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3 **Flexural fold structures and active faults in the northern-western**  
4 **Weihe Graben, central China**

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23

24 **Abstract**

25 Field investigations and analyses of tectonic topography related to late  
26 Pleistocene–Holocene activity of faults and fault-related flexural folds in the  
27 northern–western Weihe Graben, central China, reveal that: (1) four main active faults  
28 are present in the study area, the Beishan Piedmont Fault (BPF), the  
29 Kouzhen-Guanshan Fault (KGF), the Qishan-Mazhao Fault (QMF), and the Weihe  
30 Fault (WF), of which the BPF, KGF, and WF are normal faults whereas the QMF is a  
31 left-lateral strike-slip fault; (2) active flexural folds trending ENE–WSW are widely  
32 developed on the late Pleistocene–Holocene loess tablelands and alluvial fans, as well  
33 as on terrace risers; (3) vertical slip rates on the BPF, KGF, and WF are ~0.5–1.1  
34 mm/yr, and the left-lateral slip rate on the QMF is ~1.5 mm/yr; and (4) a recent  
35 seismic faulting event with a magnitude ( $M$ ) >7 occurred in the past ~3000 yr. Our  
36 results show that active faults and fault-related flexural folds are developing in the  
37 Weihe Graben under an ongoing extensional regime, with listric faulting occurring  
38 along pre-existing faults associated with the spreading of continental crust in  
39 intracontinental graben systems around the Ordos Block.

40

41 **Keywords:** active normal fault, strike-slip fault, flexural fold, listric fault model,  
42 Weihe Graben, Ordos Block

43

44 **1. Introduction**

45 Intracontinental rift systems generally contain normal faults, fault-related folds  
46 that are offset, and deformed sedimentary sequences deposited on basement rocks  
47 (e.g., Bradley and Kidd, 1991; Schlische, 1995; McNeill et al., 1997; Yeats et al.,  
48 1998; Khalil and McClay, 2002; McCalpin, 2009). However, while active normal  
49 faults that developed in extensional environments are widely reported in the literature  
50 (e.g., Yeat et al., 1997; Axen, 1999; Cowie and Roberts, 2001; Lin et al., 2013a; Rao  
51 et al., 2014), the flexural folds associated with active normal faulting, which record  
52 the deformation of unconsolidated sedimentary deposits, are rarely reported.

53 The Weihe Graben, an intracontinental graben system that has developed in an  
54 extensional regime around the Ordos Block, since the Eocene, provides a unique  
55 natural laboratory for studying the long-term tectonic history of active normal faults  
56 and related flexural fold structures (Fig. 1). Our research has revealed that active  
57 normal faults developed in the southeastern Weihe Graben, which are distributed in a  
58 zone <500 m wide along the southeastern border of the graben (Rao et al., 2014).  
59 These faults are characterized by a distinctive series of stepped fault scarps that dip  
60 into the graben at angles of 40°–71°, with an average dip-slip displacement rate of  
61 ~2–3 mm/yr (Rao et al., 2014). **The slip rates of main active faults in the northern and**  
62 **western graben, the target region of this study, are estimated to be on the order of**  
63 **0.1–0.5 mm/yr (Xu et al., 1988).** However, the deformational features **and dynamic**  
64 **mechanisms** associated with the active normal faults and fault-related flexural folds  
65 developed in the northern and western graben are still unknown, although previous  
66 studies have reported the presence of active faults based on geological and

67 geophysical data (e.g., Peng, 1992; Feng et al., 2003; Tian et al., 2003; Deng, 2007;  
68 Shi et al., 2009).

69 Late Pleistocene–Holocene activity on normal faults in the southeastern marginal  
70 zone of the Weihe Graben has been described by Rao et al. (2014), and  
71 paleoseismicity in this zone has been studied by Rao et al. (2015, this issue). In this  
72 study, we focused on active normal faulting and fault-related flexural folds in the  
73 northern–western Weihe Graben, adjoining the study area of Rao et al. (2014). We  
74 also discuss the formation mechanisms of active fault-related flexural fold structures  
75 in the extensional environment of intracontinental grabens around the Ordos Block.

76

## 77 **2. Terminology**

78 The term *flexural fold* is a general term used in structural geology to describe  
79 flexural flow folds and flexural slip folds in sedimentary rocks with good layering.  
80 The deformation mechanisms that produce flexural folds include both folding and slip  
81 along layer boundaries, as well as some flow within the layers. In contrast, the term  
82 *active flexural fold*, which is used in studies of active tectonics, refers to flexural flow  
83 folds developed in weakly consolidated and/or unconsolidated sedimentary deposits  
84 during recent geological time. An *active flexural fold*, which is also called an *active*  
85 *flexure*, corresponds to the term *active fault* in Japan (Research Group for Active  
86 Faults in Japan, 1991). Generally, it is difficult to recognize whether or not slip has  
87 occurred along the boundaries of sedimentary layers in active flexural folds, as  
88 deformation in weakly consolidated and/or unconsolidated sediments is often not

89 orderly, and outcrops are often not available for observing flexural slip fold structures  
90 in the field. Therefore, in this paper we use the term *flexural fold* to describe all  
91 varieties of active flexural folds, including flexural flow and flexural slip folds, folds  
92 involving the deformation of weakly consolidated and/or unconsolidated sediments,  
93 and folds generating waveform landforms.

94

### 95 **3. Tectonic setting**

96 The Weihe Graben is located in the northeast border area of the Tibetan Plateau,  
97 at the southern margin of the stable Ordos Block, along a tectonic boundary between  
98 the North China Block (NCB) **in the north and the Qinling orogenic belt bounded by**  
99 **the South China Block (SCB) in the south** (Fig. 1). As a result of crustal extension, the  
100 Weihe Graben has received sedimentary deposits since the Eocene of up to ~7000 m  
101 in thickness, concomitant with the uplift of mountainous blocks along both the  
102 southern and northern borders of the graben (SSB, 1988; Zhang et al., 1998). In the  
103 western region, a watershed divide is present along a gap between the Weihe Graben  
104 and the Yinchuan Graben, separating the north–northeastward flowing Yellow River  
105 and the eastward flowing Weihe River (Fig. 1b). This divide is considered to be the  
106 result of folding and uplifting along the northeastern margin of the Tibetan Plateau, as  
107 well as rifting around the Ordos Block, which is related to collision between the  
108 Indian and Eurasian plates (Lin et al., 2001). Topographically, the graben is sharply  
109 bounded by the Qinling Mountains to the south along the northern Qinling Piedmont  
110 Fault (QPF), where the topographic relief is on the order of 500 m; in contrast, the

111 northern side of the graben is characterized mainly by deformed and displaced  
112 Quaternary loess and alluvial deposits along a gently sloping boundary with the  
113 southern margin of the Ordos Block (Fig. 1c; SSB, 1988). Both the Qinling  
114 Mountains and the Ordos Block are composed of **Precambrian** metamorphic basement  
115 rocks.

116 Historical records show that more than 10 large historical earthquakes of  $M \geq 7$ ,  
117 including four with  $M \geq 8$ , have occurred in the graben systems around the Ordos  
118 Block; five of those earthquakes occurred in the Weihe Graben (Fig. 1b; SSB, 1988;  
119 Deng, 2007). The 1556  $M \sim 8.5$  Huaxian earthquake, which caused >830,000 deaths,  
120 ruptured an active fault zone for up to 70 km along the southeastern margin of the  
121 Weihe Graben (e.g., Kuo, 1957; Wang, 1980; SSB, 1988; Xie, 1992; CENC, 2007).  
122 Instrumentally recorded earthquakes of  $M \geq 5$  have been concentrated in the graben  
123 systems around the Ordos Block, but none have been recorded in the interior of the  
124 Ordos Block (Fig. 1b). Paleoseismic studies reveal high levels of historical seismicity,  
125 and focal mechanisms indicate that normal faults in the Weihe Graben are  
126 seismogenically active, under the influence of an intracontinental extensional regime  
127 (e.g., SSB, 1988; Zhang et al., 1998; Deng, 2007; Rao et al., 2015, this issue).

128

## 129 **4. Flexural folds and active faults**

### 130 **4.1. Topographic features of flexural folds**

131 Active faults and flexural folds were identified in this study by using  
132 30-m-resolution ASTER global digital elevation model (GDEM) data, high-resolution

133 Google images and field investigations (Figs 1c and 2). Multi-perspective views of the  
134 topography made it possible to identify the active faults and tectonic topography of  
135 flexural folds more easily than by using traditional methods, such as aerial  
136 photographs (Figs 1c and 2).

137 Topographically, the Weihe Graben is irregular in shape, being narrow in the  
138 western area along the course of the Weihe River, and connected with the Shanxi  
139 Graben in the east (Fig. 1b and c). In the study area, the graben is linearly bounded by  
140 the Qinling Mountains in the south and is irregularly bounded by the Beishan  
141 Mountains in the north (Fig. 1c). Loess tablelands, which are extensively developed in  
142 the northern portion of the graben, can be divided into two groups: (1) Loess  
143 Tableland (I), which formed at 1.3–1.5 Ma, and (2) Loess Tableland (II), which  
144 formed at 0.9 Ma (based on stratigraphic sequences and age dating) (Fig. 1c; Feng et  
145 al., 2003). These loess tablelands have been deformed into waveform landforms, with  
146 a wavelength of ~2–5 km and a wave height of <100 m (generally 20–50 m) (Figs  
147 2–4). Such waveform topography has also been developed on the younger alluvial  
148 fans and terraces in the graben (Figs 2 and 3). Stratigraphic sequences reveal that the  
149 thicknesses of late Pleistocene loess deposits covering the alluvial and fluviolacustrine  
150 sediments on the northern and western side of the Weihe Graben are up to ~150 m  
151 (Feng et al., 2003). Both the topographic features and unconsolidated sedimentary  
152 sequences indicate that the originally horizontal sediment layers were deformed into  
153 waveform folds during the late Pleistocene and Holocene, and that the waveform folds  
154 show structural characteristics of active flexural folds, as previously reported from

155 other areas of the world (Yeats et al., 1997). The active flexural folds are mainly  
156 distributed in two areas, and enclosed by the main active faults (Figs 1c and 2).  
157 Topographically, the ENE-trending waveform belts of the active flexural folds are  
158 obliquely truncated at the main active faults, and they appear as echelon patterns in  
159 the anticline traces (Fig. 2). The axes of the flexural folds are oriented ENE–WSW,  
160 and show continuous individual trends ~5–30 km in length, parallel to the northern  
161 margin of the graben (Fig. 2).

162 The flexural folds of the waveform landforms can also be observed in the field,  
163 where they appear as gentle slopes on either sides of the fold axes, with slope angles  
164 of 5–10° (Fig. 3). The loess soil layers and old surface soil layers observed in the field  
165 appear as anticlinal structures on folds (Fig. 3b).

166

#### 167 **4.2. Active faults**

168 The analysis of 3D perspectives of GDEM data and Google images, together with  
169 field investigations, showed the presence of four main active faults in the study area:  
170 the Beishan Piedmont Fault (BPF), the Kouzhen-Guanshan Fault (KGF), the  
171 Qishan-Mazhao Fault (QMF), and the Weihe Fault (WF), as well as one inferred fault,  
172 the Qinling Piedmont Fault (QPF), located along the southern marginal zone of the  
173 Weihe Graben (Fig. 1c). The active faults are all developed along topographic  
174 boundaries between the mountains and the graben, and within the loess tablelands,  
175 where they are characterized by stepped fault scarps ranging from a few meters up to  
176 ~500 m in relief (Figs 1c, 2a, and 4). The BPF and QMF are developed along the



177 boundary between the Beishan Mountains and the Weihe Graben, and the QPF is  
178 distributed in the southern marginal zone of the Weihe Graben, bounded by the  
179 Qinling Mountains to the south (Fig. 1c). The E–W striking WF mostly follows the  
180 course of the Weihe River in the Weihe Graben, from west to east in the study area.  
181 The 3D-perspective GDEM data and Google images show that the alluvial fans and  
182 terrace risers are not deformed by the QPF, and there is no field evidence (either  
183 outcrop or topographic) that indicates recent fault activity, although we have  
184 conducted detailed field mapping along the inferred fault trace. Therefore, we infer  
185 that the QPF is a blind fault, and we here describe only the structural features of the  
186 other four active faults (BPF, KGF, QMF, and WF).

