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Exploiting Deep Neural Networks and Head Movements for Robust Binaural Localization of Multiple Sources in Reverberant Environments

Ning Ma, Tobias May, and Guy J. Brown

5 Abstract—This paper presents a novel machine-hearing system that exploits deep neural networks (DNNs) and head movements 6 7 for robust binaural localization of multiple sources in reverberant environments. DNNs are used to learn the relationship between 8 the source azimuth and binaural cues, consisting of the complete 9 10 cross-correlation function (CCF) and interaural level differences (ILDs). In contrast to many previous binaural hearing systems, the 11 proposed approach is not restricted to localization of sound sources 12 in the frontal hemifield. Due to the similarity of binaural cues in the 13 frontal and rear hemifields, front-back confusions often occur. To 14 address this, a head movement strategy is incorporated in the local-15 16 ization model to help reduce the front-back errors. The proposed DNN system is compared to a Gaussian-mixture-model-based sys-17 18 tem that employs interaural time differences (ITDs) and ILDs as localization features. Our experiments show that the DNN is able to 19 20 exploit information in the CCF that is not available in the ITD cue, which together with head movements substantially improves local-21 ization accuracies under challenging acoustic scenarios, in which 22 23 multiple talkers and room reverberation are present.

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Index Terms—Binaural sound source localisation, deep neural
 networks, head movements, machine hearing, multi-conditional
 training, reverberation.

I. INTRODUCTION

T HIS paper aims to reduce the gap in performance be-tween human and machine sound localisation, in condi-28 29 tions where multiple sound sources and room reverberation 30 are present. Human listeners have little difficulty in localis-31 32 ing sounds under such conditions; they are able to decode the complex acoustic mixture that arrives at each ear with appar-33 ent ease [1]. In contrast, sound localisation by machine systems 34 is usually unreliable in the presence of interfering sources and 35 reverberation. This is the case even when an array of multiple 36 microphones is employed [2], as opposed to the two (binaural) 37 sensors available to human listeners. 38

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The human auditory system determines the azimuth of sounds 39 in the horizontal plane by using two principal cues: interaural 40 time differences (ITDs) and interaural level differences (ILDs). 41 A number of authors have proposed binaural sound localisation 42 systems that use the same approach, by extracting ITDs and 43 ILDs from acoustic recordings made at each ear of an artifi-44 cial head [3]–[6]. Typically, these systems first use a bank of 45 cochlear filters to split the incoming sound into a number of 46 frequency bands. The ITD and ILD are then estimated in each 47 band, and statistical models such as Gaussian mixture model 48 (GMM) are used to determine the source azimuth from the 49 corresponding binaural cues [6]. Furthermore, the robustness of 50 this approach to varying acoustic conditions can be improved by 51 using multi-conditional training (MCT). This introduces uncer-52 tainty into the statistical models of the binaural cues, enabling 53 them to handle the effects of reverberation and interfering sound 54 sources [4]-[7]. 55

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In contrast to many previous machine systems, the approach 56 proposed here is not restricted to sound localisation in the frontal 57 hemifield; we consider source positions in the 360° azimuth 58 range around the head. In this unconstrained case, the loca-59 tion of a sound cannot be uniquely determined by ITDs and 60 ILDs; due to the similarity of these cues in the frontal and rear 61 hemifields, front-back confusions occur [8]. Although machine 62 listening studies have noted this as a problem [6], [9], listeners 63 rarely make such confusions because head movements, as well 64 as spectral cues due to the pinnae, play an important role in 65 resolving front-back confusions [8], [10], [11]. 66

Relatively few machine localisation systems have attempted 67 to incorporate head movements. Braasch et al. [12] averaged 68 cross-correlation patterns across different head orientations in 69 order to resolve front-back confusions in anechoic conditions. 70 More recently, May et al. [6] combined head movements and 71 MCT in a system that achieved robust sound localisation perfor-72 mance in reverberant conditions. In their approach, the localisa-73 tion system included a hypothesis-driven feedback stage which 74 triggered a head movement when the azimuth could not be un-75 ambiguously estimated. Subsequently, Ma et al. [9] evaluated 76 the effectiveness of different head movement strategies, using 77 a complex acoustic environment that included multiple sources 78 and room reverberation. In agreement with studies on human 79 sound localisation [13], they found that localisation errors were 80 minimised by a strategy that rotated the head towards the target 81 sound source. 82

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Fig. 1. Schematic diagram of the proposed system, showing steps during training (top) and testing (bottom). During testing, sound mixtures consisting of several talkers are rendered in a virtual acoustic environment, in which a binaural receiver is moved in order to simulate the head rotation of a listener.

This paper describes a novel machine-hearing system that 83 robustly localises multiple talkers in reverberant environments, 84 by combining deep neural network (DNN) classifiers and head 85 movements. Recently, DNNs have been shown to give state-86 of-the-art performance in a variety of speech recognition and 87 acoustic signal processing tasks [14]. In this study, we use DNNs 88 to map binaural features, obtained from an auditory model, to 89 the corresponding source azimuth. Within each frequency band, 90 a DNN takes as input features the cross-correlation function 91 (CCF) (as opposed to a single estimate of ITD) and the ILD. 92 Using the whole cross-correlation function provides the clas-93 94 sifier with rich information for classifying the azimuth of the sound source [15]. A similar approach was used by [16] and 95 [17] in binaural speech segregation systems. However, neither 96 study specifically addressed source localisation because it was 97 assumed that the target source was fixed at zero degrees azimuth. 98 99 The proposed binaural sound localisation system is described in detail in Section II. Section III describes the evaluation frame-100 work and presents a number of source localisation experiments, 101 in which head movements are simulated by using binaural room 102 impulse responses (BRIRs) to generate direction-dependent bin-103 104 aural sound mixtures. Localisation results are presented in Sec-105 tion IV, which compares our DNN-based approach to a baseline method that uses GMM, and assesses the contribution that var-106 ious components make to performance. The paper concludes 107 with Section V, which proposes some avenues for future re-108 109 search.

