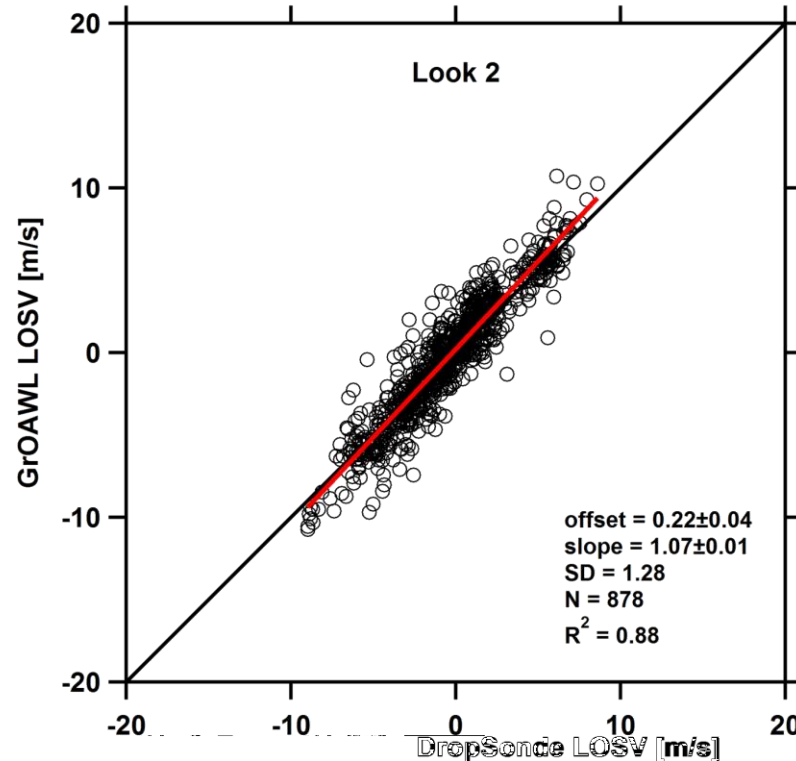
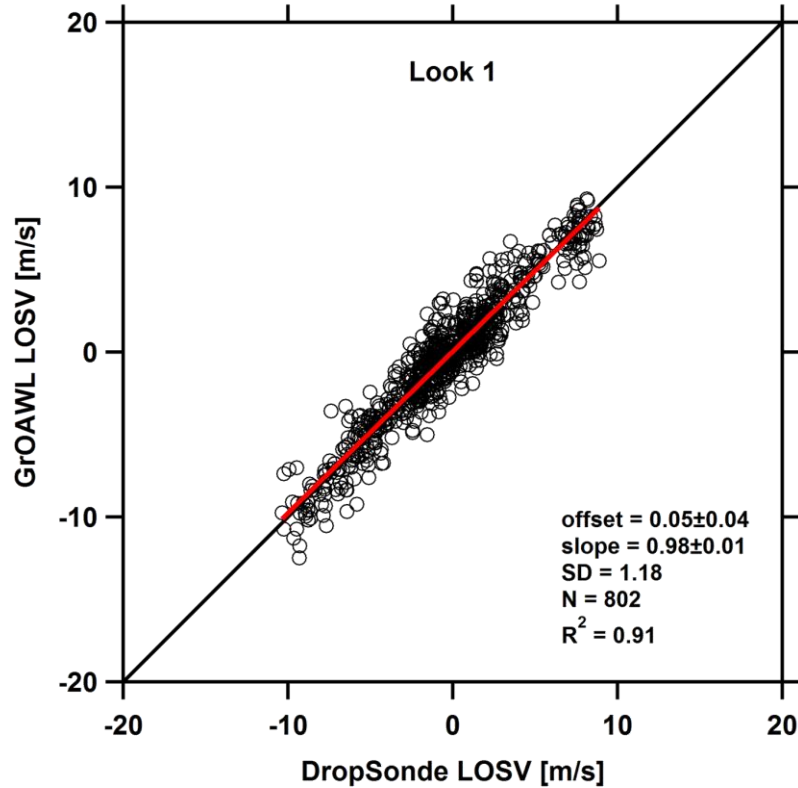
- Deployed on NASA WB-57 (N928)
- Ellington Field, Houston, TX
- May-June 2016
- 8 flights
 - 5 engineering
 - 3 validation
- Remote/Co-pilot operation
- Racetrack patterns over the Gulf of Mexico
 - Revisit times: ~1hr/loop
 - Launched dropsondes from the aircraft (48 total)



