Bounded-Deducibility Security

Andrei Popescu Peter Lammich Thomas Bauereiss

Contents

1 Introduction

This is a formalization of *Bounded-Deducibility Security* (*BD Security*), a flexible notion of information-flow security applicable to arbitrary transition systems. It generalizes Sutherland's classic notion

of nondeducibility [7] by factoring in declassification bounds and triggers—whereas nondeducibility states that, in a system, information cannot flow between specified sources and sinks, BD security indicates upper bounds for the flow and triggers under which these upper bounds are no longer guaranteed.

BD Security was introduced in [4], where an application to the verification of a conference management called CoCon system is also presented. The framework is further discussed in detail in [6] and [5].
Other verification case studies of BD Security are discussed in [1, 3] and [2].

2 Preliminaries

function *filtermap* ::
(*'trans* ⇒ *bool*) ⇒ (*'trans* ⇒ *'a*) ⇒ *'trans list* ⇒ *'a list*
where
filtermap pred func [] = []
|
¬ *pred trn* ⟹ *filtermap pred func* (*trn* # *tr*) = *filtermap pred func tr*
|
pred trn ⟹ *filtermap pred func* (*trn* # *tr*) = *func trn* # *filtermap pred func tr*
by *auto* (*metis list.exhaust*)
termination by *lexicographic-order*

lemma *filtermap-map-filter*: *filtermap pred func xs* = *map func* (*filter pred xs*)
by (*induction xs*) *auto*

lemma *filtermap-append*: *filtermap pred func* (*tr* @ *tr1*) = *filtermap pred func tr* @ *filtermap pred func tr1*
proof(*induction tr arbitrary*: *tr1*)
case (*Cons trn tr*)
thus *?case* **by** (*cases pred trn*) *auto*
qed *auto*

lemma *filtermap-Nil-list-ex*: *filtermap pred func tr* = [] ⟷ ¬ *list-ex pred tr*
proof(*induction tr*)
case (*Cons trn tr*)
thus *?case* **by** (*cases pred trn*) *auto*
qed *auto*

lemma *filtermap-Nil-never*: *filtermap pred func tr* = [] ⟷ *never pred tr*
proof(*induction tr*)
case (*Cons trn tr*)
thus *?case* **by** (*cases pred trn*) *auto*
qed *auto*

lemma *length-filtermap*: *length* (*filtermap pred func tr*) ≤ *length tr*
proof(*induction tr*)
case (*Cons trn tr*)

thus *?case* **by** (*cases pred trn*) *auto*
qed *auto*

lemma *filtermap-list-all*[*simp*]: *filtermap pred func tr* = *map func tr* ⟷ *list-all pred tr*
proof(*induction tr*)
case (*Cons trn tr*)
thus *?case* **apply** (*cases pred trn*)
by (*simp-all*) (*metis impossible-Cons length-filtermap length-map*)
qed *auto*

lemma *filtermap-eq-Cons*:
assumes *filtermap pred func tr* = *a* # *al1*
shows ∃ *trn tr2 tr1*.
tr = *tr2* @ [*trn*] @ *tr1* ∧ *never pred tr2* ∧ *pred trn* ∧ *func trn* = *a* ∧ *filtermap pred func tr1* = *al1*
using *assms* **proof**(*induction tr arbitrary*: *a al1*)
case (*Cons trn tr a al1*)
show *?case*
proof(*cases pred trn*)
case *False*
hence *filtermap pred func tr* = *a* # *al1* **using** *Cons* **by** *simp*
from *Cons*(*1*)[*OF this*] **obtain** *trnn tr2 tr1* **where**
1: *tr* = *tr2* @ [*trnn*] @ *tr1* ∧ *never pred tr2* ∧ *pred trnn* ∧ *func trnn* = *a* ∧
filtermap pred func tr1 = *al1* **by** *blast*
show *?thesis* **apply**(*rule exI*[*of* - *trnn*], *rule exI*[*of* - *trn* # *tr2*], *rule exI*[*of* - *tr1*])
using *Cons*(*2*) *1 False* **by** *simp*
next
case *True*
hence *filtermap pred func tr* = *al1* **using** *Cons* **by** *simp*
show *?thesis* **apply**(*rule exI*[*of* - *trn*], *rule exI*[*of* - []], *rule exI*[*of* - *tr*])
using *Cons*(*2*) *True* **by** *simp*
qed
qed *auto*

lemma *filtermap-eq-append*:
assumes *filtermap pred func tr* = *al1* @ *al2*
shows ∃ *tr1 tr2*. *tr* = *tr1* @ *tr2* ∧ *filtermap pred func tr1* = *al1* ∧ *filtermap pred func tr2* = *al2*
using *assms* **proof**(*induction al1 arbitrary*: *tr*)
case *Nil* **show** *?case*
apply (*rule exI*[*of* - []], *rule exI*[*of* - *tr*]) **using** *Nil* **by** *auto*
next
case (*Cons a al1 tr*)
hence *filtermap pred func tr* = *a* # (*al1* @ *al2*) **by** *simp*
from *filtermap-eq-Cons*[*OF this*] **obtain** *trn tr2 tr1*
where *tr*: *tr* = *tr2* @ [*trn*] @ *tr1* **and** *n*: *never pred tr2* ∧ *pred trn* ∧ *func trn* = *a*
and *f*: *filtermap pred func tr1* = *al1* @ *al2* **by** *blast*
from *Cons*(*1*)[*OF f*] **obtain** *tr11 tr22* **where** *tr1*: *tr1* = *tr11* @ *tr22*
and *f1*: *filtermap pred func tr11* = *al1* **and** *f2*: *filtermap pred func tr22* = *al2* **by** *blast*
show *?case* **apply** (*rule exI*[*of* - *tr2* @ [*trn*] @ *tr11*], *rule exI*[*of* - *tr22*])
using *n filtermap-Nil-never f1 f2* **unfolding** *tr tr1 filtermap-append* **by** *auto*

qed

lemma *holds-filtermap-RCons*[*simp*]:
pred trn $\Longrightarrow$ *filtermap pred func* (*tr* ## *trn*) = *filtermap pred func tr* ## *func trn*
proof(*induction tr*)
case (*Cons trn1 tr*)
thus *?case* **by** (*cases pred trn1*) *auto*
qed *auto*

lemma *not-holds-filtermap-RCons*[*simp*]:
¬ *pred trn* $\Longrightarrow$ *filtermap pred func* (*tr* ## *trn*) = *filtermap pred func tr*
proof(*induction tr*)
case (*Cons trn1 tr*)
thus *?case* **by** (*cases pred trn1*) *auto*
qed *auto*

lemma *filtermap-eq-RCons*:
assumes *filtermap pred func tr* = *al1* ## *a*
shows ∃ *trn tr1 tr2*.
tr = *tr1* @ [*trn*] @ *tr2* ∧ *never pred tr2* ∧ *pred trn* ∧ *func trn* = *a* ∧ *filtermap pred func tr1* = *al1*
using *assms* **proof**(*induction tr arbitrary*: *a al1 rule*: *rev-induct*)
case (*snoc trn tr a al1*)
show *?case*
proof(*cases pred trn*)
case *False*
hence *filtermap pred func tr* = *al1* ## *a* **using** *snoc* **by** *simp*
from *snoc*(*1*)[*OF this*] **obtain** *trnn tr2 tr1* **where**
1: *tr* = *tr1* @ [*trnn*] @ *tr2* ∧ *never pred tr2* ∧ *pred trnn* ∧ *func trnn* = *a* ∧
filtermap pred func tr1 = *al1* **by** *blast*
show *?thesis* **apply**(*rule exI*[*of* - *trnn*], *rule exI*[*of* - *tr1*], *rule exI*[*of* - *tr2* ## *trn*])
using *snoc*(*2*) *1 False* **by** *simp*
next
case *True*
hence *filtermap pred func tr* = *al1* **using** *snoc* **by** *simp*
show *?thesis* **apply**(*rule exI*[*of* - *trn*], *rule exI*[*of* - *tr*], *rule exI*[*of* - []])
using *snoc*(*2*) *True* **by** *simp*
qed
qed *auto*

lemma *filtermap-eq-Cons-RCons*:
assumes *filtermap pred func tr* = *a* # *al1* ## *b*
shows ∃ *tra trna tr1 trnb trb*.
tr = *tra* @ [*trna*] @ *tr1* @ [*trnb*] @ *trb* ∧
never pred tra ∧
pred trna ∧ *func trna* = *a* ∧
filtermap pred func tr1 = *al1* ∧
pred trnb ∧ *func trnb* = *b* ∧
never pred trb
proof−

from *filtermap-eq-Cons*[*OF assms*] **obtain** *trna tra tr2*
where *0*: *tr* = *tra* @ [*trna*] @ *tr2* ∧ *never pred tra* ∧ *pred trna* ∧ *func trna* = *a*
and *1*: *filtermap pred func tr2* = *al1* ## *b* **by** *auto*
from *filtermap-eq-RCons*[*OF 1*] **obtain** *trnb tr1 trb* **where**
2: *tr2* = *tr1* @ [*trnb*] @ *trb* ∧ *never pred trb* ∧
pred trnb ∧ *func trnb* = *b* ∧ *filtermap pred func tr1* = *al1* **by** *blast*
show *?thesis* **apply**(*rule exI*[*of - tra*], *rule exI*[*of - trna*], *rule exI*[*of - tr1*],
rule exI[*of - trnb*], *rule exI*[*of - trb*])
using *2 0* **by** *auto*
qed

lemma *filter-Nil-never*: [] = *filter pred xs* ⟹ *never pred xs*
by (*induction xs*) (*auto split*: *if-splits*)

lemma *never-Nil-filter*: *never pred xs* ⟷ [] = *filter pred xs*
by (*induction xs*) (*auto split*: *if-splits*)

lemma *snoc-eq-filterD*:
assumes *xs* ## *x* = *filter Q ys*
obtains *us vs* **where** *ys* = *us* @ *x* # *vs* **and** *never Q vs* **and** *Q x* **and** *xs* = *filter Q us*
using *assms* **proof** (*induction ys rule*: *rev-induct*)
case *Nil* **then show** *?case* **by** *auto*
next
case (*snoc y ys*)
show *?case*
proof (*cases*)
assume *Q y*
moreover then have *x* = *y* **using** *snoc.prems* **by** *auto*
ultimately show *thesis* **using** *snoc*(*3*) *snoc*(*2*) **by** *auto*
next
assume ¬*Q y*
show *thesis*
proof (*rule snoc.IH*)
show *xs* ## *x* = *filter Q ys* **using** ‹¬*Q y*› *snoc*(*3*) **by** *auto*
next
fix *us vs*
assume *ys* = *us* @ *x* # *vs* **and** *never Q vs* **and** *Q x* **and** *xs* = *filter Q us*
then show *thesis* **using** ‹¬*Q y*› *snoc*(*2*) **by** *auto*
qed
qed
qed

lemma *filtermap-Cons2-eq*:
filtermap pred func [*x*, *x*′] = *filtermap pred func* [*y*, *y*′]
⟹ *filtermap pred func* (*x* # *x*′ # *zs*) = *filtermap pred func* (*y* # *y*′ # *zs*)
unfolding *filtermap-append*[*of pred func* [*x*, *x*′] *zs*, *simplified*]
filtermap-append[*of pred func* [*y*, *y*′] *zs*, *simplified*]
by *simp*

lemma *filtermap-Cons-cong*:
filtermap pred func xs = filtermap pred func ys
$\Longrightarrow$ *filtermap pred func* $(x \# xs)$ = *filtermap pred func* $(x \# ys)$
by (*cases pred x*) *auto*

lemma *set-filtermap*:
set (*filtermap pred func xs*) $\subseteq$ $\{$*func x* | *x* . *x* $\in\in$ *xs* $\wedge$ *pred x*$\}$
by (*induct xs*, *simp*) (*case-tac pred a*, *auto*)

2.1 Transition Systems

We define transition systems, their valid traces, and state rechability.

2.1.1 Traces

type-synonym *'trans trace* = *'trans list*

locale *Transition-System* =
fixes *istate* :: *'state*
and *validTrans* :: *'trans* $\Rightarrow$ *bool*
and *srcOf* :: *'trans* $\Rightarrow$ *'state*
and *tgtOf* :: *'trans* $\Rightarrow$ *'state*
begin

fun *srcOfTr* **where** *srcOfTr tr* = *srcOf*(*hd tr*)
fun *tgtOfTr* **where** *tgtOfTr tr* = *tgtOf*(*last tr*)

fun *srcOfTrFrom* **where**
srcOfTrFrom s [] = *s*
| *srcOfTrFrom s tr* = *srcOfTr tr*

lemma *srcOfTrFrom-srcOfTr*[*simp*]:
tr $\neq$ [] $\Longrightarrow$ *srcOfTrFrom s tr* = *srcOfTr tr*
by (*cases tr*) *auto*

fun *tgtOfTrFrom* **where**
tgtOfTrFrom s [] = *s*
| *tgtOfTrFrom s tr* = *tgtOfTr tr*

lemma *tgtOfTrFrom-tgtOfTr*[*simp*]:
tr $\neq$ [] $\Longrightarrow$ *tgtOfTrFrom s tr* = *tgtOfTr tr*
by (*cases tr*) *auto*