110

II. System

Figure 1 shows a schematic diagram of the proposed binau-111 ral sound localisation system in the full 360° azimuth range. 112 During training, clean speech signals were spatialised using 113 head related impulse responses (HRIRs), and diffuse noise 114 was added before being processed by a binaural model for 115 feature extraction. The noisy binaural features were used to 116 117 train DNNs to learn the relationship between binaural cues and sound azimuths. During testing, sound mixtures con-118 sisting of several talkers are rendered in a virtual acoustic 119 environment, in which a binaural receiver is moved in order 120

to simulate the head rotation of a human listener. The output 121 from the DNN is combined with a head movement strategy to 122 robustly localise multiple talkers in reverberant environments. 123

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A. Binaural Feature Extraction

An auditory front-end was employed to analyse binaural ear 125 signals with a bank of 32 overlapping Gammatone filters, with 126 centre frequencies uniformly spaced on the equivalent rectan-127 gular bandwidth (ERB) scale between 80 Hz and 8 kHz [18]. 128 Inner-hair-cell processing was approximated by half-wave recti-129 fication. No low-pass filtering was employed to simulate the loss 130 of phase-locking at high frequencies as previous studies have 131 shown that in general classifiers are able to exploit the high-132 frequency structure [4]. Afterwards, the CCF between the right 133 and left ears was computed independently for each frequency 134 band using overlapping frames of 20 ms with a 10 ms shift. The 135 CCF was further normalised by the auto-correlation value at lag 136 zero [4] and evaluated for time lags in the range of ± 1.1 ms. 137

Two binaural features, ITDs and ILDs, are typically used in 138 binaural localisation systems [1]. The ITD is estimated as the 139 lag corresponding to the maximum in the CCF. The ILD corre-140 sponds to the energy ratio between the left and right ears within 141 the analysis window, expressed in dB. In this study, instead of 142 estimating the ITD the entire CCF was used as localisation fea-143 tures. This approach was motivated by two observations. First, 144 computation of ITDs involves a peak-picking operation which 145 may not be robust in the presence of noise and reverberation. 146 Second, there are systematic changes in the CCF with source 147 azimuth (in particular, changes in the main peak with respect 148 to its side peaks). Even in multi-source scenarios, these can be 149 exploited by a suitable classifier. For signals sampled at 16 kHz, 150 the CCF with a lag range of ± 1 ms produced a 33-dimensional 151 binaural feature space for each frequency band. This was sup-152 plemented by the ILD, forming a final 34-dimensional (34D) 153 feature vector. 154

B. DNN Localization

DNNs were used to map the 34D binaural feature set to corre-156 sponding azimuth angles. A separate DNN was trained for each 157 of the 32 frequency bands. Employing frequency-dependent 158 DNNs was found to be effective for localising simultaneous 159 sound sources. Although simultaneous sources overlap in time, 160 within a local time frame each frequency band is mostly dom-161 inated by a single source (Bregman's [19] notion of 'exclusive 162 allocation'). Hence, this allows training using single-source data 163 and removes the need to include multi-source data for training. 164

The DNN consists of an input layer, two hidden layers, and 165 an output layer. The input layer contained 34 nodes and each 166 node was assumed to be a Gaussian random variable with zero 167 mean and unit variance. The 34D binaural feature inputs for 168 each frequency band were Gaussian normalised, and white 169 Gaussian noise (variance 0.4) was added to avoid overfitting, 170 before being used as input to the DNN. The hidden layers had 171 sigmoid activation functions, and each layer contained 128 172 hidden nodes. The number of hidden nodes was heuristically 173 selected - more hidden nodes increased the computation time 174 but did not improve localisation accuracy. The output layer 175 contained 72 nodes corresponding to the 72 azimuth angles
in the full 360° azimuth range, with a 5° step. A 'softmax'
activation function was applied at the output layer. The same
DNN architecture was used for all frequency bands and we did
not optimise it for individual frequencies.

The neural network was initialised with a single hidden layer, 181 and the number of hidden layers was gradually increased in later 182 training phases. In each training phase, mini-batch gradient de-183 scent with a batch size of 128 was used, including a momentum 184 185 term with the momentum rate set to 0.5. The initial learning rate was set to 1, which gradually decreased to 0.05 after 20 epochs. 186 After the learning rate decreased to 0.05, it was held constant 187 for a further 5 epochs. We also included a validation set and the 188 training procedure was stopped earlier if no new lower error on 189 the validation set could be achieved within the last 5 epochs. At 190 the end of each training phase, an extra hidden layer was added 191 between the last hidden layer and the output layer, and the train-192 ing phase was repeated until the desired number of hidden layers 193 was reached (two hidden layers in this study). 194