GrOAWL Line of Sight Wind Speed Measurement Accuracy

- 21 total dropsondes from 3 validation flights
- Dropsonde data is considered the “True Value”
- **8 km** spatial averaging of **10 second** profiles to better estimate the GrOAWL accuracy (**1 minute**)

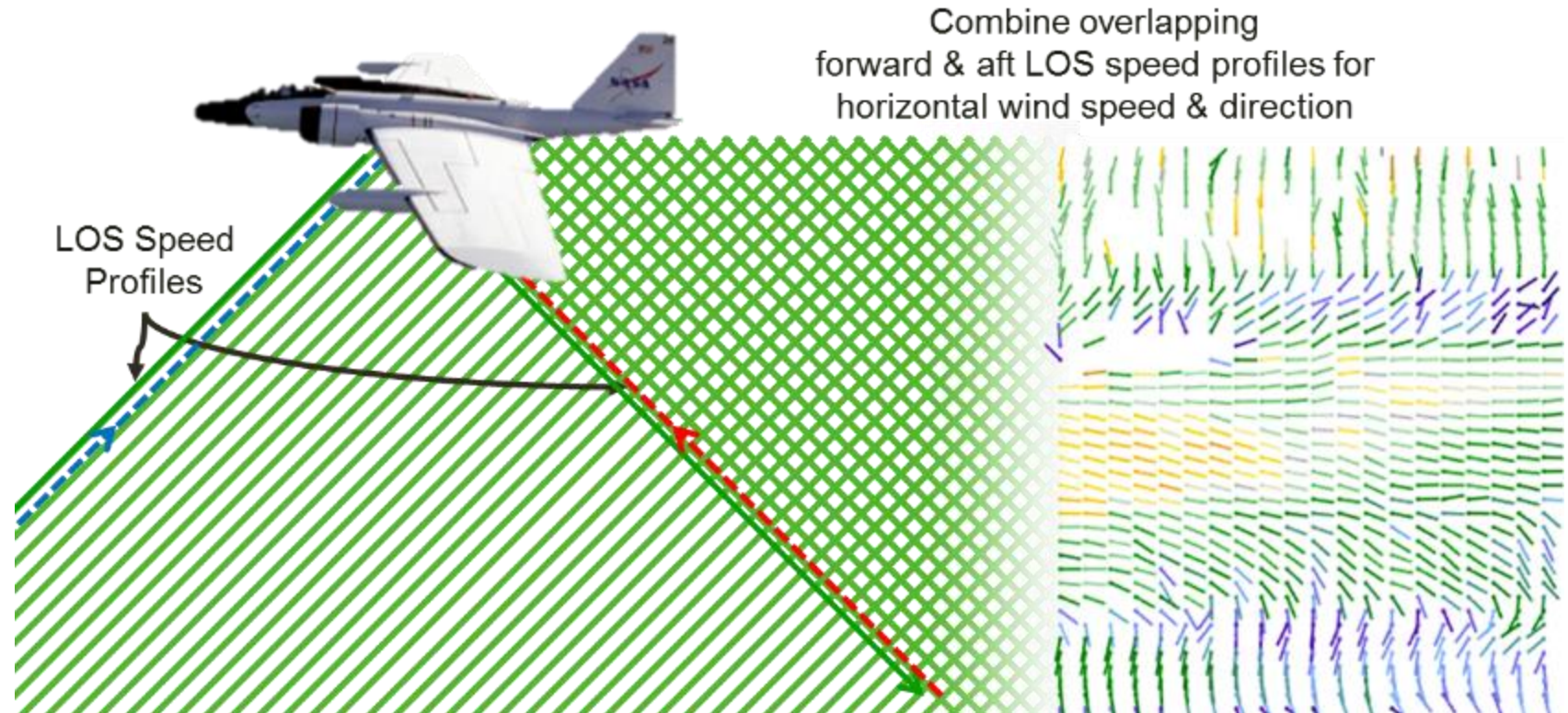
Fit slopes of 0.98 and 1.07



Two look LOS wind speeds → wind profiles



- Can assimilate vertically resolved winds from separate lines-of-sight → preserves the most information
- Can also combine 2 look LOS winds to retrieve wind speed and direction profiles
- Retrieval is based on classic radar/lidar “VAD” techniques