Traces allowed by the system (starting in any given state), with two alternative definitions: growing from the left and growing from the right:

inductive *valid* :: *'trans trace* $\Rightarrow$ *bool* **where**

Singl[*simp*,*intro*!]:
validTrans trn
⟹
valid [*trn*]
|
Cons[*intro*]:
⟦*validTrans trn*; *tgtOf trn* = *srcOf* (*hd tr*); *valid tr*⟧
⟹
valid (*trn* # *tr*)

inductive-cases *valid-SinglE*[*elim*!]: *valid* [*trn*]
inductive-cases *valid-ConsE*[*elim*]: *valid* (*trn* # *tr*)

inductive *valid2* :: *'trans trace* ⇒ *bool* **where**
Singl[*simp*,*intro*!]:
validTrans trn
⟹
valid2 [*trn*]
|
Rcons[*intro*]:
⟦*valid2 tr*; *tgtOf* (*last tr*) = *srcOf trn*; *validTrans trn*⟧
⟹
valid2 (*tr* ## *trn*)

inductive-cases *valid2-SinglE*[*elim*!]: *valid2* [*trn*]
inductive-cases *valid2-RconsE*[*elim*]: *valid2* (*tr* ## *trn*)

lemma *Nil-not-valid*[*simp*]: ¬ *valid* []
by (*metis valid.simps neq-Nil-conv*)

lemma *Nil-not-valid2*[*simp*]: ¬ *valid2* []
by (*metis valid2.cases append-Nil butlast.simps butlast-snoc not-Cons-self2*)

lemma *valid-Rcons*:
assumes *valid tr* **and** *tgtOf* (*last tr*) = *srcOf trn* **and** *validTrans trn*
shows *valid* (*tr* ## *trn*)
using *assms* **proof**(*induct arbitrary*: *trn*)
case (*Cons trn tr trna*)
thus *?case* **by** (*cases tr*) *auto*
qed *auto*

lemma *valid-hd-Rcons*[*simp*]:
assumes *valid tr*
shows *hd* (*tr* ## *tran*) = *hd tr*
by (*metis Nil-not-valid assms hd-append*)

lemma *valid2-hd-Rcons*[*simp*]:
assumes *valid2 tr*

shows *hd* (*tr* ## *tran*) = *hd tr*
by (*metis Nil-not-valid2 assms hd-append*)

lemma *valid2-last-Cons*[*simp*]:
assumes *valid2 tr*
shows *last* (*tran* # *tr*) = *last tr*
by (*metis Nil-not-valid2 assms last.simps*)

lemma *valid2-Cons*:
assumes *valid2 tr* **and** *tgtOf trn* = *srcOf* (*hd tr*) **and** *validTrans trn*
shows *valid2* (*trn* # *tr*)
using *assms* **proof**(*induct arbitrary*: *trn*)
case *Singl* **show** *?case*
unfolding *two-singl-Rcons* **apply**(*rule valid2.Rcons*) **using** *Singl*
by (*auto intro*: *valid2.Singl*)
next
case *Rcons* **show** *?case*
unfolding *append.append-Cons*[*symmetric*] **apply**(*rule valid2.Rcons*) **using** *Rcons* **by** *auto*
qed

lemma *valid-valid2*: *valid* = *valid2*
proof(*rule ext*, *safe*)
fix *tr* **assume** *valid tr* **thus** *valid2 tr*
by (*induct*) (*auto intro*: *valid2.Singl valid2-Cons*)
next
fix *tr* **assume** *valid2 tr* **thus** *valid tr*
by (*induct*) (*auto intro*: *valid.Singl valid-Rcons*)
qed

lemma *valid-Cons-iff*:
valid (*trn* # *tr*) ⟷ *validTrans trn* ∧ ((*tgtOf trn* = *srcOf* (*hd tr*) ∧ *valid tr*) ∨ *tr* = [])
unfolding *valid.simps*[*of trn* # *tr*] **by** *auto*

lemma *valid-append*:
tr ≠ [] ⟹ *tr1* ≠ [] ⟹
valid (*tr* @ *tr1*) ⟷ *valid tr* ∧ *valid tr1* ∧ *tgtOf* (*last tr*) = *srcOf* (*hd tr1*)
by (*induct tr*) (*auto simp add*: *valid-Cons-iff*)

lemmas *valid2-valid* = *valid-valid2*[*symmetric*]

definition *validFrom* :: *'state* ⇒ *'trans trace* ⇒ *bool* **where**
validFrom s tr ≡ *tr* = [] ∨ (*valid tr* ∧ *srcOf* (*hd tr*) = *s*)

lemma *validFrom-Nil*[*simp*,*intro*!]: *validFrom s* []
unfolding *validFrom-def* **by** *auto*

lemma *validFrom-valid*[*simp*,*intro*]: *valid tr* ∧ *srcOf* (*hd tr*) = *s* ⟹ *validFrom s tr*

unfolding *validFrom-def* **by** *auto*

lemma *validFrom-append*:
validFrom s (*tr* @ *tr1*) ⟷ (*tr* = [] ∧ *validFrom s tr1*) ∨ (*tr* ≠ [] ∧ *validFrom s tr* ∧ *validFrom* (*tgtOf* (*last tr*)) *tr1*)
unfolding *validFrom-def* **using** *valid-append*
by (*cases tr* = [] ∨ *tr1* = []) *fastforce+*

lemma *validFrom-Cons*:
validFrom s (*trn* # *tr*) ⟷ *validTrans trn* ∧ *srcOf trn* = *s* ∧ *validFrom* (*tgtOf trn*) *tr*
unfolding *validFrom-def* **by** *auto*

2.1.2 Reachability

inductive *reach* :: '*state* ⇒ *bool* **where**
Istate: *reach istate*
|
Step: *reach s* ⟹ *validTrans trn* ⟹ *srcOf trn* = *s* ⟹ *tgtOf trn* = *s'* ⟹ *reach s'*

lemma *valid-reach-src-tgt*:
assumes *valid tr* **and** *reach* (*srcOf* (*hd tr*))
shows *reach* (*tgtOf* (*last tr*))
using *assms Step* **by** *induct auto*

lemma *valid-init-reach*:
assumes *valid tr* **and** *srcOf* (*hd tr*) = *istate*
shows *reach* (*tgtOf* (*last tr*))
using *valid-reach-src-tgt assms reach.Istate* **by** *metis*

lemma *reach-init-valid*:
assumes *reach s*
shows
s = *istate*
∨
(∃ *tr*. *valid tr* ∧ *srcOf* (*hd tr*) = *istate* ∧ *tgtOf* (*last tr*) = *s*)
using *assms* **proof** *induction*
case (*Step s trn s'*)
thus *?case* **proof**(*elim disjE exE conjE*)
assume *s*: *s* = *istate*
show *?thesis*
apply (*intro disjI2 exI*[*of* - [*trn*]])
using *s Step* **by** *auto*
next
fix *tr* **assume** *v*: *valid tr* **and** *s*: *srcOf* (*hd tr*) = *istate* **and** *t*: *tgtOf* (*last tr*) = *s*
show *?thesis*
apply (*intro disjI2 exI*[*of* - *tr* ## *trn*])
using *Step v t s* **by** (*auto intro*: *valid-Rcons*)

qed
qed *auto*

lemma *reach-validFrom*:
assumes *reach* s'
shows $\exists$ *s tr*. $s = istate \wedge (s = s' \vee (validFrom\ s\ tr \wedge tgtOf\ (last\ tr) = s'))$
using *reach-init-valid*[*OF assms*] **unfolding** *validFrom-def* **by** *auto*

inductive *reachFrom* :: $'state \Rightarrow 'state \Rightarrow bool$
for s :: $'state$
where
Refl[*intro*]: *reachFrom s s*
| *Step*: $[\![reachFrom\ s\ s'; validTrans\ trn; srcOf\ trn = s'; tgtOf\ trn = s'']\!] \Longrightarrow reachFrom\ s\ s''$

lemma *reachFrom-Step1*:
$[\![validTrans\ trn; srcOf\ trn = s; tgtOf\ trn = s']\!] \Longrightarrow reachFrom\ s\ s'$
by (*auto intro*: *reachFrom.Step*)

lemma *reachFrom-Step-Left*:
$reachFrom\ s'\ s'' \Longrightarrow validTrans\ trn \Longrightarrow srcOf\ trn = s \Longrightarrow tgtOf\ trn = s' \Longrightarrow reachFrom\ s\ s''$
by (*induction s'' rule*: *reachFrom.induct*) (*auto intro*: *reachFrom.Step*)

lemma *reachFrom-trans*: *reachFrom s0 s1* $\Longrightarrow$ *reachFrom s1 s2* $\Longrightarrow$ *reachFrom s0 s2*
by (*induction s1 arbitrary*: *s2 rule*: *reachFrom.induct*) (*auto intro*: *reachFrom-Step-Left*)

lemma *reachFrom-reach*: $reachFrom\ s\ s' \Longrightarrow reach\ s \Longrightarrow reach\ s'$
by (*induction rule*: *reachFrom.induct*) (*auto intro*: *reach.Step*)

lemma *valid-validTrans-set*:
assumes *valid tr* **and** *trn* $\in\in$ *tr*
shows *validTrans trn*
using *assms* **by** (*induct tr arbitrary*: *trn*) *auto*

lemma *validFrom-validTrans-set*:
assumes *validFrom s tr* **and** *trn* $\in\in$ *tr*
shows *validTrans trn*
by (*metis assms validFrom-def empty-iff list.set valid-validTrans-set*)

lemma *valid-validTrans-nth*:
assumes *v*: *valid tr* **and** *i*: $i < length\ tr$
shows *validTrans* (*tr*!*i*)
using *valid-validTrans-set*[*OF v*] *i* **by** *auto*

lemma *valid-validTrans-nth-srcOf-tgtOf*:
assumes *v*: *valid tr* **and** *i*: $Suc\ i < length\ tr$
shows $srcOf\ (tr!(Suc\ i)) = tgtOf\ (tr!i)$
by (*metis Cons-nth-drop-Suc valid-append Suc-lessD append-self-conv2 hd-drop-conv-nth i id-take-nth-drop list.distinct*(*1*) *v valid-ConsE*)

lemma *validFrom-reach*: *validFrom s tr* $\Longrightarrow$ *reach s* $\Longrightarrow$ *tr* $\neq$ [] $\Longrightarrow$ *reach* (*tgtOf* (*last tr*))
by (*intro valid-reach-src-tgt*) (*auto simp add*: *validFrom-def*)

end

2.2 IO automata

IO automata are defined. Since they are a particular kind of transition systems, they inherit the notions of traces and reachability from those. Various useful concepts and theorems are provided, including invariants and the multi-step operator.

2.2.1 IO automata as transition systems

In this context, transitions are quadruples consisting of a source state, an action (input), and output and a target state.

datatype ('*state*,'*act*,'*out*) *trans* = *Trans* (*srcOf*: '*state*) (*actOf*: '*act*) (*outOf*: '*out*) (*tgtOf*: '*state*)

lemmas *srcOf-simps* = *trans.sel*(*1*)
lemmas *actOf-simps* = *trans.sel*(*2*)
lemmas *outOf-simps* = *trans.sel*(*3*)
lemmas *tgtOf-simps* = *trans.sel*(*4*)

locale *IO-Automaton* =
fixes *istate* :: '*state*
  and *step* :: '*state* $\Rightarrow$ '*act* $\Rightarrow$ '*out* $*$ '*state*
begin

definition *out* :: '*state* $\Rightarrow$ '*act* $\Rightarrow$ '*out* **where** *out s a* $\equiv$ *fst* (*step s a*)
definition *eff* :: '*state* $\Rightarrow$ '*act* $\Rightarrow$ '*state* **where** *eff s a* $\equiv$ *snd* (*step s a*)

fun *validTrans* :: ('*state*,'*act*,'*out*) *trans* $\Rightarrow$ *bool* **where**
validTrans (*Trans s a ou s'*) = (*step s a* = (*ou*, *s'*))

lemma *validTrans*:
validTrans trn =
 (*step* (*srcOf trn*) (*actOf trn*) = (*outOf trn*, *tgtOf trn*))
by (*cases trn*) *auto*

sublocale *Transition-System*
  where *istate* = *istate* **and** *validTrans* = *validTrans* **and** *srcOf* = *srcOf* **and** *tgtOf* = *tgtOf* **.**

lemma *reach-step*:
  reach s $\Longrightarrow$ *reach* (*snd* (*step s a*))
  using *reach.Step*[**where** *trn* = *Trans s a ou* (*snd* (*step s a*)) **for** *ou*]
  by (*cases step s a*) *auto*

lemma *reach-PairI*:
  assumes *reach s* **and** *step s a* = (*ou*, *s′*)
  shows *reach s′*
  using *assms*
  by (*auto intro*: *reach.Step*[**where** *trn* = *Trans s a ou s′*])

lemma *reach-step-induct*[*consumes 1*, *case-names Istate Step*]:
  assumes *s*: *reach s*
    and *istate*: *P istate*
    and *step*: ⋀*s a*. *reach s* ⟹ *P s* ⟹ *P* (*snd* (*step s a*))
  shows *P s*
proof (*use s* **in** *induction*)
  case *Istate*
  then show *?case*
    by (*rule istate*)
next
  case (*Step s trn s′*)
  then obtain *a ou* **where** *trn* = *Trans s a ou s′*
    by (*cases trn*) *auto*
  then show *?case*
    using *Step step*[*of s a*]
    by *auto*
qed

lemma *reachFrom-step-induct*[*consumes 1*, *case-names Refl Step*]:
  assumes *s*: *reachFrom s s′*
    and *refl*: *P s*
    and *step*: ⋀*s′ a ou s″*. *reachFrom s s′* ⟹ *P s′* ⟹ *step s′ a* = (*ou*, *s″*) ⟹ *P s″*
  shows *P s′*
proof (*use s* **in** *induction*)
  case *Refl*
  then show *?case*
    by (*rule refl*)
next
  case (*Step s′ trn s″*)
  then obtain *a ou* **where** *trn* = *Trans s′ a ou s″*
    by (*cases trn*) *auto*
  then show *?case*
    using *Step step*[*of s′ a ou s″*]
    by *auto*
qed

lemma *valid-filter-no-state-change*:
  valid tr ⟹ (⋀*trn*. *trn* ∈∈ *tr* ⟹ ¬(*PP trn*) ⟹ *srcOf trn* = *tgtOf trn*) ⟹
  ∃ *trn*. *trn* ∈∈ *tr* ∧ *PP trn* ⟹ *valid* (*filter PP tr*) ∧ *srcOfTr tr* = *srcOfTr* (*filter PP tr*)
  ∧ *tgtOfTr tr* = *tgtOfTr* (*filter PP tr*)
proof (*induct rule*: *valid.induct*)
  case (*Singl trn*) **then show** *?case* **by** *auto*

```
next
  case (Cons trn tr) then show ?case
   proof (cases PP trn)
     case True note ∗ = this show ?thesis
     proof (cases ∃ trn. trn ∈∈ tr ∧ PP trn)
       case True then show ?thesis using ∗ Cons by fastforce
     next
       case False then show ?thesis
       proof −
        have ∗∗: filter PP tr = [] using False by auto
        show ?thesis
        proof (cases tr = [])
          case True then show ?thesis using Cons by simp
        next
          case False
            with Cons(3) Cons(5) ∗∗ have srcOfTr tr = tgtOfTr tr
            proof (induction tr)
              case (Singl a)
                have ¬ (PP a) using Singl(3) by auto
                then show ?case using Singl(2) by auto
            next
              case (Cons a as)
              have ∗∗: ¬ (PP a) using Cons(6) by auto
              then have ∗: srcOf a = tgtOf a using Cons(5) by auto
              show ?case
                proof (cases as = [])
                  case True with ∗ show ?thesis by simp
                next
                  case False
                    then have srcOfTr as = tgtOfTr as  using Cons ∗∗ by auto
                    then show ?thesis using ∗ Cons(2) by auto
              qed
            qed
            then show ?thesis using ∗ ∗∗ Cons False by simp
       qed
     qed
   qed
  next
    case False then show ?thesis using Cons by auto
  qed
qed

lemma validFrom-validTrans[intro]:
assumes validTrans (Trans s a ou s') and validFrom s' tr
shows validFrom s (Trans s a ou s' # tr)
using assms unfolding validFrom-def by auto
```