Given the observed feature set $x_{t,f}$ at time frame t and frequency band f, the 72 'softmax' output values from the DNN for frequency band f were considered as posterior probabilities $\mathcal{P}(k|x_{t,f})$, where k is the azimuth angle and $\sum_k \mathcal{P}(k|x_{t,f}) = 1$. The posteriors were then integrated across frequency to yield the probability of azimuth k, given features of the entire frequency range at time t

$$\mathcal{P}(k|\boldsymbol{x}_t) = \frac{P(k)\prod_f \mathcal{P}(k|\boldsymbol{x}_{t,f})}{\sum_k P(k)\prod_f \mathcal{P}(k|\boldsymbol{x}_{t,f})},$$
(1)

where P(k) is the prior probability of each azimuth k. Assuming no prior knowledge of source positions and equal probabilities for all source directions, Eq. (1) becomes

$$\mathcal{P}(k|\boldsymbol{x}_t) = \frac{\prod_f \mathcal{P}(k|\boldsymbol{x}_{t,f})}{\sum_k \prod_f \mathcal{P}(k|\boldsymbol{x}_{t,f})}.$$
(2)

Sound localisation was performed for a signal block consisting of T time frames. Therefore the frame posteriors were further averaged across time to produce a posterior distribution $\mathcal{P}(k)$ of sound source activity

$$\mathcal{P}(k) = \frac{1}{T} \sum_{t}^{t+T-1} \mathcal{P}(k|\boldsymbol{x}_t).$$
(3)

The target location was given by the azimuth k that maximised $\mathcal{P}(k)$

$$\hat{k} = \operatorname*{argmax}_{k} \mathcal{P}(k) \tag{4}$$

211 C. Localisation With Head Movements

In order to reduce the number of front-back confusions, the 212 proposed localisation model employs a hypothesis-driven feed-213 back stage that triggers a head movement if the source location 214 cannot be unambiguously estimated. A signal block is used to 215 compute an initial posterior distribution of the source azimuth 216 using the trained DNNs. In an ideal situation, the local peaks 217 218 in the posterior distribution correspond to the azimuths of true sources. However, due to the similarity of binaural features in 219



Fig. 2. Illustration of the head movement strategy. Top: posterior probabilities where two candidate azimuths at 60° and 120° are identified. Bottom: after head rotation by 30° , only the azimuth candidate at 30° agrees with the azimuth-shifted candidate from the first signal block (dotted line).

the front and rear hemifields, *phantom sources* may also become 220 apparent as peaks in the azimuth posterior distribution. Such an 221 ambiguous posterior distribution is shown in the top panel of 222 Fig. 2. In this case, a random head movement within the range 223 of $[-30^{\circ}, 30^{\circ}]$ is triggered to solve the localisation confusion. 224 Other possible strategies for head movement are discussed in [9]. 225

A second posterior distribution is computed for the signal 226 block after the completion of the head movement. If a peak 227 in the first posterior distribution corresponds to a true source 228 position, then it will appear in the second posterior distribution 229 and will be shifted by an amount corresponding to the angle 230 of head rotation (assuming that sources are stationary before 231 and after the head movement). On the other hand, if a peak 232 is due to a phantom source, it will not occur in the second 233 posterior distribution, as shown in the bottom panel of Fig. 2. 234 By exploiting this relationship, potential phantom source peaks 235 are identified and eliminated from both posterior distributions. 236 After the phantom sources have been removed, the two posterior 237 distributions were averaged to further emphasise the local peaks 238 corresponding to true sources. The most prominent peaks in the 239 averaged posterior distribution were assumed to correspond to 240 active source positions. Here the number of active sources was 241 assumed to be known a priori. 242

The proposed approach to exploiting head movements is 243 based on late information fusion - the information from the 244 model predictions is integrated. This is in contrast to the ap-245 proach in [12] which adopted early fusion at the feature level by 246 averaging cross-correlation patterns across different head ori-247 entations. Late fusion is preferred here for a couple of reasons: 248 i) the use of head rotation is not needed during model training 249 and thus it is more straightforward to generate data for train-250 ing robust localisation models (DNNs); ii) early feature fusion 251 tends to lose information which can otherwise be exploited by 252 the system. As a result, the proposed system is able to deal with 253 overlapping sound sources in reverberant conditions, while the 254 system reported in [12] was tested in anechoic conditions with 255 a single source. 256

258

A. Binaural Simulation

Binaural audio signals were created by convolving monaural 259 sounds with HRIRs or BRIRs. For training, an anechoic HRIR 260

 TABLE I

 ROOM CHARACTERISTICS OF THE SURREY BRIR DATABASE [21]

| | Room A | Room B | Room C | Room D |
|---------------------|--------|--------|--------|--------|
| T ₆₀ (s) | 0.32 | 0.47 | 0.68 | 0.89 |
| DRR (dB) | 6.09 | 5.31 | 8.82 | 6.12 |

catalog based on the Knowles Electronic Manikin for Acoustic 261 Research (KEMAR) head and torso simulator with pinnae [20] 262 was used for simulating the anechoic training signals. The HRIR 263 catalog catalog included impulse responses for the full 360° 264 azimuth range, allowing us to train localisation models for 72 265 266 azimuths between 0° and 355° with a 5° step. The models were trained using only the anechoic HRIRs and were not retrained 267 for any room conditions. See Section III-C for more details 268 about training. 269

For evaluation, the Surrey BRIR database [21] and a BRIR 270 set recorded at TU Berlin [9] were used to reflect different re-271 272 verberant room conditions. The Surrey database was recorded using a Cortex head and torso simulator (HATS) and includes 273 four room conditions with various amounts of reverberation. 274 The loudspeakers were placed around the HATS on an arc in the 275 median plane, with a 1.5 m radius between $\pm 90^{\circ}$ and measured 276 277 at 5° intervals. Table I lists the reverberation time (T_{60}) and the direct-to-reverberant ratio (DRR) of each room. The ane-278 choic HRIRs used for training were also included to simulate 279 an anechoic condition. 280

