

# Hilbert Basis Theorems\*

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February 12, 2025

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\*This work has been supported by the French government under the "France 2030" program, as part of the SystemX Technological Research Institute within the CVH project.

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# 1 A Proof of Hilbert Basis Theorems and an Extension to Formal Power Series

The Hilbert Basis Theorem is enlisted in the extension of Wiedijk's catalogue "Formalizing 100 Theorems" [4] , a well-known collection of challenge problems for the formalisation of mathematics.

In this paper, we present a formal proof of several versions of this theorem in Isabelle/HOL. Hilbert's basis theorem asserts that every ideal of a polynomial ring over a commutative ring has a finite generating family (a finite basis in Hilbert's terminology). A prominent alternative formulation is: every polynomial ring over a Noetherian ring is also Noetherian.

In more detail, the statement and our generalization can be presented as follows:

- **Hilbert's Basis Theorem.** Let  $\mathfrak{R}[X]$  denote the ring of polynomials in the indeterminate  $X$  over the commutative ring  $\mathfrak{R}$ . Then  $\mathfrak{R}[X]$  is Noetherian iff  $\mathfrak{R}$  is.
- **Corollary.**  $\mathfrak{R}[X_1, \dots, X_n]$  is Noetherian iff  $\mathfrak{R}$  is.
- **Extension.** If  $\mathfrak{R}$  is a Noetherian ring, then  $\mathfrak{R}[[X]]$  is a Noetherian ring, where  $\mathfrak{R}[[X]]$  denotes the formal power series over the ring  $\mathfrak{R}$ .

We also provide isomorphisms between the three types of polynomial rings defined in HOL-Algebra. Together with the fact that the noetherian property is preserved by isomorphism, we get Hilbert's Basis theorem for all three models. We believe that this technique has a wider potential of applications in the AFP library.

## 2 Ring Miscellaneous

theory *Ring-Misc*

**imports**

*HOL-Algebra.RingHom*  
*HOL-Algebra.QuotRing*  
*HOL-Algebra.Embedded-Algebras*

**begin**

Some lemmas that may be considered as useful, and that helps for the Hilbert's basis proof

**lemma** (**in** *ring*)*carrier-quot*:  $\langle \text{ideal } I \ R \implies \text{carrier } (R \ \text{Quot } I) = \{\{y \oplus x \mid y \in I\} \mid x \in \text{carrier } R\} \rangle$   
**proof**(*safe*)  
  **fix** *x*  
  **assume** *h*: $\langle \text{ideal } I \ R \rangle \langle x \in \text{carrier } (R \ \text{Quot } I) \rangle$   
  **then have**  $\langle \exists xa \in \text{carrier } R. \ x = (\bigcup_{x \in I. \ \{x \oplus xa\}}) \rangle$   
  **unfolding** *FactRing-def* *A-RCOSETS-def* *RCOSETS-def* *cgenideal-def* *r-coset-def*  
    **by**(*simp*)  
  **then obtain** *y* **where**  $\langle x = (\bigcup_{x \in I. \ \{x \oplus y\}}) \wedge y \in \text{carrier } R \rangle$  **by** *blast*  
  **with** *h* **show**  $\langle \exists xa. \ x = \{y \oplus xa \mid y \in I\} \wedge xa \in \text{carrier } R \rangle$   
    **by**(*blast*)  
**next**  
  **fix** *x xa*  
  **assume**  $\langle \text{ideal } I \ R \rangle \langle xa \in \text{carrier } R \rangle$   
  **then show**  $\langle \{y \oplus xa \mid y \in I\} \in \text{carrier } (R \ \text{Quot } I) \rangle$   
  **unfolding** *FactRing-def* *A-RCOSETS-def* *RCOSETS-def* *cgenideal-def* *r-coset-def*  
    **apply** *simp*  
    **apply**(*rule bexI[where x=xa]*)  
    **by** *auto*  
**qed**

**context**

**fixes** *A B h*  
**assumes** *ring-A*:  $\langle \text{ring } A \rangle$   
**assumes** *ring-B*:  $\langle \text{ring } B \rangle$   
**assumes** *h1*: $\langle h \in \text{ring-iso } A \ B \rangle$

**begin**

**interpretation** *ringA*: *ring A*  
  **using** *ring-A* **by** *auto*  
**interpretation** *ringB*: *ring B*  
  **using** *ring-B* **by** *auto*

**interpretation** *rhr:ring-hom-ring* *A B h*  
  **apply**(*unfold-locales*)

```

using h1 unfolding ring-iso-def by auto

lemma inv-img-exist:⟨∀ xa∈carrier B. ∃ y. y ∈ carrier A ∧ h y = xa⟩
  using h1 bij-betw-iff-bijections[of h ⟨carrier A⟩ ⟨carrier B⟩] unfolding ring-iso-def
  by(auto)

lemma img-ideal-is-ideal:assumes j1:⟨ideal I A⟩
  shows ⟨ideal (h ` I) B⟩
  proof(intro idealII)
    show ⟨ring B⟩
      by(simp add: ringB.ring-axioms)
    from j1 show ⟨subgroup (h ` I) (add-monoid B)⟩
      by (metis (no-types, lifting) additive-subgroup-def ideal-def rhr.img-is-add-subgroup)
    fix a x
    assume hyp:⟨a ∈ h ` I⟩ ⟨x ∈ carrier B⟩
    with j1 show fst:⟨x ⊗B a ∈ h ` I⟩
      by (smt (verit, ccfv-threshold) inv-img-exist h1 ideal.I-l-closed ideal.Icarr image-iff ring-iso-memE(2))
    from j1 show ⟨a ⊗B x ∈ h ` I⟩
      using inv-img-exist fst hyp(2)
      by (smt (verit, best) hyp(1) ideal.I-r-closed ideal.Icarr image-iff rhr.hom-mult)
  qed

lemma img-in-carrier-quot:⟨∀ x∈ carrier (A Quot I). h ` x ∈ carrier (B Quot (h`I))⟩ if j:⟨ideal I A⟩ for I
  proof(subst ringA.carrier-quot(1)[OF j], subst ringB.carrier-quot[of ⟨h`I⟩], safe)
    show ⟨ideal (h ` I) B⟩
      using img-ideal-is-ideal that by blast
  next
    fix x xa
    assume h:⟨xa ∈ carrier A⟩
    then show ⟨∃ x. h ` {y ⊕A xa | y. y ∈ I} = {y ⊕B x | y. y ∈ h ` I} ∧ x ∈ carrier B⟩
      apply(intro exI[where x=⟨h xa⟩])
      apply(safe)
      using h1 j ideal.Icarr ring-iso-memE(3) that apply fastforce
      using h1 ideal.Icarr image-iff mem-Collect-eq ring-iso-memE(3) that apply fastforce
        by (meson h1 ring-iso-memE(1))
  qed

lemma f8:⟨xa∈carrier B ∧ xb∈I ⟹ h(xb ⊕A inv-into (carrier A) h xa) = h xb
  ⊕B xa⟩ if j:⟨ideal I A⟩ for I xb xa
  proof –
    assume xa ∈ carrier B ∧ xb ∈ I
    then show ?thesis
      using inv-img-exist f-inv-into-f[of xa h ⟨carrier A⟩] ideal.Icarr[OF that, of xb]
        inv-into-into[of xa h]

```

```

    by(auto)
qed

lemma f9:⟨∀ xa∈carrier B. ∀ xb∈carrier A. ∃ y. h y = h xb ⊕_B xa⟩
  using f8 ringA.oneideal by blast

lemma img-over-set-is-iso: ⟨ideal I A ⟹ ((‘) h) ∈ ring-iso (A Quot I) (B Quot (h‘I))⟩ for I
proof(rule ring-iso-memI)
  fix x
  assume k:⟨ideal I A⟩ ⟨x ∈ carrier (A Quot I)⟩
  then show ⟨h ‘ x ∈ carrier (B Quot h ‘ I)⟩
    using h1 ringA.ring-axioms ringB.ring-axioms
    by(simp add:img-in-carrier-quot)
  fix y
  {
    fix xa xb xc
    assume g:⟨xa ∈ x⟩ ⟨xb ∈ y⟩ ⟨xc ∈ I⟩ ⟨ideal I A⟩ ⟨x ∈ a-rcosets_A I⟩ ⟨y ∈ a-rcosets_A I⟩
    have xa:⟨xa ∈ carrier A⟩
      using abelian-subgroup.a-rcosets-carrier abelian-subgroupI3 g(1) g(5)
      ideal-def k(1) ring-def by blast
    have xb:⟨xb ∈ carrier A⟩
      using abelian-subgroup.a-rcosets-carrier abelian-subgroupI3 g(2) g(6)
      ideal-def k(1) ring-def by blast
    have xc:⟨xc ∈ carrier A⟩
      using g(3) k(1) ringA.ideal-is-subalgebra ringA.subalgebra-in-carrier by fast-
force
    have ⟨∃ x∈x. ∃ xd∈y. ∃ xe∈I. h (xc ⊕_A xa ⊗_A xb) = h xe ⊕_B h x ⊗_B h xd ⟩
      apply(rule bexI[where x=xa])
      apply(rule bexI[where x=xb])
      apply(rule bexI[where x=xc])
      using g rhr.hom-add[OF xc] rhr.hom-mult[OF xa xb]
      using ringA.m-closed xa xb by presburger+
  }note fst-prf=this
  {fix xa xb xc
    assume g:⟨xa ∈ x⟩ ⟨xb ∈ y⟩ ⟨xc ∈ I⟩ ⟨ideal I A⟩ ⟨x ∈ a-rcosets_A I⟩ ⟨y ∈ a-rcosets_A I⟩
    have xa:⟨xa ∈ carrier A⟩
      using abelian-subgroup.a-rcosets-carrier abelian-subgroupI3 g(1) g(5)
      ideal-def k(1) ring-def by blast
    have xb:⟨xb ∈ carrier A⟩
      using abelian-subgroup.a-rcosets-carrier abelian-subgroupI3 g(2) g(6)
      ideal-def k(1) ring-def by blast
    have xc:⟨xc ∈ carrier A⟩
      using g(3) k(1) ringA.ideal-is-subalgebra ringA.subalgebra-in-carrier by fast-
force
    have ⟨∃ ya∈x. ∃ y∈y. ∃ yb∈I. h xc ⊕_B h xa ⊗_B h xb = h (yb ⊕_A ya ⊗_A y)⟩
      apply(rule bexI[where x=xa])
  }