2.2.2 State invariants

definition *holdsIstate* :: (*'state* ⇒ *bool*) ⇒ *bool* **where**
holdsIstate $\varphi \equiv \varphi$ *istate*

definition *invar* :: (*'state* ⇒ *bool*) ⇒ *bool* **where**
invar $\varphi \equiv \forall$ *s a*. *reach s* ∧ φ *s* ⟶ φ (*snd* (*step s a*))

lemma *holdsIstate-invar*:
assumes *h*: *holdsIstate* φ **and** *i*: *invar* φ **and** *a*: *reach s*
shows φ *s*
by (*use a* **in** ‹*induction rule*: *reach-step-induct*›)
(*use h i* **in** ‹*auto simp*: *holdsIstate-def invar-def*›)

2.2.3 Traces of actions

fun *traceOf* :: *'state* ⇒ *'act list* ⇒ (*'state*,*'act*,*'out*) *trans trace* **where**
traceOf s [] = []
|
traceOf s (*a* # *al*) =
(*case step s a of* (*ou*,*s1*) ⇒ (*Trans s a ou s1*) # *traceOf s1 al*)

fun *sstep* :: *'state* ⇒ *'act list* ⇒ *'out list* × *'state* **where**
sstep s [] = ([], *s*)
|
sstep s (*a* # *al*) = (*case step s a of* (*ou*,*s'*) ⇒ (*case sstep s' al of* (*oul*, *s''*) ⇒ (*ou* # *oul*, *s''*)))

lemma *length-traceOf*[*simp*]:
length (*traceOf s al*) = *length al*
by (*induct al arbitrary*: *s*) (*auto split*: *prod.splits*)

lemma *traceOf-Nil*[*simp*]:
traceOf s al = [] ⟷ *al* = []
by (*metis length-traceOf length-0-conv*)

lemma *sstep-outOf-traceOf*[*simp*]:
sstep s al = (*ou*,*s'*) ⟹ *map outOf* (*traceOf s al*) = *ou*
by (*induct al arbitrary*: *s ou s'*) (*auto split*: *prod.splits*)

lemma *sstep-tgtOf-traceOf*[*simp*]:
al ≠ [] ⟹ *sstep s al* = (*ou*,*s'*) ⟹ *tgtOf* (*last* (*traceOf s al*)) = *s'*
by (*induct al arbitrary*: *s ou s'*) (*auto split*: *prod.splits*)

lemma *srcOf-traceOf*[*simp*]:
al ≠ [] ⟹ *srcOf* (*hd* (*traceOf s al*)) = *s*
by (*induct al arbitrary*: *s*) (*auto split*: *prod.splits*)

lemma *actOf-traceOf*[*simp*]:
map actOf (*traceOf s al*) = *al*
by (*induct al arbitrary*: *s*) (*auto split*: *prod.splits*)

lemma *traceOf-append*:
al ≠ [] ⟹ *s1* = *tgtOf* (*last* (*traceOf s al*)) ⟹
traceOf s (*al* @ *al1*) = *traceOf s al* @ *traceOf s1 al1*
by (*induct al arbitrary*: *s s1 al1*) (*auto split*: *prod.splits*)

lemma *sstep-append*:
assumes *sstep s al* = (*oul*,*s1*) **and** *sstep s1 al1* = (*oul1*,*s2*)
shows *sstep s* (*al* @ *al1*) = (*oul* @ *oul1*, *s2*)
using *assms* **by** (*induct al arbitrary*: *oul s s1 oul1 s2*) (*auto split*: *prod.splits*)

lemma *reach-sstep*:
assumes *reach s* **and** *sstep s al* = (*ou*,*s1*)
shows *reach s1*
using *assms* **apply**(*induction al arbitrary*: *ou s1 s*)
by (*auto split*: *prod.splits*) (*metis reach-PairI*)

lemma *traceOf-consR*[*simp*]:
assumes *al* ≠ [] **and** *s1* = *tgtOf* (*last* (*traceOf s al*)) **and** *step s1 a* = (*ou*,*s2*)
shows *traceOf s* (*al* ## *a*) = *traceOf s al* ## *Trans s1 a ou s2*
using *assms* **by** (*induct al arbitrary*: *s*) (*auto split*: *prod.splits*)

lemma *sstep-consR*[*simp*]:
assumes *sstep s al* = (*oul*,*s1*) **and** *step s1 a* = (*ou*,*s2*)
shows *sstep s* (*al* ## *a*) = (*oul* ## *ou*, *s2*)
using *assms* **by** (*induct al arbitrary*: *oul s s1 ou s2*) (*auto split*: *prod.splits*)

lemma *fst-sstep-consR*:
fst (*sstep s* (*al* ## *a*)) = *fst* (*sstep s al*) ## (*fst* (*step* (*snd* (*sstep s al*)) *a*))
by (*cases sstep s al*, *cases step* (*snd* (*sstep s al*)) *a*) *auto*

lemma *valid-traceOf*[*simp*]: *al* ≠ [] ⟹ *valid* (*traceOf s al*)
proof(*induct al arbitrary*: *s*)
case (*Cons a al*)
thus *?case* **by** (*cases al* = []) (*auto split*: *prod.splits*)
qed *auto*

lemma *validFrom-traceOf*[*simp*]: *validFrom s* (*traceOf s al*)
by (*cases al* = []) *auto*

lemma *validFrom-traceOf2*:
assumes *validFrom s tr*
shows *tr* = *traceOf s* (*map actOf tr*)
using *assms*

by (*induction tr arbitrary*: *s*) (*auto split*: *prod.splits simp*: *validFrom-def elim*!: *validTrans.elims*)

lemma *set-traceOf-validTrans*:
assumes *trn* ∈∈ *traceOf s al* **shows** *validTrans trn*
by (*metis assms validFrom-traceOf validFrom-validTrans-set*)

lemma *traceOf-append-sstep*: *traceOf s* (*al* @ *al1*) = *traceOf s al* @ *traceOf* (*snd* (*sstep s al*)) *al1*
by (*induction al arbitrary*: *s al1*) (*auto split*: *prod.splits*)

lemma *snd-sstep-append*: *snd* (*sstep s* (*al* @ *al1*)) = *snd* (*sstep* (*snd* (*sstep s al*)) *al1*)
by (*cases sstep s al*, *cases sstep* (*snd* (*sstep s al*)) *al1*) (*auto simp add*: *sstep-append*)

lemma *snd-sstep-step-constant*:
assumes ∀ *a*. *a* ∈∈ *al* ⟶ *snd* (*step s a*) = *s*
shows *snd* (*sstep s al*) = *s*
using *assms* **by** (*induction al*) (*auto split*: *prod.splits*)

definition *const-tr tr* ≡ ∀ *trn*. *trn* ∈∈ *tr* ⟶ *srcOf trn* = *tgtOf trn*

lemma *const-tr-same-src-tgt*:
assumes *valid tr const-tr tr*
shows *srcOfTr tr* = *tgtOfTr tr*
using *assms* **unfolding** *const-tr-def* **by** *induction auto*

lemma *traceOf-snoc*:
traceOf s (*al* ## *a*) =
traceOf s al ##
Trans (*snd* (*sstep s al*))
a
(*fst* (*step* (*snd* (*sstep s al*)) *a*))
(*snd* (*step* (*snd* (*sstep s al*)) *a*))
by (*metis* (*no-types*, *lifting*) *traceOf-Nil traceOf-append-sstep prod.case-eq-if traceOf.simps*)

lemma *traceOf-append-unfold*:
traceOf s (*al1* @ *al2*) =
traceOf s al1 @ *traceOf* (*if al1* = [] *then s else tgtOf* (*last* (*traceOf s al1*))) *al2*
using *traceOf-append* **by** (*cases al1* = []) *auto*

abbreviation *transOf s a* ≡ *Trans s a* (*fst* (*step s a*)) (*snd* (*step s a*))

lemma *traceOf-Cons*: *traceOf s* (*a* # *al*) = *transOf s a* # *traceOf* (*snd* (*step s a*)) *al*
by (*auto split*: *prod.splits*)

definition *commute s a1 a2*
≡ *snd* (*sstep s* [*a1*, *a2*]) = *snd* (*sstep s* [*a2*, *a1*])

definition *absorb* :: *'state* ⇒ *'act* ⇒ *'act* ⇒ *bool* **where**

absorb s a1 a2 ≡ *snd* (*sstep s* [*a1*, *a2*]) = *snd* (*step s a2*)

lemma *validFrom-commute*:
assumes *validFrom s0* (*tr1* @ *transOf s a* # *transOf* (*snd* (*step s a*)) *a′* # *tr2*)
and *commute s a a′*
shows *validFrom s0* (*tr1* @ *transOf s a′* # *transOf* (*snd* (*step s a′*)) *a* # *tr2*)
using *assms* **unfolding** *commute-def* **by** (*auto split*: *prod.splits simp add*: *validFrom-append validFrom-Cons*)

lemma *validFrom-absorb*:
assumes *validFrom s0* (*tr1* @ *transOf s a* # *transOf* (*snd* (*step s a*)) *a′* # *tr2*)
and *absorb s a a′*
shows *validFrom s0* (*tr1* @ *transOf s a′* # *tr2*)
using *assms* **unfolding** *absorb-def* **by** (*auto split*: *prod.splits simp add*: *validFrom-append validFrom-Cons*)

lemma *validTrans-Trans-srcOf-actOf-tgtOf*:
validTrans trn ⟹ *Trans* (*srcOf trn*) (*actOf trn*) (*outOf trn*) (*tgtOf trn*) = *trn*
by (*cases trn*) *auto*

lemma *validTrans-step-srcOf-actOf-tgtOf*:
validTrans trn ⟹ *step* (*srcOf trn*) (*actOf trn*) = (*outOf trn*, *tgtOf trn*)
by (*cases trn*) *auto*

lemma *sstep-Cons*:
sstep s (*a* # *al*) = (*fst* (*step s a*) # *fst* (*sstep* (*snd* (*step s a*)) *al*), *snd* (*sstep* (*snd* (*step s a*)) *al*))
by (*auto split*: *prod.splits*)
declare *sstep.simps*(*2*)[*simp del*]

lemma *length-fst-sstep*: *length* (*fst* (*sstep s al*)) = *length al*
by (*induction al arbitrary*: *s*) (*auto simp*: *sstep-Cons*)

3 BD Security

3.1 Abstract definition

no-notation *relcomp* (**infixr** *O* 75)

locale *Abstract-BD-Security* =
fixes
validSystemTrace :: *'traces* ⇒ *bool*
and — secret values:
V :: *'traces* ⇒ *'values*
and — observations:
O :: *'traces* ⇒ *'observations*
and — declassification bound:
B :: *'values* ⇒ *'values* ⇒ *bool*
and — declassification trigger:
TT :: *'traces* ⇒ *bool*
begin

A system is considered to be secure if, for all traces that satisfy a given condition (later instantiated to be the absence of transitions satisfying a declassification trigger condition, releasing the secret information), the secret value can be replaced by another secret value within the declassification bound, without changing the observation. Hence, an observer cannot distinguish secrets related by the declassification bound, unless and until release of the secret information is allowed by the declassification trigger.

