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ABSTRACT

It has been known since 1939 that for a formula to be a single axiom for the equivalential calculus its length must be at least 11, and that single axioms of this length exist. Also, a single axiom of length 11 must have the two-property. There are 630 formulas with the two-property and of length 11. With computer assistance, the authors have shown that 612 of these 630 formulas are not single axioms. The main object of this paper is to outline the methods used to obtain these results. This paper logically precedes a recent paper of L. Wos which announces computer-assisted proofs that a further 5 of the 630 formulas are not single axioms, and should serve as an introduction to the method of schemata mentioned in that paper.

1. THE EQUIVALENTIAL CALCULUS, CONDENSED DETACHMENT, AND THE TWO-PROPERTY

We consider formulas built up from variables  $p, q, r, \dots$  and a single binary connective  $E$ , and written in Polish notation. The "length" of such a formula is the number of occurrences in it of  $E$ 's and variables. Such a formula is called an "equivalential tautology" if it holds in the logical matrix

$$(1) \quad * \begin{array}{c|cc} & 0 & 1 \\ \hline 0 & 0 & 1 \\ 1 & 1 & 0 \end{array} ,$$

i.e. if this matrix satisfies it. For example,  $Epp$  and  $EEpqEcp$  are equivalential tautologies, but  $Epq$  and  $EEpqEpp$  are not. The matrix (1) is essentially the truth table for material equivalence  $(p \leftrightarrow q) \& (q \leftrightarrow p)$  (in Polish notation:  $KCpqCqp$ ).

A set  $S$  of equivalential tautologies is called a "deductive axiomatization" of the equivalential calculus  $EC$  if every equivalential tautology is derivable by the rules of substitution and modus ponens from the formulas in  $S$ ; if  $S$  has just one element  $x$  then  $x$  is called a "single axiom" for  $EC$ . It is known (Kalman, 1983, Theorem 1) that a formula is derivable from formulas  $S$  by substitution and modus ponens if and only if it is a substitution instance of a formula derivable from the formulas  $S$  by condensed detachment. Here condensed detachment is the rule which, when applied to two formulas  $x=Eu v$  and  $y$  having no variables in common,

produces the formula  $w$  if  $u$  and  $y$  are unifiable and  $w=o(v)$  for some most general unifier  $o$  of  $u$  and  $y$ ;  $w$  is then unique to within variance (i.e. a formula  $w'$  may be produced by applying condensed detachment to  $x$  and  $y$  if and only if  $w'$  is a variant of  $w$ ), and it is customary to write  $w \gg Dxy$ ; if  $x$  and  $y$  have variables in common, and  $y'$  is a variant of  $y$  having no variables in common with  $x$ , then  $Dxy$  is defined if and only if  $Dxy'$  is defined, and if  $Dxy'$  is defined we set  $Dxy \ll Dxy'$ . Thus, if  $S$  is a deductive axiomatization of  $EC$ , and  $T$  is a set of equivalential tautologies such that every formula in  $S$  is a substitution instance of a formula derivable by condensed detachment from the formulas in  $T$ , then  $T$  will be a deductive axiomatization of  $EC$ ; in particular, if  $x$  is a single axiom for  $EC$ , and  $x$  is derivable by condensed detachment from the equivalential tautology  $y$ , then  $y$  will be a single axiom for  $EC$ . It is known (cf. (Lukasiewicz, 1939, §8)) that a shortest single axiom  $x$  for  $EC$  has length 11, and has the "two-property" (Belnap, 1976) that every variable which occurs in  $x$  occurs exactly twice in  $x$ ; also,  $EEpqEEqrEpr$  is known to be a shortest single axiom for  $EC$  (Lukasiewicz, 1939). It is easily seen that there are 630 formulas of length 11 with the two-property. However not all of these 630 formulas are single axioms for  $EC$ ; for instance, the formula  $EEpqEEqrEpr$  is not a single axiom for  $EC$ . The main object of this paper is to discuss how computers may be used to help show that formulas such as  $EEpqEEqrEpr$  are not single axioms for  $EC$ .

2. THEOREM-GENERATING PROGRAM TG

We illustrate in §§3 and 6 how a theorem-generating program  $TG$ , which was originally developed as a tool for showing that particular formulas are derivable from others by condensed detachment, was used to show syntactically that particular formulas are not so derivable.