187

#### 188 **4.2.1. Beishan Piedmont Fault (BPF)**

189 The BPF is located along the northern–northwestern marginal zone of the Weihe  
190 Graben, bounded by the Beishan Mountains. The fault strikes SW–NE and extends  
191 for >200 km in the study area (Fig. 1c). Topographic profiles show apparent vertical  
192 offsets along the BPF of 180–500 m, but offsets are generally in the range of 250–350  
193 m (Fig. 4a–d and 4f–i). Thicknesses of Quaternary sedimentary sequences bounded by  
194 the mountains in the northern marginal zone are ~100–200 m (Peng, 1992); therefore,  
195 the total vertical offset of the BPF is estimated to be >500 m since the Quaternary.  
196 Stepped fault scarps are observed along the fault trend, and are identifiable in the 3D  
197 images (Figs 2 and 5).

198 A fault outcrop is exposed in one of the stepped fault scarps at a construction site

199 (Fig. 5b and c). The fault cuts the loess soil layers and covering sandy soil layers; it  
200 strikes SW–NE and dips to the SE at  $\sim 60^\circ$  (Fig. 5c). The loess soil layers are tilting to  
201 the SE at  $\sim 5^\circ$ ; the layers contain carbonaceous materials (shells) yielding radiocarbon  
202 dating ages of  $>43,500$  yr B.P. (Fig. 5c; Table 1). The sandy soil deposits in the  
203 hanging wall of the fault are bedded and contain some gravel layers, indicating an  
204 alluvial origin, probably associated with the Jin River (Figs 2b and 5c).

205

#### 206 **4.2.2. Kouzhen-Guanshan Fault (KGF)**

207 The KGF strikes E–W and extends for  $\sim 140$  km from the Beishan Mountains to  
208 the center of the Weihe Graben (Fig. 1c). The fault cuts through loess tablelands and  
209 alluvial fans originating from southeastward flowing rivers, and intersects the  
210 escarpment of the Beishan Mountains at an oblique angle (Figs 1c, 2a, and 4a). A  
211 continuous fault scarp extends for  $\sim 100$  km, developed on the Loess Tableland (I) and  
212 (II), and on alluvial fans and terrace risers (Figs 4e, f, and 6a). Topographic profiles  
213 show that apparent vertical offsets range from 80 m on the alluvial terrace risers to  
214  $\sim 340$  m on the loess tablelands (Fig. 4e and f). Along the fault scarp, representative  
215 outcrops intersecting the fault are found at Locs 3–7, which are described in detail  
216 below (Figs 6–8).

217 Locations 3 and 4 are exposed along the right and left banks of the Yeyu River,  
218 along a high fault scarp developed on alluvial terrace risers bounded by the Beishan  
219 Mountains (Fig. 6). The fault is exposed at the boundary between basement rocks and  
220 alluvial and loess deposits, where the current river forms a waterfall (Fig. 6b–f).

221 Along both sides of the Yeyu River, the terrace surfaces are ~35–45 m above the  
222 current river channel.

223 A topographic profile shows that the elevations of alluvial terraces on the hanging  
224 and footwall sides of the fault are 530 and 430 m (Fig. 4d), respectively, which are  
225 comparable with the T2 terrace riser (developed in the area near this site) reported by  
226 Xu et al. (1988) in its distribution elevation and height from the current river channel.  
227 Based on the stratigraphic sequences and dated ages, the T2 terrace is inferred to have  
228 formed during the late Pleistocene (128 ka) (Xu et al., 1988). Thermoluminescence  
229 dating of the loess material covering the alluvial deposits of the T2 terrace provides an  
230 age of  $76 \pm 10$  ka (Tian et al., 2003). Therefore, we infer that the terrace riser at this  
231 location formed during the late Pleistocene, approximately 76–128 ka.

232 The main fault plane of the KGF strikes E–W and dips south at  $\sim 45\text{--}60^\circ$  (Fig.  
233 6b–f); slickenside striations and grooves on the fault plane show a slip vector  
234 plunging  $85^\circ\text{S}$ , indicating normal slip with a very small horizontal slip component  
235 (Fig. 6e–f). The alluvial sediments and loess deposits in the hanging wall are  
236 truncated by the fault zone, which is  $\sim 50$  cm wide and composed of fault breccia and  
237 gouge, along which sediment layers are tilted toward the downthrown side; the  
238 pebbles in the alluvial sediments are mostly oriented parallel–subparallel to the main  
239 fault plane (Fig. 6b–c). These features indicate that the fault is a normal fault dipping  
240 toward the Weihe Graben.

241 Locations 5 and 6 occur on the southern edge of Loess Tableland (II) along the  
242 fault scarp on the eastern segment of the KGF, between the Shichuan and Qingyu

243 rivers (Fig. 7; see Fig. 6a for the locations). At Loc. 5, some ground fissures were  
244 present under the fault scarp, in a zone <10 m wide (Fig. 7a and b). These ground  
245 fissures possess extensional features, including many extensional cracks that had not  
246 been filled by surficial materials (Fig. 7b). Creep movement of ground fissures is  
247 widespread throughout the Weihe Graben, and is considered to be the result of ground  
248 subsidence caused by human activity, not tectonic faulting (Japan–China Cooperative  
249 Research Xian Group, 1992). At Loc. 6, the loess deposits are offset by a fault that  
250 strikes N30°E and dips SE at 40°. The calcareous materials collected in the loess  
251 deposits at both Locs 5 and 6 yield radiocarbon ages of 37,150–37,370 yr B.P. (Fig. 7c,  
252 d; Table 1), indicating fault activity in the late Pleistocene–Holocene.

253       Location 7 occurs at a quarry in alluvial sands and pebbles, exposed on the lowest  
254 terrace riser of the Shichuan River along the eastern segment of the KGF (Fig. 8a; see  
255 Fig. 6a for the location). Near this location, a fault scarp ~2 m in height (at Loc. 7) on  
256 the terrace risers extends continuously for >100 m (Fig. 8a). The fault strikes N64°E  
257 and dips southeast at 72°, and cuts alluvial deposits composed of bedded sand  
258 pebbles; the pebbles along the fault are mostly oriented parallel–subparallel to the  
259 fault plane (Figs 8b, c, and 9). The footwall consists mainly of sand–pebble layers; the  
260 hanging wall, in contrast, is composed of interbedded sand and sand–pebble layers  
261 containing peaty materials, which yielded a radiocarbon age of  $3120 \pm 30$  yr B.P. (Fig.  
262 9, Table 1). The structural features and ages indicate that a seismic faulting event  
263 occurred in the past ~3100 yr, which displaced the alluvial deposits at least ~2 m  
264 vertically (Fig. 9).

265

### 266 **4.2.3. Weihe Fault (WF)**

267 The WF, developed in the central part of the Weihe Graben, strikes E–W to  
268 ENE–WSW; the fault trace roughly coincides with the course of the Weihe River  
269 channel, and extends along the Weihe Graben for >250 km (Fig. 1c). The eastern  
270 segment of the WF (east of Xian City) is buried, and cannot be recognized from  
271 topographic features (Fig. 1c), although geophysical data show a sharp boundary in  
272 the isobathic contours of basement rocks, indicating the presence of the fault (Peng,  
273 1992). The western segment (west of Xian City) forms the boundary between loess  
274 tableland and lower surfaces formed by Weihe River drainages (Figs 1c and 2b).  
275 Topographic profiles show that the loess tablelands have been vertically offset by up  
276 to ~55–200 m, with offsets generally being 50–100 m (Fig. 4f–k), and that the upper  
277 terrace risers (T2) and lower terrace risers (T0) have been deformed as waveform and  
278 offset by ~35 m and ~15 m, respectively (Fig. 10a–d); these data indicate an  
279 accumulation of vertical offset on the terrace risers. The fault scarp developed on the  
280 lowest terrace riser strikes E–W and is perpendicular to the current channel of the  
281 Qihe River (Fig. 10a), and is linked to the high fault scarps developed on the upper  
282 terrace (T2); this fault scarp, which can be observed in the field (Fig. 10b–e), is  
283 continuous for >5 km. The fault is exposed in three representative outcrops (Locs  
284 9–11), described below.

285 At Loc. 9, under the fault scarp, the surface soil and underlying sandy soil layers  
286 containing gravel have been offset by two faults along which the old brownish surface

287 soil layer and sandy soil layer have been dragged (Fig. 11). Locally, the brownish soil  
288 materials are injected as veins into the sandy soil layer, with the veins extending for  
289 ~50 cm and terminating sharply; the veins contain organic and peaty materials  
290 yielding a radiocarbon age of  $3540 \pm 30$  yr B.P. (Fig. 11b and c; Table 1). Such  
291 injection veins in active fault shear zones have been commonly reported elsewhere;  
292 they are generally thought to form rapidly during large earthquakes, indicating  
293 co-seismic ruptures in a seismogenic fault zone (e.g., Lin et al., 2012, 2013b). The  
294 main WF fault plane strikes N38°E and dips SE at 46° (Fig. 11b). The downthrown  
295 hanging wall and the deformation features of the dragged old surface soil layer  
296 indicate displacement on a normal fault.

297 Location 10 is on a fault scarp developed on the lowest terrace riser (T1) of the  
298 Qihe River (Fig. 10a, c, and e–g). The weakly consolidated alluvial deposits  
299 composed of sandy soil with gravel are juxtaposed against unconsolidated surface  
300 soils by the fault, which dips at 30–40° (Fig. 10g). The vertical offset of ~14 m, which  
301 was measured in a water channel 5 m east of the outcrop (Fig. 10e), is comparable to  
302 the height of the fault scarp as measured from the topographic profile (Fig. 10c).

303 Location 11 is exposed at a construction site on the fault scarp, 10 km west of Loc.  
304 10 (Fig. 10h; see Fig. 2b for location). Weakly consolidated sandy soil is sharply  
305 juxtaposed against an unconsolidated surface soil layer by the fault, which strikes  
306 N38°E and dips SE at 46° (Fig. 10h).

307

#### 308 4.2.4. Qishan-Mazhao Fault (QMF)

309 Analysis of GDEM data and Google images, together with field investigations,  
310 shows that the QMF is mainly located in the northwestern marginal zone of the Weihe  
311 Graben (Figs 1c and 12a). The fault strikes WNW–ESE and extends for >120 km, and  
312 terminates at the WF in the southeastern portion of the area (Fig. 12a).  
313 Topographically, the northwestern segment of the fault follows the boundary between  
314 the mountains and Loess Tableland (I), while the eastern segment of the fault cuts  
315 Loess Tableland (I) and is characterized by a straight lineament as observed in 3D  
316 images (Fig. 12a and c).

317 Channels of fluvial drainages (R1–R16) cutting across the QMF flow  
318 southwestward from the Beishan Mountains and are widespread on Loess Tableland  
319 (I); these drainage systems are systematically deflected **sinistrally** across the fault (Fig.  
320 12b–e). The Wei River (R17), which flows eastward, is also **sinistrally** offset to the  
321 southeast of Loc. 12 (Fig. 12d and e). Channels R1–R8 and R15–R17 are developed  
322 on Loess Tableland (I), while channels R9–R14 are developed in the mountains on  
323 basement rock. The offsets of **sinistrally** deflected stream channels were measured  
324 along the fault using the perspective view of processed GDEM data (Fig. 12b–e). For  
325 river channels without distinct deflection points, the offsets were measured by  
326 projecting the trends of both the upstream and downstream sections to the fault trace  
327 (Fig. 12c and e), using the measurement method of Maruyama and Lin (2000, 2002),  
328 with the distance between the respectively projected points representing the amount of  
329 offset. Measurement uncertainties result mainly from errors in projecting the

330 drainages to the fault, or to locating the deflections of the points piercing the fault, and  
331 are approximately proportional to the amount of deflection. The amounts of **sinistral**  
332 deflection and/or offset of these channels are in the range of 400–3500 m (Fig. 12b–e;  
333 Table 2).