definition *secure* :: *bool* **where**
secure ≡
∀ *tr vl vl1*.
validSystemTrace tr ∧ *TT tr* ∧ *B vl vl1* ∧ *V tr* = *vl* ⟶
(∃ *tr1*. *validSystemTrace tr1* ∧ *O tr1* = *O tr* ∧ *V tr1* = *vl1*)

lemma *secureE*:
assumes *secure* **and** *validSystemTrace tr* **and** *TT tr* **and** *B* (*V tr*) *vl1*
obtains *tr1* **where** *validSystemTrace tr1 O tr1* = *O tr V tr1* = *vl1*
using *assms* **unfolding** *secure-def* **by** *auto*

end

3.2 Instantiation for transition systems

declare *Let-def*[*simp*]

no-notation *relcomp* (**infixr** *O* 75)

locale *BD-Security-TS* = *Transition-System istate validTrans srcOf tgtOf*
for *istate* :: *'state* **and** *validTrans* :: *'trans* ⇒ *bool*
and *srcOf* :: *'trans* ⇒ *'state* **and** *tgtOf* :: *'trans* ⇒ *'state*
+
fixes
φ :: *'trans* => *bool* **and** *f* :: *'trans* ⇒ *'value*
and
γ :: *'trans* => *bool* **and** *g* :: *'trans* ⇒ *'obs*
and
T :: *'trans* ⇒ *bool*
and
B :: *'value list* ⇒ *'value list* ⇒ *bool*
begin

definition *V* :: *'trans list* ⇒ *'value list* **where** *V* ≡ *filtermap φ f*

definition *O* :: *'trans trace* ⇒ *'obs list* **where** *O* ≡ *filtermap γ g*

sublocale *Abstract-BD-Security*

where *validSystemTrace* = *validFrom istate* **and** $V = V$ **and** $O = O$ **and** $B = B$ **and** $TT = never\ T$ **.**

lemma *O-map-filter*: $O\ tr = map\ g\ (filter\ \gamma\ tr)$ **unfolding** *O-def filtermap-map-filter* **..**
lemma *V-map-filter*: $V\ tr = map\ f\ (filter\ \varphi\ tr)$ **unfolding** *V-def filtermap-map-filter* **..**

lemma *V-simps*[*simp*]:
$V\ [] = []\ \ \neg\ \varphi\ trn \Longrightarrow V\ (trn\ \#\ tr) = V\ tr\ \ \varphi\ trn \Longrightarrow V\ (trn\ \#\ tr) = f\ trn\ \#\ V\ tr$
unfolding *V-def* **by** *auto*

lemma *V-Cons-unfold*: $V\ (trn\ \#\ tr) = (if\ \varphi\ trn\ then\ f\ trn\ \#\ V\ tr\ else\ V\ tr)$
by *auto*

lemma *O-simps*[*simp*]:
$O\ [] = []\ \ \neg\ \gamma\ trn \Longrightarrow O\ (trn\ \#\ tr) = O\ tr\ \ \gamma\ trn \Longrightarrow O\ (trn\ \#\ tr) = g\ trn\ \#\ O\ tr$
unfolding *O-def* **by** *auto*

lemma *O-Cons-unfold*: $O\ (trn\ \#\ tr) = (if\ \gamma\ trn\ then\ g\ trn\ \#\ O\ tr\ else\ O\ tr)$
by *auto*

lemma *V-append*: $V\ (tr\ @\ tr1) = V\ tr\ @\ V\ tr1$
unfolding *V-def* **using** *filtermap-append* **by** *auto*

lemma *V-snoc*:
$\neg\ \varphi\ trn \Longrightarrow V\ (tr\ \#\#\ trn) = V\ tr\ \ \varphi\ trn \Longrightarrow V\ (tr\ \#\#\ trn) = V\ tr\ \#\#\ f\ trn$
unfolding *V-def* **by** *auto*

lemma *O-snoc*:
$\neg\ \gamma\ trn \Longrightarrow O\ (tr\ \#\#\ trn) = O\ tr\ \ \gamma\ trn \Longrightarrow O\ (tr\ \#\#\ trn) = O\ tr\ \#\#\ g\ trn$
unfolding *O-def* **by** *auto*

lemma *V-Nil-list-ex*: $V\ tr = [] \longleftrightarrow \neg\ list\text{-}ex\ \varphi\ tr$
unfolding *V-def* **using** *filtermap-Nil-list-ex* **by** *auto*

lemma *V-Nil-never*: $V\ tr = [] \longleftrightarrow never\ \varphi\ tr$
unfolding *V-def* **using** *filtermap-Nil-never* **by** *auto*

lemma *Nil-V-never*: $[] = V\ tr \longleftrightarrow never\ \varphi\ tr$
unfolding *V-def filtermap-map-filter* **by** (*induction tr*) *auto*

lemma *list-ex-iff-length-V*:
$list\text{-}ex\ \varphi\ tr \longleftrightarrow length\ (V\ tr) > 0$
by (*metis V-Nil-list-ex length-greater-0-conv*)

lemma *length-V*: $length\ (V\ tr) \leq length\ tr$
by (*auto simp*: *V-def length-filtermap*)

lemma *V-list-all*: $V\ tr = map\ f\ tr \longleftrightarrow list\text{-}all\ \varphi\ tr$
by (*auto simp*: *V-def length-filtermap*)

lemma *V-eq-Cons*:
assumes $V\ tr = v\ \#\ vl1$
shows $\exists\ trn\ tr2\ tr1.\ tr = tr2\ @\ [trn]\ @\ tr1 \wedge never\ \varphi\ tr2 \wedge \varphi\ trn \wedge f\ trn = v \wedge V\ tr1 = vl1$
using *assms filtermap-eq-Cons* **unfolding** *V-def* **by** *auto*

lemma *V-eq-append*:
assumes $V\ tr = vl1\ @\ vl2$
shows $\exists\ tr1\ tr2.\ tr = tr1\ @\ tr2 \wedge V\ tr1 = vl1 \wedge V\ tr2 = vl2$
using *assms filtermap-eq-append*[*of* $\varphi\ f$] **unfolding** *V-def* **by** *auto*

lemma *V-eq-RCons*:
assumes $V\ tr = vl1\ \#\#\ v$
shows $\exists\ trn\ tr1\ tr2.\ tr = tr1\ @\ [trn]\ @\ tr2 \wedge \varphi\ trn \wedge f\ trn = v \wedge V\ tr1 = vl1 \wedge never\ \varphi\ tr2$
using *assms filtermap-eq-RCons*[*of* $\varphi\ f$] **unfolding** *V-def* **by** *blast*

lemma *V-eq-Cons-RCons*:
assumes $V\ tr = v\ \#\ vl1\ \#\#\ w$
shows $\exists\ trv\ trnv\ tr1\ trnw\ trw.$
$tr = trv\ @\ [trnv]\ @\ tr1\ @\ [trnw]\ @\ trw \wedge$
$never\ \varphi\ trv \wedge \varphi\ trnv \wedge f\ trnv = v \wedge V\ tr1 = vl1 \wedge \varphi\ trnw \wedge f\ trnw = w \wedge never\ \varphi\ trw$
using *assms filtermap-eq-Cons-RCons*[*of* $\varphi\ f$] **unfolding** *V-def* **by** *blast*

lemma *O-append*: $O\ (tr\ @\ tr1) = O\ tr\ @\ O\ tr1$
unfolding *O-def* **using** *filtermap-append* **by** *auto*

lemma *O-Nil-list-ex*: $O\ tr = [] \longleftrightarrow \neg\ list\text{-}ex\ \gamma\ tr$
unfolding *O-def* **using** *filtermap-Nil-list-ex* **by** *auto*

lemma *O-Nil-never*: $O\ tr = [] \longleftrightarrow never\ \gamma\ tr$
unfolding *O-def* **using** *filtermap-Nil-never* **by** *auto*

lemma *Nil-O-never*: $[] = O\ tr \longleftrightarrow never\ \gamma\ tr$
unfolding *O-def filtermap-map-filter* **by** (*induction tr*) *auto*

lemma *length-O*: $length\ (O\ tr) \leq length\ tr$
by (*auto simp*: *O-def length-filtermap*)

lemma *O-list-all*: $O\ tr = map\ g\ tr \longleftrightarrow list\text{-}all\ \gamma\ tr$
by (*auto simp*: *O-def length-filtermap*)

lemma *O-eq-Cons*:
assumes $O\ tr = obs\ \#\ obsl1$
shows $\exists\ trn\ tr2\ tr1.\ tr = tr2\ @\ [trn]\ @\ tr1 \wedge never\ \gamma\ tr2 \wedge \gamma\ trn \wedge g\ trn = obs \wedge O\ tr1 = obsl1$
using *assms filtermap-eq-Cons* **unfolding** *O-def* **by** *auto*

lemma *O-eq-append*:
assumes $O\ tr = obsl1\ @\ obsl2$
shows $\exists\ tr1\ tr2.\ tr = tr1\ @\ tr2 \wedge O\ tr1 = obsl1 \wedge O\ tr2 = obsl2$
using *assms filtermap-eq-append*[*of* $\gamma\ g$] **unfolding** *O-def* **by** *auto*

lemma *O-eq-RCons*:
assumes *O tr = oul1 ## ou*
shows $\exists$ *trn tr1 tr2. tr = tr1 @ [trn] @ tr2* $\wedge$ γ *trn* $\wedge$ *g trn = ou* $\wedge$ *O tr1 = oul1* $\wedge$ *never* γ *tr2*
using *assms filtermap-eq-RCons*[*of* γ *g*] **unfolding** *O-def* **by** *blast*

lemma *O-eq-Cons-RCons*:
assumes *O tr0 = ou # oul1 ## ouu*
shows $\exists$ *tr trn tr1 trnn trr.*
tr0 = tr @ [trn] @ tr1 @ [trnn] @ trr $\wedge$
never γ *tr* $\wedge$ γ *trn* $\wedge$ *g trn = ou* $\wedge$ *O tr1 = oul1* $\wedge$ γ *trnn* $\wedge$ *g trnn = ouu* $\wedge$ *never* γ *trr*
using *assms filtermap-eq-Cons-RCons*[*of* γ *g*] **unfolding** *O-def* **by** *blast*

lemma *O-eq-Cons-RCons-append*:
assumes *O tr0 = ou # oul1 ## ouu @ oull*
shows $\exists$ *tr trn tr1 trnn trr.*
tr0 = tr @ [trn] @ tr1 @ [trnn] @ trr $\wedge$
never γ *tr* $\wedge$ γ *trn* $\wedge$ *g trn = ou* $\wedge$ *O tr1 = oul1* $\wedge$ γ *trnn* $\wedge$ *g trnn = ouu* $\wedge$ *O trr = oull*
proof−
from *O-eq-append*[*of tr0 ou # oul1 ## ouu oull*] *assms*
obtain *tr00 trrr* **where** *1*: *tr0 = tr00 @ trrr*
and *2*: *O tr00 = ou # oul1 ## ouu* **and** *3*: *O trrr = oull* **by** *auto*
from *O-eq-Cons-RCons*[*OF 2*] **obtain** *tr trn tr1 trnn trr* **where**
4:*tr00 = tr @ [trn] @ tr1 @ [trnn] @ trr* $\wedge$
never γ *tr* $\wedge$
γ *trn* $\wedge$ *g trn = ou* $\wedge$ *O tr1 = oul1* $\wedge$ γ *trnn* $\wedge$ *g trnn = ouu* $\wedge$ *never* γ *trr* **by** *auto*
show *?thesis* **apply**(*rule exI*[*of - tr*], *rule exI*[*of - trn*], *rule exI*[*of - tr1*],
rule exI[*of - trnn*], *rule exI*[*of - trr @ trrr*])
using *1 3 4* **by** (*simp add*: *O-append O-Nil-never*)
qed

lemma *O-Nil-tr-Nil*: *O tr* $\neq$ [] $\Longrightarrow$ *tr* $\neq$ []
by (*induction tr*) *auto*

lemma *V-Cons-eq-append*: *V* (*trn # tr*) = *V* [*trn*] @ *V tr*
by (*cases* φ *trn*) *auto*

lemma *set-V*: *set* (*V tr*) $\subseteq$ {*f trn* | *trn* . *trn* $\in\in$ *tr* $\wedge$ φ *trn*}
using *set-filtermap* **unfolding** *V-def* **.**

lemma *set-O*: *set* (*O tr*) $\subseteq$ {*g trn* | *trn* . *trn* $\in\in$ *tr* $\wedge$ γ *trn*}
using *set-filtermap* **unfolding** *O-def* **.**

lemma *list-ex-length-O*:
assumes *list-ex* γ *tr* **shows** *length* (*O tr*) > *0*
by (*metis assms O-Nil-list-ex length-greater-0-conv*)

lemma *list-ex-iff-length-O*:
list-ex γ *tr* $\longleftrightarrow$ *length* (*O tr*) > *0*

by (*metis O-Nil-list-ex length-greater-0-conv*)

lemma *length1-O-list-ex-iff*:
length (*O tr*) > *1* ⟹ *list-ex* γ *tr*
unfolding *list-ex-iff-length-O* **by** *auto*

lemma *list-all-O-map*: *list-all* γ *tr* ⟹ *O tr* = *map g tr*
using *O-list-all* **by** *auto*

lemma *never-O-Nil*: *never* γ *tr* ⟹ *O tr* = []
using *O-Nil-never* **by** *auto*

lemma *list-all-V-map*: *list-all* φ *tr* ⟹ *V tr* = *map f tr*
using *V-list-all* **by** *auto*

lemma *never-V-Nil*: *never* φ *tr* ⟹ *V tr* = []
using *V-Nil-never* **by** *auto*

inductive *reachNT*:: *'state* ⇒ *bool* **where**
Istate: *reachNT istate*
|
Step:
⟦*reachNT* (*srcOf trn*); *validTrans trn*; ¬ *T trn*⟧
⟹ *reachNT* (*tgtOf trn*)

lemma *reachNT-reach*: **assumes** *reachNT s* **shows** *reach s*
using *assms* **apply** *induct* **by** (*auto intro*: *reach.intros*)

lemma *V-iff-non-φ*[*simp*]: *V* (*trn* # *tr*) = *V tr* ⟷ ¬ φ *trn*
by (*cases* φ *trn*) *auto*

lemma *V-imp-φ*: *V* (*trn* # *tr*) = *v* # *V tr* ⟹ φ *trn*
by (*cases* φ *trn*) *auto*

lemma *V-imp-Nil*: *V* (*trn* # *tr*) = [] ⟹ *V tr* = []
by (*cases* φ *trn*) *auto*

lemma *V-iff-Nil*[*simp*]: *V* (*trn* # *tr*) = [] ⟷ ¬ φ *trn* ∧ *V tr* = []
by (*metis V-iff-non-φ V-imp-Nil*)

end

3.3 Instantiation for IO automata

no-notation *relcomp* (**infixr** *O* 75)

abbreviation *never* :: (*'a* ⇒ *bool*) ⇒ *'a list* ⇒ *bool* **where** *never U* ≡ *list-all* (λ *a*. ¬ *U a*)

locale *BD-Security-IO* = *IO-Automaton istate step*
for *istate* :: *'state* **and** *step* :: *'state* ⇒ *'act* ⇒ *'out* × *'state*
+
fixes
φ :: (*'state*,*'act*,*'out*) *trans* ⇒ *bool* **and** *f* :: (*'state*,*'act*,*'out*) *trans* ⇒ *'value*
and
γ :: (*'state*,*'act*,*'out*) *trans* ⇒ *bool* **and** *g* :: (*'state*,*'act*,*'out*) *trans* ⇒ *'obs*
and
T :: (*'state*,*'act*,*'out*) *trans* ⇒ *bool*
and
B :: *'value list* ⇒ *'value list* ⇒ *bool*
begin

sublocale *BD-Security-TS* **where** *validTrans* = *validTrans* **and** *srcOf* = *srcOf* **and** *tgtOf* = *tgtOf* **.**