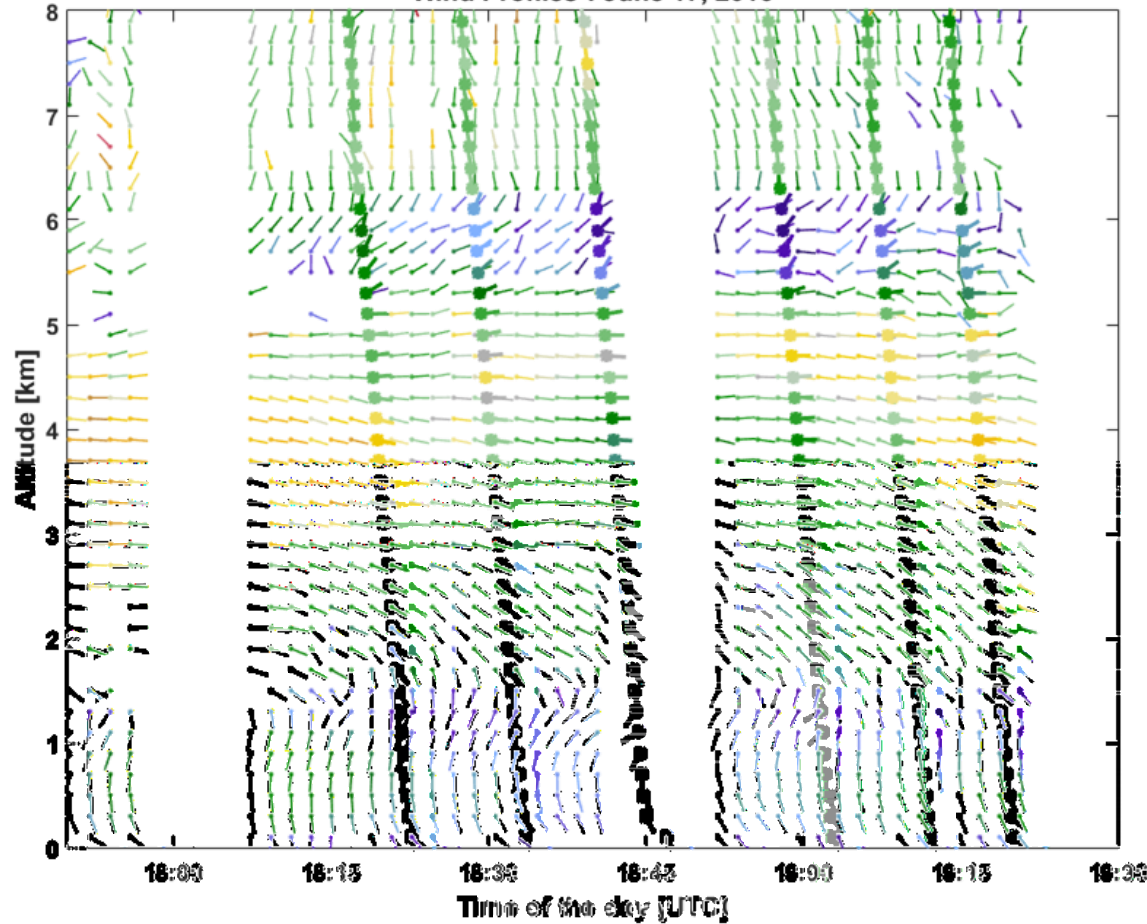
Wind barbs: color indicates wind speed, and line (dot at the time of the profile) line points into the wind direction