```

```

apply(rule bexI[where x=xb])
  apply(rule bexI[where x=xc])
using g rhr.hom-add[OF xc] rhr.hom-mult[OF xa xb]
  using ringA.m-closed xa xb by presburger+ }note snd-prf=this
assume k1:<y ∈ carrier (A Quot I)>
with k show <h ` (x ⊗A Quot I y) = h ` x ⊗B Quot h ` I h ` y>
  by(auto simp:FactRing-def image-iff rcoset-mult-def r-coset-def a-r-coset-def
    snd-prf fst-prf)
from k k1 show <h ` (x ⊕A Quot I y) = h ` x ⊕B Quot h ` I h ` y>
  apply(simp add:FactRing-def rcoset-mult-def r-coset-def a-r-coset-def)
  using h1 ring-A ring-B unfolding ring-iso-def FactRing-def rcoset-mult-def
    r-coset-def a-r-coset-def
  by (metis (no-types, lifting) abelian-subgroup.a-rcosets-carrier abelian-subgroupI3
    ideal.axioms(1) mem-Collect-eq ring-def set-add-hom)
next
assume k:<ideal I A>
have important:<xa ∈ carrier (B Quot h ` I) ⟹ ∃y ∈ carrier (A Quot I). h ` y
= xa> for xa
proof(rule bexI[where x=<inv-into (carrier A) h ` xa>])
assume g:<xa ∈ carrier (B Quot h ` I)>
then show <h ` inv-into (carrier A) h ` xa = xa>
  by (metis Sup-le-iff bij-betw-def img-ideal-is-ideal h1 image-inv-into-cancel k
    ringB.canonical-proj-vimage-in-carrier ring-iso-memE(5) subset-refl)
{fix x
assume g1:<x ∈ carrier B> <xa = (∪xa ∈ I. {h xa ⊕B x})>
{fix xaa
assume g2:<xaa ∈ I>
with g1 have <∃xa ∈ I. (SOME y. y ∈ carrier A ∧ h y = h xaa ⊕B x) = xa
⊕A inv-into (carrier A) h x>
  by (smt (verit, del-insts) bij-betw-def bij-betw-iff-bijections h1 ideal.Icarr
    inv-into-f-f k rhr.hom-add ringA.add.m-closed ring-iso-memE(5)
    some-equality)
}note 2=this
{fix xaa
assume <xaa ∈ I>
with g1 have <xaa ⊕A inv-into (carrier A) h x = (SOME y. y ∈ carrier A
∧ h y = h xaa ⊕B x)>
  using h1 ring-A ring-B unfolding ring-iso-def
  by (smt (verit, del-insts) bij-betw-def k inv-img-exist f8 h1 ideal.Icarr
    inv-into-f-f mem-Collect-eq ringA.add.m-closed someI-ex)
}note 3=this
from g1 have <∃xa ∈ carrier A. (λx. SOME y. y ∈ carrier A ∧ h y = x) `

(∪xa ∈ I. {h xa ⊕B x}) = (∪x ∈ I. {x ⊕A xa})>
  apply(intro bexI[where x=<inv-into (carrier A) h x>])
  using inv-img-exist image-eqI inv-into-into[of x h <carrier A>]
  by(auto simp: 2 3)
}note 1 =this
from g show <inv-into (carrier A) h ` xa ∈ carrier (A Quot I)>
unfolding FactRing-def inv-into-def A-RCOSETS-def RCOSETS-def r-coset-def

```

```

by(auto simp:1)
qed
have imp2:⟨∀ J⊆carrier A. ∀ K⊆carrier A. h ` J = h ` K ⟶ J = K⟩
  unfolding image-def using h1 apply(safe)
  using h1 ring-A ring-B unfolding ring-iso-def
  by (smt (verit, ccfv-SIG) bij-betw-iff-bijections in-mono mem-Collect-eq) +
with important have important3:⟨xa ∈ carrier (B Quot h ` I)
  ⟹ ∃!y∈carrier (A Quot I). h ` y = xa⟩ for xa
  apply(safe)
  apply blast
  apply (metis Sup-le-iff equalityE k ringA.canonical-proj-vimage-in-carrier)
  by (metis Sup-le-iff dual-order.refl k ringA.canonical-proj-vimage-in-carrier)
have bij-inv:⟨bij-betw (inv-into (carrier A) h) (carrier B) (carrier A)⟩
  by (simp add: bij-betw-inv-into h1 ring-iso-memE(5))
with k show ⟨h ` 1A Quot I = 1B Quot h ` I⟩
apply(auto simp:image-def FactRing-def rcoset-mult-def r-coset-def a-r-coset-def)
[1]
  apply (smt (verit, ccfv-threshold) h1 ideal.Icarr insert-iff ringA.one-closed
ring-iso-memE(3) ring-iso-memE(4))
  by (metis (full-types) h1 ideal.Icarr ringA.one-closed ring-iso-memE(3) ring-iso-memE(4)
singletonI)
show ⟨bij-betw ((` h) (carrier (A Quot I)) (carrier (B Quot h ` I)))⟩
proof(intro bij-betw-byWitness[where ?f' = (` (inv-into (carrier A) h))])
  from k show ⟨∀ a∈carrier (A Quot I). inv-into (carrier A) h ` h ` a = a⟩
  apply(intro ballI)
  apply(subst inv-into-image-cancel)
  using bij-betw-def h1 ring-A ring-B unfolding ring-iso-def apply blast
  apply (metis FactRing-def abelian-subgroup.a-rcosets-carrier
abelian-subgroupI3 ideal-def partial-object.select-convs(1) ring-def)
  by(simp)
from k show ⟨∀ a'∈carrier (B Quot h ` I). h ` inv-into (carrier A) h ` a' = a'⟩
  using ring-A ring-B h1 unfolding ring-iso-def
  by (metis (no-types, lifting) Sup-le-iff bij-betw-def img-ideal-is-ideal im-
age-inv-into-cancel
mem-Collect-eq ringB.canonical-proj-vimage-in-carrier subset-refl)
from k show ⟨(` h ` carrier (A Quot I)) ⊆ carrier (B Quot h ` I)⟩
  using img-in-carrier-quot by blast
from k show ⟨(` (inv-into (carrier A) h) ` carrier (B Quot h ` I)) ⊆ carrier
(A Quot I)⟩
  apply(subst (1) image-def)
  apply(safe)
  by (metis ⟨∀ a∈carrier (A Quot I). inv-into (carrier A) h ` h ` a = a⟩
important3)
qed
qed
end

```

**lemma** Quot-iso-cgen:⟨a∈carrier A ∧ b:carrier B ∧ cring A ∧ cring B ∧ h ∈
ring-iso A B ∧ h(a) = b

```

 $\implies A \text{ Quot } (\text{cgenideal } A \ a) \simeq B \text{ Quot } (\text{cgenideal } B \ b)$ 
  unfolding is-ring-iso-def ring-iso-def
  proof(subst ex-in-conv[symmetric])
    assume h1:< a ∈ carrier A ∧ b ∈ carrier B ∧ cring A ∧ cring B ∧ h ∈ {h ∈ ring-hom
    A B. bij-betw h (carrier A) (carrier B)} ∧ h a = b>
    have h1':<h ∈ ring-iso A B>
      using h1 apply(fold ring-iso-def) by simp
    interpret ringA: cring A
      using h1 by auto
    interpret ringB: cring B
      using h1 by simp
    have f1:<∀ xa ∈ carrier B. ∃ y. y ∈ carrier A ∧ h y = xa>
      by (metis (no-types, lifting) bij-betw-iff-bijections h1 mem-Collect-eq)
    have f0:<ideal (PIdl_A a) A ∧ ideal (PIdl_B b) B>
      using ringA.cgenideal-ideal[of a] ringB.cgenideal-ideal[of b] h1 by(simp)
      then have f2:<(carrier (A Quot PIdl_A a)) = {{y ⊕_A x | y. y ∈ PIdl_A a} | x.
      x ∈ carrier A}>
      > <(carrier (B Quot PIdl_B b)) = {{y ⊕_B x | y. y ∈ PIdl_B b} | x. x ∈ carrier B}>
        using ringA.carrier-quot ringB.carrier-quot by simp+
      then have h'(<PIdl_A a) = (<PIdl_B b)>
        unfolding image-def cgenideal-def
        proof(safe)
          fix x xa xb
          assume h2:< carrier (A Quot {x ⊗_A a | x. x ∈ carrier A}) = {{y ⊕_A x | y. y
          ∈ {x ⊗_A a | x. x ∈ carrier A}} | x. x ∈ carrier A}>
            <carrier (B Quot {x ⊗_B b | x. x ∈ carrier B}) = {{y ⊕_B x | y. y ∈ {x ⊗_B b
          | x. x ∈ carrier B}} | x. x ∈ carrier B}>
            <xb ∈ carrier A>
          then show <∃ x. h (xb ⊗_A a) = x ⊗_B b ∧ x ∈ carrier B>
            using h1 ring-iso-def ring-iso-memE(1) ring-iso-memE(2) by fastforce
        next
          fix x xa
          assume h2:< carrier (A Quot {x ⊗_A a | x. x ∈ carrier A}) = {{y ⊕_A x | y. y
          ∈ {x ⊗_A a | x. x ∈ carrier A}} | x. x ∈ carrier A}>
            <carrier (B Quot {x ⊗_B b | x. x ∈ carrier B}) = {{y ⊕_B x | y. y ∈ {x ⊗_B b
          | x. x ∈ carrier B}} | x. x ∈ carrier B}>
            <xa ∈ carrier B>
          show <∃ x ∈ {x ⊗_A a | x. x ∈ carrier A}. xa ⊗_B b = h x >
            using f1 h1 h1' h2(3) ring-iso-memE(2) by fastforce
        qed
        then have <∀ x ∈ (PIdl_B b). ∃! y ∈ (PIdl_A a). h y = x>
          by (smt (verit) bij-betw-iff-bijections f0 h1 ideal.Icarr image-def mem-Collect-eq)
        then have <x ∈ carrier (A Quot {x ⊗_A a | x. x ∈ carrier A}) ⟹ ∃ y' ∈ carrier A.
        x = {y ⊕_A y' | y. y ∈ PIdl_A a}> for x
        proof –
          assume a1: x ∈ carrier (A Quot {x ⊗_A a | x. x ∈ carrier A})
          have f2: ∀ Aa Ab. Ab ∉ carrier (A Quot Aa) ∨ ¬ ideal Aa A
            ∨ (∃ a. Ab = {aa ⊕_A a | aa. aa ∈ Aa} ∧ a ∈ carrier A)
          using ringA.carrier-quot by auto

```

```

have  $x \in \text{carrier } (A \text{ Quot } PIdl_A a)$ 
  using  $a1$  by (simp add: cgenideal-def)
then show ?thesis
  using  $f2 f0$  by blast
qed
show  $\exists x. x \in \{h \in \text{ring-hom } (A \text{ Quot } PIdl_A a) (B \text{ Quot } PIdl_B b).$ 
   $\text{bij-betw } h (\text{carrier } (A \text{ Quot } PIdl_A a)) (\text{carrier } (B \text{ Quot } PIdl_B b))\}$ 
  apply(fold ring-iso-def)
  apply(intro exI[where  $x = \lambda x. h'x$ ])
  using  $\langle h' (PIdl_A a) = PIdl_B b \rangle f0 h1' \text{ img-over-set-is-iso ringA.ring-axioms}$ 
   $\text{ringB.ring-axioms}$ 
  by force
qed

end

```

### 3 Polynomials Ring Miscellaneous

theory *Polynomials-Ring-Misc*

imports *HOL-Algebra.Polynomials*

begin

Some lemmas that may be considered as useful, and that helps for the Hilbert's basis proof

```

definition(in ring) deg-poly-set: $\langle \text{deg-poly-set } S k = \{a. a \in S \wedge \text{degree } a = k\} \cup \{\}\rangle$ 
definition (in ring) lead-coeff-set: $\langle \text{lead-coeff-set } S k \equiv \{\text{coeff } a (\text{degree } a) \mid a. a \in \text{deg-poly-set } S k\}\rangle$ 