Given a finite set  $S$  of formulas, the program  $TG$  generates the set  $Th(S)$  of all formulas which may be derived by condensed detachment from the formulas in  $S$ . In general,  $Th(S)$  is infinite; if  $Th(S)$  is finite, then  $S$  is not a deductive axiomatization of  $EC$ . We illustrate in §3 how this may be exploited to show syntactically that 286 of the 630 formulas are not single axioms for  $EC$ . In these 286 cases, using minimal instead of arbitrary substitutions enables us to reduce an infinite set of derivable formulas to a finite set.

Although TG is not an interactive program, the user can specify changes, to take place during a run, in certain parameters which control the length of retained formulas and how formulas are selected to be used in subsequent condensed detachments. During each run, statistics of how many condensed detachments have failed and how many have produced formulas which were too long to retain are regularly produced. At the end of each run, a list of all the derived formulas, sorted by length and lexicographically for formulas of the same length, is printed out. With these aids, the user can sometimes discover syntactic properties possessed by each of the formulas in a particular set  $Th(S)$ . We illustrate in §6 how this can be exploited to show syntactically that 11 of the 630 formulas are not single axioms for EC.

### 3. FIRST SYNTACTIC METHOD: FINITENESS

Consider for example the question whether the formula  $x = EEEpqpEq$  is a single axiom for EC. When the formula  $x$  is given as input to the program TG, the formulas  $Dxx=y=EEEppq$  and  $Dyx=z=Epp$  are generated; the program also determines that  $Dxz=z$ ,  $Dzx=x$ ,  $Dzy=y$ , and  $Dzz=z$ , and that all other combinations  $Dst$  with  $s, t \in \{x, y, z\}$  are undefined. Since in particular the known single axiom  $EEpqEErqEpr$  for EC is not a substitution instance of any of  $x, y$ , or  $z$ , it follows that  $x$  cannot be a single axiom for EC.

In general we may say that a formula  $x$  is "of finite type  $F_n$ " if (to within variance) the set  $Th(\{x\})$  of formulas generated by  $x$  is a finite set with  $n$  elements; thus  $EEEpqpEq$  is of finite type  $F_3$ . Using TG, we easily find that 286 of the 630 formulas are of finite type  $F_n$  for some  $n = 1, 2, 3, 4, 5, 7, 8$ ; since a very large number of unifications is involved, computer assistance is invaluable here. It is possible that more than 286 of the 630 formulas are of finite type.

### 4. MATRIX-TESTING PROGRAM MT

In showing that particular formulas are not single axioms for EC, it is useful to have available a program MT which, given a logical matrix such as (1) and a particular formula such as  $EEpqEq$ , determines whether or not the matrix satisfies the formula. More generally, given a partially completed matrix  $M$  and a finite set  $S$  of formulas, MT can search for all ways (if any) of completing  $M$  so that it satisfies all the formulas in  $S$ ; in particular, if  $M$  is the void matrix of a given size, MT will search for all matrices of that size which satisfy all the formulas in  $S$ .

The purpose of the program MT is similar to that of the interactive program TESTER written by Nuel D. Belnap, Jr. at the University of Pittsburgh and now in use by logicians at a number of universities in the United States, Britain and Australia.

### 5. SEMANTIC METHOD: LOGICAL MATRICES

A logical matrix  $M$  is said to be "normal" if it has the property that whenever  $a, b \in M$  are such that  $a$  and  $Eab$  are designated, it follows that  $b$  is designated. For example,

$$(2) \quad * \begin{array}{ccc|ccc} & & & 0 & 1 & \\ & & & \hline 0 & & & 0 & 1 & \\ & & & & & \\ 1 & & & 1 & 0 & 0 & \end{array}$$

is a normal logical matrix; (2) is essentially the truth table for material implication. The matrix (1) is also normal. It is known that a formula  $x$  is not a single axiom for EC if and only if there exists a normal logical matrix  $M$ , possibly of infinite size, such that  $x$  holds in  $M$  but some equivalential tautology (e.g. some single axiom for EC) does not hold in  $M$ . For example, easy calculations show that the formula  $EEpqEEqrEpr$  holds in the matrix (2), but the formula  $EEpqEErqEpr$  does not; it follows that  $EEpqEEqrEpr$  is not a single axiom for EC.