lemma *reachNT-step-induct*[*consumes 1*, *case-names Istate Step*]:
assumes *reachNT s*
and *P istate*
and ⋀*s a ou s'*. *reachNT s* ⟹ *step s a* = (*ou*, *s'*) ⟹ ¬*T* (*Trans s a ou s'*) ⟹ *P s* ⟹ *P s'*
shows *P s*
using *assms*
by (*induction rule*: *reachNT.induct*) (*auto elim*: *validTrans.elims*)

lemma *reachNT-PairI*:
assumes *reachNT s* **and** *step s a* = (*ou*, *s'*) **and** ¬ *T* (*Trans s a ou s'*)
shows *reachNT s'*
using *assms reachNT.simps*[*of s'*]
by *auto*

lemma *reachNT-state-cases*[*cases set*, *consumes 1*, *case-names init step*]:
assumes *reachNT s*
obtains *s* = *istate*
| *sh a ou* **where** *reach sh step sh a* = (*ou*,*s*) ¬*T* (*Trans sh a ou s*)
using *assms*
unfolding *reachNT.simps*[*of s*]
by (*fastforce intro*: *reachNT-reach elim*: *validTrans.elims*)

definition *invarNT* **where**
invarNT Inv ≡ ∀ *s a ou s'*. *reachNT s* ∧ *Inv s* ∧ ¬ *T* (*Trans s a ou s'*) ∧ *step s a* = (*ou*,*s'*) ⟶ *Inv s'*

lemma *invarNT-disj*:
assumes *invarNT Inv1* **and** *invarNT Inv2*
shows *invarNT* (λ *s*. *Inv1 s* ∨ *Inv2 s*)
using *assms* **unfolding** *invarNT-def* **by** *blast*

lemma *invarNT-conj*:
assumes *invarNT Inv1* **and** *invarNT Inv2*
shows *invarNT* (λ *s*. *Inv1 s* $\wedge$ *Inv2 s*)
using *assms* **unfolding** *invarNT-def* **by** *blast*

lemma *holdsIstate-invarNT*:
assumes *h*: *holdsIstate Inv* **and** *i*: *invarNT Inv* **and** *a*: *reachNT s*
shows *Inv s*
using *a* **using** *h i* **unfolding** *holdsIstate-def invarNT-def*
by (*induction rule*: *reachNT-step-induct*) *auto*

end

3.4 Trigger-preserving BD security

Section 3.3 of [3] gives a recipe for incorporating declassification triggers into the bound, and discusses the question whether this is always possible without loss of generality, giving a partially positive answer: the transformed security property is equivalent to a slightly strengthened version of the original one.

3.4.1 Definition

context *Abstract-BD-Security*
begin

The strengthened variant of BD Security is called *trigger-preserving* in [3], because the difference to regular BD Security is that the (non-firing of the) declassification trigger in the original trace is preserved in alternative traces.

definition *secureTT* :: *bool* **where**
secureTT $\equiv$
$\forall$ *tr vl vl1*.
validSystemTrace tr $\wedge$ *TT tr* $\wedge$ *B vl vl1* $\wedge$ *V tr* = *vl* $\longrightarrow$
($\exists$ *tr1*. *validSystemTrace tr1* $\wedge$ *TT tr1* $\wedge$ *O tr1* = *O tr* $\wedge$ *V tr1* = *vl1*)

This indeed strengthens the original notion of BD Security.

lemma *secureTT-secure*: *secureTT* $\Longrightarrow$ *secure*
unfolding *secureTT-def secure-def*
by *blast*

lemma *secureTT-E*:
assumes *secureTT*
and *validSystemTrace tr* **and** *TT tr* **and** *B vl vl1* **and** *V tr* = *vl*
obtains *tr1* **where** *validSystemTrace tr1* **and** *TT tr1* **and** *O tr1* = *O tr* **and** *V tr1* = *vl1*
using *assms* **unfolding** *secureTT-def*
by *blast*

lemma *secure-E*:

assumes *secure*
and *validSystemTrace tr* **and** *TT tr* **and** *B vl vl1* **and** *V tr = vl*
obtains *tr1* **where** *validSystemTrace tr1* **and** *O tr1 = O tr* **and** *V tr1 = vl1*
using *assms* **unfolding** *secure-def*
by *blast*

end

3.4.2 Incorporating static triggers into the bound

By making transitions that fire the trigger emit a dedicated secret value (here *None*), the (non-firing of the) trigger can be incorporated into the bound.

locale *BD-Security-TS-Triggerless = Orig*: *BD-Security-TS*
begin

abbreviation $\varphi'\ trn \equiv \varphi\ trn \vee T\ trn$

abbreviation $f'\ trn \equiv (if\ T\ trn\ then\ None\ else\ Some\ (f\ trn))$

abbreviation $T'\ trn \equiv False$

abbreviation $B'\ vl'\ vl1' \equiv B\ (these\ vl')\ (these\ vl1') \wedge never\ Option.is\text{-}none\ vl' \wedge never\ Option.is\text{-}none\ vl1'$

sublocale *Prime?*: *BD-Security-TS* **where** $\varphi = \varphi'$ **and** $f = f'$ **and** $T = T'$ **and** $B = B'$ **.**

lemma *map-Some-these*: *never Option.is-none xs* $\Longrightarrow$ *map Some* (*these xs*) = *xs*
proof (*induction xs*)
case (*Cons x xs*) **then show** *?case* **by** (*cases x*) *auto*
qed *auto*

lemma *V'-never-none-T*[*simp*]: *Prime.V tr = vl* $\Longrightarrow$ *never Option.is-none vl* $\longleftrightarrow$ *never T tr*
proof (*induction tr arbitrary*: *vl*)
case (*Cons trn tr*) **then show** *?case* **by** (*cases* φ' *trn*) *auto*
qed *auto*

lemma *V'-V*: *never T tr* $\longleftrightarrow$ *Prime.V tr = map Some* (*Orig.V tr*)
proof (*induction tr*)
case (*Cons trn tr*) **then show** *?case* **by** (*cases* φ' *trn*) *auto*
qed *auto*

lemma *V-Some-never-T*: *Prime.V tr = map Some vl* $\Longrightarrow$ *never T tr*
proof (*induction tr arbitrary*: *vl*)
case (*Cons trn tr*) **then show** *?case* **by** (*cases* φ' *trn*) *auto*
qed *auto*

In the modified setup, the notions of trigger-preserving and original BD Security coincide due to the trigger being vacuously false.

lemma *secureTT-iff-secure*: *Prime.secureTT* $\longleftrightarrow$ *Prime.secure*

unfolding *secureTT-def secure-def*
by (*auto simp*: *list-all-iff*)

The modified property is equivalent to trigger-preserving BD Security in the original setup [3, Proposition 2].

lemma *secureTT-iff-secure'*: *Orig.secureTT* $\longleftrightarrow$ *Prime.secure*
proof
assume *secure*: *Orig.secureTT*
then show *Prime.secure*
proof (*unfold Prime.secure-def*, *intro allI impI*, *elim conjE*)
fix *tr vl vl1*
assume *tr*: *Orig.validFrom istate tr* **and** *V*: *V tr* = *vl* **and** *B*: *B* (*these vl*) (*these vl1*)
and *vl*: *never Option.is-none vl* **and** *vl1*: *never Option.is-none vl1*
with *secure* **obtain** *tr1* **where** *Orig.validFrom istate tr1* **and** *never T tr1*
and *Prime.O tr1* = *Prime.O tr* **and** *Orig.V tr1* = *these vl1*
by (*elim Orig.secureTT-E*) (*auto simp*: *V'-V*)
then show ∃ *tr1*. *Orig.validFrom istate tr1* ∧ *O tr1* = *O tr* ∧ *V tr1* = *vl1* **using** *vl1*
by (*intro exI*[*of* - *tr1*]) (*auto simp*: *V'-V map-Some-these iff*: *list-all-iff*)
qed
next
assume *secure'*: *Prime.secure*
then show *Orig.secureTT*
proof (*unfold Orig.secureTT-def*, *intro allI impI*, *elim conjE*)
fix *tr vl vl1*
assume *Orig.validFrom istate tr* **and** *never T tr* **and** *B vl vl1* **and** *Orig.V tr* = *vl*
with *secure'* **obtain** *tr1* **where** *Orig.validFrom istate tr1* **and** *Prime.O tr1* = *Prime.O tr*
and *V*: *Prime.V tr1* = *map Some vl1*
by (*elim Prime.secure-E*) (*auto iff*: *V'-V list-all-iff*)
moreover have *never T tr1* **using** *V* **by** (*intro V-Some-never-T*)
ultimately show ∃ *tr1*. *Orig.validFrom istate tr1* ∧ *never T tr1* ∧ *O tr1* = *O tr* ∧ *Orig.V tr1* = *vl1*
by (*intro exI*[*of* - *tr1*]) (*auto simp*: *V'-V*)
qed
qed

The modified property also strengthens the regular notion of BD Security in the original setup [3, Proposition 1].

lemma *secure'-secure*: *Prime.secure* ⟹ *Orig.secure*
using *secureTT-iff-secure' Orig.secureTT-secure*
by *simp*

end

3.4.3 Reflexive-transitive closure of declassification bounds

Another property of trigger-preserving BD Security is that security w.r.t. an arbitrary bound B is equivalent to security w.r.t. its reflexive-transitive closure B^{**} [3, Proposition 3].

locale *Abstract-BD-Security-Transitive-Closure* = *Orig*: *Abstract-BD-Security*
begin

sublocale *Prime?*: *Abstract-BD-Security* **where** $B = B^{**}$ **.**

lemma *secureTT-iff-secureTT'*: $Orig.secureTT \longleftrightarrow Prime.secureTT$
proof
  assume *Orig.secureTT*
  then show *Prime.secureTT*
  proof (*unfold Prime.secureTT-def*, *intro allI impI*, *elim conjE*)
    fix *tr vl vl1*
    assume *tr*: *validSystemTrace tr* **and** *TT*: *TT tr* **and** *B*: B^{**} *vl vl1* **and** *V*: *V tr* = *vl*
    from *B* **show** ∃ *tr1*. *validSystemTrace tr1* ∧ *TT tr1* ∧ *O tr1* = *O tr* ∧ *V tr1* = *vl1*
    proof (*induction rule*: *rtranclp-induct*)
      case *base*
      show ∃ *tr1*. *validSystemTrace tr1* ∧ *TT tr1* ∧ *O tr1* = *O tr* ∧ *V tr1* = *vl*
        using *tr TT V*
        by (*intro exI*[**where** *x* = *tr*]) *auto*
    next
      case (*step vl' vl1'*)
      then obtain *tr1*
        where *tr1*: *validSystemTrace tr1 TT tr1* **and** *O1*: *O tr1* = *O tr* **and** *V1*: *V tr1* = *vl'*
        by *blast*
      show ∃ *tr1*. *validSystemTrace tr1* ∧ *TT tr1* ∧ *O tr1* = *O tr* ∧ *V tr1* = *vl1'*
        by (*rule Orig.secureTT-E*[*OF* ‹*Orig.secureTT*› *tr1* ‹*B vl' vl1'*› *V1*]) (*use O1 V* **in** *auto*)
    qed
  qed
next
  assume *Prime.secureTT*
  then show *Orig.secureTT*
    unfolding *Prime.secureTT-def Orig.secureTT-def*
    by *blast*
qed

end

4 Unwinding proof method

This section formalizes the unwinding proof method for BD Security discussed in [4, Section 5.1]

context *BD-Security-IO*
begin

definition *consume* :: ('*state*,'*act*,'*out*) *trans* ⇒ '*value list* ⇒ '*value list* ⇒ *bool* **where**
consume trn vl vl' ≡
 if φ *trn then vl* ≠ [] ∧ *f trn* = *hd vl* ∧ *vl'* = *tl vl*
 else vl' = *vl*

definition *consumeList* :: ('*state*,'*act*,'*out*) *trans trace* ⇒ '*value list* ⇒ '*value list* ⇒ *bool* **where**
consumeList tr vl vl' ≡ *vl* = (*V tr*) @ *vl'*

lemma *length-consume*[*simp*]:
consume trn vl vl' $\Longrightarrow$ *length vl'* < *Suc* (*length vl*)
unfolding *consume-def* **by** (*auto split*: *if-splits*)

lemma *ex-consume-*φ:
assumes ¬ φ *trn*
obtains *vl'* **where** *consume trn vl vl'*
using *assms* **unfolding** *consume-def* **by** *auto*

lemma *ex-consume-NO*:
assumes *vl* ≠ [] **and** *f trn* = *hd vl*
obtains *vl'* **where** *consume trn vl vl'*
using *assms* **unfolding** *consume-def* **by** (*cases* φ *trn*) *auto*

definition *iaction* **where**
iaction Δ *s vl s1 vl1* ≡
∃ *al1 vl1'*.
let tr1 = *traceOf s1 al1*; *s1'* = *tgtOf* (*last tr1*) *in*
list-ex φ *tr1* ∧ *consumeList tr1 vl1 vl1'* ∧
never γ *tr1*
∧
Δ *s vl s1' vl1'*

lemma *iactionI-ms*[*intro?*]:
assumes *s*: *sstep s1 al1* = (*oul1*, *s1'*)
and *l*: *list-ex* φ (*traceOf s1 al1*)
and *consumeList* (*traceOf s1 al1*) *vl1 vl1'*
and *never* γ (*traceOf s1 al1*) **and** Δ *s vl s1' vl1'*
shows *iaction* Δ *s vl s1 vl1*
proof−
have *al1* ≠ [] **using** *l* **by** *auto*
from *sstep-tgtOf-traceOf*[*OF this s*] *assms*
show *?thesis* **unfolding** *iaction-def* **by** *auto*
qed

lemma *sstep-eq-singleiff*[*simp*]: *sstep s1* [*a1*] = ([*ou1*], *s1'*) ⟷ *step s1 a1* = (*ou1*, *s1'*)
using *sstep-Cons* **by** *auto*

lemma *iactionI*[*intro?*]:
assumes *step s1 a1* = (*ou1*, *s1'*) **and** φ (*Trans s1 a1 ou1 s1'*)
and *consume* (*Trans s1 a1 ou1 s1'*) *vl1 vl1'*
and ¬ γ (*Trans s1 a1 ou1 s1'*) **and** Δ *s vl s1' vl1'*
shows *iaction* Δ *s vl s1 vl1*
using *assms*
by (*intro iactionI-ms*[*of* - [*a1*] [*ou1*]]) (*auto simp*: *consume-def consumeList-def*)