```

```

lemma rule-union: $\langle x \in (\bigcup n \leq k. A l n) \longleftrightarrow (\exists n \leq k. x \in A l n)\rangle$ 
by(auto)

```

```

lemma (in ring) add-0-eq-0-is-0: $\langle a \in \text{carrier } ((\text{carrier } R)[X]) \implies [] \oplus_{(\text{carrier } R)} [X]$ 
 $a = [] \implies a = []\rangle$ 
proof -
  assume  $h1 : a \in \text{carrier } ((\text{carrier } R)[X])$  and  $h2 : [] \oplus_{(\text{carrier } R)} [X] a = []$ 
  have  $\langle \text{poly-add } [] a = a\rangle$ 
    apply(rule local.poly-add-zero(2)[of  $\langle (\text{carrier } R)\rangle$ ])
    apply(simp add: carrier-is-subring)
    by(simp add: h1 univ-poly-carrier)
  then show ?thesis
    using h2 unfolding univ-poly-add by presburger
qed

```

```

lemma (in domain) inv-coeff-sum: $\langle a \in \text{carrier}((\text{carrier } R)[X]) \implies aa \in \text{carrier}((\text{carrier } R)[X]) \rangle$ 
 $\implies a \oplus_{(\text{carrier } R)[X]} aa = [] \longleftrightarrow (\forall n. \text{coeff } a n = \text{inv}_{\text{add-monoid } R} (\text{coeff } aa n))$ 
proof(safe, induct a)
  case Nil
    then have  $\langle aa = [] \rangle$ 
      by (simp add: Nil.prems(2) Nil.prems(3) add-0-eq-0-is-0)
    then show ?case by(auto)
  next
    case (Cons a1 a2)
    then show ?case
      by (metis add.comm-inv-char coeff.simps(1) coeff-in-carrier local.add.m-comm
local.ring-axioms
      poly-add-coeff polynomial-in-carrier ring.carrier-is-subring univ-poly-add
univ-poly-carrier)
  next
    interpret kxr: cring ( $\text{carrier } R$ )[X]
    using carrier-is-subring univ-poly-is-cring by blast
    assume h1: $\langle a \in \text{carrier} ((\text{carrier } R)[X]) \rangle$  and h2: $\langle aa \in \text{carrier} ((\text{carrier } R)[X]) \rangle$ 
    and h3: $\forall n. \text{local.coeff } a n = \text{inv}_{\text{add-monoid } R} \text{local.coeff } aa n$ 
    then show  $\langle a \oplus_{(\text{carrier } R)[X]} aa = [] \rangle$ 
    by (metis (no-types, lifting) abelian-group-def abelian-monoid.a-monoid add.Units-eq
carrier-is-subring coeff-in-carrier kxr.add.m-closed kxr.add.m-comm lead-coeff-simp
local.ring-axioms
mem-Collect-eq monoid.Units-r-inv monoid.select-convs(1) monoid.select-convs(2)
partial-object.select-convs(1)
      poly-add-coeff polynomial-def polynomial-in-carrier ring-def univ-poly-add
univ-poly-def)
  qed

```

```

lemma (in ring) coeffs-of-add-poly: $\langle a \in \text{carrier}((\text{carrier } R)[X]) \implies aa \in \text{carrier}((\text{carrier } R)[X]) \rangle$ 
 $\implies \text{coeff } (a \oplus_{(\text{carrier } R)[X]} aa) n = \text{coeff } a n \oplus \text{coeff } aa n$ 
by (metis local.ring-axioms poly-add-coeff ring.polynomial-incl univ-poly-add univ-poly-carrier)

lemma (in ring) length-add: $\langle a \in \text{carrier}((\text{carrier } R)[X]) \implies aa \in \text{carrier}((\text{carrier } R)[X]) \rangle$ 
 $\implies \text{coeff } a (\text{degree } a) \neq \text{inv}_{\text{add-monoid } R} \text{coeff } aa (\text{degree } aa)$ 
 $\implies \text{degree } (a \oplus_{(\text{carrier } R)[X]} aa) = \max (\text{degree } a) (\text{degree } aa)$ 
proof –
  assume h1: $\langle a \in \text{carrier}((\text{carrier } R)[X]) \rangle$ 
  and h2: $\langle aa \in \text{carrier}((\text{carrier } R)[X]) \rangle$ 
  and h3: $\langle \text{coeff } a (\text{degree } a) \neq \text{inv}_{\text{add-monoid } R} \text{coeff } aa (\text{degree } aa) \rangle$ 
  have f0: $\forall n \exists ( \max (\text{degree } a) (\text{degree } aa)). \text{coeff } (a \oplus_{(\text{carrier } R)[X]} aa) n = 0$ 

```

```

by (simp add: coeff-degree coeffs-of-add-poly h1 h2)
then have f1:⟨degree a = degree aa ⟹ coeff (a ⊕(carrier R)[X] aa) (degree a)
= coeff a (degree a) ⊕ coeff aa (degree aa)⟩
using coeffs-of-add-poly h1 h2 by presburger
also have f2: ⟨coeff a (degree a) ⊕ coeff aa (degree aa) ≠ 0⟩ using h3
by (meson add.inv-comm add.inv-unique' coeff-in-carrier h1 h2 local.ring-axioms
ring.polynomial-incl univ-poly-carrier)
then show ?thesis
apply(cases degree a = degree aa)
using f0 f1
apply (metis coeff-degree le-neq-implies-less max.idem poly-add-degree univ-poly-add)
apply(cases ⟨degree a > degree aa⟩)
by (metis carrier-is-subring h1 h2 local.ring-axioms
ring.poly-add-degree-eq univ-poly-add univ-poly-carrier) +
qed

lemma (in domain) inv-imp-zero:⟨a∈carrier((carrier R)[X]) ⟹ a ⊕(carrier R) [X]
invadd-monoid ((carrier R) [X]) a = []⟩
using local.add.Units-eq local.add.Units-r-inv univ-poly-zero
by (metis a-inv-def abelian-group.r-neg carrier-is-subring domain.univ-poly-is-abelian-group
domain-axioms)

lemma (in domain) R-subdom:⟨subdomain (carrier R) R
by (simp add: carrier-is-subring subdomainI')

lemma (in domain) lead-coeff-in-carrier:
⟨ideal I ((carrier R)[X]) ⟹ a ∈ I ⟹ coeff a (degree a) ∈ (carrier R)⟩ for I a
using poly-coeff-in-carrier[of ⟨carrier R⟩ a]
by (simp add: carrier-is-subring ideal.Icarr univ-poly-carrier)

lemma (in domain) degree-of-inv:⟨p∈carrier((carrier R)[X]) ⟹ degree (invadd-monoid ((carrier R)[X])
p) = degree p⟩ for p
using univ-poly-a-inv-degree[of ⟨carrier R⟩ p]
by (simp add: a-inv-def carrier-is-subring)

lemma (in domain) inv-in-deg-poly-set:⟨ideal I ((carrier R)[X]) ⟹ a ∈ deg-poly-set
I k
⟹ invadd-monoid ((carrier R)[X]) a ∈ deg-poly-set I k⟩ for I k a
proof –
interpret kxr: cring (carrier R)[X]
using carrier-is-subring univ-poly-is-cring by blast
assume h1:⟨ideal I ((carrier R)[X])⟩ ⟨a ∈ deg-poly-set I k⟩
then show ?thesis
unfolding deg-poly-set
apply(safe)
apply (meson additive-subgroup-def group.subgroupE(3) ideal-def kxr.add.is-group)

```

```

apply (meson degree-of-inv ideal.Icarr)
by (metis kxr.add.inv-one univ-poly-zero) +
qed

lemma (in domain) ideal-lead-coeff-set::ideal (lead-coeff-set I k) R
if h':ideal I ((carrier R)[X]) for I k
proof(rule idealI)
show ⟨ring R⟩
by (simp add: local.ring-axioms)
next
interpret kxr: cring (carrier R)[X]
using carrier-is-subring univ-poly-is-criing by blast
show ⟨subgroup (lead-coeff-set I k) (add-monoid R)⟩
unfolding subgroup-def lead-coeff-set-def
proof(safe)
fix a x
assume h1:⟨a ∈ deg-poly-set I k⟩
show ⟨local.coeff a (degree a) ∈ carrier (add-monoid R)⟩
using lead-coeff-in-carrier h' h1
by (metis (no-types, lifting) Un-iff deg-poly-set empty-iff insert-iff
kxr.oneideal mem-Collect-eq partial-object.select-convs(1) univ-poly-zero-closed)
next
fix x y a aa
assume h1:⟨a ∈ deg-poly-set I k⟩ and h2:⟨aa ∈ deg-poly-set I k⟩
then have imp:⟨a ∈ carrier ((carrier R)[X]) ∧ aa ∈ carrier ((carrier R)[X])⟩
unfolding deg-poly-set using h' unfolding ideal-def
by(auto simp:additive-subgroup.a-Hcarr)
then show ⟨∃ ab. local.coeff a (degree a) ⊗ add-monoid R local.coeff aa (degree
aa) = local.coeff ab (degree ab) ∧ ab ∈ deg-poly-set I k⟩
apply(cases ⟨a=[]⟩)
using lead-coeff-in-carrier h2 kxr.oneideal apply auto[1]
apply(cases ⟨aa=[]⟩)
using lead-coeff-in-carrier h1 kxr.oneideal apply auto[1]
apply(cases ⟨local.coeff aa (length aa - Suc 0) ≠ invadd-monoid R local.coeff a (length a - Suc 0)⟩)
apply(rule exI[where x=⟨a ⊕ (carrier R)[X] aa⟩])
using imp length-add h1 h2 unfolding deg-poly-set apply(safe)
apply (metis One-nat-def coeffs-of-add-poly kxr.add.m-comm max.idem
monoid.select-convs(1))
apply (meson additive-subgroup.a-closed ideal-def that)
apply (metis One-nat-def kxr.add.m-comm max.idem)
by (metis (no-types, lifting) One-nat-def Un-iff add.comm-inv-char add.r-inv-ex
coeff.simps(1))
lead-coeff-in-carrier insert-iff monoid.select-convs(1) that)
next
show ⟨∃ a. 1add-monoid R = local.coeff a (degree a) ∧ a ∈ deg-poly-set I k⟩
by (smt (verit, ccfv-threshold) Un-insert-right coeff.simps(1) deg-poly-set
insertI1 monoid.select-convs(2))