334 Two representative outcrops exposing the QMF are found along a fault scarp near  
335 deflected river channels R15–R17, developed on Loess Tableland (I) (Locs 12 and 13;  
336 Fig. 12a). Near Locs 12 and 13, the fault scarp facing northeast shows topographic  
337 features of waveform landforms similar to those formed by flexural folds, which are  
338 observed in the northeastern region of the study area (Fig. 13a and e). At Loc. 12,  
339 stepped faults can be observed along the fault scarp (Fig. 13b–d). The alluvial  
340 sediment layers, composed of interbedded loess soil and sandy soil layers, are offset  
341 by distinct fault planes that dip NE at 40–56° (Fig. 13b–d). At Loc. 13, the fault is  
342 exposed at a construction site on a scarp >50 m long (Fig. 13e). The loess deposits  
343 and old brownish surface soil layers are dragged and offset by the fault. The  
344 sedimentary layers, including the old surface soil layers observed at these two  
345 locations, are dragged and offset by the stepped faults, indicating an apparent normal  
346 slip component (Fig. 13**c-g**).

347

## 348 **5. Discussion**

### 349 **5.1. Active normal faulting and flexural folding mechanisms**

350 Topographic deformation features associated with active normal faults and  
351 fault-related flexural folds are commonly responsible for active fault–fold structures



352 in present-day extensional environments; distinctive features of such structures  
353 include the dip angles of normal faults, listric fault geometry, and reverse-drag folds.  
354 One characteristic of listric faults developed in graben systems is that, in order to  
355 maintain geometric compatibility, sedimentary beds in the hanging wall must rotate  
356 and dip towards the fault plane (Fig. 14a and b). Commonly, listric faults involve a  
357 number of en echelon faults that sole into a low-angle master detachment (Shelton,  
358 1984).

359 Geophysical data reveal that active normal faults developed in basin sediments  
360 are constrained by pre-existing faults that extend to the lower crust (Peng, 1992).  
361 Previous studies have shown that active seismogenic faults with coseismic surface  
362 rupture zones mostly develop along pre-existing faults (e.g., Lin et al., 2002, 2009,  
363 2013a, b). The flexural folds developed in basin sedimentary deposits lying on  
364 basement rocks are synchronously deformed by listric faulting along preexisting faults  
365 accompanying block rotation (Fig. 14a and b). In the study region, the distribution  
366 patterns of flexural folds surrounded by active normal faults are, as stated above,  
367 indicative of the development of flexural folds constrained mainly by active normal  
368 faulting. Seismic reflection profiles across the WF reveal that the near surface  
369 Quaternary sedimentary layers of the terrace risers in both sides of the WF have been  
370 folded and reversely tilted to the north (Feng et al., 2008; Shi et al., 2008, 2009).  
371 Geological data including the drilling data and field investigations show that the  
372 fluviolacustrine silt-clay layers overlain by the loess layers have been deformed as an  
373 uneven and waveform distribution of the top bedding surface with different elevation

374 in the hanging wall of the WF (Feng et al., 2003). These geophysical and geological  
375 data support our findings that the waveform landforms developed on the loess  
376 tablelands and alluvial fans, as well as on terrace risers in the Weihe Graben are  
377 caused by active flexural-folding.

378 Topographically, the alluvial fans sourced from the Beishan Mountains are tilted  
379 to the south–southeast; however, currently they are tilting towards the  
380 north–northwest on the north–northwestern side of fold axes (Figs 1 and 2). Active  
381 normal faults, developed on both the northern and southern margins of the Weihe  
382 Graben, are characterized by pure dip-slip displacement (Fig. 1c; Rao et al., 2014),  
383 indicating a NNW–SSE extensional stress direction, which is consistent with the  
384 regional extensional stress direction inferred from earthquake focal mechanisms (Ma,  
385 1989). In contrast, the axes of folds and flexural folds show a general NE–NNE trend,  
386 indicating a NW–SE to NNW–SSE compressive stress, which is incompatible with  
387 the stress regimes of active faults in the graben. This inconsistency between the stress  
388 vectors acting on the normal faults and the flexural folds can be interpreted by a listric  
389 fault model based on lithospheric (crustal) thinning of the Weihe Graben relative to  
390 neighboring regions (Fig. 14a and b); the thinning is considered to be the result of  
391 extension in the lower crust due to underlying asthenospheric mantle flow (Fig. 14c),  
392 as revealed by geophysical observations (e.g., Huang et al., 2008; Bao et al., 2011).  
393 Our results show that the structural features of the active flexural folds and active  
394 normal faults observed in the Weihe Graben are constrained by lithospheric (upper to  
395 lower crustal) structures.

396

## 397 **5.2. Slip rates of active faults**

398 Previous studies have estimated slip rates on active normal faults in the Weihe  
399 Graben, mainly on the faults in the eastern and southeastern portion of the graben (e.g.,  
400 Li and Ran, 1983; Deng et al., 2003; SSB, 1988); only a few studies, however, have  
401 reported the recent activity on the faults in the study area (Xu et al., 1988; Tian et al.,  
402 2003). Xu et al. (1988) inferred slip rates on the BPF, KGF, and WF in the study area  
403 on the order of 0.1–0.5 mm/yr, based mainly on sedimentary sequence data obtained  
404 from drilling records, but without detailed mapping of fault distributions or  
405 examination of topographic profile data associated with surface deformation markers.  
406 The QMF has been considered as a normal fault, similar to the others developed in the  
407 graben (Xu et al., 1988; SSB, 1988; Deng et al., 2003); however, estimates of slip  
408 rates on the QMF are still not available because of the paucity of geological data for  
409 this fault.

410 As stated above, the Pleistocene–Holocene terrace risers, alluvial fans, and loess  
411 tablelands have all been systematically displaced, and we have used them in this study  
412 as topographic surface markers for estimating slip rates. Loess Tableland (I) and (II),  
413 which are widely developed in the study area (Figs 2, 6a, and 12a), served as reliable  
414 displacement markers in this study, as alluvial sediment layers deposited on the Loess  
415 Tablelands (I) and (II) formed in the late Pleistocene, at 1.3–1.5 Ma and 0.9 Ma,  
416 respectively (Feng et al., 2003). The alluvial fans and terrace risers developed during  
417 the late Pleistocene–Holocene (128–10 ka) in areas along the Weihe River, and its

418 branch streams developed on the loess tablelands are also used as surface

419 displacement markers for estimating slip rates (Figs 6 and 10).

420 In the northeastern area, in the area of the BPF, the Loess Tableland (II) is  
421 vertically offset by 180–500 m (Figs 2a, 4a–c, and 4f–h), indicating a vertical slip rate  
422 of 0.18–0.5 mm/yr, which is comparable to the slip rate estimated by Xu et al. (1988).  
423 For the KGF, as stated above, the T2 terrace riser that formed in the late Pleistocene  
424 (76–128 ka) is offset by ~80 m at Locs 3 and 4 (Figs 4d and 6b–f), corresponding to a  
425 vertical slip rate of 0.6–1.1 mm/yr. This value for the slip rate is approximately two  
426 times larger than that estimated by Xu et al. (1988). The difference between our  
427 results and those of previous studies may be the result of the ages used in the  
428 calculations. The slip rates estimated in this study for the BPF and KGF are values  
429 averaged over the last ~1 Ma, but Holocene slip rates are not well constrained because  
430 of a lack of reliable age dating and fault outcrops. Thus, more work is required to  
431 assess the extent of recent activity on these two faults.

432 The WF was inferred to be a blind active fault with no surface expression (SSB,  
433 1988; Xu et al., 1988), although drilling and seismic profiling reveal the presence of  
434 the fault in the subsurface (Shi et al., 2009). Therefore, no data were available in this  
435 study for estimating the late Pleistocene–Holocene slip rate of the WF. However, we  
436 found that the lowest and highest terrace risers, T0 and T2, are offset by ~15 m and 35  
437 m, respectively, indicating that vertical offset accumulated in these risers, as shown in  
438 Fig. 10. The lowest terrace riser is composed of alluvial sandy soil deposits with  
439 gravel, but a loess soil layer is absent (see Fig. 10g). The absence of a loess soil layer

440 on the lowest terrace riser indicates that the alluvial deposits formed after the  
441 formation of the youngest loess soil layer (called S<sub>0</sub>), and thus is inferred from  
442 radiocarbon dating to have formed at 10,300 yr B.P. (Liu et al., 1994). Radiocarbon  
443 dating of the alluvial sediments at a depth of ~2 m on the terrace riser at Loc. 7 shows  
444 an age of ~3120 yr B.P. (Fig 9). Considering the depositional rate of alluvial deposits,  
445 we infer that the lowest terrace riser surface formed in the Holocene. Using the upper  
446 age limit of 10,300 yr for terrace riser T<sub>0</sub> and an offset amount of 15 m measured at  
447 Loc. 10, we calculated a vertical slip rate of 1.5 mm/yr for the WF. Our results are  
448 comparable with the slip rate of 2-3 mm/yr estimated for the active normal faults in  
449 the southeastern Weihe Graben (Rao et al., this issue).

450 The QMF was inferred to be a normal fault based on the distribution of the  
451 features of loess tablelands and strata (SSB, 1988; Feng et al., 2003). However, as  
452 stated above, the systematic deflection and/or offset of streams show that the fault is a  
453 left-lateral strike-slip fault. Previous study shows that the western Weihe Graben  
454 around the QMF is a collision area between the northeastern margin of the Tibetan  
455 Plateau and the southwestern corner of the Ordos Block (Lin et al., 2001), and GPS  
456 observations along the QMF indicate an eastward to ESE-ward movement of the  
457 western side of the QMF (Qu et al., 2014). These data show that the western side of  
458 the Weihe Graben is a compressional area under an E-W to ENE-WSW compressive  
459 stress that can cause a left-lateral strike-slip component along the NW-trending fault,  
460 and therefore support our findings that the QMF is a left-lateral strike-slip fault.

461 The drainage systems developed along intracontinental fault zones have long

462 been recognized as important and reliable geomorphic markers for understanding the  
463 deformational features of active strike-slip faults (e.g., Matsuda, 1967, 1975;  
464 Maruyama and Lin, 2000, 2002, 2004; Lin et al., 2002). Deflection patterns of the  
465 R1–R8 and R15–R17 stream channels developed on Loess Tableland (I) indicate that  
466 the deflections occurred after the formation of Loess Tableland (I), or post 1.3–1.5 Ma  
467 (Feng et al., 2003). Using the maximum offsets of 1500–1850 m observed on the R5  
468 and R15 rivers developed on Loess Tableland (I), we calculated a strike-slip  
469 displacement rate of ~1–1.5 mm/yr for the QMF.

470

### 471 **5.3. Paleoseismicity**

472 Xi'an City, the largest city in the Weihe Graben and one of the ancient capitals of  
473 China, has experienced numerous destructive earthquakes during its long history.  
474 Historical documents record seven large earthquakes of  $M > 6$  in the past ~3000 years,  
475 including the 1556  $M \sim 8.5$  Huaxian great earthquake and three large earthquakes ( $M 6.5$ ,  
476 1958;  $M 6.75$ , 1568; and  $M 7.0$ , 780 BC) that have occurred in the study area (Xu et al.,  
477 1988). Based on the historical records, these three large historical earthquakes are  
478 thought to have occurred near the intersection of the KGF and BPF on the eastern  
479 segment of the WF, near Xian City, and near the intersection of the QMF and BPF on  
480 the western side of the graben (Xu et al., 1988). The study of large-magnitude  
481 earthquakes that occurred prior to the availability of routine instrumental  
482 measurements is based mainly on historical documents and field observations.  
483 Significant uncertainties often exist regarding the locations of epicenters, the

484 magnitudes, and the actual extent of damage (including the number of fatalities),  
485 caused by historical earthquakes, as reliable records are generally restricted to settled  
486 regions (Lin et al., 2013c). Thus, although the locations of the above three large  
487 historical earthquakes (M 6.5, M 6.75, and M 7.0) are uncertain, the inferences about  
488 epicentral areas may be reliable on account of the detailed historical documents  
489 preserved in the records of the ancient capital of Xi'an City.

490 As stated above, field evidence shows four main active faults in the study area,  
491 cutting the loess tablelands and alluvial deposits, and showing evidence of seismic  
492 activity in the late Pleistocene–Holocene. At Loc. 7, the KGF cuts alluvial deposits of  
493 the lowest terrace with a vertical offset of  $\geq 2$  m, and the calcium material in the  
494 deposits yielded a radiocarbon age of 3120 yr B.P. (Fig. 9; Tables 1 and 2); this  
495 indicates that the lowest terrace riser formed in the late Holocene. The presence of a  
496 2-m-high fault scarp cutting the lowest terrace riser at Locs 5–7 shows that a faulting  
497 event occurred since the formation of the terrace riser (Figs 6a and 7–10). A previous  
498 study showed that surface rupturing in the graben systems around the Ordos Block is  
499 generally related to  $M > 7$  earthquakes (SSB, 1988). Accordingly, we infer that a large  
500 seismic faulting event with a magnitude of  $M > 7$  occurred on the KGF sometime  
501 since 3120 yr B.P..