A second set of BRIRs, recorded in the "Auditorium3" room 281 at TU Berlin,¹ was also included particularly for evaluating the 282 283 benefit of head movements (Section IV-C). The Auditorium3 room is a mid-size lecture room of dimensions 9.3 m \times 9 m, 284 with a trapezium shape and an estimated reverberation time T_{60} 285 of 0.7 s. The BRIR measurements were made for different head 286 287 orientations ranging from -90° to 90° with an angular resolution of 1°. BRIRs for six different source positions, including one in 288 the rear hemifield, were recorded and five of them were selected 289 for this study (two 0° positions are available and the one at 290 1.5 m away from the head was excluded for simplicity). The 291 five selected source positions with respect to the dummy head 292 293 are illustrated in Fig. 4.

Note that the anechoic HRIRs used for training and the Surrey 294 BRIRs were recorded using two different dummy heads (KE-295 MAR and Cortex HATS). We use data from two dummy heads 296 because this study is concerned with sound localisation in the 297 360° azimuth range; the Surrey HATS HRIRs catalog is only 298 available for the frontal azimuth angles and therefore cannot 299 be used to train the full 360° localisation models. However, as 300 the experiment results will show in Section IV, with MCT our 301 proposed systems generalised well despite the HRIR mismatch 302 between training and testing. 303

Binaural mixtures of multiple competing sources were created by spatialising each source separately at the respective BRIR sampling rate, before adding them together in each of the two binaural channels. In the Auditorium3 BRIRs there is varying distance between the listener position and different source



Fig. 3. Schematic diagram of the Surrey BRIR room configuration. Actual source positions were always between $\pm 90^{\circ}$, but the system could report a source azimuth at any of 72 possible azimuths around the head (open circles). Black circles indicate actual source azimuths in a typical three-talker mixture (in this example, at -50° , -30° , and 15°). During testing, head movements were limited to the range $[-30^{\circ}, 30^{\circ}]$ as shown by the shaded area.



Fig. 4. Schematic diagram of the TUB Auditorium3 configuration. The source distance, azimuth angle and respective T_{60} time are shown for each source.

positions. Furthermore there is a difference in impulse response 309 amplitude level even for sources of the equal distance to the 310 listener, likely due to the microphone response difference across 311 recording sessions. To compensate the level difference a scaling 312 factor was computed for each source position by averaging the 313 maximum levels in the impulse responses between left and right 314 ears. The scaling factors were used to adjust the level for each 315 source before spatialisation. As a result the direct sound level of 316 each source when mixed together was approximately the same. 317 For the Surrey BRIR set the level difference did not exist and 318 thus this preprocessing was not applied. The spatialised signals 319 were finally resampled to 16 kHz for training and testing. 320

B. Head Movement Simulation

321

For the Surrey BRIRs, head movements were simulated by 322 computing source azimuths relative to the head orientation, and 323

loading corresponding BRIRs for the relative source azimuths. 324 Such simulation is only approximate for the reverberant room 325 conditions because the Surrey BRIR database was measured 326 327 by moving loudspeakers around a fixed dummy head. With the Auditorium3 BRIRs, more realistic head movements were 328 simulated by loading the corresponding BRIR for a desired head 329 orientation. For all experiments, head movements were limited 330 to the range of $\pm 30^{\circ}$. 331

332 C. Multi-conditional Training

The proposed systems assumed no prior knowledge of room conditions. The localisation models were trained using only anechoic HRIRs with added diffuse noise, and no reverberant BRIRs were used during training.

Previous studies [4]–[7] have shown that MCT features can 337 increase the robustness of localisation systems in reverberant 338 multi-source conditions. Binaural MCT features were created by 339 340 mixing a target signal at a specified azimuth with diffuse noise at various signal-to-noise ratios (SNRs). The diffuse noise is the 341 342 sum of 72 uncorrelated, white Gaussian noise sources, each of which was spatialised across the full 360° azimuth range in steps 343 of 5°. Both the directional target signals and the diffuse noise 344 were created using the same anechoic HRIR recorded using a 345 346 KEMAR dummy head [20]. This approach was used in preference to adding reverberation during training, since previous 347 studies (e.g., [5]) suggested that it was more likely to generalise 348 well across a wide range of reverberant test conditions. 349

The training material consisted of speech sentences from the 350 TIMIT database [22]. A set of 30 sentences was randomly se-351 352 lected for each of the 72 azimuth locations. For each spatialised training sentence, the anechoic signal was corrupted with dif-353 fuse noise at three SNRs (20, 10 and 0 dB SNR). The corre-354 sponding binaural features (ITDs, CCFs, and ILDs) and ILDs) 355 were then extracted. Only those features for which the a priori 356 SNR between the target and the diffuse noise exceeded -5 dB357 were used for training. This negative SNR criterion ensured that 358 the multi-modal clusters in the binaural feature space at higher 359 360 frequencies, which are caused by periodic ambiguities in the cross-correlation analysis, were properly captured. 361