```

```

next
  fix a
  assume <math>a \in \text{deg-poly-set } I k</math>
  obtain <math>a' \text{ where } \langle a' = \text{inv}_{\text{add-monoid}}((\text{carrier } R)[X]) \wedge a \in I \rangle</math>
    using <math>h'</math>
    by (metis (no-types, lifting) Un-Iff <math>\langle a \in \text{deg-poly-set } I k \rangle \text{ deg-poly-set empty-Iff}</math>
      insert-Iff kxr.add.normal-invE(1)
      kxr.ideal-is-normal mem-Collect-eq monoid.select-convs(2) subgroup-def
      univ-poly-zero)
    then show <math>\exists aa. \text{inv}_{\text{add-monoid}} R \text{ local.coeff } a (\text{degree } a) = \text{local.coeff } aa</math>
      <math>(\text{degree } aa) \wedge aa \in \text{deg-poly-set } I k</math>
      apply(intro exI[where <math>x = \text{inv}_{\text{add-monoid}}((\text{carrier } R)[X]) a</math>])
      apply(safe)
      apply (metis (no-types, opaque-lifting) degree-of-inv ideal.Icarr kxr.add.Units-eq
        kxr.add.Units-inv-closed
        kxr.add.Units-l-inv inv-coeff-sum that univ-poly-zero)
      using <math>\langle a \in \text{deg-poly-set } I k \rangle \text{ inv-in-deg-poly-set that by blast}</math>
    qed
next
interpret kxr: cring (<math>\text{carrier } R[X]</math>)
  using carrier-is-subring univ-poly-is-cring by blast
fix a y
assume h1:<math>a \in \text{lead-coeff-set } I k</math> and h2:<math>y \in (\text{carrier } R)</math>
then obtain l where h3:<math>l \in \text{deg-poly-set } I k \wedge a = \text{coeff } l (\text{degree } l)</math>
  using lead-coeff-set-def by auto
then have t0:<math>\text{set } l \subseteq (\text{carrier } R)</math>
  by (metis (no-types, lifting) Un-Iff additive-subgroup.a-Hcarr deg-poly-set h'
    ideal.axioms(1)
    kxr.zeroideal mem-Collect-eq partial-object.select-convs(1) polynomial-incl
    univ-poly-def
    univ-poly-zero)
have t1:<math>\langle l \in \text{carrier } ((\text{carrier } R)[X]) \rangle \text{ using } h3 h' \text{ unfolding deg-poly-set ideal-def}</math>
  by(auto simp:additive-subgroup.a-Hcarr)
have h4:<math>y \neq 0 \implies [y] \in \text{carrier}((\text{carrier } R)[X])</math>
  using h2 by (simp add: polynomial-def univ-poly-def)
have f4a:<math>\text{subring } (\text{carrier } R) R</math>
  using carrier-is-subring by auto
have h5:<math>y \neq 0 \implies [y] \in \text{carrier } ((\text{carrier } R)[X]) \implies l \in \text{carrier } ((\text{carrier } R)[X])</math>
  <math>\implies l \neq [] \implies [y] \otimes_{(\text{carrier } R)} [X] l \in \text{deg-poly-set } I k</math>
  using h3 h4 unfolding deg-poly-set apply(safe)
  apply (meson h' ideal-axioms-def ideal-def)
  unfolding univ-poly-mult
  using poly-mult-degree-eq[of <math>(\text{carrier } R) \langle [y] \rangle l</math>]
  using f4a univ-poly-carrier by auto
have t4:<math>y \neq 0 \implies [y] \in \text{carrier } ((\text{carrier } R)[X]) \implies l \in \text{carrier } ((\text{carrier } R)[X])</math>
  <math>\implies l \neq [] \implies y \otimes a = \text{local.coeff } ([y] \otimes_{(\text{carrier } R)} [X] l) (\text{degree } ([y] \otimes_{(\text{carrier } R)} [X] l))</math>

```

```

unfolding univ-poly-mult
by (metis f4a h3 lead-coeff-simp list.sel(1) not-Cons-self poly-mult-integral
      poly-mult-lead-coeff univ-poly-carrier)
have t6: $\langle a \neq 0 \implies l \neq [] \rangle$ 
  using h3 by fastforce
show symet: $\langle y \otimes a \in \text{lead-coeff-set } I k \rangle$ 
  unfolding lead-coeff-set-def deg-poly-set apply(safe)
  apply(cases  $\langle a = 0 \rangle$ )
    apply(rule exI[where  $x = []$ ])
    apply(simp add: h2)
    apply(cases  $\langle y = 0 \rangle$ )
      apply(rule exI[where  $x = []$ ])
    using coeff.simps(1) coeff-in-carrier h2 h3 integral-iff t0 apply simp
    apply(rule exI[where  $x = \langle y \rangle \otimes_{(\text{carrier } R)} [X] l$ ])
    apply(safe)
      apply (metis One-nat-def coeff.simps(1) h3 h4 t1 t4)
    using h5 h4 t6 by(auto simp add: deg-poly-set t1)
    show  $\langle a \otimes y \in \text{lead-coeff-set } I k \rangle$ 
      using h2 h3 m-comm symet t0 by auto
qed

lemma (in ring) deg-poly-set-0: $\langle \text{deg-poly-set } x' 0 = \{[a] \mid a. [a] \in x'\} \cup \{[]\} \rangle$  for
x': $\langle c \text{ list set} \rangle$ 
  unfolding deg-poly-set
  apply(safe)
  apply (metis One-nat-def Suc-pred length-0-conv length-Suc-conv length-greater-0-conv)
  by(auto)

lemma (in ring) lead-coeff-set-0: $\langle \text{lead-coeff-set } x' 0 = \{a. [a] \in x'\} \cup \{0\} \rangle$  for x'
  unfolding lead-coeff-set-def
  proof(subst deg-poly-set-0, safe)
    fix x a aa
    assume h1: $\langle \text{local.coeff } [aa] (\text{degree } [aa]) \notin \{\} \rangle$   $\langle \text{local.coeff } [aa] (\text{degree } [aa]) \neq 0 \rangle$ 
     $\langle [aa] \in x' \rangle$ 
    then show  $\langle \text{local.coeff } [aa] (\text{degree } [aa]) \rangle \in x'$ 
      by(simp)
  next
    fix x a
    assume h1: $\langle \text{local.coeff } [] (\text{degree } []) \notin \{\} \rangle$   $\langle \text{local.coeff } [] (\text{degree } []) \neq 0 \rangle$ 
    then show  $\langle \text{local.coeff } [] (\text{degree } []) \rangle \in x'$  by simp
  next
    fix x
    assume h1: $\langle [x] \in x' \rangle$ 
    then show  $\langle \exists a. x = \text{local.coeff } a (\text{degree } a) \wedge a \in \{[a] \mid a. [a] \in x'\} \cup \{[]\} \rangle$ 
      apply(intro exI[where  $x = [x]$ ])
      by(simp)
  next
    fix x

```

```

show <math>\exists a. \mathbf{0} = \text{local.coeff } a (\text{degree } a) \wedge a \in \{[a] \mid a. [a] \in x'\} \cup \{\mathbf{0}\}>
  \text{apply(rule exI[where } x=\mathbf{0}\mathbf{])}
  \text{by(simp)}
qed
end

```

## 4 The weak Hilbert Basis theorem

**theory** Weak-Hilbert-Basis

```

imports
  HOL-Algebra.Polynomials
  HOL-Algebra.Indexed-Polynomials
  Polynomials-Ring-Misc
  Padic-Field.Cring-Multivariable-Poly
  HOL-Algebra.Module
  Ring-Misc
begin

```

In this section, we show what we called "weak" Hilbert basis theorem, meaning Hilbert basis theorem for univariate polynomials. The theorem is done for all three (Polynomials, UP, IP with card = 1) models of polynomials that exists in HOL-Algebra.

### 4.1 Weak Hilbert Basis

```

lemma (in noetherian-domain) weak-Hilbert-basis:<noetherian-ring ((carrier R)[X])>
proof(rule ring.trivial-ideal-chain-imp-noetherian)
  show <math>\text{ring } ((\text{carrier } R)[X])>
    \text{using carrier-is-subring univ-poly-is-ring by blast}
next
  interpret kxr: cring (carrier R)[X]
    \text{using carrier-is-subring univ-poly-is-cring by blast}
    fix C
    assume F:<math>C \neq \{\}</math> <math>\subsetset{\text{chain}}{I. \text{ideal } I ((\text{carrier } R)[X])} C</math>
    have f1:<math>I \in C \implies \text{ideal } I (\text{carrier } R[X])</math> \text{for } I
      \text{using } F \text{ unfolding subset.chain-def by(auto)}
    have f2:<math>\forall a \in \text{carrier } ((\text{carrier } R)[X]) \wedge aa \in \text{carrier } ((\text{carrier } R)[X])
      \implies \text{coeff } (a \oplus (\text{carrier } R)[X] aa) k = \text{coeff } a k \oplus \text{coeff } aa k >
      \text{for } a aa k
      \text{unfolding univ-poly-add}
      \text{apply(subst poly-add-coeff)}
      \text{using polynomial-in-carrier[of } \langle \text{carrier } R \rangle a] \text{ polynomial-in-carrier}[of } \langle \text{carrier } R \rangle aa]
        \text{polynomial-def carrier-is-subring}
        \text{by (simp add: univ-poly-carrier)+}
      have f4a:<math>\text{subring } (\text{carrier } R) R</math>

```

```

using carrier-is-subring by auto
have degree-of-inv:
  ‹ $p \in \text{carrier}((\text{carrier } R)[X]) \implies \text{degree}(\text{inv}_{\text{add-monoid}}((\text{carrier } R)[X]) p) = \text{degree } p$ › for  $p$ 
  by (metis a-inv-def local.ring-axioms ring.carrier-is-subring univ-poly-a-inv-degree)
from f1 have ‹ $I \in C \implies a \in I \implies \text{coeff } a (\text{degree } a) \in (\text{carrier } R)$ › for  $a$ 
  using lead-coeff-in-carrier by blast
have emp-in-i: ‹ideal  $I ((\text{carrier } R)[X]) \implies [] \in I$ › for  $I$ 
  by (simp add: additive-subgroup-def ideal-def subgroup-def univ-poly-zero)
have g0: ‹ $I \subseteq I' \implies \text{lead-coeff-set } I k \subseteq \text{lead-coeff-set } I' k$ ›
  for  $I I' k$ 
  unfolding lead-coeff-set-def deg-poly-set by (auto)
have g1: ‹ideal  $I ((\text{carrier } R)[X]) \implies \{(X \otimes_{(\text{carrier } R)[X]} l) \mid l. l \in I\} \subseteq I$ › for  $I$ 
  using f4a ideal.I-l-closed var-closed(1) by fastforce
then have g2:
  ‹ideal  $I ((\text{carrier } R)[X]) \implies \text{lead-coeff-set } \{(X \otimes_{(\text{carrier } R)[X]} l) \mid l. l \in I\} k \subseteq \text{lead-coeff-set } I k$ ›
  for  $I k$ 
  using g0 g1 by auto
have f7b: ‹ideal  $I ((\text{carrier } R)[X]) \implies \text{lead-coeff-set } I k \subseteq \text{lead-coeff-set } I (k+1)$ ›
for  $I k$ 
  unfolding lead-coeff-set-def deg-poly-set
  proof(safe)
    fix  $x a$ 
    assume y1: ‹ideal  $I (\text{poly-ring } R)$ › ‹ $a \in I$ › ‹ $k = \text{degree } a$ ›
    then show
      ‹ $\exists aa. \text{local.coeff } a (\text{degree } a) = \text{local.coeff } aa (\text{degree } aa) \wedge aa \in \{aa \in I. \text{degree } aa = \text{degree } a + 1\} \cup \{[]\}$ ›
      apply(cases ‹ $a = []$ ›)
      apply(rule exI[where  $x = []$ ])
      apply blast
      apply(rule exI[where  $x = a \otimes_{(\text{carrier } R)[X]} X$ ])
      apply(safe)
      unfolding ideal-def univ-poly-mult
      using poly-mult-var[of  $(\text{carrier } R)$   $a$  for  $a$ ]
      apply (metis One-nat-def additive-subgroup.a-Hcarr
        append-is-Nil-conv f4a hd-append2 lead-coeff-simp univ-poly-mult)
      apply (simp add: f4a ideal-axioms-def univ-poly-mult var-closed(1))
      using poly-mult-var[of ‹ $(\text{carrier } R)$ ›  $a$  for  $a$ ]
      by (metis Suc-eq-plus1 Suc-pred' diff-Suc-Suc f4a ideal.Icarr length-append-singleton
        length-greater-0-conv minus-nat.diff-0 univ-poly-mult y1(1))
next
  assume y1: ‹ideal  $I (\text{poly-ring } R)$ ›
  then show
    ‹ $\exists a. \text{local.coeff } [] (\text{degree } []) = \text{local.coeff } a (\text{degree } a) \wedge a \in \{a \in I. \text{degree } a = k + 1\} \cup \{[]\}$ ›
    by force
qed