502 Recent activity on the WF can be inferred from data at Locs 9–11, where old  
503 surface soil materials included in loess deposits and the lowest terrace risers are offset  
504 by the fault (Figs 9–11). At Loc. 9, two surface soil layers are interbedded with the  
505 loess layers, which are all offset by two faults (Fig. 11). Radiocarbon dating shows

506 that one of the old surface soil layers formed at ~3520 yr B.P. (sample no. 20140910;  
507 Table 1), indicating that at least one faulting event has occurred on the WF since 3520  
508 yr B.P. (Fig. 11; Table 1). The timing of this event is similar to that inferred for the  
509 KGF (Loc. 7), but we have no evidence that the faulting in the two locations was  
510 caused by the same event. Also, we do not know whether either of these two events  
511 correspond to the 1568 M 6.5 earthquake that occurred in the northern marginal zone  
512 of the graben (Fig. 1b). Therefore, more work is required to fully understand the  
513 details associated with paleo- and historical earthquakes, and to understand which  
514 active fault might have triggered the 1568 event; such studies are warranted by the  
515 seismic hazards that are present in this densely populated region.

516

## 517 **6. Conclusions**

518 We have reached the following conclusions based on an analysis of  
519 remote-sensing images, field investigations, and derived radiocarbon ages.

- 520 1) Four main active faults are present in the study area: the Beishan Piedmont Fault  
521 (BPF), the Kouzhen-Guanshan Fault (KGF), the Qishan-Mazhao Fault (QMF),  
522 and the Weihe Fault (WF). The BPF, KGF, and WF are normal faults, while the  
523 QMF is a **left-lateral** strike-slip fault.
- 524 2) Active flexural folds trending ENE–WSW are widely developed on the late  
525 Pleistocene–Holocene loess tablelands and alluvial fans and terrace risers; these  
526 folds probably formed by active listric faulting associated with the spreading of  
527 continental crust in the intracontinental graben systems around the Ordos Block.



528 3) Vertical slip rates on the active normal faults (BPF, KGF, and WF) are estimated  
529 to be ~0.5–1.1 mm/yr, and the horizontal slip rate on the active **left-lateral**  
530 **strike-slip** fault (QMF) is estimated to be ~1.5 mm/yr.

531 4) The most recent seismic faulting event in the study region, with a magnitude of  
532  $M > 7$ , is inferred to have occurred during the last ~3000 yr.

533 Our results reveal that the structural features of active flexural folds and normal  
534 faults observed in the study area are constrained by lithospheric structures in the upper  
535 to lower crust and tectonic movements in neighboring regions.

536

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545

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676

677 **Figure captions**

678 Figure 1. (a) Location map of the Weihe Graben, showing the distribution of major  
679 active faults and large historical earthquakes in the graben systems around the  
680 Ordos Block; modified from Deng (2007). (b) Inset map showing the tectonic  
681 background. ATF, Altyn Tagh Fault; HYF, Haiyuan Fault; KLF, Kunlun Fault;  
682 GZ-YSF, Ganzi-Yushu Fault; XSHF, Xianshuihe Fault; SCB, South China  
683 Block; NCB, North China Block; LSTB, Longmen Shan Thrust Belt. (c)  
684 Color-shaded relief map showing the location and topographic features of the  
685 study area. The red star indicates the epicenter of the 1556  $M\sim 8.5$  Huaxian  
686 earthquake (SSB, 1988; CENC, 2007). HPF, Huashan Piedmont Fault;  
687 NMF–WLT, North Margin Fault of the Weinan Loess Tableland; BPF,  
688 Beishan Piedmont Fault; QPF, Qinling Piedmont Fault; KGF,  
689 Kouzhen–Guanshan Fault; WF, Weihe Fault; QMF, Qishan-Mazhou Fault.  
690 LT(I), Loess Tableland (I); LT(II), Loess Tableland (II).

691 Figure 2. Color-shaded relief maps derived from 30-m resolution ASTER GDEM data  
692 showing the distribution of active faults and topographic features in the  
693 northeastern (a) and central (b) areas of the study region. (a) Northeastward  
694 perspective view of the area to the west of Yanliang City. (b) Northward  
695 perspective view of the area west–southwest of Kouzhen. The waveform  
696 flexural folds are formed on Loess Tableland (I) and (II) and on alluvial fans  
697 (terrace risers).

698 Figure 3. Field photographs showing topographic features representative of flexural



699 folds, observed at Locs 1 (a), 2 (b), and 9 (c, d). The waveform landforms  
700 observed at Locs 1 and 2 are developed on Loess Tableland (I), and at Loc. 9  
701 on Loess Tableland (II) (see Figs 1 and 2 for locations).

702 Figure 4. Topographic profiles across the active faults (see Fig. 1 for locations). Lt-I,  
703 Loess Tableland (I); Lt-II, Loess Tableland (II); BPF, Beishan Piedmont Fault;  
704 QPF, Qinling Piedmont Fault; KGF, Kouzhen–Guanshan Fault; WF, Weihe  
705 Fault; QMF, Qishan-Mazhou Fault.

706 Figure 5. Field photographs showing topographic features representative of stepped  
707 faults (a) and fault outcrops (b) (Loc. 8; see Fig. 2 for location). Person for  
708 scale (b).

709 Figure 6. (a) Color-shaded relief maps derived from 30-m resolution ASTER GDEM  
710 data, showing the topographic features of the Kouzhen-Guanshan Fault  
711 (KGF). (b–f) Field photographs of the KGF fault outcrops. (b, c) Location 3;  
712 scale given by people at the top of the outcrop (b) and by the 35-cm long  
713 hammer (c). (d–f) Location 4. The foliated fault breccia and cataclasite zone  
714 is bounded by distinct fault planes that dip SW at angles of 40–50°. People for  
715 scale. (g) Lower hemisphere equal-area stereographic projection showing the  
716 orientations of striations on the fault surface in (f). Long arrow indicates the  
717 movement sense of the hanging wall.

718 Figure 7. Field photographs of the Kouzhen-Guanshan fault outcrops. (a, b) Location  
719 5. Note the ground fissures in the footwall of the fault scarp. (c, d) Location 6.  
720 Scale given by people (a, c) and a 2-m long measuring rod (b, d).

721 Figure 8. Field photographs of the Kouzhen-Guanshan Fault (KGF) fault outcrop at  
722 Loc. 7. (a) Overview of the fault outcrop. (b) Fault exposed in the 2-m-high  
723 fault scarp. (c) Close-up view of (b).

724 Figure 9. Location 7. (a) Exposure of the KGF. (b) Corresponding sketch of (a). Unit  
725 1, sand with pebbles; Unit 2, sand–pebble deposit; Unit 3, fine-grained sand;  
726 Unit 4, fine-grained sand with organic and peat soil. Ruler for scale is 2 m in  
727 length.

728 Figure 10. (a) Color-shaded relief maps derived from 30-m resolution ASTER GDEM  
729 data, showing the distribution of the WF and the deformation features of  
730 terrace risers (see Fig. 2b for the location). (b–d) Topographic profiles across  
731 fault traces. Field photographs of fault outcrops at Loc. 10 (e–g) and Loc. 11  
732 (g). The terrace riser (T2) has been deformed as waveforms.

733 Figure 11. Field photographs of outcrop of the WF at Loc. 9. (a) The fault is exposed  
734 under the fault scarp. (b) Close-up view of (a); note that the old surface soil is  
735 dragged along the fault surface. (c) Injection soil veins in the fault zone  
736 shown in (b). The person shows the scale. The radiocarbon age of the sand  
737 soil vein is  $3520 \pm 30$  yr B.P. (Table 1), indicating activity on the fault since  
738 the late Holocene.

739 Figure 12. (a, b, d) Color-shaded relief maps derived from 30-m resolution ASTER  
740 GDEM data, showing the topographic features of the Qishan-Mazhao Fault  
741 (QMF). (c, e) Interpretation maps of (b) and (d), respectively. R1–R17 are the  
742 deflected stream channel drainages and Hr3–Hr15 are the offset amounts of

743 R3–R15, respectively (see Table 2 for details).

744 Figure 13. Field photographs of fault outcrops at Loc. 12 (a–d) and Loc. 13 (e–g)  
745 along the Qishan-Mazhao Fault (QMF). (a) Southward view of the fault scarp  
746 (taken near Loc. 12). Note that the fault scarp is facing northeastward. (b)  
747 Stepped faults exposed along the fault scarp of the QMF (near Loc. 12). (c, d)  
748 Stepped faults exposed at Loc. 12. (e) Fault scarp facing northeast (Loc. 13).  
749 (f, g) Close-up views of the fault outcrop at Loc. 13.

750 Figure 14. Listric fault model (a, b) and mode of tectonic deformation for the Weihe  
751 Graben (c). (a) Pre-existing listric faults in basement rock. (b) Activation of  
752 pre-existing listric faults rotates the blocks. (c) Landscape of the Weihe  
753 Graben is controlled by intracontinental normal faulting, resulting in large  
754 amounts of subsidence and the accumulation of a thick section of sediments  
755 in the rift basin. Subsurface structures are modified from Wang (1987) and  
756 SSB (1988). Active normal faults and flexural folds in the study area are  
757 formed in a regime of ongoing extension that is probably related to the  
758 pre-existing spreading and rifting of the continental crust in this area (in  
759 contrast to the Ordos Block and other neighboring orogenic regions). The  
760 lithospheric structures are schematic and based on the geophysical data of  
761 Bao et al. (2011). The vertical scale is not precise.

762

Figure1

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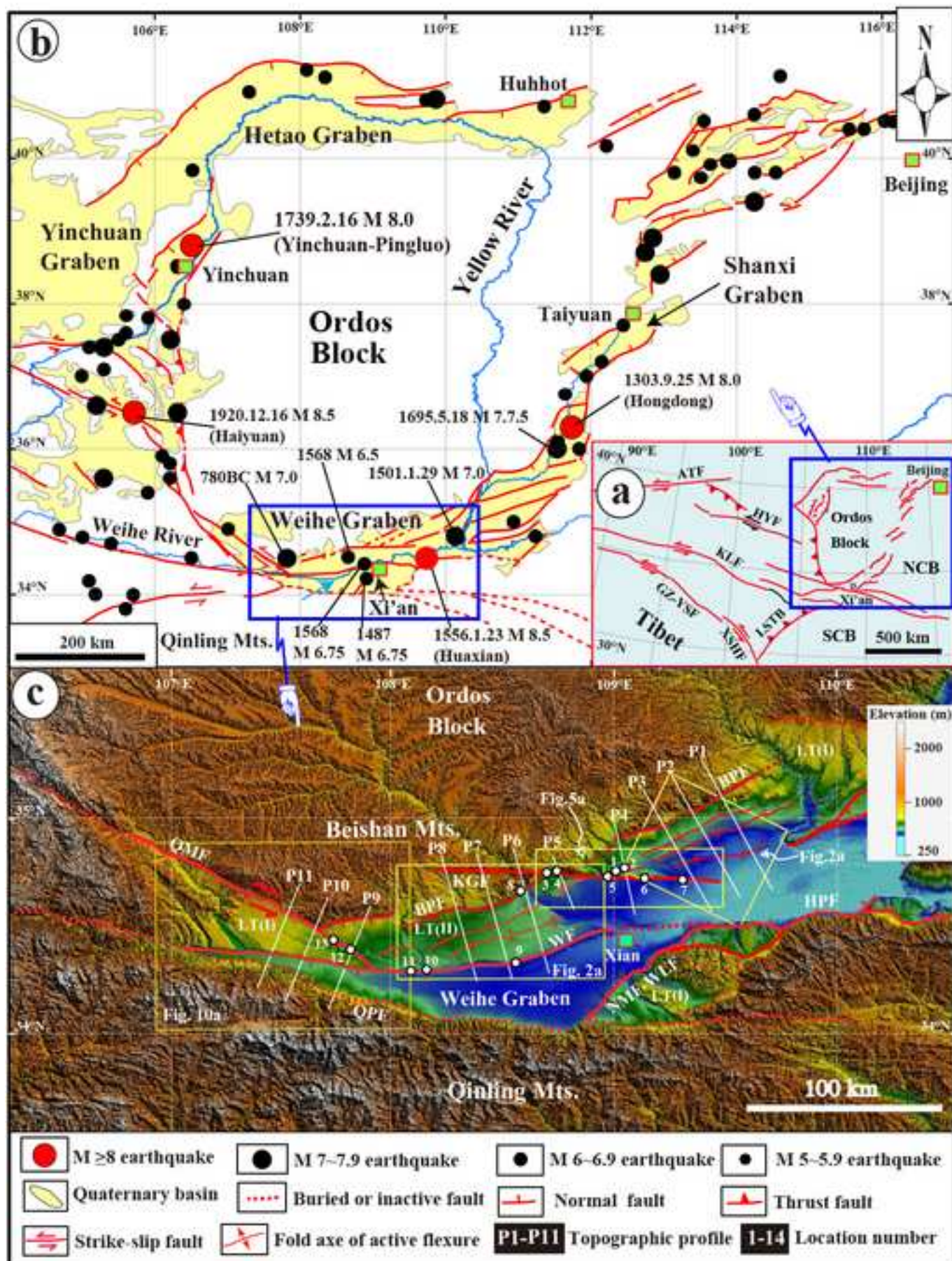


