

Introduction to  
Sequentiality

References:

P-L. Curien "Categorical Combinators,  
 Sequential Algorithms & Functional Prog."  
 Birkhauser '93.

P-L Curien "Sequentiality & full abstraction"  
 -previous handout.

T. Ehrhard "Hypercoherences" MSCS, Dec. 93.

A. Bucciarelli's Ph.D. thesis '93.

(2)

$\mathbb{N}$  flat cpo of integers

A continuous function

$$f: \mathbb{N}^m \rightarrow \mathbb{N} \quad (m \geq 1)$$

is sequential (Vuillemin)

$$\text{iff } \forall \vec{x} \in \mathbb{N}^m.$$

$$\exists i. \forall \vec{y} \equiv \vec{x}. f(\vec{y}) \neq f(\vec{x})$$

$$\Rightarrow y_i \neq x_i$$

A continuous function

$$f: \mathbb{N}^m \rightarrow \mathbb{N}^q \quad (m \geq 1)$$

is sequential (Vuillemin) iff each  
composition  $\pi_j \circ f$ ,  $j = 1, \dots, q$ ,

is sequential as above.

# The Gustav-function (Berry)

a stable but non-sequential function

$$G : \mathbb{B}^3 \rightarrow \mathbb{B}$$

minimum s.t.

$$G(t, f, \perp) = T$$

$$G(\perp, t, f) = T$$

$$G(f, \perp, t) = T$$

[ One reason why restricting to stable functions does not give full abstraction ]

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A sequential structure consists of

$$S = (C, E, i, \triangleleft)$$

$C$  - cells

$E$  - events, initial event  $i \in E$ ,

$\triangleleft$  - accessibility relation

$$\triangleleft \subseteq C \times E \cup E \times C$$

$\triangleleft^*$  a p.o.

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(Cell / Event) Occurrences consist of finite  
<sup>non-empty</sup> alternating sequences  $e_0, c_1, e_1, c_2, e_2, \dots$   
for which  $e_0 = i$  &  $\dots e_k \triangleleft c_{k+1} \triangleleft e_{k+1}, \dots$

A configuration of  $S$  consists of  $x$  a  
subset of occurrences s.t.

nonempty:  $i \in x$

prefix-closed:  $sd \in x \Rightarrow s \in x$ ,  $d \in C \cup E$

event-determined:  $sc \in x$  &  $c \in C \Rightarrow \exists e \in E. sce \in x$

consistent:  $se_1, se_2 \in x$  &  $e_1, e_2 \in E \Rightarrow e_1 = e_2$

Write  $\Pi(S)$  for set of configurations.

Alternatively, could define configurations <sup>(4 1/2)</sup>

as "partial strategies"

$\mathcal{X} \subseteq \text{Occurrences}$

nonempty:  $\varepsilon \in \mathcal{X}$

prefix closed:  $sd \in \mathcal{X} \Rightarrow s \in \mathcal{X}$

cell-closed:  $s \in \mathcal{X} \ \& \ sc \ a \text{ cell-occurrence} \Rightarrow sc \in \mathcal{X}$

consistent:  $se_1, se_2 \in \mathcal{X} \ \& \ e_1, e_2 \in E \Rightarrow e_1 = e_2.$

## Notation

For a seq. structure  $S$ ,  
Write

$C^*$ ,  $E^*$ ,  $D^*$  for cell, event & all  
occurrences.

Write  $\leq$  for the order of extension on  
occurrences.  $D^*$  forms a tree, ~~and~~ root  
 $i$ , and has meet  $\wedge$ .

For  $x, y \in P(S)$ ,  $c \in C^*$

$x \xrightarrow{c} y$  means  $x \cup \{c\} = y$  &  
 $x \not\subseteq y$ , for some  $e \in E$ .

$x \subseteq_c y$  means  $x \xrightarrow{c} z \subseteq y$ , for some  $z \in P(S)$

Propn. A config.  $x$  of  $S$  is determined  
by its event occurrences  $x \cap E^*$ .

$(P(S), \subseteq)$  is a Hilbert concrete domain.  
(cf. Curien's book).

Let  $S, S'$  be seq. structures. ⑥

A continuous function

$$f: (P(S), \subseteq) \rightarrow (P(S'), \subseteq)$$

is sequential (Kahn-Plotkin)

iff  $\forall x \in P(S)$ .

$\forall c' \in C'^*$

if  $\exists y \supseteq x. f(y) \supseteq_{c'} f(x)$

then

$\exists c \in C^*$

$\forall y \supseteq x. f(y) \supseteq_c f(x)$

$\Rightarrow y \supseteq_c x$

From here there are two  
 routes to sequentiality of higher  
 types

1. Berry & Curien's sequenced algorithms  
 [iterational model]

2. Bucciarelli & Ehrhard's strongly  
 stable functions wr.t. coherences

( & Beir's representation via "hypertolerances")  
 [extensional model].