362 D. Experimental Setup

The GRID corpus [23] was used to create three evaluation 363 sets of 50 acoustic mixtures which consisted of one, two or 364 three simultaneous talkers, respectively. Each GRID sentence 365 is approximately 1.5 s long and was spoken by one of 34 na-366 tive British-English talkers. The sentences were normalised to 367 the same root mean square (RMS) value prior to spatialisation. 368 For the two-talker and three-talker mixtures, the additional az-369 imuth directions were randomly selected from the same azimuth 370 range while ensuring an angular distance of at least 10° between 371 all sources. Each evaluation set included 50 acoustic mixtures 372 which were kept the same for all the evaluated azimuths and 373 room conditions in order to ensure any performance difference 374 was due to test conditions rather than signal variation. Since the 375 duration of each GRID sentence was different, and there was 376

silence of various lengths at the beginning of each sentence, the 377 central 1 s segment of each sentence was selected for evaluation. 378

Note that although the models were trained and evaluated 379 using speech signals, our systems are not intended to localise 380 only speech sources. Therefore a frequency range from 80 Hz 381 to 8 kHz was selected for the signals sampled at 16 kHz. Our 382 previous studies [6], [15] also show that 32 Gammatone filters 383 (see Section II-A) provide a good tradeoff between frequency 384 resolutions and computational cost. As the evaluation included 385 localisation of up to three overlapping talkers, using too few fil-386 ters would result in insufficient frequency resolution to reliably 387 localise multiple talkers. 388

The baseline system was a state-of-the-art localisation sys-389 tem [6] that modelled both ITDs and ILDs features within a 390 GMM framework. As in [6], the GMM modelled the binaural 391 features using 16 Gaussian components and diagonal covari-392 ance matrices for each azimuth and each frequency band. The 393 GMM parameters were initialised by 15 iterations of the k-394 means clustering algorithm and further refined using 5 iterations 395 of the expectation-maximization (EM) algorithm. The second 396 localisation model was the proposed DNN system using the 397 CCF and ILD features. Each DNN employed four layers includ-398 ing two hidden layers each consisting of 128 hidden nodes (see 399 Section II-B). 400

Both localisation systems were evaluated using different 401 training strategies (clean training and MCT), various locali-402 sation feature sets (ITD, ILD and CCF), and with or without 403 head movements. When no head movement was employed, the 404 source azimuths were estimated using the entire 1 s segment 405 from each acoustic mixture. If head movement was used, the 406 1 s segment was divided into two 0.5 s long blocks and the 407 second block was provided to the system after completion of a 408 head movement. Therefore in both conditions the same signal 409 duration was used for localisation. 410

The gross accuracy of localisation was measured by com-411 paring true source azimuths with the estimated azimuths. The 412 number of active speech sources N was assumed to be known a413 *priori* and the N azimuths for which the posterior probabilities 414 were the largest were selected as the estimated azimuths. Lo-415 calisation of a source was considered accurate if the estimated 416 azimuth was less than or equal to 5° away from the true source 417 azimuth: 418

$$LocAcc = \frac{N_{dist(\phi,\hat{\phi}) \le \theta}}{N}$$
(5)

where dist(.) is the angular distance between two azimuths, ϕ is 419 the true source azimuth, $\hat{\phi}$ is the estimated azimuth, and θ is the 420 threshold in degrees (5° in this study). This metric is preferred 421 to RMS error because our study is concerned with full 360° 422 localisation, and localisation errors in degrees are often large 423 due to front-back confusions. 424

426

A. Influence of MCT

The first experiment investigated the impact of MCT on the localisation accuracy of the proposed systems. Two scenarios were 428

TABLE II GROSS LOCALIZATION ACCURACY IN % FOR VARIOUS SETS OF BRIRS WHEN LOCALIZING ONE, TWO, AND THREE COMPETING TALKERS IN THE FRONTAL HEMIFIELD ONLY AND IN THE FULL 360° RANGE

| Hemifiled | | MCT | Anechoic | | | Room A | | | Room B | | | Room C | | | Room D | | | | |
|-----------|-------|-----------|------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|-------------|--------------|--------------|--------------|--------------|--------------|--------------|--|
| | Model | | 1 | 2 | 3 | 1 | 2 | 3 | 1 | 2 | 3 | 1 | 2 | 3 | 1 | 2 | 3 | Avg. | |
| Frontal | GMM | no yes | 100 100 | 99.0 99.9 | 90.5 98.7 | 84.0 99.2 | 63.1 97.1 | 52.8 90.7 | 81.5 100 | 59.8 97.7 | 51.8 91.6 | 100 100 | 82.5 99.3 | 65.5 96.5 | 88.2 100 | 61.2 98.4 | 53.5 91.5 | 75.6 97.4 | |
| | DNN | no yes | 100 100 | 100 100 | 99.6 99.7 | 100 100 | 99.2 99.5 | 92.2 96.3 | 100 100 | 99.0 99.7 | 90.4 96.2 | 100 100 | 99.9 99.9 | 96.7 98.2 | 99.9 100 | 98.7 99.6 | 91.1 95.3 | 97.8 99.0 | |
| 360° | GMM | no yes | 100 100 | 97.1 100 | 82.6 97.8 | 82.6 99.0 | 48.9 94.2 | 30.7 80.7 | 65.6 97.0 | 38.3 89.0 | 25.3 77.6 | 98.4 100 | 70.3 97.6 | 50.2 88.7 | 77.2 97.3 | 46.3 90.6 | 30.0 79.0 | 62.9 92.6 | |
| | DNN | no yes | 100 100 | 100 100 | 97.4 98.6 | 100 99.7 | 87.0 97.3 | 68.4 87.9 | 94.5 97.2 | 79.0 93.7 | 63.9 86.7 | 97.7 100 | 92.5 97.3 | 78.9 90.2 | 94.4 97.3 | 83.4 94.0 | 67.9 85.0 | 87.0 95.0 | |

The models were trained using either clean training or the MCT method.