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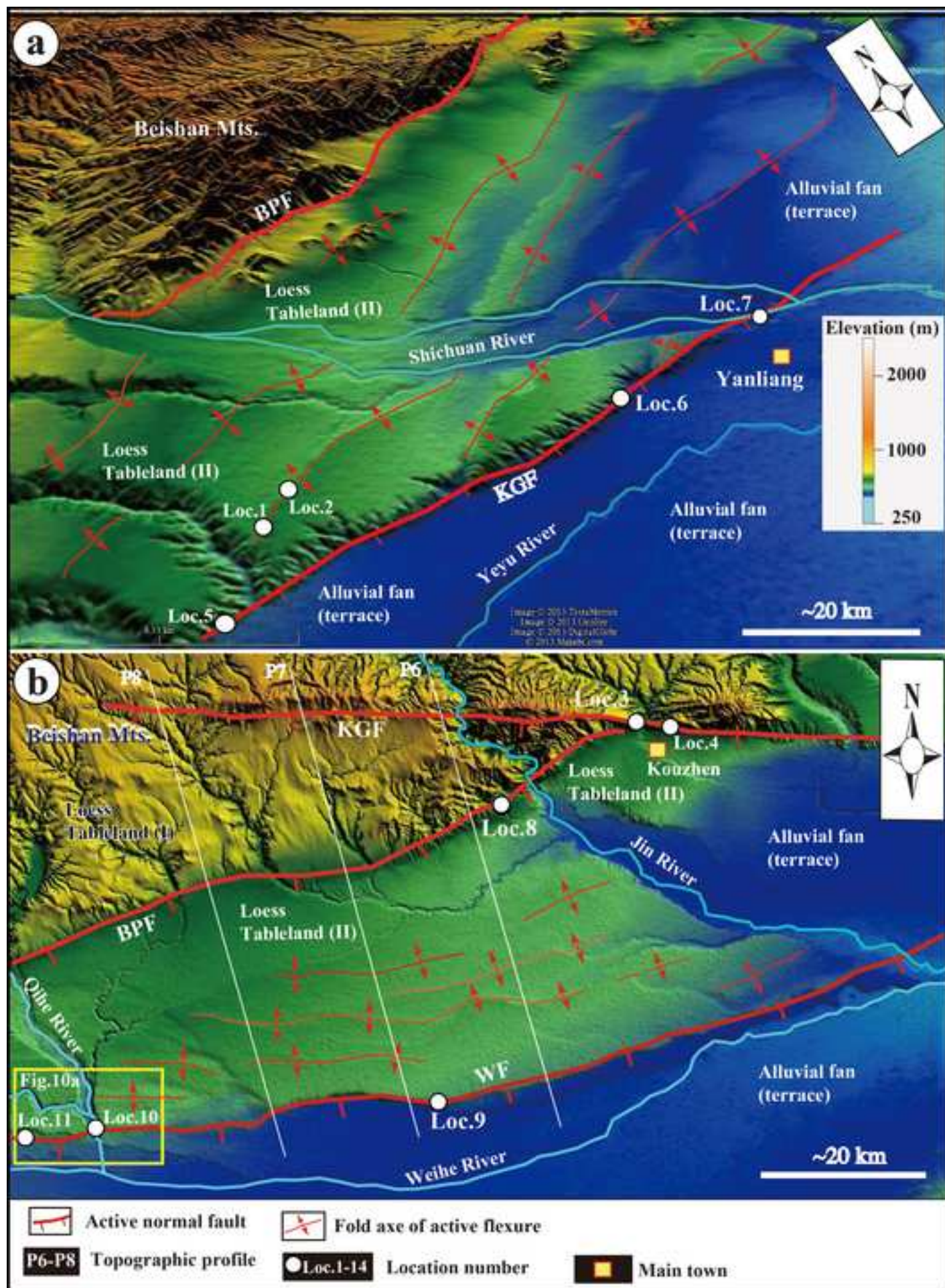


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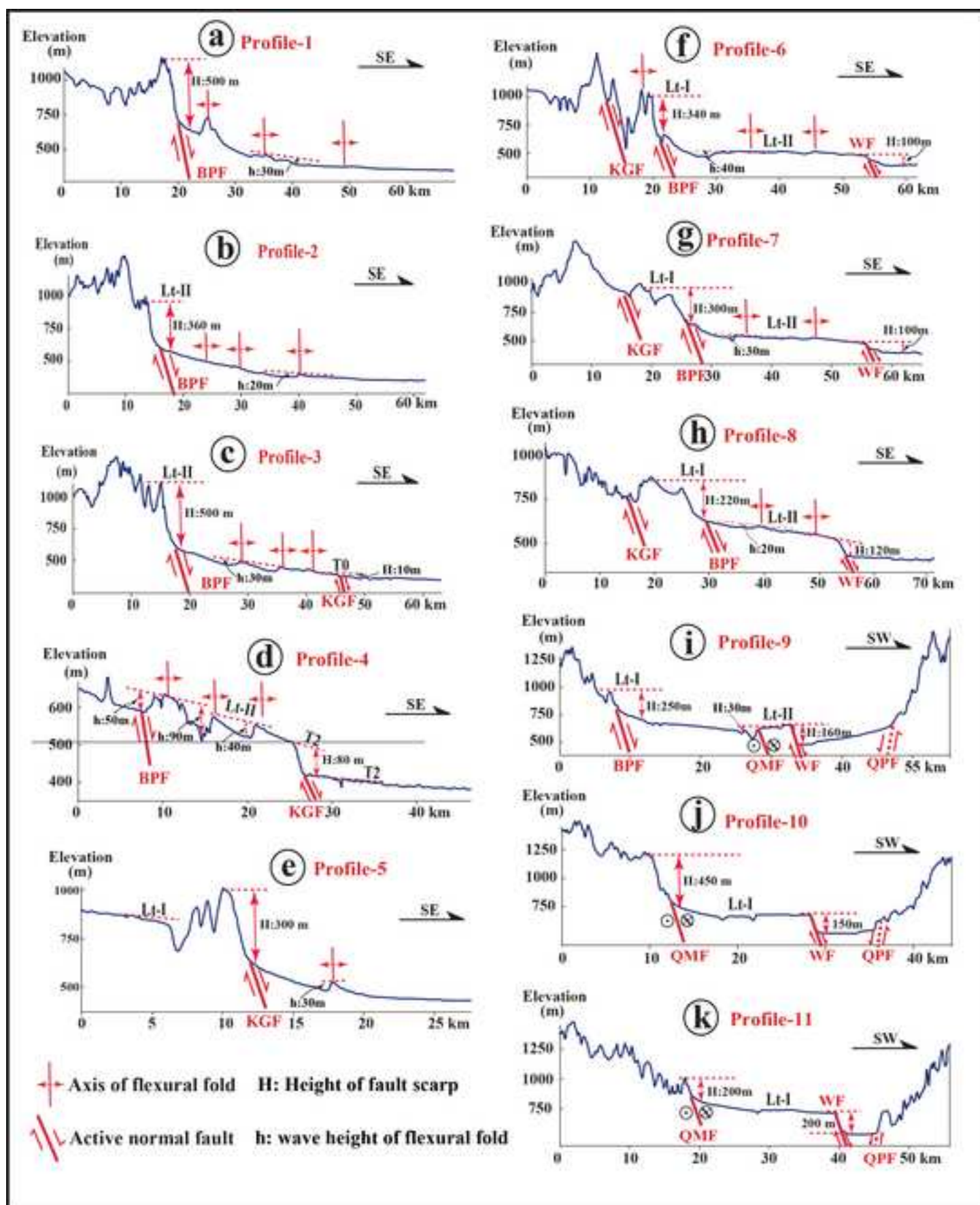


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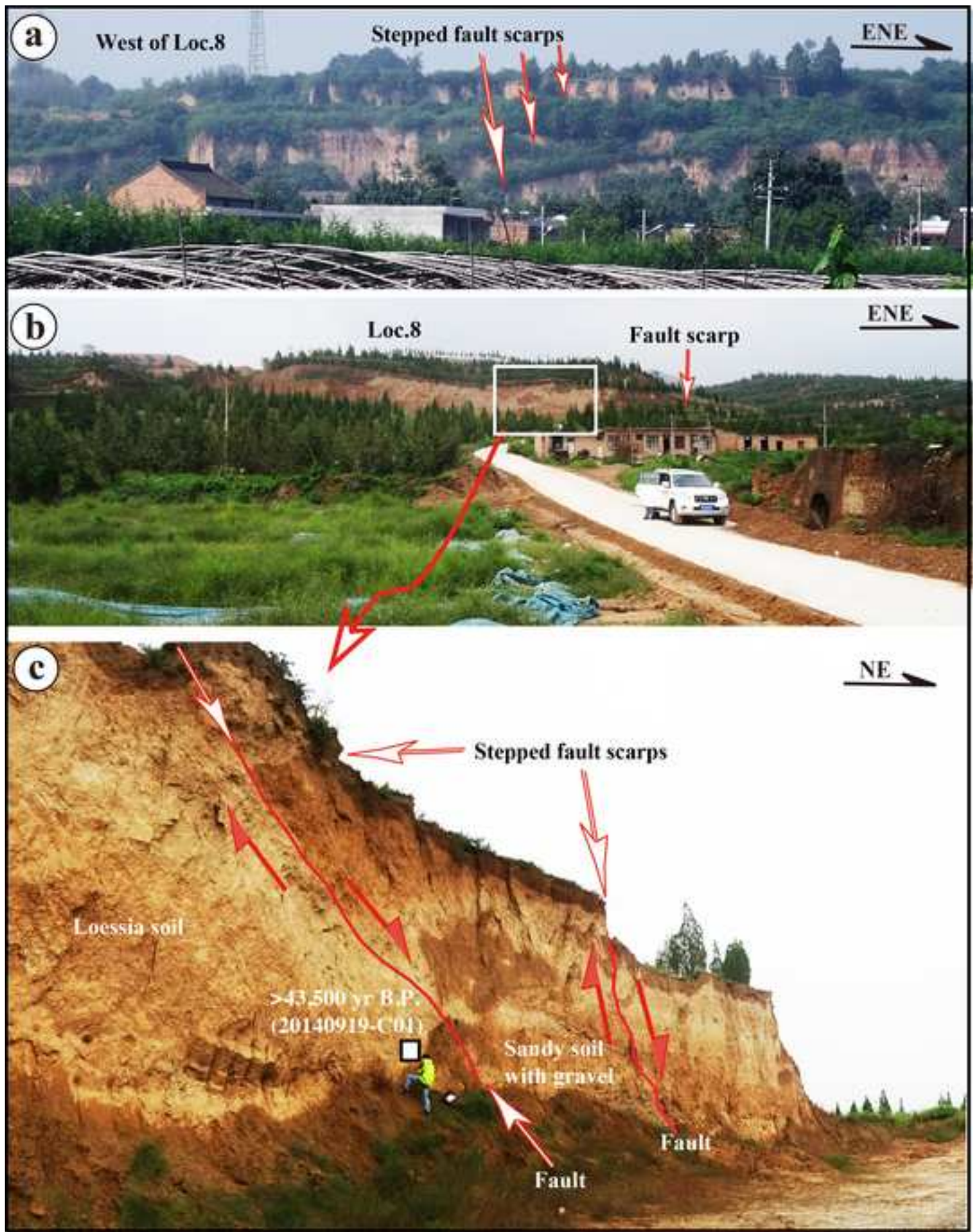