With the help of a collection of 14 logical matrices, the authors have shown that, of the  $630 - 286 = 344$  formulas remaining for consideration after eliminating 286 formulas of finite type, 315 are not single axioms for EC. Of the 14 matrices, one (the matrix (2)) is of size 2, 7 are of size 3, 3 are of size 4, 2 are of size 8, and one is of size 10.

The program MT is very useful for checking these results, but has not been of great use in finding the matrices: the 6 matrices of sizes 4, 8, and 10 were in fact all found by hand. There are  $4^{16}$  matrices of size 4, and a straightforward search to find which of these satisfy a given formula of length 11 with the two-property would be far beyond the capacity of MT running on existing computers.

### 6. SECOND SYNTACTIC METHOD: FORM OF GENERATED FORMULAS

Of the  $344 - 315 = 29$  formulas now remaining for consideration, Peterson showed how 11 could be rejected as single axioms for EC by syntactic arguments based on the form of the formulas generated when these formulas are given as input to the program TG.

Consider for example the question whether the formula  $x = EpEEEEpqEq$  is a single axiom for EC. Examination of the output when  $x$  is given as input to the program TG reveals that, apart from the original formula  $x$ , all the formulas generated are of the form

$$(3) \quad EEEysEstt,$$

where  $y$  is  $x$  or a formula of the form (3) in which the variables  $s$  and  $t$  do not occur. In fact, it is easily seen that  $Dxx=EEExsEstt$ , and that if  $z$  is a formula of the form (3) in which the variables  $s$

and to do not occur then (i)  $Dxz=EEEzsoEsototo$ ,  
(ii)  $Dzx$  is undefined (since  $EEysEst$  and  $x$  are not unifiable), and (iii) if  $z1-EEEy1s1Esititi$  then  $Dzzi$  is undefined (since  $EEysEst$  and  $z1$  are not unifiable). Since the known single axiom  $EEpqEErqEpr$  is not a substitution instance of  $x$  or of any of the formulas (3), it follows that  $x$  is not a single axiom for EC.

Peterson observed that similar arguments also apply to a further 10 of the 29 formulas not rejected by the methods of §§3 and 5. The method of schemata used by the Argonne Automated Reasoning Group in studying EC (cf. (Wos, 1982, p.13)) also involves studying the possible forms of generated formulas, but in situations more complex than that illustrated above.

### 7. THE REMAINING 18 FORMULAS

There are proofs in the literature (Lukasiewicz, 1939; Peterson, 1976; Kalman, 1978) that 11 of the remaining 18 formulas are single axioms for EC, but after allowing for these there still remain 7 formulas whose status the present authors were unable to settle. Recently, the Automated Reasoning Group at the Argonne National Laboratory has announced that 2 of these 7 formulas are single axioms for EC and the remaining 5 are not (cf. (Wos, 1982, p.14)). Proofs that 4 of these formulas are not single axioms for EC are given in (Wos, Winker, Veroff, Smith and Henschen, 1983).

### 8. CONCLUSION

With the help of the relatively straightforward programs TG and MT, we succeeded in classifying almost 99% of the 630 formulas with respect to the status of being a single axiom for EC, i.e. in isolating about 1% of these formulas whose status seems the most difficult to determine. Moreover, it appears likely that the methods of finiteness (§3) and matrix-testing (§5) described in this paper, or essentially equivalent methods, would be employed in any study of all the possible shortest single axioms for EC, and the method of examining the form of generated formulas (§6) is closely related to the method of schemata employed by the Argonne Automated Reasoning Group in completing the classification of the 630 formulas. Our programs probably did not have the capacity to carry the task of classifying these formulas to final completion (cf. (Wos, 1982, p.7)).

We believe that mechanical theorem-proving using unification-based inference rules such as condensed detachment and resolution, and the study of Hilbert-type sentential calculi like the equivalential calculus, are two subjects which have much to offer each other. The theorem-provers can be genuinely useful tools in studying the calculi, and the calculi provide a promising field of application for the theorem-provers (cf. (Kalman, 1982, §6)). In such mechanical studies of other calculi, the methods illustrated in this paper should find further applications.

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