Fig. 5. Localization error rates produced by various systems using either clean training or MCT. Localization was performed in the full 360° range, so that front–back errors could occur, as shown by the white bars for each system. No head movement strategy was employed.

considered: i) sound localisation was restricted to the frontal hemifield so that the systems estimated source azimuths within the range $[-90^{\circ}, 90^{\circ}]$; ii) the systems were not informed that the sources lay only in the frontal hemifield and were free to report the azimuth in the full 360° azimuth range. In the second scenario front-back confusions could occur.

Table II lists gross localisation accuracies of all the systems 435 evaluated using various BRIR sets from the Surrey database. 436 First consider the scenario of localisation in the frontal hemi-437 438 field. For the GMM baseline system, the MCT approach substantially improved the robustness across all conditions, with 439 an average localisation accuracy of 97.4% compared to only 440 75.6% using clean training. The improvement with MCT was 441 particularly large in multi-talker scenarios and in the presence 442 443 of room reverberation. For the DNN system, the improvement with MCT over clean training was not as large as that for the 444 GMM system and is only observed in the multi-talker scenarios. 445 The limited improvement is partly because with clean training 446 the performance of the DNN system is already very robust in 447 most conditions, with an average accuracy of 97.8%, which is 448 already better than the GMM system with MCT. This suggests 449 that when localisation was restricted to the frontal hemifield, 450 the DNN can effectively extract cues from the clean CCF-ILD 451 features that are robust in the presence of reverberation. 452

453 Considering the case of full 360° localisation, the scenario is
454 more challenging and front-back errors could occur. The GMM
455 system with clean training failed to localise the talkers accu456 rately, with error rates greater than 50% when localising multi457 ple simultaneous talkers. The DNN system with clean training

was substantially more robust than the GMM system, but the 458 performance also decreased significantly when multiple talk-459 ers were present. The benefit of the MCT method became more 460 apparent for both systems in this scenario – the average localisa-461 tion accuracy was increased from 62.9% to 92.6% for the GMM 462 system and from 87% to 95% for the DNN system. Across all 463 the room conditions the largest benefits were observed in room 464 B where the direct-to-reverberant ratio was the lowest, and in 465 room D where the reverberation time T_{60} was the longest. 466

Errors made in 360° localisation could be due to front-back 467 confusion as well as interference caused by reverberation and 468 overlapping talkers. Figure 5 shows errors made by both the 469 GMM and the DNN systems using either clean training or MCT 470 in different room conditions. The errors due to front-back con-471 fusions were indicated by white bars for each system. Here a 472 localisation error is considered to be a front-back confusion 473 when the estimated azimuth is within ± 20 degrees of the az-474 imuth that would produce the same ITDs in the rear hemifield. 475 It is clear that front-back confusions contributed a large portion 476 of localisation errors for both systems, in particular when clean 477 training was used. When the MCT method was used, not only 478 the errors due to interference of reverberation and overlapping 479 talkers (non-white bar portion in Fig. 5) were greatly reduced, 480 but also the systems produced substantially fewer front-back 481 errors (white bars in Fig. 5). As will be discussed in the next 482 section, without head movements the main cues distinguishing 483 between front-back azimuth pairs lie in the combination of in-484 teaural level and time differences (or ITD-related features such 485 as the cross-correlation function). MCT provides the training 486

TABLE III GROSS LOCALIZATION ACCURACY IN % USING VARIOUS FEATURE SETS FOR LOCALIZING ONE, TWO, AND THREE COMPETING TALKERS IN THE FULL 360° RANGE

| Model | Feature | Anechoic | | | Room A | | | Room B | | | Room C | | | Room D | | | | |
|-------|----------------|----------|------|------|--------|------|------|--------|------|------|--------|------|------|--------|------|------|-------------|--|
| | | 1 | 2 | 3 | 1 | 2 | 3 | 1 | 2 | 3 | 1 | 2 | 3 | 1 | 2 | 3 | Avg. | |
| GMM | ITD | 100 | 99.8 | 96.2 | 99.2 | 81.6 | 67.7 | 91.4 | 76.6 | 64.9 | 97.2 | 89.4 | 76.6 | 89.1 | 76.6 | 65.8 | 84.8 | |
| | ITD-ILD | 100 | 100 | 97.8 | 99.0 | 94.2 | 80.7 | 97.0 | 89.0 | 77.6 | 100 | 97.6 | 88.7 | 97.3 | 90.6 | 79.0 | 92.6 | |
| | CCF-ILD | 100 | 100 | 98.4 | 100 | 87.2 | 73.9 | 92.1 | 81.7 | 71.5 | 99.9 | 93.8 | 81.6 | 92.6 | 83.2 | 72.3 | 88.5 | |
| DNN | CCF | 100 | 100 | 99.0 | 99.8 | 95.8 | 86.7 | 91.8 | 89.5 | 83.7 | 98.3 | 95.8 | 89.0 | 91.6 | 87.8 | 80.8 | 92.7 | |
| | CCF-ILD | 100 | 100 | 98.6 | 99.7 | 97.3 | 87.9 | 97.2 | 93.7 | 86.7 | 100 | 97.3 | 90.2 | 97.3 | 94.0 | 85.0 | 95.0 | |

The models were trained using the MCT method. The best feature set for each system is marked in bold font.