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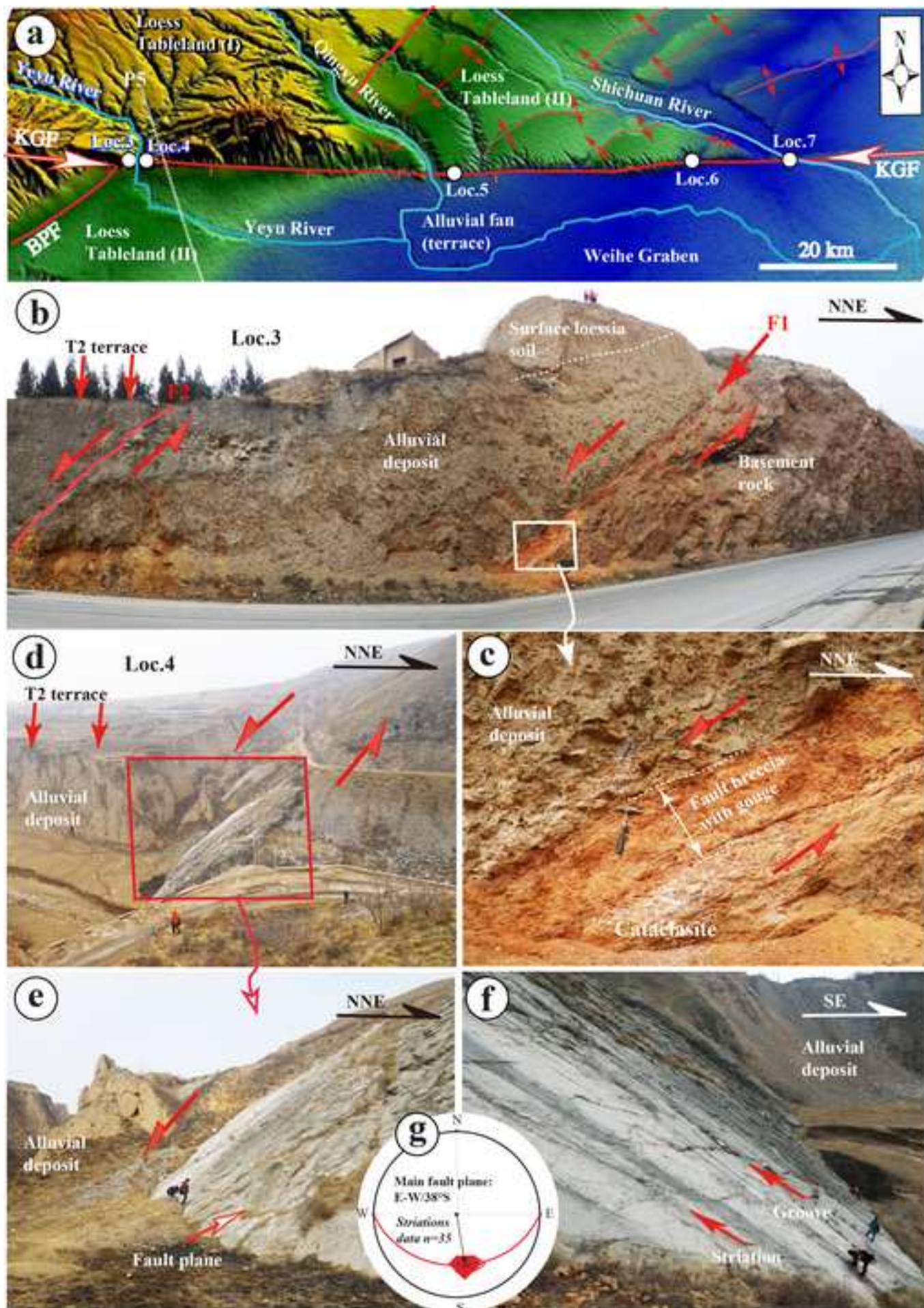


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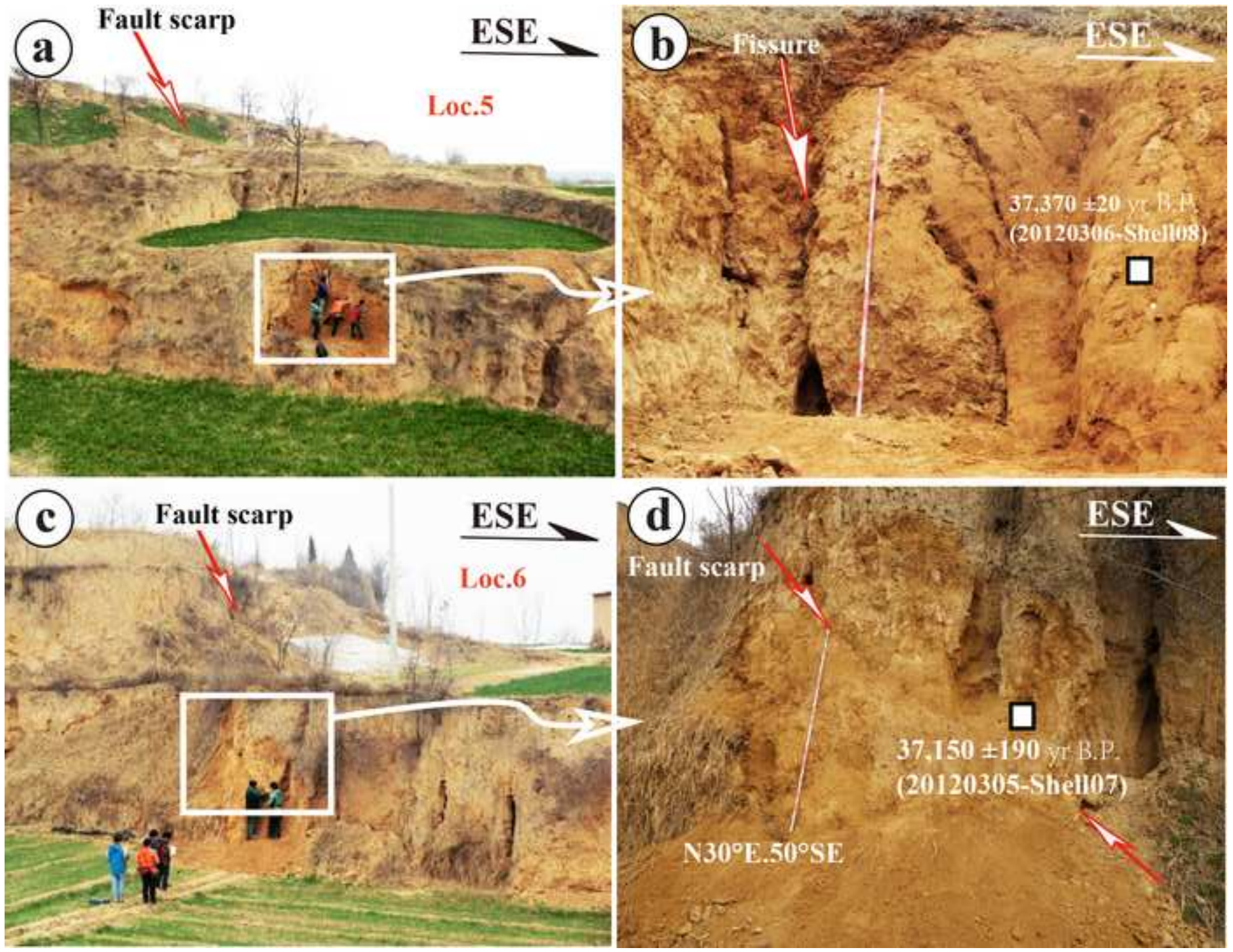


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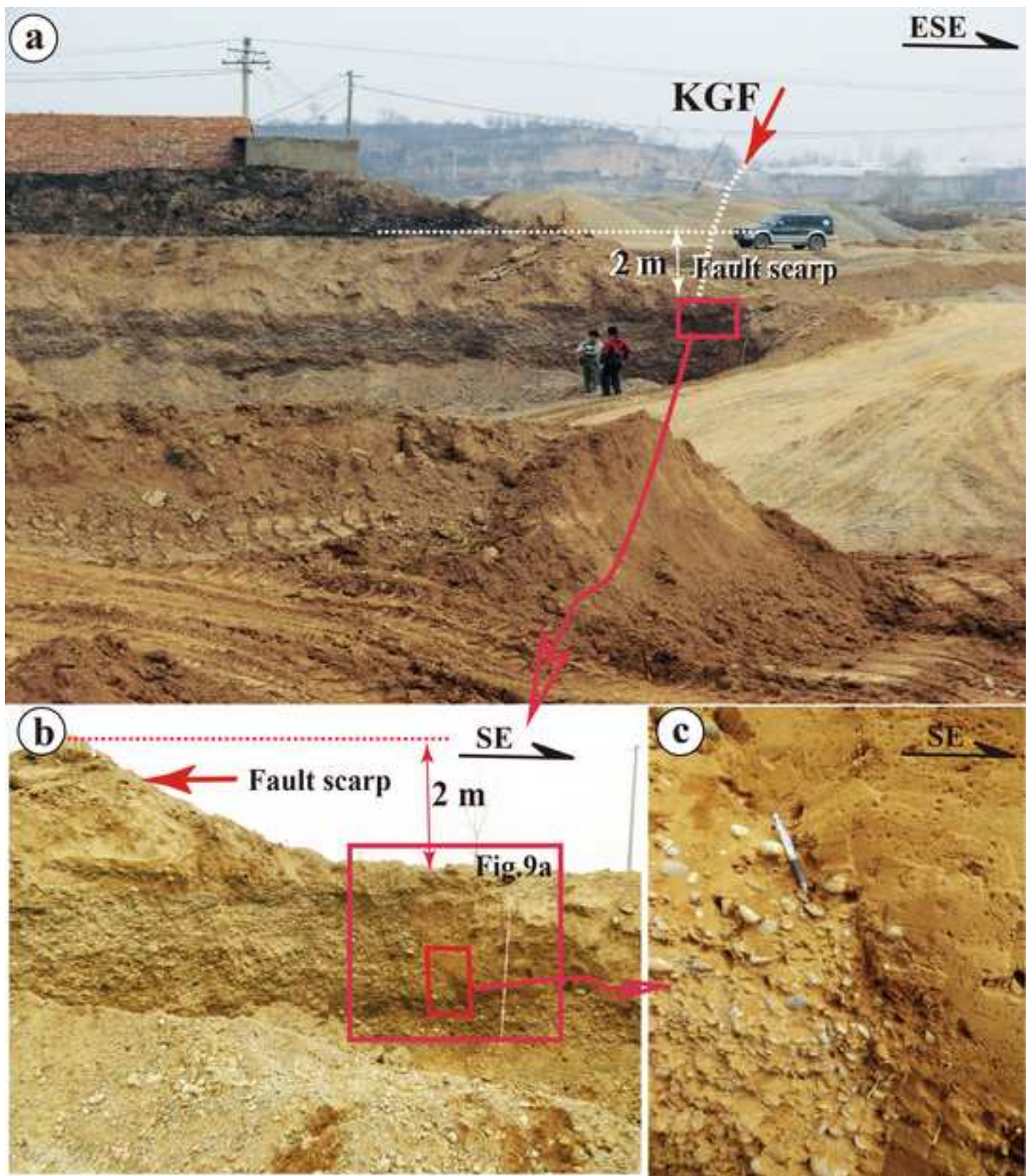