We first look at 1.

# Affine function space

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$S_0, S_1$  seq. structures

$$S_0 \rightarrow S_1 =$$

$$(E_0 \times C_1, E_0 \times E_1 \cup C_0 \times C_1, (i_0, i_1), \triangleleft)$$

where

$$(d_0, d_1) \triangleleft (d'_0, d'_1) \Leftrightarrow (d_0 \triangleleft_0 d'_0 \ \& \ d_1 = d'_1) \text{ or} \\ (d_0 = d'_0 \ \& \ d_1 \triangleleft_1 d'_1)$$

Morphisms are to be configurations  
of the affine fr. space. In order  
to compose them we use the  
following characterization.

Let  $\alpha \in \Gamma(S_0 \rightarrow S_1)$ . ①

Then  $\alpha \cap E^*$  has two kinds of event occurrences:

$$\begin{array}{ccc} s(e_0, e_1) & & s(c_0, c_1) \\ \downarrow & & \downarrow \\ (s_0 e_0, s_1 e_1) \in E_0^* \times E_1^* & & (s_0 c_0, s_1 c_1) \in C_0^* \times C_1^* \end{array}$$

Configs.  $\alpha$  are in 1-1 correspondence with

$$\bar{\alpha} \subseteq E_0^* \times E_1^* \cup C_0^* \times C_1^*$$

s.t.

(1)  $(i_0, i_1) \in \alpha$

(2) (a)  $(d_0, d_1) \in \bar{\alpha}$  &  $d_0 \geq c_0 \in C_0^* \Rightarrow \exists! e_1 \in C_1^* \text{ s.t. } (c_0, c_1) \in \bar{\alpha}$

(b)  $(d_0, d_1) \in \bar{\alpha}$  &  $d_1 \geq e_1 \in E_1^* \Rightarrow \exists! e_0 \in E_0^* \text{ s.t. } (e_0, e_1) \in \bar{\alpha}$

(3) If  $(d_0, d_1), (d'_0, d'_1) \in \bar{\alpha}$  then

(a)  $d_0 \leq d'_0$  &  $d_0 \in E_0^* \Rightarrow d_1 \wedge d'_1 \in E_1^*$ , and

(b)  $d_1 \leq d'_1$  &  $d_1 \in C_1^* \Rightarrow d_0 \wedge d'_0 \in C_0^*$ .

Composition in the category is given by relational composition on  $\bar{\alpha}$ 's. Identities correspond to identity relations on occurrences.

# Tensor

$$S_0 \otimes S_1 = (C_0 \times E_1 \cup E_0 \times C_1, E_0 \times E_1, (i_0, i_1), \triangleleft)$$

where  $(d_0, d_1) \triangleleft (d'_0, d'_1) \Leftrightarrow (d_0 \triangleleft_0 d'_0 \ \& \ d_1 = d'_1)$  or  $(d_0 = d'_0 \ \& \ d_1 \triangleleft_1 d'_1)$ .

Have :

$$S_0 \otimes S_1 \twoheadrightarrow S_2 \cong S_0 \twoheadrightarrow (S_1 \twoheadrightarrow S_2)$$

Product  $\times$  disjoint juxtaposition.

Claim  $!S_0 \otimes !S_1 \cong !(S_0 \times S_1)$

for def. of exponential below.

# Exponential

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!  $S = (C_1, E_1, \{i_1\}, \triangleleft_1)$  where

$$C_1 = \{xc \mid x \prec_c\}$$

$$E_1 = \Gamma(S)^\circ \quad (\text{finite configs. of } S)$$

$\triangleleft_1$  is least reln. s.t:

$$xc \triangleleft_1 y \quad \text{iff} \quad x \prec_c y$$

$$x \triangleleft_1 xc$$

Berry & Curien's sequential algorithms corr. to configs

!  $S_0 \mapsto S_1 = (C, E, i, \triangleleft)$  where

$$C = \Gamma(S_0)^\circ \times C_1,$$

$$E = \Gamma(S_0)^\circ \times E_1 \cup \{(x_0 c_0, c_1) \mid x_0 \prec_{c_0} \& c_1 \in C_1\},$$

$$i = (\{i_0\}, i_1),$$

$$x_0 e_1 \triangleleft x_0 c_1 \quad \text{if } e_1 \triangleleft_1 c_1, \quad x_0 c_1 \triangleleft x_0 e_1 \quad \text{if } c_1 \triangleleft_1 e_1$$

$$x_0 c_1 \triangleleft x_0 c_0, c_1 \quad \text{if } x_0 \prec_{c_0}, \quad x_0 c_0, c_1 \triangleleft y_0 c_1 \quad \text{if } x_0 \prec_{c_0} y_0.$$