GrOAWL vector winds validated with YES High Definition Sounding System (HDSS) dropsondes (ONR)

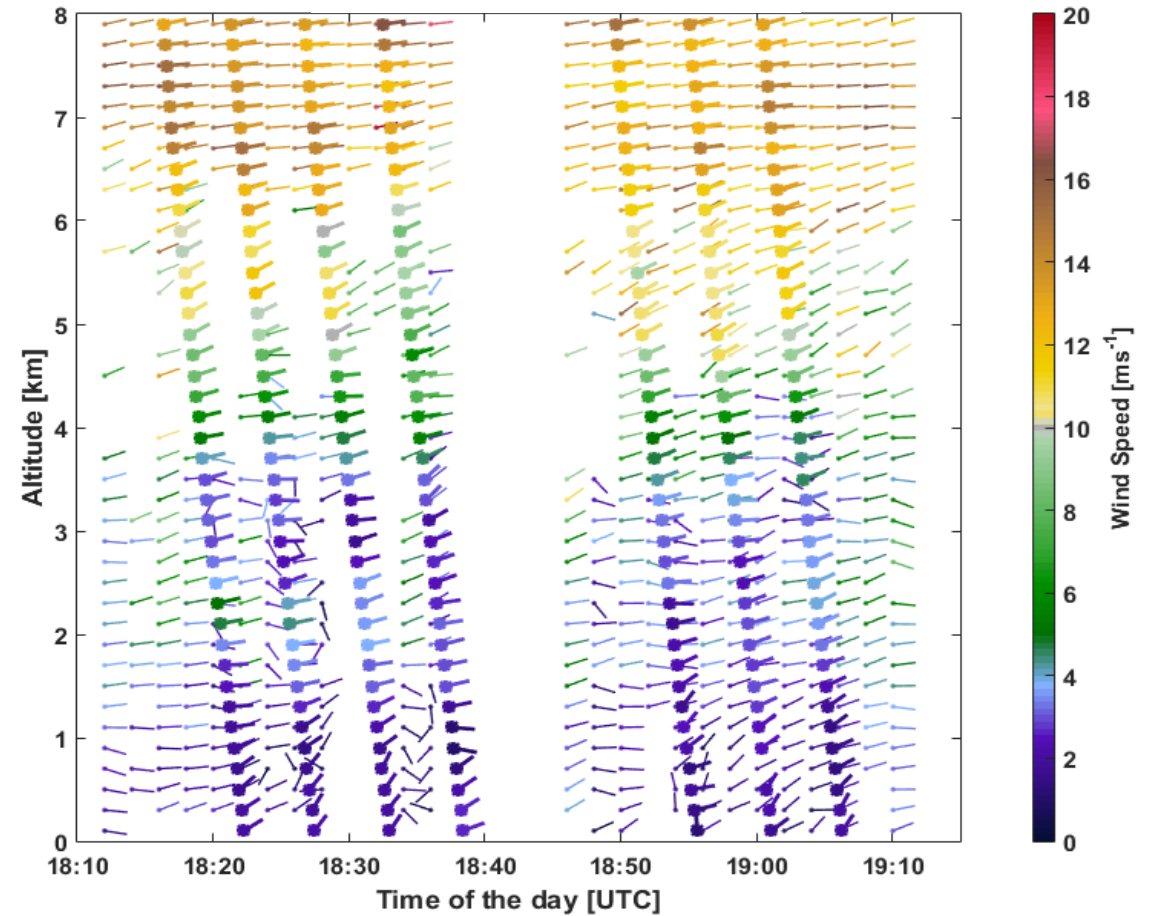


June 17, 2016

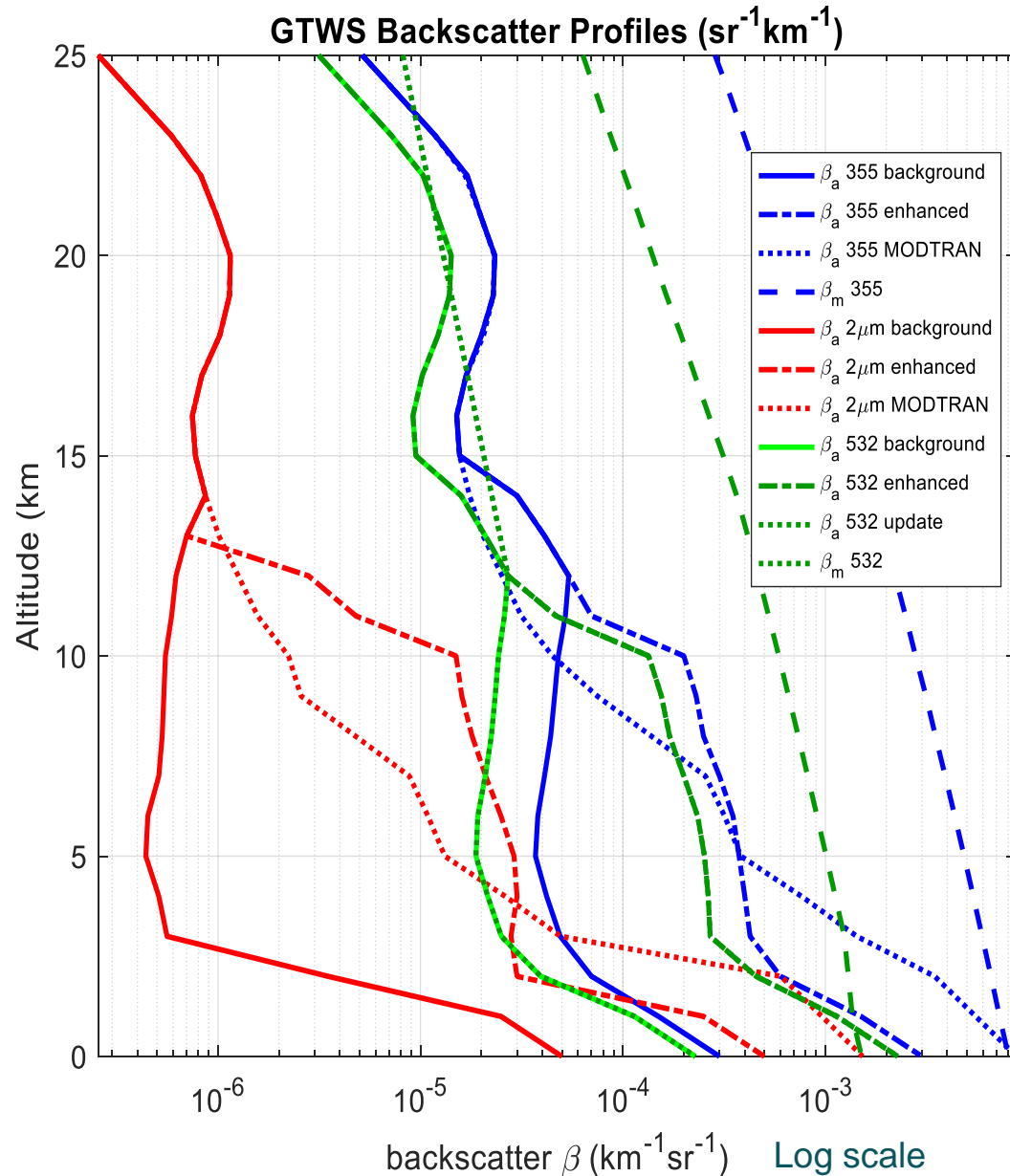
Wind Profiles : June 17, 2016



June 21, 2016



Atmospheric Backscatter



- Backscatter scaling with wavelength:
 - **Aerosol scattering: scales as $\lambda^{-1.5-3+}$**
 - **Molecular scattering scales as λ^{-4}**
 - Longer wavelengths (e.g. 2 μm)
 - Larger aerosols present in the PBL & aerosol/cloud layers
 - Less atmospheric extinction, but less coverage
 - Shorter wavelengths (532 & 355 nm)
 - More scattering from aerosol/cloud layers
 - Scattering off of smaller particles present in Upper-troposphere/Lower-Stratosphere
 - Molecular scattering
 - High TRL lasers, telescopes, & detectors
- These properties factor strongly into which lidars are best for a given application