Fig. 6. Comparison of localization error rates produced by various systems using different spatial features. Localization was not restricted in the frontal hemifield so that front-back errors can occur, as indicated by the white bars for each system. No head movement strategy was employed.

487 stage with better regularisation of the features, which is able
488 to improve the generalisation of the learned models and better
489 discriminate the front-back confusing azimuths.

It is also worth noting that the training and testing stages used HRTFs collected with different dummy heads (the KEMAR was used for training and the HATS was used for testing). However, with MCT the localisation accuracy in the anechoic condition for localising one or two sources was 100%, which suggests that MCT also reduced the sensitivity to mismatches of the receiver.

496 B. Contribution of the ILD Cue

The second experiment investigated the influence of differ-497 ent localisation features, in particular the contribution of the 498 ILD cue. Table III lists the gross localisation accuracies us-499 ing various feature sets. Here all models were trained using 500 the MCT method and the active head movement strategy was 501 not applied. When ILDs were not used, the GMM performance 502 using just ITDs suffered greatly in reverberant rooms and when 503 localising overlapping talkers; the average localisation accuracy 504 decreased from 92.6% to 84.8%. The performance drop was 505 particularly pronounced in rooms B and D, where the reverber-506 ation was strong. For the DNN system, excluding the ILDs also 507 decreased the localisation performance but the performance 508 drop was more moderate, with the average accuracy reduced 509 from 95% to 92.7%. The DNN system using the CCF feature 510 exhibited more robustness in the reverberant multi-talker condi-511 tions than the GMM system using the ITD feature. As previously 512 discussed, computation of the ITD involved a peak-picking op-513 eration that could be less reliable in challenging conditions, 514 and the systematic changes in the CCF with the source az-515 516 imuth provided richer information that could be exploited by 517 the DNN.

When ILDs were not used, the localisation errors were largely 518 due to an increased number of front-back errors as suggested by 519 Fig. 6. For single-talker localisation in rooms B and D, without 520 using ILDs almost all the errors made by the systems were 521 front-back errors. When ILDs were used, the number of front-522 back errors were greatly reduced in all conditions. This suggests 523 that the ILD cue plays a major role in solving the front-back 524 confusions. ITDs or ILDs alone may appear more symmetric 525 between the front and back hemifields, but together with ILDs 526 they create the necessary asymmetries (due to the KEMAR head 527 with pinnae) for the models to learn the differences between 528 front and back azimuths. 529

Table III also lists localisation results of the GMM system 530 when using the same CCF-ILD feature set as used by the DNN 531 system. The GMM failed to extract the systematic structure in 532 the CCF spanning multiple feature dimensions, most likely due 533 to its inferior ability to model correlated features. The average 534 localisation accuracy is only 88.5% compared to 95% for the 535 DNN system, and again it suffered the most in more reverberant 536 conditions such as rooms B and D. 537

C. Benefit of the Head Movement Strategy

Table IV lists the gross localisation accuracies with or with-
out head movement. All systems were trained using the MCT539method and employed the respective best performing features541(GMM ITD-ILD and DNN CCF-ILD).542

Both the GMM and DNN systems benefitted from the use 543 of head movements. It is clear from Fig. 7 that the localisation errors were almost entirely due to front-back confusions in one-talker localisation. By exploiting the head movement, the systems managed to reduce most of the front-back errors and achieved near 100% localisation accuracies. In two- or threetalker localisation, the number of front-back errors was also 549

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TABLE IV GROSS LOCALIZATION ACCURACIES IN % WITH OR WITHOUT THE HEAD MOVEMENT WHEN LOCALIZING ONE, TWO, AND THREE COMPETING TALKERS IN THE FULL 360° AZIMUTH RANGE

| Model | Head move | Anechoic | | | Room A | | | Room B | | | | Room C | 2 | Room D | | | |
|-------|--------------|----------|-----|------|--------|------|------|--------|------|------|------|--------|------|--------|------|------|------|
| | | 1 | 2 | 3 | 1 | 2 | 3 | 1 | 2 | 3 | 1 | 2 | 3 | 1 | 2 | 3 | Avg. |
| GMM | no | 100 | 100 | 97.8 | 99.0 | 94.2 | 80.7 | 97.0 | 89.0 | 77.6 | 100 | 97.6 | 88.7 | 97.3 | 90.6 | 79.0 | 92.6 |
| | yes | 100 | 100 | 97.5 | 100 | 97.3 | 83.4 | 99.8 | 93.1 | 79.9 | 99.9 | 99.3 | 90.8 | 99.9 | 93.0 | 79.5 | 94.2 |
| DNN | no | 100 | 100 | 98.6 | 99.7 | 97.3 | 87.9 | 97.2 | 93.7 | 86.7 | 100 | 97.3 | 90.2 | 97.3 | 94.0 | 85.0 | 95.0 |
| | yes | 100 | 100 | 98.4 | 100 | 99.2 | 90.0 | 99.8 | 96.1 | 86.9 | 100 | 99.0 | 91.6 | 99.5 | 94.7 | 84.7 | 96.0 |

All systems were trained using the MCT method.





Fig. 7. Localization error rates produced by various systems with or without head movement when localizing one, two, or three overlapping talkers. Localization was performed in the 360° azimuth range so that front-back errors can occur, as indicated by the white bars for each system.