```

```

then have f7:\ $\forall y \in C \implies \text{lead-coeff-set } y k \subseteq \text{lead-coeff-set } y (k+1)$  by blast
  using f1 by blast
then have f8:\ $\forall k \leq k' \implies \forall y \in C \implies \text{lead-coeff-set } y k \subseteq \text{lead-coeff-set } y k'$  by blast
  apply(induct k')
  using le-Suc-eq by(auto)
have n:\ $\text{noetherian-ring } R$ 
  by (simp add: noetherian-ring-axioms)
have c:\ $\forall x \in C \implies \text{subset.chain } \{I. \text{ideal } I R\} \{\text{lead-coeff-set } x k \mid k. k \in \text{UNIV}\}$  for x
  apply(subst subset-chain-def)
  apply(safe)
    apply (simp add: f1 ideal-lead-coeff-set)
    by (meson f8 nle-le subsetD)
have c':\ $\forall x \in C \implies \text{subset.chain } \{I. \text{ideal } I R\} \{\text{lead-coeff-set } x k \mid x. x \in C\}$  for x
  proof(rule Zorn.subset.chainI)
    show \ $\{\text{lead-coeff-set } x k \mid x. x \in C\} \subseteq \{I. \text{ideal } I R\}$ 
      using f1 ideal-lead-coeff-set by blast
  next
    fix xa y
    assume 1:\ $\forall xa \in \{\text{lead-coeff-set } x k \mid x. x \in C\} \exists y \in \{\text{lead-coeff-set } x k \mid x. x \in C\}$ 
    obtain z z' where g10:\ $xa = \text{lead-coeff-set } z k \wedge y = \text{lead-coeff-set } z' k \wedge z \in C \wedge z' \in C$ 
      using 1(1) 1(2) by blast
    then have z ⊆ z' ∨ z' ⊆ z
      using F unfolding subset-chain-def by(auto)
    then show (z ⊆ z') ∨ (z' ⊆ z)
      using g0 g10 by blast+
  qed
  then have U0:\ $\forall x \in C. (\bigcup \{\text{lead-coeff-set } x k \mid k. k \in \text{UNIV}\}) \in \{\text{lead-coeff-set } x k \mid k. k \in \text{UNIV}\}$ 
  proof(safe)
    fix x
    assume a1:  $x \in C$ 
    have V A:  $\neg \text{subset.chain } \{A. \text{ideal } A R\} \wedge A \vee \bigcup A \in A \vee A = \{\}$ 
      using ideal-chain-is-trivial by blast
    then show  $\exists k. \bigcup \{\text{lead-coeff-set } x k \mid k. k \in \text{UNIV}\} = \text{lead-coeff-set } x k \wedge k \in \text{UNIV}$ 
      using a1 c by auto
  qed
  have t9:\ $\forall x \in C \implies \text{ideal } (\text{lead-coeff-set } x k) R$  for k x
    using f1 ideal-lead-coeff-set by blast
  then have degree-of-inv:\ $\{\text{lead-coeff-set } x k \mid x. x \in C\} \neq \{\}$  for x::'a set and k
    using F(1) by blast
  then have U1:\ $\forall k. (\bigcup \{\text{lead-coeff-set } x k \mid x. x \in C\}) \in \{\text{lead-coeff-set } x k \mid x. x \in C\}$ 
    using ideal-lead-coeff-set f7b n c'

```

```

using ideal-chain-is-trivial[OF degree-of-inv c] by(auto)
have kl0:<x∈C ∧ y∈C⇒x=y ↔ (∀ k. deg-poly-set x k = deg-poly-set y k)> for
x y
proof(safe)
fix xa :: 'a list
assume a1: y ∈ C
assume a2: ∀ k. deg-poly-set x k = deg-poly-set y k
assume xa ∈ x
then have ∃ n. xa ∈ deg-poly-set x n
using deg-poly-set noetherian-domain-axioms by fastforce
then show xa ∈ y
using a2 a1
by (metis (no-types, lifting) UnE emp-in-i f1 local.ring-axioms
mem-Collect-eq ring.deg-poly-set singleton-iff)
next
fix xa :: 'a list
assume a1: x ∈ C
assume a2: ∀ k. deg-poly-set x k = deg-poly-set y k
assume xa ∈ y
then have ∃ n. xa ∈ deg-poly-set y n
using deg-poly-set noetherian-domain-axioms by fastforce
then show xa ∈ x
using a2 a1
by (metis (no-types, lifting) UnE emp-in-i f1 local.ring-axioms
mem-Collect-eq ring.deg-poly-set singleton-iff)
qed
have kl:<x'∈C ∧ y∈C ∧ x'⊆ y⇒(∀ k≤n. lead-coeff-set x' k = lead-coeff-set y k)>
↔ (forall k≤n. deg-poly-set x' k = deg-poly-set y k)>
for x' y n
apply(rule iffI)
subgoal
proof(induct n)
case z:0
from lead-coeff-set-0 have d2:<{a. [a] ∈ x'} = {a. [a] ∈ y}>
using z(2)[rule-format, of 0] unfolding lead-coeff-set-def
using z.prem(1) f1 unfolding ideal-def
by (simp add:f1 ideal-def polynomial-def univ-poly-carrier additive-subgroup.a-Hcarr)
(metis (mono-tags, lifting) additive-subgroup.a-Hcarr insert-iff
list.sel(1) list.simps(3) mem-Collect-eq polynomial-def univ-poly-carrier)
show ?case
apply(insert z)
apply(simp)
apply(subst (asm) (1 2) lead-coeff-set-0)
apply(subst (1 2) deg-poly-set-0)
using d2 by(auto)
next
case (Suc n)
have t0:<∀ k≤n. deg-poly-set x' k = deg-poly-set y k>

```

```

using Suc.hyps Suc.prems(1) Suc.prems(2) le-Suc-eq by blast
have t':ideal x' ((carrier R)[X])
  using Suc.prems(1) f1 by blast
have t:deg-poly-set x' (Suc n) = deg-poly-set y (Suc n) ==> ?case
  using Suc.hyps Suc.prems(1) Suc.prems(2) le-Suc-eq by presburger
have < ! k. <math>\exists S. \text{lead-coeff-set } x' k = \text{genideal } R (S k) \wedge \text{finite } (S k)>
  by (meson ideal-lead-coeff-set finetely-gen t')
then have <math>\exists S. \forall k. \text{lead-coeff-set } x' k = \text{genideal } R (S k) \wedge \text{finite } (S k)>
  by moura
then obtain S where t1:<math>\forall k. \text{lead-coeff-set } x' k = \text{genideal } R (S k) \wedge \text{finite } (S k)>
  by (blast)
then have <math>\forall k \leq \text{Suc } n. \text{lead-coeff-set } y (k) = \text{genideal } R (S k)>
  using Suc.prems(2) le-Suc-eq by presburger
show ?case
proof(rule t)
  show <math>\text{deg-poly-set } x' (\text{Suc } n) = \text{deg-poly-set } y (\text{Suc } n)>
    unfolding deg-poly-set
    proof(safe)
      fix x
      assume 2:<math>x \notin \{\}> <math>x \neq []> <math>x \in x'> <math>\text{degree } x = \text{Suc } n>
      then show <math>x \in y>
        using Suc.prems(1) by blast
    next
    fix x
    assume 2:<math>x \notin \{\}> <math>x \neq []> <math>x \in y> <math>\text{degree } x = \text{Suc } n>
    {assume 1:<math>x \neq []> <math>x \in y> <math>\text{length } x - \text{Suc } 0 = \text{Suc } n> <math>x \notin x'>
      have <math>\text{lead-coeff-set } x' (\text{Suc } n) = \text{lead-coeff-set } y (\text{Suc } n)>
        using Suc.prems(2) by auto
      then have tp:<math>\text{coeff } x (\text{degree } x) \in \text{lead-coeff-set } x' (\text{Suc } n)>
        by (metis (mono-tags, lifting) 1(2) 1(3) One-nat-def
          Un-iff deg-poly-set lead-coeff-set-def mem-Collect-eq)
      then have <math>\exists x2. x2 \neq x \wedge x2 \in x' \wedge \text{coeff } x2 (\text{degree } x2) = \text{coeff } x (\text{degree } x) \wedge \text{degree } x2 = \text{Suc } n>
        unfolding lead-coeff-set-def by(simp) (metis (mono-tags, lifting) 1(1)
          1(2) 1(4)
          One-nat-def Suc.prems(1) Un-iff coeff.simps(1) deg-poly-set f1
          ideal.Icarr lead-coeff-simp
          mem-Collect-eq partial-object.select-convs(1) polynomial-def singletonD
          univ-poly-def)
      then obtain x2 where g1:<math>\text{coeff } x2 (\text{degree } x2) \in \text{lead-coeff-set } x' (\text{Suc } n) \wedge x2 \neq x \wedge \text{degree } x2
        = \text{Suc } n \wedge x2 \in x' \wedge \text{coeff } x2 (\text{degree } x2) = \text{coeff } x (\text{degree } x)>
        using tp by force
      then have g2:<math>x2 \in y>
        using Suc.prems(1) by blast
      then have g3:<math>x \oplus (\text{carrier } R)[X] \text{ invAdd-monoid } ((\text{carrier } R)[X]) x2 \in y>
        using t'