definition *match* **where**
match Δ *s s1 vl1 a ou s′ vl′* ≡
∃ *al1 vl1′*.
let trn = *Trans s a ou s′*; *tr1* = *traceOf s1 al1*; *s1′* = *tgtOf* (*last tr1*) *in*
al1 ≠ [] ∧ *consumeList tr1 vl1 vl1′* ∧
O tr1 = *O* [*trn*] ∧
Δ *s′ vl′ s1′ vl1′*

lemma *matchI-ms*[*intro?*]:
assumes *s*: *sstep s1 al1* = (*oul1*, *s1′*)
and *l*: *al1* ≠ []
and *consumeList* (*traceOf s1 al1*) *vl1 vl1′*
and *O* (*traceOf s1 al1*) = *O* [*Trans s a ou s′*]
and Δ *s′ vl′ s1′ vl1′*
shows *match* Δ *s s1 vl1 a ou s′ vl′*
proof−
from *sstep-tgtOf-traceOf*[*OF l s*] *assms*
show *?thesis* **unfolding** *match-def* **by** (*intro exI*[*of - al1*]) *auto*
qed

lemma *matchI*[*intro?*]:
assumes *validTrans* (*Trans s1 a1 ou1 s1′*)
and *consume* (*Trans s1 a1 ou1 s1′*) *vl1 vl1′* **and** γ (*Trans s a ou s′*) = γ (*Trans s1 a1 ou1 s1′*)
and γ (*Trans s a ou s′*) ⟹ *g* (*Trans s a ou s′*) = *g* (*Trans s1 a1 ou1 s1′*)
and Δ *s′ vl′ s1′ vl1′*
shows *match* Δ *s s1 vl1 a ou s′ vl′*
using *assms* **by** (*intro matchI-ms*[*of s1* [*a1*] [*ou1*] *s1′*])
(*auto simp*: *consume-def consumeList-def split*: *if-splits*)

definition *ignore* **where**
ignore Δ *s s1 vl1 a ou s′ vl′* ≡
¬ γ (*Trans s a ou s′*) ∧
Δ *s′ vl′ s1 vl1*

lemma *ignoreI*[*intro?*]:
assumes ¬ γ (*Trans s a ou s′*) **and** Δ *s′ vl′ s1 vl1*
shows *ignore* Δ *s s1 vl1 a ou s′ vl′*
unfolding *ignore-def* **using** *assms* **by** *auto*

definition *reaction* **where**
reaction Δ *s vl s1 vl1* ≡
∀ *a ou s′ vl′*.
let trn = *Trans s a ou s′ in*
validTrans trn ∧ ¬ *T trn* ∧
consume trn vl vl′
⟶
match Δ *s s1 vl1 a ou s′ vl′*

$\vee$
ignore Δ *s s1 vl1 a ou s′ vl′*

lemma *reactionI*[*intro?*]:
assumes
$\bigwedge$*a ou s′ vl′*.
⟦*step s a* = (*ou*, *s′*); ¬ *T* (*Trans s a ou s′*);
consume (*Trans s a ou s′*) *vl vl′*⟧
$\Longrightarrow$
match Δ *s s1 vl1 a ou s′ vl′* $\vee$ *ignore* Δ *s s1 vl1 a ou s′ vl′*
shows *reaction* Δ *s vl s1 vl1*
using *assms* **unfolding** *reaction-def* **by** *auto*

definition *exit* :: *′state* $\Rightarrow$ *′value* $\Rightarrow$ *bool* **where**
exit s v $\equiv$ $\forall$ *tr trn*. *validFrom s* (*tr ##* *trn*) $\wedge$ *never T* (*tr ##* *trn*) $\wedge$ φ *trn* $\longrightarrow$ *f trn* $\neq$ *v*

lemma *exit-coind*:
assumes *K*: *K s*
and *I*: $\bigwedge$ *trn*. ⟦*K* (*srcOf trn*); *validTrans trn*; ¬ *T trn*⟧
$\Longrightarrow$ (φ *trn* $\longrightarrow$ *f trn* $\neq$ *v*) $\wedge$ *K* (*tgtOf trn*)
shows *exit s v*
using *K* **unfolding** *exit-def* **proof**(*intro allI conjI impI*)
fix *tr trn* **assume** *K s* **and** *validFrom s* (*tr ##* *trn*) $\wedge$ *never T* (*tr ##* *trn*) $\wedge$ φ *trn*
thus *f trn* $\neq$ *v*
using *I* **unfolding** *validFrom-def* **by** (*induction tr arbitrary*: *s trn*)
(*auto*, *metis neq-Nil-conv rotate1.simps*(*2*) *rotate1-is-Nil-conv valid-ConsE*)
qed

definition *noVal* **where**
noVal K v $\equiv$
$\forall$ *s a ou s′*. *reachNT s* $\wedge$ *K s* $\wedge$ *step s a* = (*ou*,*s′*) $\wedge$ φ (*Trans s a ou s′*) $\longrightarrow$ *f* (*Trans s a ou s′*) $\neq$ *v*

lemma *noVal-disj*:
assumes *noVal Inv1 v* **and** *noVal Inv2 v*
shows *noVal* (λ *s*. *Inv1 s* $\vee$ *Inv2 s*) *v*
using *assms* **unfolding** *noVal-def* **by** *metis*

lemma *noVal-conj*:
assumes *noVal Inv1 v* **and** *noVal Inv2 v*
shows *noVal* (λ *s*. *Inv1 s* $\wedge$ *Inv2 s*) *v*
using *assms* **unfolding** *noVal-def* **by** *blast*

definition *no*φ **where**
noφ *K* $\equiv$ $\forall$ *s a ou s′*. *reachNT s* $\wedge$ *K s* $\wedge$ *step s a* = (*ou*,*s′*) $\longrightarrow$ ¬ φ (*Trans s a ou s′*)

lemma *no*φ-*noVal*: *no*φ *K* $\Longrightarrow$ *noVal K v*
unfolding *no*φ-*def noVal-def* **by** *auto*

lemma *exitI*[*consumes 2*, *induct pred*: *exit*]:
assumes *rs*: *reachNT s* **and** *K*: *K s*
and *I*:
$\bigwedge$ *s a ou s'*.
  ⟦*reach s*; *reachNT s*; *step s a* = (*ou*,*s'*); *K s*⟧
  ⟹ (*φ* (*Trans s a ou s'*) ⟶ *f* (*Trans s a ou s'*) ≠ *v*) ∧ *K s'*
shows *exit s v*
proof−
  let *?K* = λ *s*. *reachNT s* ∧ *K s*
  show *?thesis* **using** *assms* **by** (*intro exit-coind*[*of ?K*])
  (*metis reachNT-reach IO-Automaton.validTrans reachNT.Step trans.exhaust-sel*)+
qed

lemma *exitI2*:
assumes *rs*: *reachNT s* **and** *K*: *K s*
and *invarNT K* **and** *noVal K v*
shows *exit s v*
proof−
  let *?K* = λ *s*. *reachNT s* ∧ *K s*
  show *?thesis* **using** *assms* **unfolding** *invarNT-def noVal-def* **apply**(*intro exit-coind*[*of ?K*])
  by *metis* (*metis IO-Automaton.validTrans reachNT.Step trans.exhaust-sel*)
qed

definition *noVal2* **where**
noVal2 K v ≡
 ∀ *s a ou s'*. *reachNT s* ∧ *K s v* ∧ *step s a* = (*ou*,*s'*) ∧ *φ* (*Trans s a ou s'*) ⟶ *f* (*Trans s a ou s'*) ≠ *v*

lemma *noVal2-disj*:
assumes *noVal2 Inv1 v* **and** *noVal2 Inv2 v*
shows *noVal2* (λ *s v*. *Inv1 s v* ∨ *Inv2 s v*) *v*
using *assms* **unfolding** *noVal2-def* **by** *metis*

lemma *noVal2-conj*:
assumes *noVal2 Inv1 v* **and** *noVal2 Inv2 v*
shows *noVal2* (λ *s v*. *Inv1 s v* ∧ *Inv2 s v*) *v*
using *assms* **unfolding** *noVal2-def* **by** *blast*

lemma *noVal-noVal2*: *noVal K v* ⟹ *noVal2* (λ *s v*. *K s*) *v*
unfolding *noVal-def noVal2-def* **by** *auto*

lemma *exitI-noVal2*[*consumes 2*, *induct pred*: *exit*]:
assumes *rs*: *reachNT s* **and** *K*: *K s v*
and *I*:
$\bigwedge$ *s a ou s'*.
  ⟦*reach s*; *reachNT s*; *step s a* = (*ou*,*s'*); *K s v*⟧
  ⟹ (*φ* (*Trans s a ou s'*) ⟶ *f* (*Trans s a ou s'*) ≠ *v*) ∧ *K s' v*

shows *exit s v*
proof−
let *?K* = λ *s*. *reachNT s* ∧ *K s v*
show *?thesis* **using** *assms* **by** (*intro exit-coind*[*of ?K*])
(*metis reachNT-reach IO-Automaton.validTrans reachNT.Step trans.exhaust-sel*)+
qed

lemma *exitI2-noVal2*:
assumes *rs*: *reachNT s* **and** *K*: *K s v*
and *invarNT* (λ *s*. *K s v*) **and** *noVal2 K v*
shows *exit s v*
proof−
let *?K* = λ *s*. *reachNT s* ∧ *K s v*
show *?thesis* **using** *assms* **unfolding** *invarNT-def noVal2-def*
by (*intro exit-coind*[*of ?K*]) (*metis IO-Automaton.validTrans reachNT.Step trans.exhaust-sel*)+
qed

lemma *exit-validFrom*:
assumes *vl*: *vl* ≠ [] **and** *i*: *exit s* (*hd vl*) **and** *v*: *validFrom s tr* **and** *V*: *V tr* = *vl*
and *T*: *never T tr*
shows *False*
using *i v V T* **proof**(*induction tr arbitrary*: *s*)
case *Nil* **thus** *?case* **by** (*metis V-simps*(*1*) *vl*)
next
case (*Cons trn tr s*)
show *?case*
proof(*cases* φ *trn*)
case *True*
hence *f trn* = *hd vl* **using** *Cons* **by** (*metis V-simps*(*3*) *hd-Cons-tl list.inject vl*)
moreover have *validFrom s* [*trn*] **using** ‹*validFrom s* (*trn* # *tr*)›
unfolding *validFrom-def* **by** *auto*
ultimately show *?thesis* **using** *Cons True* **unfolding** *exit-def*
by (*elim allE*[*of* - []]) *auto*
next
case *False*
hence *V tr* = *vl* **using** *Cons* **by** *auto*
moreover have *never T tr* **by** (*metis Cons.prems list-all-simps*)
moreover from ‹*validFrom s* (*trn* # *tr*)› **have** *validFrom* (*tgtOf trn*) *tr* **and** *s*: *s* = *srcOf trn*
by (*metis list.distinct*(*1*) *validFrom-def valid-ConsE Cons.prems*(*2*)
validFrom-def list.discI list.sel(*1*))+
moreover have *exit* (*tgtOf trn*) (*hd vl*) **using** ‹*exit s* (*hd vl*)›
unfolding *exit-def s* **by** *simp*
(*metis* (*no-types*) *Cons.prems*(*2*) *Cons.prems*(*4*) *append-Cons list.sel*(*1*)
list.distinct list-all-simps valid.Cons validFrom-def valid-ConsE)
ultimately show *?thesis* **using** *Cons*(*1*) **by** *auto*
qed
qed

definition *unwind* **where**
unwind $\Delta \equiv$
$\forall$ *s vl s1 vl1*.
reachNT s $\wedge$ *reach s1* $\wedge$ Δ *s vl s1 vl1*
$\longrightarrow$
(*vl* $\neq$ [] $\wedge$ *exit s* (*hd vl*))
$\vee$
iaction Δ *s vl s1 vl1*
$\vee$
((*vl* $\neq$ [] $\vee$ *vl1* = []) $\wedge$ *reaction* Δ *s vl s1 vl1*)

lemma *unwindI*[*intro?*]:
assumes
$\bigwedge$ *s vl s1 vl1*.
⟦*reachNT s*; *reach s1*; Δ *s vl s1 vl1*⟧
$\Longrightarrow$
(*vl* $\neq$ [] $\wedge$ *exit s* (*hd vl*))
$\vee$
iaction Δ *s vl s1 vl1*
$\vee$
((*vl* $\neq$ [] $\vee$ *vl1* = []) $\wedge$ *reaction* Δ *s vl s1 vl1*)
shows *unwind* Δ
using *assms* **unfolding** *unwind-def* **by** *auto*