Direct Detection



GTWS

GWOS

WISSCR

Decadal Survey
3D-Winds Hybrid

ESA's ADM Aeolus (single look – 355 nm Aerosol & Molecular)

Optical Autocovariance (OA) Wind Lidar

ATHENA-OAWL

Aeolus Launch

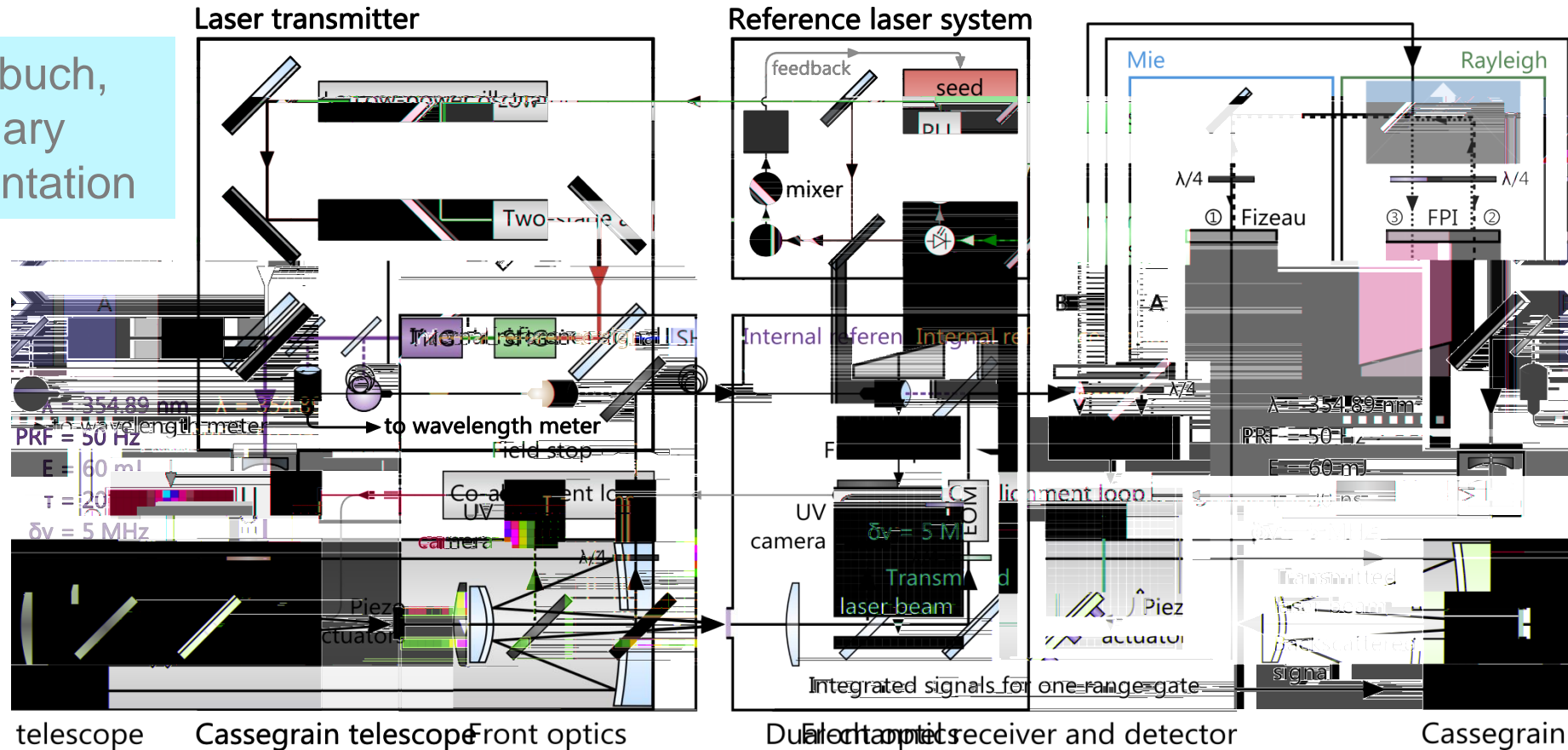


History: A *partial* (34 year) timeline of space-based Doppler wind lidar

ALADIN airborne demonstrator A2D for Aeolus

representative for the satellite ALADIN especially for spectrometers and detector ACCD; in operation at DLR since 2005 with airborne campaigns in 2009, 2015, and 2016