```

```

    by (meson 1(2) Suc.prems(1) additive-subgroup.a-closed additive-subgroup-def
        f1 group.subgroupE(3) ideal-def kxr.add.group-l-invI kxr.add.l-inv-ex)
    then have g4:( $x \oplus_{(\text{carrier } R)[X]} \text{inv}_{\text{add-monoid}}((\text{carrier } R)[X])$ )  $x2 \notin x'$ 
        using t' g1 1(2) 1(4) f1 Suc.prems(1)
    kxr.add.m-assoc kxr.add.r-inv-ex kxr.add.subgroupE(4) kxr.minus-unique
kxr.r-zero
    unfolding additive-subgroup-def ideal-def
    by (smt (verit, best) f1 ideal.Icarr kxr.add.comm-inv-char)
    have ⟨degree  $x = \text{Suc } n \wedge \text{degree } x2 = \text{Suc } nx2$ ) (degree  $x2$ ) =
inv_{add-monoid} R (coeff  $x$  (degree  $x$ ))⟩
    by (smt (verit, best) a-inv-def diff-0-eq-0 f4a g1 ideal.Icarr kxr.add.inv-closed

kxr.l-neg length-add list.size(3) max.idem nat.discI t' univ-poly-a-inv-degree
univ-poly-zero)
    then have ⟨coeff (( $x \oplus_{(\text{carrier } R)[X]} \text{inv}_{\text{add-monoid}}((\text{carrier } R)[X])$ )  $x2$ ))
(Suc  $n$ ) = 0⟩
        by (smt (verit, best) 1(2) Suc.prems(1) ⟨degree  $x = \text{Suc } n \wedge \text{degree } x2$ 
= Suc  $n$ ⟩
            a-inv-def add.Units-eq add.Units-r-inv lead-coeff-in-carrier f1 f2 g1
ideal.Icarr kxr.add.inv-closed)
        then have *: $\forall k \geq \text{Suc } n$ . coeff (( $x \oplus_{(\text{carrier } R)[X]} \text{inv}_{\text{add-monoid}}((\text{carrier } R)[X])$ )
 $x2$ )) ( $k$ ) = 0⟩
            by (smt (verit, best) 1(2) Suc.prems(1) a-inv-def calculation coeff-degree
f1 f2 f4a g2
                ideal.Icarr kxr.add.inv-closed l-zero le-eq-less-or-eq univ-poly-a-inv-degree
zero-closed)
        then have **: $\forall k \geq \text{Suc } n$ . coeff (( $x \oplus_{(\text{carrier } R)[X]} \text{inv}_{\text{add-monoid}}((\text{carrier } R)[X])$ )
 $x2$ )) ( $k$ ) = 0⟩
            unfolding univ-poly-add
            by (metis (no-types, lifting) a-inv-def calculation f4a g1 ideal.Icarr
max.idem poly-add-degree t' univ-poly-a-inv-degree univ-poly-add)
        then have b0: $\forall k \geq \text{Suc } n$ . coeff (( $x \oplus_{(\text{carrier } R)[X]} \text{inv}_{\text{add-monoid}}((\text{carrier } R)[X])$ )
 $x2$ )) ( $k$ ) = 0⟩
            using * by auto
            have b1: $\forall x \in (\text{carrier } ((\text{carrier } R)[X])) \Rightarrow \text{degree } x \leq \text{Suc } n \wedge \text{coeff } x$ 
(Suc  $n$ ) = 0  $\Rightarrow \text{degree } x \leq n$  by for x
                by (metis diff-0-eq-0 diff-Suc-1 le-SucE lead-coeff-simp list.size(3)
polynomial-def univ-poly-carrier)
            then have ⟨degree (( $x \oplus_{(\text{carrier } R)[X]} \text{inv}_{\text{add-monoid}}((\text{carrier } R)[X])$ )
 $x2$ )) ( $k$ ) = 0⟩
                using b0 b1 **
                by (meson Suc.prems(1) f1 g3 ideal.Icarr)
                then obtain k where n: $k \leq n \wedge k = \text{degree } ((x \oplus_{(\text{carrier } R)[X]} \text{inv}_{\text{add-monoid}}((\text{carrier } R)[X])$ )
 $x2$ ) by blast

```

```

    then have  $x \oplus_{(carrier R)[X]} inv_{add-monoid}((carrier R)[X]) x^2 \in$ 
 $deg\text{-}poly\text{-}set y k \wedge x \oplus_{(carrier R)[X]} inv_{add-monoid}((carrier R)[X]) x^2 \notin deg\text{-}poly\text{-}set$ 
 $x' k$ 
    unfolding deg-poly-set using g1 g2 g3 monoid.cases monoid.simps(1)
monoid.simps(2)
    partial-object.select-convs(1) emp-in-i g4 t' by fastforce
    then have False using n t0 by blast
    }note this-is-proof=this
    then show  $\langle x \in x' \rangle$ 
        using this-is-proof 2(2) 2(3) 2(4) One-nat-def by argo
    qed
    qed
    qed
    using lead-coeff-set-def by presburger
have chain-is: $\langle x' \in C \wedge y \in C \implies x' \subseteq y \vee y \subseteq x' \rangle$  for x' y
    using F unfolding subset.chain-def by(auto)
from kl have imppp: $\langle x' \in C \wedge y \in C \wedge x' \subseteq y$ 
 $\implies (\forall k. lead\text{-}coeff\text{-}set x' k = lead\text{-}coeff\text{-}set y k) \longleftrightarrow (\forall k. deg\text{-}poly\text{-}set x' k =$ 
 $deg\text{-}poly\text{-}set y k)$ 
    for x' y
    by (meson dual-order.refl)
then have impp: $\langle x' \in C \wedge y \in C \implies (\forall k. lead\text{-}coeff\text{-}set x' k = lead\text{-}coeff\text{-}set y k)$ 
 $\longleftrightarrow (\forall k. deg\text{-}poly\text{-}set x' k = deg\text{-}poly\text{-}set y k)$ 
    for x' y
    by (metis chain-is)
then have sup1: $\langle x' \in C \wedge y \in C \implies (x' = y) \longleftrightarrow (\forall k. lead\text{-}coeff\text{-}set x' k =$ 
 $lead\text{-}coeff\text{-}set y k)$ 
    for x' y
    using kl0 by presburger
then have  $\exists Ux. \forall k. Ux k = \bigcup \{lead\text{-}coeff\text{-}set x k | x \in C\}$ 
    by auto
then obtain Ux where U-x: $\forall k. Ux k = \bigcup \{lead\text{-}coeff\text{-}set x k | x \in C\}$  by
blast
then have  $\exists Uk. \forall x \in C. (Uk x = \bigcup \{lead\text{-}coeff\text{-}set x k | k \in UNIV\})$  using
U0 by auto
then obtain Uk where U-k: $\forall x \in C. (Uk x = \bigcup \{lead\text{-}coeff\text{-}set x k | k \in UNIV\})$ 
using U0 by(auto)
have  $\langle (\bigcup \{lead\text{-}coeff\text{-}set x k | x \in C \wedge k \in UNIV\}) = (\bigcup x \in C. (\bigcup k. lead\text{-}coeff\text{-}set$ 
 $x k)) \rangle$ 
    by auto
have  $\langle (\bigcup x \in C. (\bigcup k. lead\text{-}coeff\text{-}set x k)) \in \{lead\text{-}coeff\text{-}set x k | x \in C\} \rangle$ 
proof -
    have n0: $\langle x \in C \wedge y \in C \wedge x \subseteq y \implies (\bigcup k. lead\text{-}coeff\text{-}set x k) \subseteq (\bigcup k. lead\text{-}coeff\text{-}set$ 
 $y k)$ 
    for x y
    by (simp add: SUP-mono' g0)
    obtain s1 where n1: $\langle (\forall x \in C. (\bigcup k. lead\text{-}coeff\text{-}set x k)) = lead\text{-}coeff\text{-}set x (s1$ 
 $x) \rangle$ 
    using U0
    by(simp)(metis full-SetCompr-eq)
    then have n4: $\langle (\bigcup x \in C. (\bigcup k. lead\text{-}coeff\text{-}set x k)) = (\bigcup x \in C. lead\text{-}coeff\text{-}set x$ 

```

```

(s1 x))>
  by auto
  have ‹x ∈ C ∧ y ∈ C ⟹ x ⊆ y ∨ y ⊆ x› for x y
    using F unfolding subset.chain-def by(auto)
    then have n1:‹x ∈ C ∧ y ∈ C ⟹ lead-coeff-set x (s1 x) ⊆ lead-coeff-set y (s1
y) ∨
      lead-coeff-set y (s1 y) ⊆ lead-coeff-set x (s1 x)›
    for x y
    apply(cases ‹x ⊆ y›)
    apply(rule disjI1)
    subgoal using n0 n1 by auto[1]
    by (metis n0 n1)
  have n2:‹subset.chain {I. ideal I R} {lead-coeff-set x (s1 x) | x. x ∈ C}›
    apply(rule subset.chainI)
    using ‹⋀x k. x ∈ C ⟹ ideal (lead-coeff-set x k) R› apply force
    using n1 by auto
  have n3:‹{lead-coeff-set x (s1 x) | x. x ∈ C} ≠ {}›
    using F(1) by blast
  have ‹(⋃x ∈ C. lead-coeff-set x (s1 x)) = (⋃ {lead-coeff-set x (s1 x) | x. x ∈ C})›
    by auto
  then have ‹(⋃x ∈ C. lead-coeff-set x (s1 x)) ∈ {lead-coeff-set x (s1 x) | x. x ∈ C}›
    using ideal-chain-is-trivial[OF n3 n2]
    by(auto)
  then show ‹(⋃x ∈ C. ⋃ (range (lead-coeff-set x))) ∈ {lead-coeff-set x k | x k. x
∈ C}›
    using n4 by auto
qed
then obtain x l where n5:‹(⋃ {lead-coeff-set x k | x k. x ∈ C}) = lead-coeff-set
x l ∧ x ∈ C›
  using ‹(⋃ {lead-coeff-set x k | x k. x ∈ C ∧ k ∈ UNIV}) = (⋃x ∈ C. ⋃ (range
(lead-coeff-set x)))›
  by auto
then have ‹∀y ∈ C. x ⊆ y ⟹ (∀ n ≥ l. (lead-coeff-set y n = lead-coeff-set x l))›
  apply(safe)
  subgoal using UnionI by blast
  by (meson f8 g0 in-mono)
have ‹∀k. ∃y'. ⋃ {lead-coeff-set x k | x. x ∈ C} = lead-coeff-set (y' k) k ∧ y' k
∈ C›
  using U1 by fastforce
then have ‹∃y'. ∀k. ⋃ {lead-coeff-set x k | x. x ∈ C} = lead-coeff-set (y' k) k ∧
y' k ∈ C›
  by moura
then obtain y' where n10:‹(⋃ {lead-coeff-set x k | x. x ∈ C}) = lead-coeff-set (y'
k) k ∧ y' k ∈ C›
  for k
  by blast
have n8:‹(y' k | k. k ≤ l) ∪ {x} ⊆ C›
  using ‹(y' k | k. k ≤ l) ∪ {x} = lead-coeff-set (y' k) k ∧ y' k ∈
C› n5 by auto