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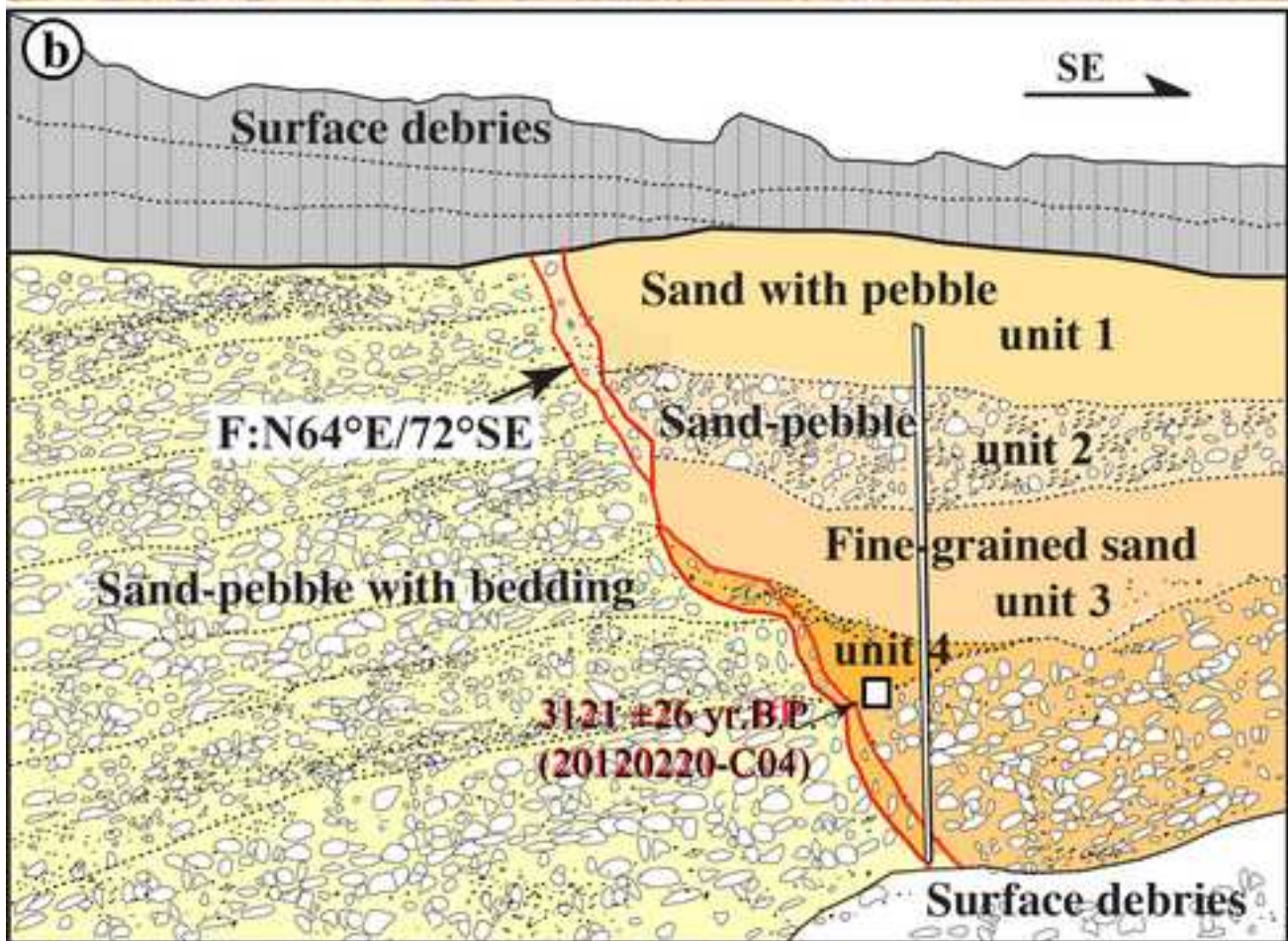


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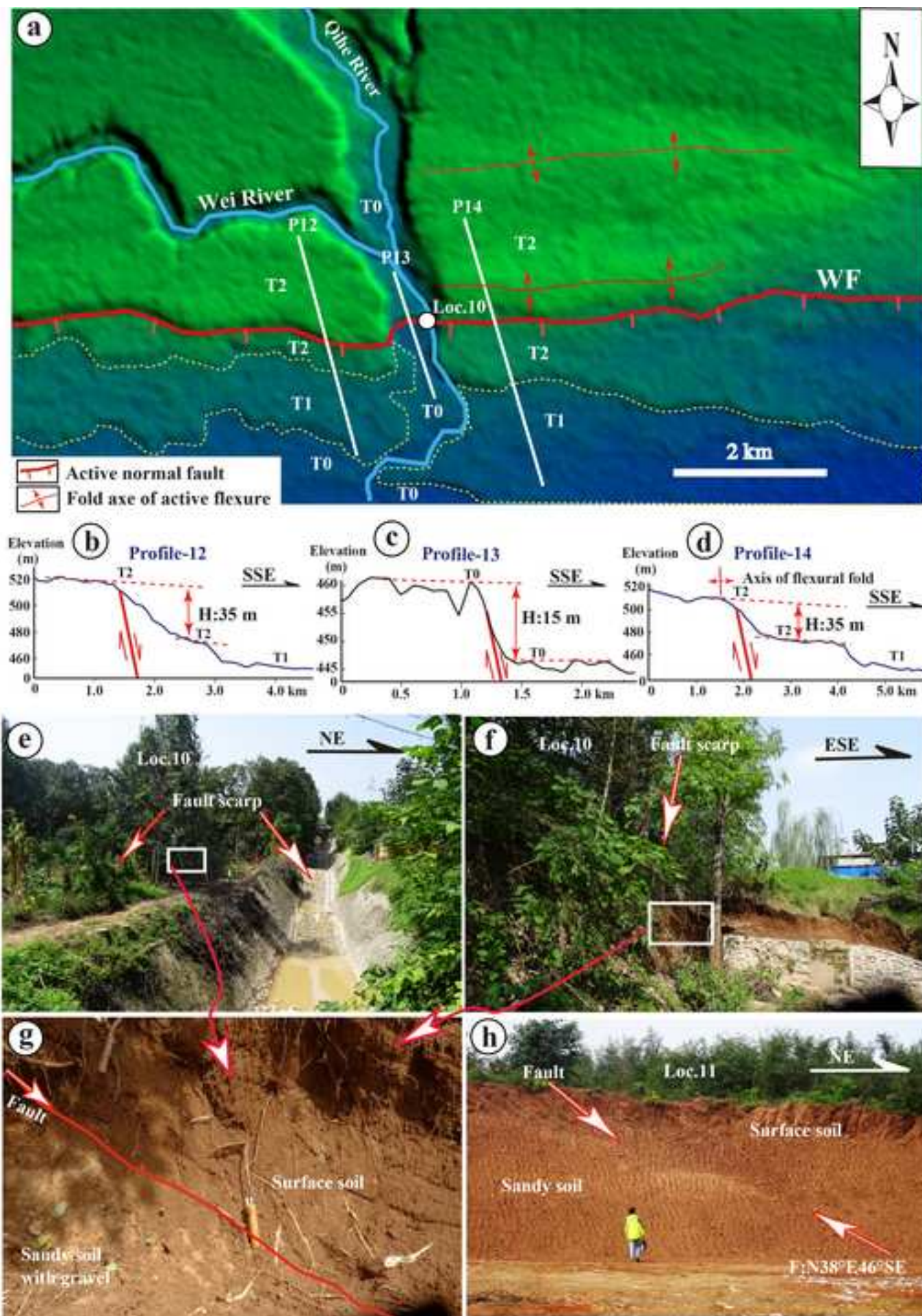


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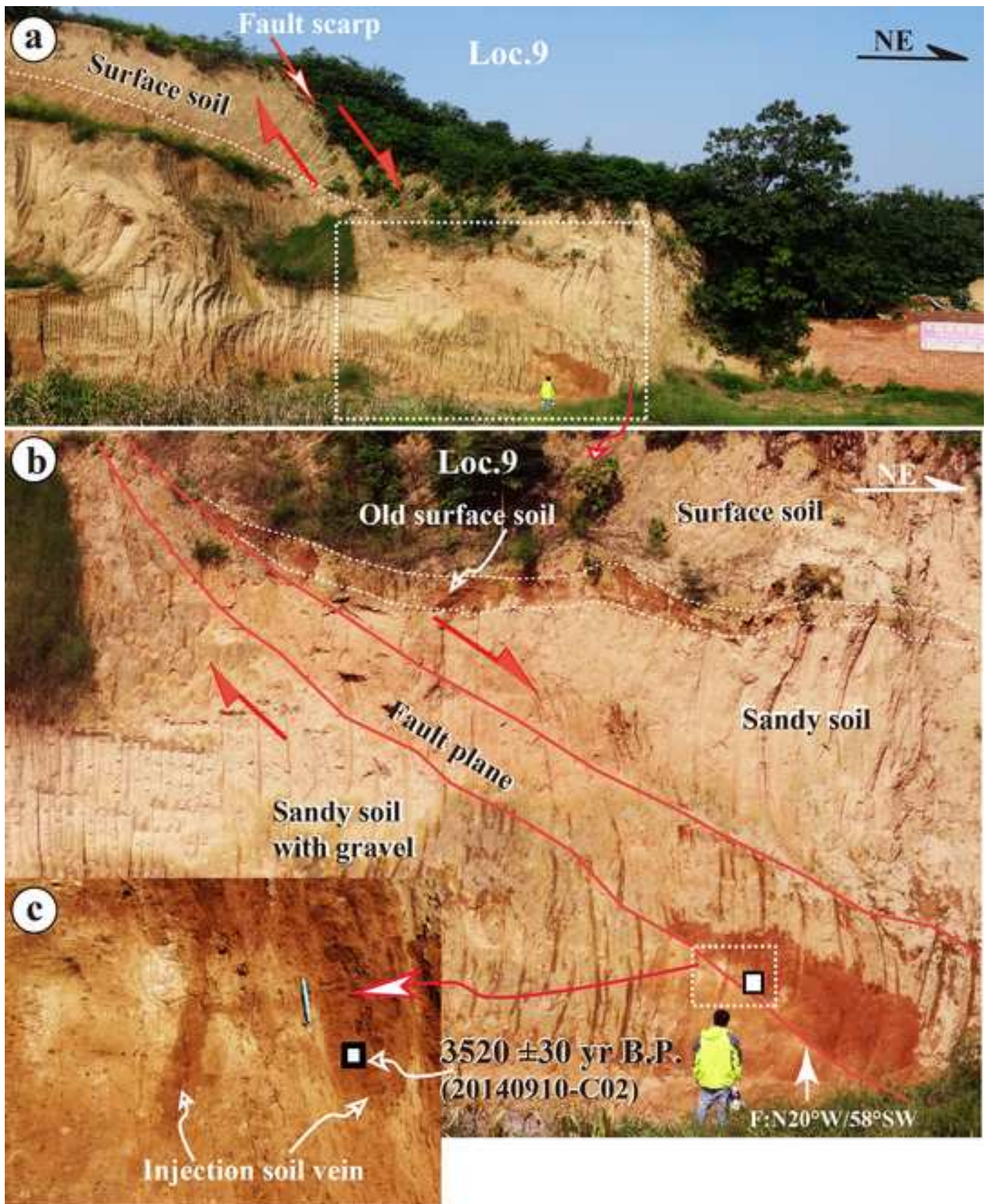