lemma *unwind-trace*:
assumes *unwind*: *unwind* Δ **and** *reachNT s* **and** *reach s1* **and** Δ *s vl s1 vl1*
and *validFrom s tr* **and** *never T tr* **and** *V tr* = *vl*
shows $\exists$ *tr1*. *validFrom s1 tr1* $\wedge$ *O tr1* = *O tr* $\wedge$ *V tr1* = *vl1*
proof−
let *?S* = λ *tr vl1*.
$\forall$ *s vl s1*. *reachNT s* $\wedge$ *reach s1* $\wedge$ Δ *s vl s1 vl1* $\wedge$ *validFrom s tr* $\wedge$ *never T tr* $\wedge$ *V tr* = *vl* $\longrightarrow$
($\exists$ *tr1*. *validFrom s1 tr1* $\wedge$ *O tr1* = *O tr* $\wedge$ *V tr1* = *vl1*)
let *?f* = λ *tr vl1*. *length tr* + *length vl1*
have *?S tr vl1*
proof(*induct rule*: *measure-induct2*[*of ?f ?S*])
case (*IH tr vl1*)
show *?case*
proof(*intro allI impI*, *elim conjE*)
fix *s vl s1* **assume** *rs*: *reachNT s* **and** *rs1*: *reach s1* **and** Δ: Δ *s vl s1 vl1*
and *v*: *validFrom s tr* **and** *NT*: *never T tr* **and** *V*: *V tr* = *vl*
hence (*vl* $\neq$ [] $\wedge$ *exit s* (*hd vl*)) $\vee$
iaction Δ *s vl s1 vl1* $\vee$
(*reaction* Δ *s vl s1 vl1* $\wedge$ $\neg$ *iaction* Δ *s vl s1 vl1*)
(**is** *?exit* $\vee$ *?iact* $\vee$ *?react* $\wedge$ -)
using *unwind* **unfolding** *unwind-def* **by** *metis*
thus $\exists$ *tr1*. *validFrom s1 tr1* $\wedge$ *O tr1* = *O tr* $\wedge$ *V tr1* = *vl1*
proof *safe*
assume *vl* $\neq$ [] **and** *exit s* (*hd vl*)

```
  hence False using v V exit-validFrom NT by auto
  thus ?thesis by auto
next
  assume ?iact
  thus ?thesis  unfolding iaction-def Let-def proof safe
    fix al1 :: 'act list and vl1'
    let ?tr1 = traceOf s1 al1  let ?s1' = tgtOf (last ?tr1)
    assume φ1: list-ex φ (traceOf s1 al1) and c: consumeList ?tr1 vl1 vl1'
       and γ: never γ ?tr1 and Δ: Δ s vl ?s1' vl1'
    from φ1 have tr1: ?tr1 ≠ [] and len-V1: length (V ?tr1) > 0
      by (auto iff: list-ex-iff-length-V)
    with c have length vl1' < length vl1 unfolding consumeList-def by auto
    moreover have reach ?s1' using rs1 tr1 by (intro validFrom-reach) auto
    ultimately obtain tr1' where validFrom ?s1' tr1' and O tr1' = O tr and V tr1' = vl1'
      using IH[of tr vl1'] rs Δ v NT V by auto
    then show ?thesis using tr1 γ c unfolding consumeList-def
      by (intro exI[of - ?tr1 @ tr1'])
         (auto simp: O-append O-Nil-never V-append validFrom-append)
  qed
next
  assume react: ?react and iact: ¬ ?iact
  show ?thesis
  proof(cases tr)
    case Nil note tr = Nil
    hence vl: vl = [] using V by simp
    show ?thesis proof(cases vl1)
      case Nil note vl1 = Nil
      show ?thesis using IH[of tr vl1] Δ V NT V unfolding tr vl1 by auto
    next
      case Cons
      hence False using vl unwind rs rs1 Δ iact unfolding unwind-def by auto
      thus ?thesis by auto
    qed
  next
    case (Cons trn tr') note tr = Cons
    show ?thesis
    proof(cases trn)
      case (Trans ss a ou s') note trn = Trans let ?trn = Trans s a ou s'
      have ss: ss = s using trn v unfolding tr validFrom-def by auto
      have Ta: ¬ T ?trn and s: s = srcOf trn and vtrans: validTrans ?trn
      and v': validFrom s' tr' and NT': never T tr'
      using v NT V unfolding tr validFrom-def trn by auto
      have rs': reachNT s' using rs vtrans Ta by (auto intro: reachNT-PairI)
      {assume φ ?trn hence vl ≠ [] ∧ f ?trn = hd vl using V unfolding tr trn ss by auto
      }
      then obtain vl' where c: consume ?trn vl vl'
      using ex-consume-φ ex-consume-NO by metis
      have V': V tr' = vl' using V c unfolding tr trn ss consume-def
      by (cases φ ?trn) (simp-all, metis list.sel(2−3))
```

```
        have match Δ s s1 vl1 a ou s' vl' ∨ ignore Δ s s1 vl1 a ou s' vl' (is ?match ∨ ?ignore)
        using react unfolding reaction-def using vtrans Ta c by auto
        thus ?thesis proof safe
          assume ?match
          thus ?thesis unfolding match-def Let-def proof (elim exE conjE)
            fix al1 :: 'act list and vl1'
            let ?tr = traceOf s1 al1
            let ?s1' = tgtOf (last ?tr)
            assume al1: al1 ≠ []
              and c: consumeList ?tr vl1 vl1'
              and O: O ?tr = O [Trans s a ou s']
              and Δ: Δ s' vl' ?s1' vl1'
            from c have len: length tr' + length vl1' < length tr + length vl1
              using tr unfolding consumeList-def by auto
            have reach ?s1' using rs1 al1 by (intro validFrom-reach) auto
            then obtain tr1' where validFrom ?s1' tr1' and O tr1' = O tr' and V tr1' = vl1'
              using IH[OF len] rs' Δ v' NT' V' tr by auto
            then show ?thesis using c O al1 unfolding consumeList-def tr trn ss
              by (intro exI[of - ?tr @ tr1'])
                 (cases γ ?trn; auto simp: O-append O-Nil-never V-append validFrom-append)
          qed
        next
          assume ?ignore
          thus ?thesis unfolding ignore-def Let-def proof (elim exE conjE)
            assume γ: ¬ γ ?trn and Δ: Δ s' vl' s1 vl1
            obtain tr1 where v1: validFrom s1 tr1 and O: O tr1 = O tr' and V: V tr1 = vl1
            using IH[of tr' vl1] rs' rs1 Δ v' NT' V' c unfolding tr by auto
            show ?thesis
            apply(intro exI[of - tr1])
            using v1 O V γ unfolding tr trn ss by auto
          qed
        qed
      qed
    qed
  qed
 qed
 thus ?thesis using assms by auto
qed

theorem unwind-secure:
assumes init: ⋀ vl vl1. B vl vl1 ⟹ Δ istate vl istate vl1
and unwind: unwind Δ
shows secure
using assms unwind-trace unfolding secure-def by (blast intro: reach.Istate reachNT.Istate)

end
```

5 Compositional Reasoning

This section formalizes the compositional unwinding method discussed in [4, Section 5.2]

context *BD-Security-IO* **begin**

5.1 Preliminaries

definition *disjAll* $\Delta s\ s\ vl\ s1\ vl1 \equiv (\exists \Delta \in \Delta s.\ \Delta\ s\ vl\ s1\ vl1)$

lemma *disjAll-simps*[*simp*]:
disjAll $\{\} \equiv \lambda$- - - -. *False*
disjAll (*insert* $\Delta\ \Delta s$) $\equiv \lambda s\ vl\ s1\ vl1.\ \Delta\ s\ vl\ s1\ vl1 \vee$ *disjAll* $\Delta s\ s\ vl\ s1\ vl1$
unfolding *disjAll-def*[*abs-def*] **by** *auto*

lemma *disjAll-mono*:
assumes *disjAll* $\Delta s\ s\ vl\ s1\ vl1$
and $\Delta s \subseteq \Delta s'$
shows *disjAll* $\Delta s'\ s\ vl\ s1\ vl1$
using *assms* **unfolding** *disjAll-def* **by** *auto*

lemma *iaction-mono*:
assumes *1*: *iaction* $\Delta\ s\ vl\ s1\ vl1$ **and** *2*: $\bigwedge s\ vl\ s1\ vl1.\ \Delta\ s\ vl\ s1\ vl1 \Longrightarrow \Delta'\ s\ vl\ s1\ vl1$
shows *iaction* $\Delta'\ s\ vl\ s1\ vl1$
using *assms* **unfolding** *iaction-def* **by** *fastforce*

lemma *match-mono*:
assumes *1*: *match* $\Delta\ s\ s1\ vl1\ a\ ou\ s'\ vl'$ **and** *2*: $\bigwedge s\ vl\ s1\ vl1.\ \Delta\ s\ vl\ s1\ vl1 \Longrightarrow \Delta'\ s\ vl\ s1\ vl1$
shows *match* $\Delta'\ s\ s1\ vl1\ a\ ou\ s'\ vl'$
using *assms* **unfolding** *match-def* **by** *fastforce*

lemma *ignore-mono*:
assumes *1*: *ignore* $\Delta\ s\ s1\ vl1\ a\ ou\ s'\ vl'$ **and** *2*: $\bigwedge s\ vl\ s1\ vl1.\ \Delta\ s\ vl\ s1\ vl1 \Longrightarrow \Delta'\ s\ vl\ s1\ vl1$
shows *ignore* $\Delta'\ s\ s1\ vl1\ a\ ou\ s'\ vl'$
using *assms* **unfolding** *ignore-def* **by** *auto*

lemma *reaction-mono*:
assumes *1*: *reaction* $\Delta\ s\ vl\ s1\ vl1$ **and** *2*: $\bigwedge s\ vl\ s1\ vl1.\ \Delta\ s\ vl\ s1\ vl1 \Longrightarrow \Delta'\ s\ vl\ s1\ vl1$
shows *reaction* $\Delta'\ s\ vl\ s1\ vl1$
proof
fix *a ou s' vl'*
assume *step s a* $= (ou, s')$ **and** $\neg$ *T* (*Trans s a ou s'*) **and** *consume* (*Trans s a ou s'*) *vl vl'*
hence *match* $\Delta\ s\ s1\ vl1\ a\ ou\ s'\ vl' \vee$ *ignore* $\Delta\ s\ s1\ vl1\ a\ ou\ s'\ vl'$ (**is** *?m* $\vee$ *?i*)
using *1* **unfolding** *reaction-def* **by** *auto*
thus *match* $\Delta'\ s\ s1\ vl1\ a\ ou\ s'\ vl' \vee$ *ignore* $\Delta'\ s\ s1\ vl1\ a\ ou\ s'\ vl'$ (**is** *?m'* $\vee$ *?i'*)
proof
assume *?m* **from** *match-mono*[*OF this 2*] **show** *?thesis* **by** *simp*
next
assume *?i* **from** *ignore-mono*[*OF this 2*] **show** *?thesis* **by** *simp*
qed

qed

5.2 Decomposition into an arbitrary network of components

definition *unwind-to* **where**
unwind-to Δ Δ*s* ≡
∀ *s vl s1 vl1*.
reachNT s ∧ *reach s1* ∧ Δ *s vl s1 vl1*
⟶
vl ≠ [] ∧ *exit s* (*hd vl*)
∨
iaction (*disjAll* Δ*s*) *s vl s1 vl1*
∨
(*vl* ≠ [] ∨ *vl1* = []) ∧ *reaction* (*disjAll* Δ*s*) *s vl s1 vl1*

lemma *unwind-toI*[*intro?*]:
assumes
⋀ *s vl s1 vl1*.
⟦*reachNT s*; *reach s1*; Δ *s vl s1 vl1*⟧
⟹
vl ≠ [] ∧ *exit s* (*hd vl*)
∨
iaction (*disjAll* Δ*s*) *s vl s1 vl1*
∨
(*vl* ≠ [] ∨ *vl1* = []) ∧ *reaction* (*disjAll* Δ*s*) *s vl s1 vl1*
shows *unwind-to* Δ Δ*s*
using *assms* **unfolding** *unwind-to-def* **by** *auto*

lemma *unwind-dec*:
assumes *ne*: ⋀ Δ. Δ ∈ Δ*s* ⟹ *next* Δ ⊆ Δ*s* ∧ *unwind-to* Δ (*next* Δ)
shows *unwind* (*disjAll* Δ*s*) (**is** *unwind ?*Δ)
proof
fix *s s1* :: *'state* **and** *vl vl1* :: *'value list*
assume *r*: *reachNT s reach s1* **and** Δ: *?*Δ *s vl s1 vl1*
then obtain Δ **where** Δ: Δ ∈ Δ*s* **and** *2*: Δ *s vl s1 vl1* **unfolding** *disjAll-def* **by** *auto*
let *?*Δ*s'* = *next* Δ **let** *?*Δ' = *disjAll ?*Δ*s'*
have (*vl* ≠ [] ∧ *exit s* (*hd vl*)) ∨
*iaction ?*Δ' *s vl s1 vl1* ∨
((*vl* ≠ [] ∨ *vl1* = []) ∧ *reaction ?*Δ' *s vl s1 vl1*)
using *2* Δ *ne r* **unfolding** *unwind-to-def* **by** *auto*
moreover have ⋀ *s vl s1 vl1*. *?*Δ' *s vl s1 vl1* ⟹ *?*Δ *s vl s1 vl1*
using *ne*[*OF* Δ] **unfolding** *disjAll-def* **by** *auto*
ultimately show
(*vl* ≠ [] ∧ *exit s* (*hd vl*)) ∨
*iaction ?*Δ *s vl s1 vl1* ∨
((*vl* ≠ [] ∨ *vl1* = []) ∧ *reaction ?*Δ *s vl s1 vl1*)
using *iaction-mono*[*of ?*Δ' - - - - *?*Δ] *reaction-mono*[*of ?*Δ' - - - - *?*Δ] **by** *blast*
qed

lemma *init-dec*:
assumes $\Delta 0$: $\Delta 0 \in \Delta s$
and *i*: $\bigwedge$ *vl vl1*. *B vl vl1* $\Longrightarrow$ $\Delta 0$ *istate vl istate vl1*
shows $\forall$ *vl vl1*. *B vl vl1* $\longrightarrow$ *disjAll* Δs *istate vl istate vl1*
using *assms* **unfolding** *disjAll-def* **by** *auto*

theorem *unwind-dec-secure*:
assumes $\Delta 0$: $\Delta 0 \in \Delta s$
and *i*: $\bigwedge$ *vl vl1*. *B vl vl1* $\Longrightarrow$ $\Delta 0$ *istate vl istate vl1*
and *ne*: $\bigwedge \Delta$. $\Delta \in \Delta s \Longrightarrow$ *next* $\Delta \subseteq \Delta s \wedge$ *unwind-to* Δ (*next* Δ)
shows *secure*
using *init-dec*[*OF* $\Delta 0$ *i*] *unwind-dec*[*OF ne*] *unwind-secure* **by** *metis*