```

```

then have fin:<finite ( $\{y' k \mid k. k \leq l\} \cup \{x\}$ )>
  by(auto)
have n9:<subset.chain C ( $\{y' k \mid k. k \leq l\} \cup \{x\}$ )>
  apply(rule subset.chainI)
  using n8 apply force
  using F(2) n8 unfolding subset.chain-def
  by (meson subset-eq)
then obtain M where n11:< $M \in C \wedge (\bigcup (\{y' k \mid k. k \leq l\} \cup \{x\}) = M)$ >
  unfolding subset-chain-def
  by (metis (no-types, lifting) Un-empty Union-in-chain n9 fin insert-not-empty
subsetD)
then have < $\forall y \in C. M \subseteq y \longrightarrow (\forall n \leq l. (lead-coeff-set y n = lead-coeff-set (y' n)) \wedge$ 
n))>
  using n10 g0 apply(safe)
  using Sup-le-iff mem-Collect-eq by blast+
then have nn:< $\forall y \in C. \forall n \leq l. M \subseteq y \longrightarrow (lead-coeff-set (y) n = lead-coeff-set M n)$ >
  using < $M \in C \wedge \bigcup (\{y' k \mid k. k \leq l\} \cup \{x\}) = M$ > by auto
then have < $\forall y \in C. \forall n \geq l. M \subseteq y \longrightarrow (lead-coeff-set (y) n = lead-coeff-set M n)$ >
  using < $M \in C \wedge \bigcup (\{y' k \mid k. k \leq l\} \cup \{x\}) = M$ >
  using < $\forall y \in C. x \subseteq y \longrightarrow (\forall n \geq l. lead-coeff-set y n = lead-coeff-set x l)$ > by
auto
then have n-1:< $\forall y \in C. M \subseteq y \longrightarrow M = y$ >
  by (metis n11 sup1 nn linorder-le-cases)
have < $\bigcup C = M$ >
proof(rule ccontr)
  assume h-1:< $\bigcup C \neq M$ >
  then have f-0:< $\exists x \in \bigcup C. x \notin M$ >
    by (meson UnionI < $M \in C \wedge \bigcup (\{y' k \mid k. k \leq l\} \cup \{x\}) = M$ > subset-antisym
subset-iff)
  then obtain x where f-1:< $x \in \bigcup C \wedge x \notin M$ > by blast
  then have f-2:< $\exists M' \in C. x \in M'$ >
    by blast
  then obtain M' where f-3:< $x \in M'$ > by blast
  then have < $M \subseteq M' \wedge M \neq M'$ >
    using F unfolding subset-chain-def
    by (metis f-1 f-3 n11 n-1 subsetD)
  then show False
    using n-1 n11 f-1 f-3 F(2) unfolding subset-chain-def
    by (metis subsetD)
qed
then show < $\bigcup C \in C$ >
  by (simp add: n11)
qed

```

## 4.2 Some properties of noetherian rings

Assuming I is an ideal of A and A is noetherian, then  $A/I$  is noetherian.

**lemma** noetherian-ring-imp-quot-noetherian-ring:

```

assumes h1:<noetherian-ring A> and h2:<ideal I A>
shows<noetherian-ring (A Quot I)>

proof -
interpret cr:ring A
  using h1 unfolding noetherian-ring-def by(auto)
interpret crI: ring (A Quot I)
  by (simp add: h2 ideal.quotient-is-ring)
interpret rhr:ring-hom-ring A (A Quot I) ((+>A) I)
  using h2 ideal.rcos-ring-hom-ring by blast
have rhr-p:<ring-hom-ring A (A Quot I) ((+>A) I)>
  using h2 ideal.rcos-ring-hom-ring by blast
from h1 show ?thesis
proof(intro ring.trivial-ideal-chain-imp-noetherian)
  assume 1:<noetherian-ring A>
  show <ring (A Quot I)>
    by (simp add: crI.ring-axioms)
next
  fix C
  assume 1:<noetherian-ring A> <C ≠ {}> <subset.chain {Ia. ideal Ia (A Quot I)} C>
  let ?f=<the-inv-into ({J. ideal J A ∧ I ⊆ J}) (λx. (+>A) I ` x)>
  have inv-imp:<∀ J∈{J. ideal J A ∧ I ⊆ J}. ?f ((+>A) I ` J) = J>
    using the-inv-into-onto[of <λx. (+>A) I`x> <{J. ideal J A ∧ I ⊆ J}>]
    apply(subst set-eq-iff)
    by (metis (no-types, lifting) Collect-cong bij-betw-def cr.ring-axioms h2
      ring.quot-ideal-correspondence the-inv-into-f-f)+
  have rule-inv:<x ∈ the-inv-into {J. ideal J A ∧ I ⊆ J} ((λx. (+>A) I)) J>
    ==>ideal J (A Quot I) ==> ideal J' (A Quot I) ==> J ⊆ J'
    ==>x ∈ the-inv-into {J. ideal J A ∧ I ⊆ J} ((λx. (+>A) I)) J'
    for x J J'
    by (smt (verit, best) Collect-cong additive-subgroup.a-subset bij-betw-imp-surj-on
      cr.canonical-proj-vimage-mem-iff f-the-inv-into-f-bij-betw h2 ideal-def im-
      age-eqI
      image-eqI inj-onI mem-Collect-eq mem-Collect-eq ring.ideal-incl-iff
      ring.quot-ideal-correspondence subsetD the-inv-into-onto)
  have inv:<bij-betw ?f {J. ideal J (A Quot I)} {J. ideal J A ∧ I ⊆ J}>
    ∧ (∀ J J'. {J,J'} ⊆ {J. ideal J (A Quot I)} ∧ J ⊆ J' ==> ?f J ⊆ ?f J')
    using ring.quot-ideal-correspondence[of A I] the-inv-into-onto[of <λx. (+>A) I`x>
      <{J. ideal J A ∧ I ⊆ J}>]
  unfolding bij-betw-def
  using cr.ring-axioms h2 the-inv-into-onto inj-on-the-inv-into f-the-inv-into-f
  inj-on-the-inv-into[of <λx. (+>A) I`x> <{J. ideal J A ∧ I ⊆ J}>]
  additive-subgroup.a-subset cr.canonical-proj-vimage-mem-iff
  f-the-inv-into-f[of <(λx. (+>A) I ` x)> <{J. ideal J A ∧ I ⊆ J}>]
  ideal-def image-eqI mem-Collect-eq ring.ideal-incl-iff subsetD
  by(auto simp: rule-inv)
  then have <∀ c∈C. ideal (?f c) A>

```

```

using 1(3) inv unfolding subset.chain-def
using bij-betwE by fast
have inv-imp2:⟨∀ J ∈ {J. ideal J (A Quot I)}. ((+>_A) I ‘ ?f J) = J⟩
  by (smt (verit, del-insts) Collect-cong bij-betw-def cr.ring-axioms
      h2 imageE inv-imp ring.quot-ideal-correspondence)
have ⟨∀ c c'. c ∈ C ∧ c' ∈ C ∧ c ⊆ c' → ?f c ⊆ ?f c'⟩
  using inv using 1(3) unfolding subset-chain-def
  by (meson empty-subsetI insert-subset subsetD)
then have sub1:⟨subset.chain {Ia. ideal Ia (A)} (?f‘C)⟩
  using 1(3) unfolding subset-chain-def image-def
  using ⟨∀ c ∈ C. ideal (?f c) A⟩ apply(safe)
  apply (simp add: image-def)
  by (meson in-mono)
have sub2 :⟨(?f‘C) ≠ {}⟩
  using 1(2) by blast
then have k0:⟨(⋃ (?f‘C)) ∈ (?f‘C)⟩
  by (metis (no-types) h1 noetherian-ring.ideal-chain-is-trivial sub1 sub2)
then have ⟨(+>_A) I ‘ (⋃ (?f‘C)) = (⋃ C)⟩
  apply(safe)
  apply (smt (verit, del-insts) 1(3) UnionI image-eqI inv-imp2 subset.chain-def
subsetD)
  by (smt (verit, best) 1(3) SUP-upper in-mono inv-imp2 subset-chain-def
subset-image-iff)
then show ⟨⋃ C ∈ C⟩
  by (smt (verit) 1(3) k0 image-iff inv-imp2 subset.chain-def subsetD)
qed
qed

```

If  $A$  is noetherian and  $A \simeq B$  then  $B$  is noetherian.

```

lemma noetherian-isom-imp-noetherian:
  assumes h1:⟨noetherian-ring A ∧ ring B ∧ A ≈ B⟩
  shows ⟨noetherian-ring B⟩
proof(rule ring.trivial-ideal-chain-imp-noetherian)
  show ⟨ring B⟩ using h1 by(simp)
next
  fix C
  assume h2:⟨C ≠ {}⟩ and h3:⟨subset.chain {I. ideal I B} C⟩
  obtain g where bij-g:⟨bij-betw g (carrier A) (carrier B) ∧ g ∈ ring-hom A B⟩
    using h1 is-ring-iso-def ring-iso-def by fastforce
  obtain h where bij-h:⟨bij-betw h (carrier B) (carrier A) ∧ h ∈ ring-hom B A ∧
h = the-inv-into (carrier A) g⟩
    using h1 is-ring-iso-def ring-iso-def
    by (smt (verit, ccv-SIG) bij-betwE bij-betw-def bij-betw-the-inv-into bij-g f-the-inv-into-f
noetherian-ring.axioms(1) ring.ring-simprules(1) ring.ring-simprules(5)
ring.ring-simprules(6)
      ring-hom-add ring-hom-memI ring-hom-mult ring-hom-one the-inv-into-f-f)
from bij-g have f0:⟨ideal I A ⟷ ideal (g ‘ I) B⟩ for I
  using h1 img-ideal-is-ideal noetherian-ring-def ring-iso-def by fastforce