From Reitebuch, et al. February 2018 presentation

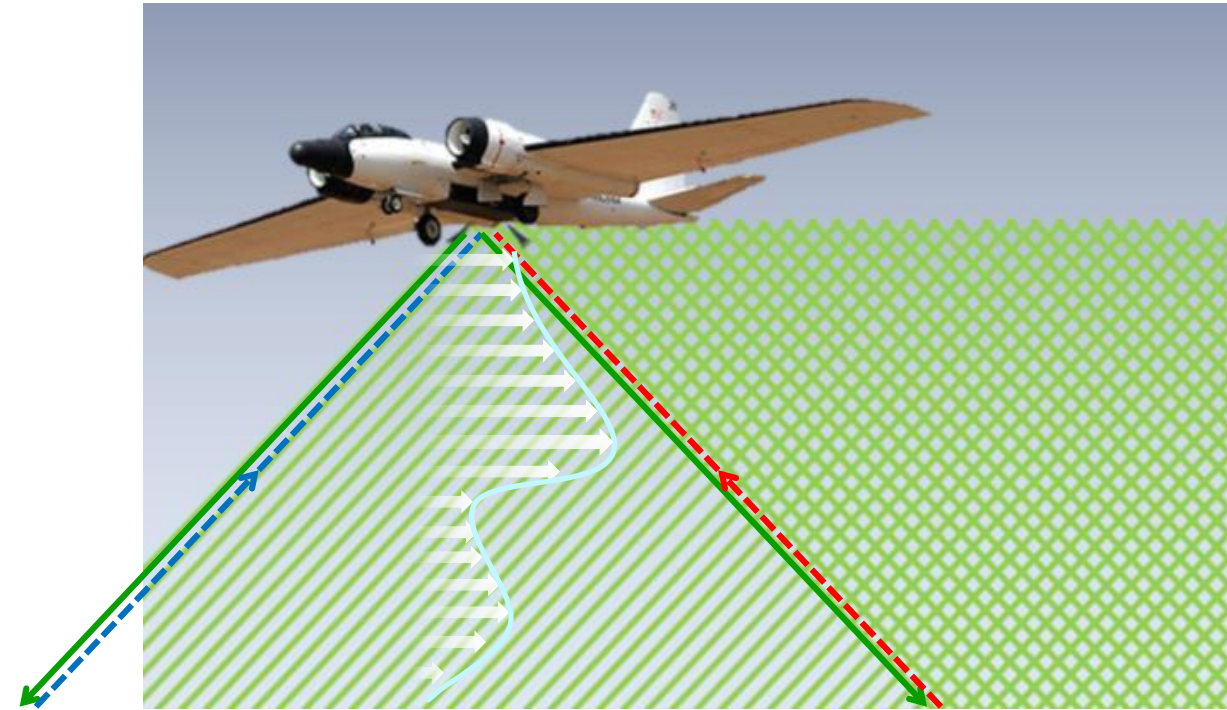


Lemmerz et al. (2017), Laser performance, Appl. Opt.
Lux et al. (2018), NAWDEX 2016 results, AMT Disc.

Measuring Doppler Shifts

Backscattered laser light from moving atmosphere (wind) is Doppler frequency shifted by an amount related to the wind speed relative to the laser line of sight (V_{LOS})

- Red-shift: relative/projected winds are moving toward the lidar
- Blue-shift: relative/projected winds are moving away from the lidar



$$\delta f_{Doppler} = \frac{2V_{LOS} f_0}{c} = \frac{2V_{LOS}}{\lambda_0}$$

f_0 : outgoing laser pulse frequency
 λ_0 : outgoing laser pulse wavelength
 c : speed of light