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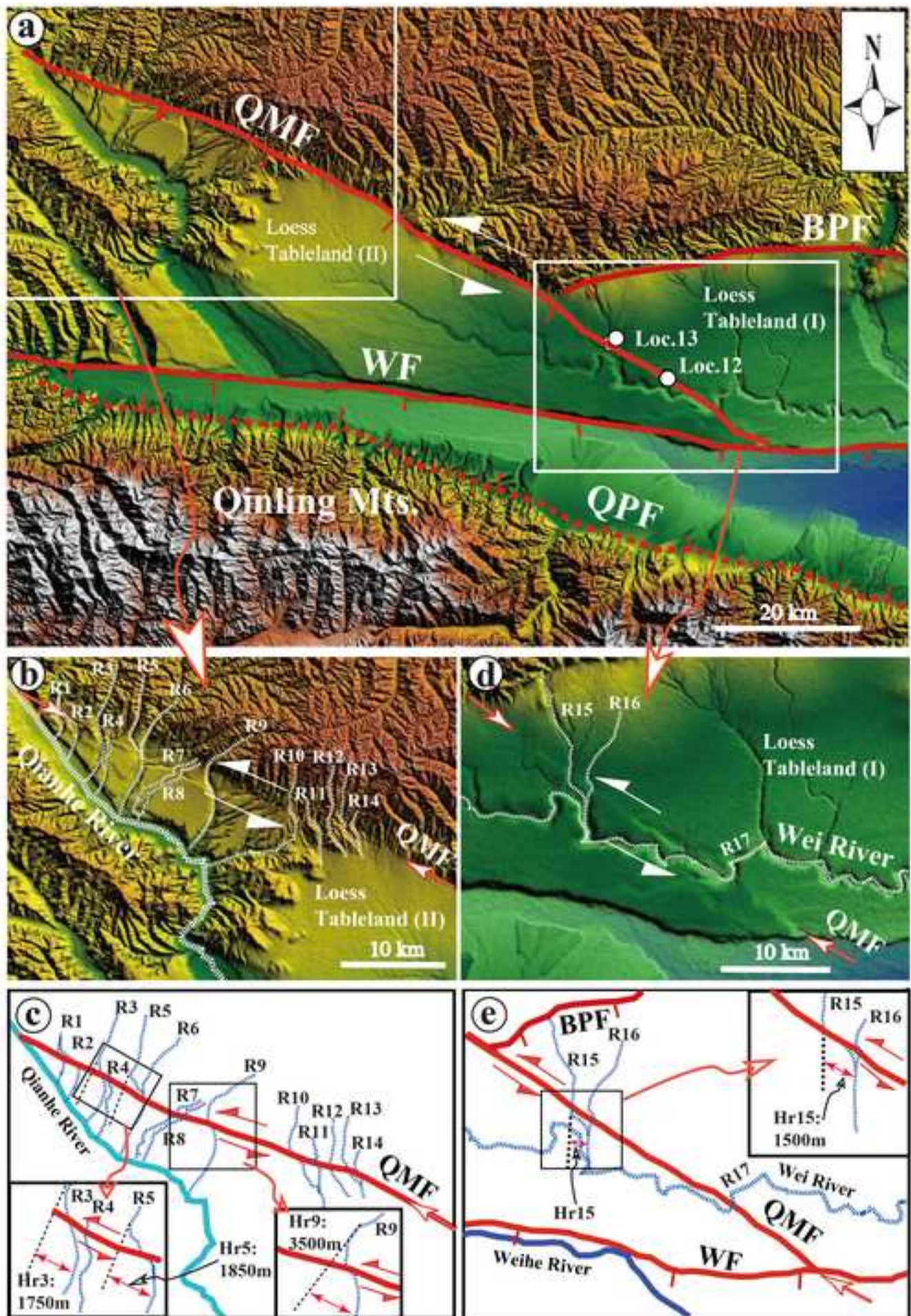


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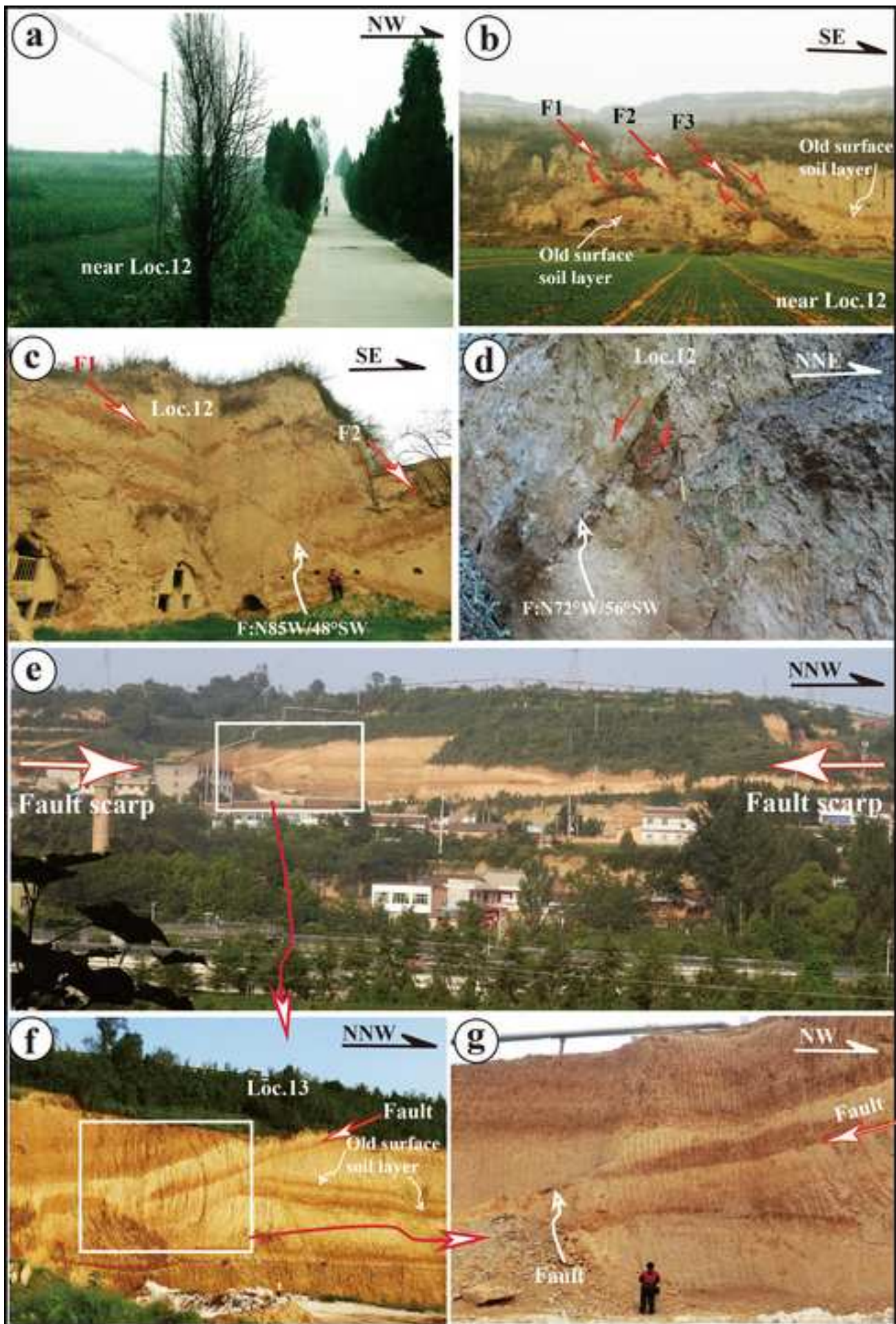
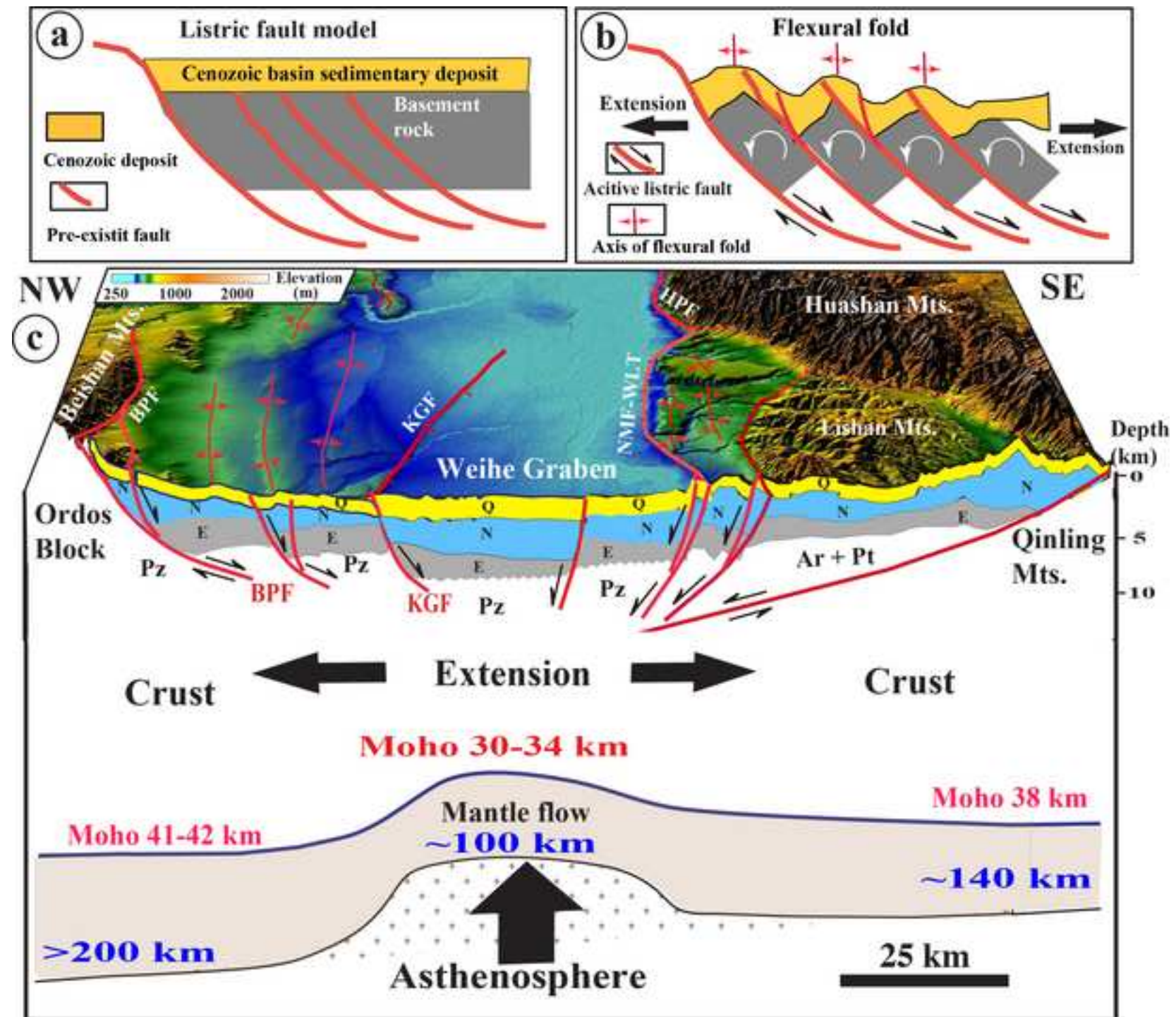




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<b>Table 1. Results of <sup>14</sup>C dating.</b>					
<b>Sample no.</b>	<b>Lab no<sup>a)</sup>.</b>	<b>Sample material</b>	<b>Conventional age (yr B.P.)<sup>c)</sup></b>	<b>2σ calendar age<sup>d)</sup></b>	<b>Sampling location<sup>e)</sup></b>
20120305-shell07	IAAA-120522	carbonate material	37,150± 190		Loc.6 (Fig.7d)
20120306-shell08	IAAA-120523	carbonate material	37,370 ± 20		Loc.5 (Fig.7b)
20120220-C04	Beta-335893	organic soil	3121 ± 26	BC1449-1369	Loc.7 (Fig. 9b)
20140910-C02	Beta-392138	organic soil	3520 ± 30	BC1930-1750	Loc.9 (Fig. 11b)
20140910-C01	Beta-335900	organic soil	>43,500		Loc. 8 (Fig. 5c)

<sup>a)</sup> Samples were analyzed at Beta Analytic Inc. USA (Lab no. Beta-335893, 392138, 335900) and the Institute of Accelerator Analysis Ltd., Japan (sample nos. IAAA-120522, IAAA-120523) via accelerator mass spectrometry (AMS).

<sup>b)</sup> Radiocarbon ages were measured using accelerator mass spectrometry referenced to the year AD 1950. Analytical uncertainties are reported at 2σ.

<sup>c)</sup> Conventional radiocarbon age was calculated using an assumed delta <sup>13</sup>C.

<sup>d)</sup> Dendrochronologically calibrated calendar age using Method A from CALIB Radiocarbon Calibration Version 7.0 (Stuiver et al., 2003).

<sup>e)</sup> Sampling location: carbonate material was taken from the alluvial sediments under the alluvial surface.

**Table 2. Amounts of offset of the deflected and/or offset river channels across the QMF.**

Offset River	Offset amount (m)	Offset marker
R1	1600	Loess Tablaland (I)
R2	1300	Loess Tablaland (I)
R3	1750	Loess Tablaland (I)
R4	650	Loess Tablaland (I)
R5	1850	Loess Tablaland (I)
R6	650	Loess Tablaland (I)
R7	400	Loess Tablaland (I)
R8	300	Loess Tablaland (I)
R9	3490	Boundary *
R10	1580	Mountains
R11	950	Mountains
R12	350	Mountains
R13	1200	Mountains
R14	480	Mountains
R15	1500	Loess Tablaland (I)
R16	850	Loess Tablaland (I)
R17	1000	Loess Tablaland (I)

Boundary \*: Boundary between the mountains and the Loess Tablaland (I).