Fig. 8. Localization error rates produced by various systems with or without head movement, as a function of the azimuth. The histogram bin width is 20° . Here the error rates were averaged across the 1-, 2- and 3-talker localization tasks. Localization was performed in the full 360° azimuth range so that front–back errors can occur, as indicated by the white bars for each system.

reduced with the use of head movements. When overlapping talkers were present, the systems produced many localisation errors other than front-back errors, due to the partial evidence available to localise each talker. By removing most front-back errors, the systems were able to further improve the accuracy of localising overlapping sound sources. Fig. 8 shows the localisation error rates as a function of the 556 azimuth. The error rates here were averaged across the 1-, 2- 557 and 3-talker localisation tasks. Across most room conditions, 558 sound localisation was generally more reliable at more central 559 locations than at lateral source locations. This is particularly 560 the case for the GMM system, as shown in Fig. 8, where the 561



Fig. 9. Localization error rates produced by various systems as a function of the azimuth for the Auditorium3 task. Localization was performed in the full 360° azimuth range so that front-back errors can occur, as indicated by the white bars for each system.

localisation error rates for sources at the sides were above 20% even in the least reverberant Room A. It is also clear from Fig. 8 (white bars) that localisation errors were mostly not due to front-back confusions at lateral azimuths, and in this case the proposed DNN system outperformed the GMM system significantly.

568 At the central azimuths, on the other hand, almost all the localisation errors were due to front-back confusions. It is noticeable 569 that in more reverberant conditions (such as Rooms B and D), the 570 error rates at the central azimuths $[-10^{\circ}, 10^{\circ}]$ were particularly 571 high due to front-back errors for both the GMM and the DNN 572 systems when head movement was not used. The front-back 573 errors were concentrated at central azimuths, probably because 574 binaural features (interaural time and level differences) were 575 less discriminative between 0° and 180° than between the more 576 lateral azimuth pairs. 577

Finally, Fig. 9 shows the localisation error rates using the 578 Auditorium3 BRIRs in which head movements were more ac-579 curately simulated by loading the corresponding BRIR for a 580 given head orientation. Overall the DNN systems significantly 581 outperformed the GMM systems. For single-source localisation 582 the DNN system achieved near 100% localisation accuracy for 583 all source locations including the one at 131° in the rear hemi-584 field. The GMM system produced about 5% error rate for rear 585 source but performed well for the other locations. For two- and 586 three-source localisation, both GMM and DNN systems ben-587 efitted from head movements across most azimuth locations. 588 For the GMM system the benefit is particularly pronounced for 589 the source at 51°, with localisation reduced from 14% to 4% 590 in two-source localisation and from 36% to 14% in two-source 591 localisation. The rear source at 131° appeared to be difficult to 592 localise for the GMM system even with head movement, with 593 20% error rate in two-source localisation. The DNN system with 594 head movements was able to reduce the error rate for the rear 595 source at 131° to 8%. 596

In general the performance of the models for the 51° and 131° locations is worse than the other source locations when there are multiple sources present at the same time. This is more likely due to the nature of the room acoustics at these locations, e.g., they are further away from the listener and closer to walls. When the sources are overlapping with each other, there are less glimpses left for localisation of each source and with stronger 603 reverberation the sources at 51° and 131° became more difficult 604 to localise. 605

V. CONCLUSION 606

This paper presented a machine-hearing framework that com-607 bines DNNs and head movements for robust localisation of 608 multiple sources in reverberant conditions. Since simultaneous 609 talkers were located in a full 360° azimuth range, front-back 610 confusions occurred. Compared to a GMM-based system, the 611 proposed DNN system was able to exploit the rich information 612 provided by the entire CCF, and thus substantially reduced lo-613 calisation errors. The MCT method was effective in combatting 614 reverberation, and allowed anechoic signals to be used for train-615 ing a robust localisation model that generalised well to unseen 616 reverberant conditions and to mismatched artificial heads used 617 in training and testing conditions. It was also found that the 618 inclusion of ILDs was necessary for reducing front-back confu-619 sions in reverberant rooms. The use of head rotation further in-620 creased the robustness of the proposed system, with an average 621 localisation accuracy of 96% under acoustic scenarios where 622 up to three competing talkers and room reverberation were 623 present. 624

In the current study, the use of DNNs allowed higher-625 dimensional feature vectors to be exploited for localisation, in 626 comparison with previous studies [4]–[6]. This could be carried 627 further, by exploiting additional context within the DNN either 628 in the time or the frequency dimension. Moreover, it is possi-629 ble to complement the features used here with other binaural 630 features, e.g., a measure of interaural coherence [24], as well as 631 monaural localisation cues, which are known to be important for 632 judgment of elevation angles [25], [26]. Visual features might 633 also be combined with acoustic features in order to achieve 634 audio-visual source localisation. 635

The proposed system has been realised in a real world humanrobot interaction scenario. The azimuth posterior distributions from the DNN for each processing block were temporally smoothed using a leaky integrator and head rotation was triggered if a front-back confusion was detected in the integrated posterior distribution. Audio signals acquired during head rotation were not processed. Such a scheme can be more practical 640

for a robotic platform as head rotation often produces self-noise 643 which makes the audio unusable. 644

One limitation of the current systems is that the number of 645 646 active sources is assumed to be known a priori. This can be improved by including a source number estimator that is either 647 learned from the azimuth posterior distribution output by the 648 DNN, or provided directly as an output node in the DNN. The 649 current study only deals with the situation where sound sources 650 are static. Future studies will relax this constraint and address 651 652 the localisation and tracking of moving sound sources within the DNN framework. 653

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