5.3 A customization for linear modular reasoning

definition *unwind-cont* **where**
unwind-cont Δ $\Delta s \equiv$
$\forall$ *s vl s1 vl1*.
reachNT s $\wedge$ *reach s1* $\wedge$ Δ *s vl s1 vl1*
$\longrightarrow$
iaction (*disjAll* Δs) *s vl s1 vl1*
$\vee$
((*vl* $\neq$ [] $\vee$ *vl1* = []) $\wedge$ *reaction* (*disjAll* Δs) *s vl s1 vl1*)

lemma *unwind-contI*[*intro?*]:
assumes
$\bigwedge$ *s vl s1 vl1*.
⟦*reachNT s*; *reach s1*; Δ *s vl s1 vl1*⟧
$\Longrightarrow$
iaction (*disjAll* Δs) *s vl s1 vl1*
$\vee$
((*vl* $\neq$ [] $\vee$ *vl1* = []) $\wedge$ *reaction* (*disjAll* Δs) *s vl s1 vl1*)
shows *unwind-cont* Δ Δs
using *assms* **unfolding** *unwind-cont-def* **by** *auto*

definition *unwind-exit* **where**
unwind-exit $\Delta e \equiv$
$\forall$ *s vl s1 vl1*.
reachNT s $\wedge$ *reach s1* $\wedge$ Δe *s vl s1 vl1*
$\longrightarrow$
vl $\neq$ [] $\wedge$ *exit s* (*hd vl*)

lemma *unwind-exitI*[*intro?*]:
assumes
$\bigwedge$ *s vl s1 vl1*.
⟦*reachNT s*; *reach s1*; Δe *s vl s1 vl1*⟧
$\Longrightarrow$
vl $\neq$ [] $\wedge$ *exit s* (*hd vl*)

shows *unwind-exit* Δe
using *assms* **unfolding** *unwind-exit-def* **by** *auto*

lemma *unwind-cont-mono*:
assumes Δs: *unwind-cont* Δ Δs
and $\Delta s'$: $\Delta s \subseteq \Delta s'$
shows *unwind-cont* Δ $\Delta s'$
using Δs *disjAll-mono*[*OF* - $\Delta s'$] **unfolding** *unwind-cont-def*
by (*auto intro*!: *iaction-mono*[**where** Δ = *disjAll* Δs **and** Δ' = *disjAll* $\Delta s'$]
reaction-mono[**where** Δ = *disjAll* Δs **and** Δ' = *disjAll* $\Delta s'$])

fun *allConsec* :: *'a list* ⇒ (*'a* ∗ *'a*) *set* **where**
allConsec [] = {}
| *allConsec* [*a*] = {}
| *allConsec* (*a* # *b* # *as*) = *insert* (*a*,*b*) (*allConsec* (*b*#*as*))

lemma *set-allConsec*:
assumes Δ ∈ *set* $\Delta s'$ **and** Δs = $\Delta s'$ ## $\Delta 1$
shows ∃ $\Delta 2$. (Δ,$\Delta 2$) ∈ *allConsec* Δs
using *assms* **proof** (*induction* $\Delta s'$ *arbitrary*: Δs)
case *Nil* **thus** *?case* **by** *auto*
next
case (*Cons* $\Delta 3$ $\Delta s'$ Δs)
show *?case* **proof**(*cases* Δ = $\Delta 3$)
case *True*
show *?thesis* **proof**(*cases* $\Delta s'$)
case *Nil*
show *?thesis* **unfolding** ‹Δs = ($\Delta 3$ # $\Delta s'$) ## $\Delta 1$› *Nil True* **by** (*rule exI*[*of* - $\Delta 1$]) *simp*
next
case (*Cons* $\Delta 4$ $\Delta s''$)
show *?thesis* **unfolding** ‹Δs = ($\Delta 3$ # $\Delta s'$) ## $\Delta 1$› *Cons True* **by** (*rule exI*[*of* - $\Delta 4$]) *simp*
qed
next
case *False* **hence** Δ ∈ *set* $\Delta s'$ **using** *Cons* **by** *auto*
then obtain $\Delta 2$ **where** (Δ, $\Delta 2$) ∈ *allConsec* ($\Delta s'$ ## $\Delta 1$) **using** *Cons* **by** *auto*
thus *?thesis* **unfolding** ‹Δs = ($\Delta 3$ # $\Delta s'$) ## $\Delta 1$› **by** (*intro exI*[*of* - $\Delta 2$]) (*cases* $\Delta s'$, *auto*)
qed
qed

lemma *allConsec-set*:
assumes ($\Delta 1$,$\Delta 2$) ∈ *allConsec* Δs
shows $\Delta 1$ ∈ *set* Δs ∧ $\Delta 2$ ∈ *set* Δs
using *assms* **by** (*induct* Δs *rule*: *allConsec.induct*) *auto*

theorem *unwind-decomp-secure*:
assumes *n*: $\Delta s \neq$ []
and *i*: ⋀ *vl vl1*. *B vl vl1* ⟹ *hd* Δs *istate vl istate vl1*

and *c*: $\bigwedge \Delta 1\ \Delta 2.\ (\Delta 1,\Delta 2) \in allConsec\ \Delta s \Longrightarrow unwind\text{-}cont\ \Delta 1\ \{\Delta 1,\ \Delta 2,\ \Delta e\}$
and *l*: *unwind-cont* (*last* Δs) {*last* Δs, Δe}
and *e*: *unwind-exit* Δe
shows *secure*
proof−
let *?*$\Delta 0$ = *hd* Δs **let** *?*Δs = *insert* Δe (*set* Δs)
define *next* **where** *next* $\Delta 1$ =
(*if* $\Delta 1 = \Delta e$ *then* {}
else if $\Delta 1$ = *last* Δs *then* {$\Delta 1$,Δe}
else {$\Delta 1$,*SOME* $\Delta 2.\ (\Delta 1,\Delta 2) \in allConsec\ \Delta s,\Delta e$}) **for** $\Delta 1$
show *?thesis*
proof(*rule unwind-dec-secure*)
show *?*$\Delta 0 \in$ *?*Δs **using** *n* **by** *auto*
next
fix *vl vl1* **assume** *B vl vl1*
thus *?*$\Delta 0$ *istate vl istate vl1* **by** *fact*
next
fix Δ
assume *1*: $\Delta \in$ *?*Δs **show** *next* $\Delta \subseteq$ *?*$\Delta s \wedge$ *unwind-to* Δ (*next* Δ)
proof−
{assume $\Delta = \Delta e$
hence *?thesis* **using** *e* **unfolding** *next-def unwind-exit-def unwind-to-def* **by** *auto*
}
moreover
{assume Δ = *last* Δs **and** $\Delta \neq \Delta e$
hence *?thesis* **using** *n l* **unfolding** *next-def unwind-cont-def unwind-to-def* **by** *simp*
}
moreover
{assume *1*: $\Delta \in$ *set* Δs **and** *2*: $\Delta \neq$ *last* Δs $\Delta \neq \Delta e$
then obtain $\Delta' \Delta s'$ **where** Δs: $\Delta s = \Delta s' \#\# \Delta'$ **and** Δ: $\Delta \in$ *set* $\Delta s'$
by (*metis* (*no-types*) *append-Cons append-assoc in-set-conv-decomp last-snoc rev-exhaust*)
have $\exists\ \Delta 2.\ (\Delta,\ \Delta 2) \in allConsec\ \Delta s$ **using** *set-allConsec*[*OF* Δ Δs] **.**
hence (Δ, *SOME* $\Delta 2.\ (\Delta,\ \Delta 2) \in allConsec\ \Delta s) \in allConsec\ \Delta s$ **by** (*metis* (*lifting*) *someI-ex*)
hence *?thesis* **using** *1 2 c* **unfolding** *next-def unwind-cont-def unwind-to-def*
by *simp* (*metis* (*no-types*) *allConsec-set*)
}
ultimately show *?thesis* **using** *1* **by** *blast*
qed
qed
qed

5.4 Instances

corollary *unwind-decomp3-secure*:
assumes
i: $\bigwedge$ *vl vl1*. *B vl vl1* $\Longrightarrow$ $\Delta 1$ *istate vl istate vl1*
and *c1*: *unwind-cont* $\Delta 1$ {$\Delta 1$, $\Delta 2$, Δe}
and *c2*: *unwind-cont* $\Delta 2$ {$\Delta 2$, $\Delta 3$, Δe}
and *l*: *unwind-cont* $\Delta 3$ {$\Delta 3$, Δe}

and *e*: *unwind-exit* Δe
shows *secure*
apply(*rule unwind-decomp-secure*[*of* [$\Delta 1$, $\Delta 2$, $\Delta 3$] Δe])
using *assms* **by** *auto*

corollary *unwind-decomp4-secure*:
assumes
i: $\bigwedge$ *vl vl1*. *B vl vl1* $\Longrightarrow$ $\Delta 1$ *istate vl istate vl1*
and *c1*: *unwind-cont* $\Delta 1$ {$\Delta 1$, $\Delta 2$, Δe}
and *c2*: *unwind-cont* $\Delta 2$ {$\Delta 2$, $\Delta 3$, Δe}
and *c3*: *unwind-cont* $\Delta 3$ {$\Delta 3$, $\Delta 4$, Δe}
and *l*: *unwind-cont* $\Delta 4$ {$\Delta 4$, Δe}
and *e*: *unwind-exit* Δe
shows *secure*
apply(*rule unwind-decomp-secure*[*of* [$\Delta 1$, $\Delta 2$, $\Delta 3$, $\Delta 4$] Δe])
using *assms* **by** *auto*

corollary *unwind-decomp5-secure*:
assumes
i: $\bigwedge$ *vl vl1*. *B vl vl1* $\Longrightarrow$ $\Delta 1$ *istate vl istate vl1*
and *c1*: *unwind-cont* $\Delta 1$ {$\Delta 1$, $\Delta 2$, Δe}
and *c2*: *unwind-cont* $\Delta 2$ {$\Delta 2$, $\Delta 3$, Δe}
and *c3*: *unwind-cont* $\Delta 3$ {$\Delta 3$, $\Delta 4$, Δe}
and *c4*: *unwind-cont* $\Delta 4$ {$\Delta 4$, $\Delta 5$, Δe}
and *l*: *unwind-cont* $\Delta 5$ {$\Delta 5$, Δe}
and *e*: *unwind-exit* Δe
shows *secure*
apply(*rule unwind-decomp-secure*[*of* [$\Delta 1$, $\Delta 2$, $\Delta 3$, $\Delta 4$, $\Delta 5$] Δe])
using *assms* **by** *auto*

5.5 A graph alternative presentation

theorem *unwind-decomp-secure-graph*:
  assumes *n*: $\forall\ \Delta \in$ *Domain Gr*. $\exists\ \Delta s$. $\Delta s \subseteq$ *Domain Gr* $\wedge$ (Δ,Δs) $\in$ *Gr*
  and *i*: $\Delta 0 \in$ *Domain Gr* $\bigwedge$ *vl vl1*. *B vl vl1* $\Longrightarrow$ $\Delta 0$ *istate vl istate vl1*
  and *c*: $\bigwedge\ \Delta$. *unwind-exit* $\Delta\ \vee\ (\forall\ \Delta s.\ (\Delta,\Delta s) \in Gr \longrightarrow$ *unwind-cont* $\Delta\ \Delta s$)
  shows *secure*
proof −
  let *?pr* = $\lambda\ \Delta\ \Delta s.\ \Delta s \subseteq$ *Domain Gr* $\wedge$ (Δ,Δs) $\in$ *Gr*
  define *next* **where** *next* Δ = (*SOME* Δs. *?pr* $\Delta\ \Delta s$) **for** Δ
  let *?*Δs = *Domain Gr*
  show *?thesis*
  proof(*rule unwind-dec-secure*)
    show $\Delta 0 \in$ *?*Δs **using** *i* **by** *auto*
    fix *vl vl1* **assume** *B vl vl1*
    thus $\Delta 0$ *istate vl istate vl1* **by** *fact*
  next
    fix Δ
    assume $\Delta \in$ *?*Δs

hence *?pr* Δ (*next* Δ) **using** *n someI-ex*[*of ?pr* Δ] **unfolding** *next-def* **by** *auto*
hence *next* Δ ⊆ *?Δs* ∧ (*unwind-cont* Δ (*next* Δ) ∨ *unwind-exit* Δ) **using** *c* **by** *auto*
thus *next* Δ ⊆ *?Δs* ∧ *unwind-to* Δ (*next* Δ)
unfolding *unwind-to-def unwind-exit-def unwind-cont-def*
by *blast*
qed
qed

References

[1] T. Bauereiß, A. Pesenti Gritti, A. Popescu, and F. Raimondi. Cosmed: A confidentiality-verified social media platform. In J. C. Blanchette and S. Merz, editors, *Interactive Theorem Proving - 7th International Conference, ITP 2016, Nancy, France, August 22-25, 2016, Proceedings*, volume 9807 of *Lecture Notes in Computer Science*, pages 87–106. Springer, 2016.

[2] T. Bauereiß, A. Pesenti Gritti, A. Popescu, and F. Raimondi. Cosmedis: A distributed social media platform with formally verified confidentiality guarantees. In *2017 IEEE Symposium on Security and Privacy, SP 2017, San Jose, CA, USA, May 22-26, 2017*, pages 729–748. IEEE Computer Society, 2017.

[3] T. Bauereiß, A. Pesenti Gritti, A. Popescu, and F. Raimondi. Cosmed: A confidentiality-verified social media platform. *J. Autom. Reason.*, 61(1-4):113–139, 2018.

[4] S. Kanav, P. Lammich, and A. Popescu. A conference management system with verified document confidentiality. In A. Biere and R. Bloem, editors, *Computer Aided Verification - 26th International Conference, CAV 2014, Held as Part of the Vienna Summer of Logic, VSL 2014, Vienna, Austria, July 18-22, 2014. Proceedings*, volume 8559 of *Lecture Notes in Computer Science*, pages 167–183. Springer, 2014.

[5] A. Popescu, T. Bauereiss, and P. Lammich. Bounded-Deducibility security (invited paper). In L. Cohen and C. Kaliszyk, editors, *12th International Conference on Interactive Theorem Proving, ITP 2021, June 29 to July 1, 2021, Rome, Italy (Virtual Conference)*, volume 193 of *LIPIcs*, pages 3:1–3:20. Schloss Dagstuhl - Leibniz-Zentrum für Informatik, 2021.

[6] A. Popescu, P. Lammich, and P. Hou. Cocon: A conference management system with formally verified document confidentiality. *J. Autom. Reason.*, 65(2):321–356, 2021.

[7] D. Sutherland. A model of information. In *9th National Security Conference*, pages 175–183, 1986.