```

```

from bij-h have f2: $\langle$ ideal I B  $\implies$  ideal (h ` I) A $\rangle$  for I
  using h1 img-ideal-is-ideal noetherian-ring-def ring-iso-def by fastforce
then obtain g' where jj1: $\langle$ g' = the-inv-into (carrier A) (g) $\rangle$ 
  by blast
then have f1: $\langle$  $\forall a \in$  carrier A.  $\forall b \in$  carrier B. g (g' b) = b  $\wedge$  g' (g a) = a $\rangle$ 
  by (meson bij-betw-def bij-g f-the-inv-into-f-bij-betw the-inv-into-f-f)
then have  $\langle$  $\exists f'. bij\text{-}betw } f' \{I. ideal I A\} \{I. ideal I B\}$  $\rangle$ 
  apply(intro exI[where x= $\langle$  ` g $\rangle$ ])
  apply(rule bij-betw-byWitness[where f'= $\langle$  ` h $\rangle$ ])
  unfolding image-def apply(safe)
  using jj1 bij-h h1 ideal.Icarr ring.ring-simprules(6) apply fastforce
  using jj1 additive-subgroup.a-subset bij-h h1 ideal.axioms(1) ring.ring-simprules(6)
  apply fastforce
    apply (metis bij-betwE bij-h ideal.Icarr jj1)
  using bij-g bij-h f-the-inv-into-f-bij-betw ideal.Icarr apply fastforce
    apply(fold image-def)
  using f0 apply presburger
  using f2 by presburger
then have f5: $\langle$  $\forall J \in \{I. ideal I A\}. h`g`J = J \wedge (\forall J \in \{I. ideal I B\}. g`h`J = J)$  $\rangle$ 
  unfolding image-def apply(safe)
    apply (metis bij-betw-def bij-g bij-h ideal.Icarr the-inv-into-f-f)
    apply (smt (verit, best) bij-betwE bij-g bij-h f1 ideal.Icarr jj1 mem-Collect-eq)
    apply (metis bij-g bij-h f-the-inv-into-f-bij-betw ideal.Icarr)
      by (metis (mono-tags, lifting) bij-g bij-h f-the-inv-into-f-bij-betw ideal.Icarr mem-Collect-eq)
  then have  $\langle$  $\forall c \in C. ideal (h`c) A$  $\rangle$ 
  unfolding subset.chain-def
    by (metis f2 h3 mem-Collect-eq subset-chain-def subset-eq)
then have inv-imp2: $\langle$  $\forall J \in \{J. ideal J (B)\}. (g`h`J) = J$  $\rangle$ 
  by (metis f5 f2 mem-Collect-eq)
then have sub1: $\langle$ subset.chain {Ia. ideal Ia (A)} (( $\lambda x. h`x$ ) ` C) $\rangle$ 
  unfolding subset-chain-def image-def apply(safe)
    apply (metis  $\forall c \in C. ideal (h`c) A$  image-def)
    by (metis (no-types, lifting) h3 subsetD subset-chain-def)
have sub2 : $\langle$ (( $\lambda x. h`x$ ) ` C)  $\neq$  {} $\rangle$ 
  using h2 by blast
then have f10: $\langle$ ( $\bigcup$ (( $\lambda x. h`x$ ) ` C))  $\in$  (( $\lambda x. h`x$ ) ` C) $\rangle$ 
  by (meson h1 noetherian-ring.ideal-chain-is-trivial sub1)
then have f9: $\langle$ g ` ( $\bigcup$ (( $\lambda x. h`x$ ) ` C)) = ( $\bigcup$  C) $\rangle$ 
  apply(safe)
    apply (metis UnionI additive-subgroup.a-Hcarr bij-h f1 h1 h3 ideal.axioms(1)
jj1
      mem-Collect-eq noetherian-ring-def ring.ring-simprules(6) subset.chain-def
      subsetD)
    by (smt (verit, del-insts) UN-iff h3 image-def inv-imp2 mem-Collect-eq subsetD
      subset-chain-def)
  show  $\langle$  $\bigcup C \in C$  $\rangle$ 
    by (smt (verit, best) f10 f9 h3 image-iff in-mono inv-imp2 subset.chain-def)

```

**qed**

**lemma (in domain) subring::subring (carrier R) R**  
**using carrier-is-subring by auto**

### 4.3 Some properties of the polynomial rings regarding ideals and quotients

**lemma (in domain) gen-is-cgen::(genideal ((carrier R)[X]) {X}) = cgenideal ((carrier R)[X]) X**  
**by (simp add: cring.cgenideal-eq-genideal domain.univ-poly-is-cring domain-axioms subring var-closed(1))**

**lemma (in domain) principal-X::principalideal (genideal ((carrier R)[X]) {X}) ((carrier R)[X])**  
**apply(subst gen-is-cgen)**  
**by (simp add: cring.cgenideal-is-principalideal domain.univ-poly-is-cring domain-axioms subring var-closed(1))**

**named-theorems poly**

**lemma (in ring) PIdl-X[poly]:**  
 $\langle (\text{cgenideal } ((\text{carrier } R)[X]) \ X) = \{a \otimes_{(\text{carrier } R)} [X]^X \mid a. \ a \in \text{carrier}((\text{carrier } R)[X])\}\rangle$   
**unfolding cgenideal-def by(auto)**

**lemma (in domain) Idl-X[poly]:**  
 $\langle (\text{genideal } ((\text{carrier } R)[X]) \ \{X\}) = \{a \otimes_{(\text{carrier } R)} [X]^X \mid a. \ a \in \text{carrier}((\text{carrier } R)[X])\}\rangle$   
**using PIdl-X gen-is-cgen by argo**  
**lemma (in domain) Idl-X-is-X[poly]:**  
 $\langle p \in (\text{genideal } ((\text{carrier } R)[X]) \ \{X\}) \implies \exists a \in \text{carrier}((\text{carrier } R)[X]). \ p = a \otimes_{(\text{carrier } R)} [X]^X$   
**using gen-is-cgen Idl-X by auto**

**lemma (in ring) degree-of-nonempty-p[poly]:**  
 $\langle a \in \text{carrier}((\text{carrier } R)[X]) \wedge a \neq [] \implies \text{coeff } a \ (\text{degree } a) \neq 0\rangle$   
**by (metis lead-coeff-simp polynomial-def univ-poly-carrier)**

**lemma (in domain) coeff-0-of-mult-X[poly]:**  
 $\langle a \in \text{carrier}((\text{carrier } R)[X]) \implies \text{coeff } (a \otimes_{(\text{carrier } R)} [X]^X) \ 0 = 0\rangle$   
**apply(cases 'a=[])**  
**apply(simp add: domain.poly-mult-var domain-axioms subring univ-poly-zero-closed)**  
**apply(induct a)**  
**using coeff.simps(1) poly-mult.simps(1)**  
**apply(simp add: univ-poly-mult)**  
**by (simp add: append-coeff poly-mult-var subring)**

**lemma (in domain) zero-coeff-of-Idl-X[poly]:**  
 $\langle p \in \text{genideal } ((\text{carrier } R)[X]) \ \{X\} \implies$

```

coeff p 0 = 0
  using Idl-X coeff-0-of-mult-X by auto

lemma (in domain) mult-X-append-0[poly]:< p ∈ carrier((carrier R)[X]) ⟹ p ≠ []
  ⟹ poly-mult p X = p@[0]
  using poly-mult-var[of ⟨(carrier R)⟩ p]
  by(auto simp add: poly-mult-var'(2) polynomial-incl subring univ-poly-carrier
    univ-poly-mult)

lemma (in ring) polynomial-incl':< p ∈ carrier((carrier R)[X]) ⟹ set p ⊆ (carrier
  R) for p
  unfolding univ-poly-def
  using polynomial-incl by auto

lemma (in ring) hd-in-carrier:< p ≠ [] ⟹ p ∈ carrier((carrier R)[X]) ⟹ hd p
  ∈ (carrier R) for p
  using polynomial-incl' unfolding univ-poly-def
  using list.setsel(1) by blast

lemma (in ring) inv-in-carrier:
  < p ≠ [] ⟹ p ∈ carrier((carrier R)[X]) ⟹ (invadd-monoid R (hd p)) ∈ (carrier R)
  for p
  using hd-in-carrier by simp

lemma (in ring) inv-ld-coeff:
  < p ≠ [] ⟹ p ∈ carrier((carrier R)[X]) ⟹ (invadd-monoid R (hd p) # replicate (degree
  p) 0) ∈ carrier((carrier R)[X])
  for p
  using inv-in-carrier by (metis a-inv-def add.inv-eq-1-iff hd-in-carrier list.sel(1)
    local.monom-def
    monom-in-carrier polynomial-def univ-poly-carrier)

lemma (in ring) take-in-RX:< p ∈ carrier((carrier R)[X]) ⟹ n ≤ length p ⟹ (set
  (take n p)) ⊆ (carrier R) for p n
  using set-take-subset[of n p] polynomial-incl' by blast

lemma (in ring) normalize-take-is-poly:
  < p ∈ carrier((carrier R)[X]) ⟹ n ≤ length p ⟹ normalize (take n p) ∈ car-
  rier((carrier R)[X]) for n p
  using take-in-RX by (meson normalize-gives-polynomial univ-poly-carrier)

lemma (in ring) normalize-take-is-take:< p ∈ carrier((carrier R)[X]) ∧ n ≤ length p
  ⟹ normalize (take n p) = take n p
  by (metis bot-nat-0.not-eq-extremum degree-of-nonempty-p hd-take lead-coeff-simp
    normalize.elims normalize.simps(1) take-eq-Nil)

```

```

lemma (in ring) take-in-carrier: $p \in \text{carrier}((\text{carrier } R)[X]) \implies n \leq \text{length } p \implies$ 
 $(\text{take } n \ p) \in \text{carrier}((\text{carrier } R)[X])$ 
using normalize-take-is-poly normalize-take-is-take by force

lemma (in domain) take-misc-poly: $p \in \text{carrier}((\text{carrier } R)[X]) \implies p \neq [] \implies \text{coeff}$ 
 $p \ 0 = \mathbf{0} \implies ((\text{take } (\text{degree } p) \ p)) \otimes_{(\text{carrier } R)} [X] = p$  for  $p$ 
apply (unfold univ-poly-mult)
apply (cases  $p = []$ )
subgoal by (simp)
apply (subst mult-X-append-0)
apply (simp add: normalize-take-is-poly univ-poly-carrier)
using normalize-take-is-poly normalize-take-is-take apply force
using degree-of-nonempty-p normalize-take-is-take apply force
by (metis One-nat-def Suc-pred coeff-nth diff-Suc-eq-diff-pred diff-less le-refl
length-greater-0-conv less-one take-Suc-conv-app-nth take-all)

lemma (in ring) length-geq-2: $\text{normalize } p \neq [] \wedge \neg(\exists a. \text{normalize } p = [a]) \implies \text{length}$ 
 $p \geq 2$  for  $p :: 'a list$ 
apply (induct p)
using not-less-eq-eq
by (auto split:if-splits)

lemma (in ring) norm-take-not-mt: $\text{length } (\text{normalize } p) \geq 2 \implies \text{normalize } (\text{take}$ 
 $(\text{degree } p) \ p) \neq []$  for  $p :: 'a list$ 
using length-geq-2
apply (induct p rule:normalize.induct)
apply simp
using One-nat-def Suc-eq-plus1 Suc-le-lessD list.sel(3) list.size(3)
list.size(4) nat-less-le normalize.elims numeral-2-eq-2 take-Cons' take-eq-Nil
by (smt (z3) length-tl list.sel(1) normalize.simps(2))

lemma (in ring) normalize-take-invariant: $p \in \text{carrier}((\text{carrier } R)[X]) \implies p \neq []$ 
 $\implies (\text{normalize } (\text{take } (\text{degree } p) \ p)) @ [\text{coeff } p \ 0] = p$ 
for  $p$ 
apply (subst normalize-take-is-take)
apply simp
by (metis One-nat-def Suc-pred coeff-nth diff-Suc-eq-diff-pred diff-less le-refl
length-greater-0-conv less-one take-Suc-conv-app-nth take-all)

lemma (in domain) lower-coeff-add: $p \neq [] \implies p \in \text{carrier}((\text{carrier } R)[X]) \wedge b \in$ 
 $(\text{carrier } R)$ 
 $\implies \text{coeff } (((\text{normalize } p) @ [\mathbf{0}]) \oplus_{(\text{carrier } R)} [X] [b]) = \text{coeff } ((\text{normalize } p) @ [b])$ 
for  $p \ b$ 
unfolding univ-poly-add
apply (subst poly-add-coeff)
apply (metis local.ring-axioms mult-X-append-0 normalize-polynomial ring.poly-mult-in-carrier
ring.polynomial-in-carrier subring univ-poly-carrier var-closed(2))
by (auto simp add: fun-eq-iff append-coeff polynomial-incl' normalize-polynomial

```

*univ-poly-carrier)*

**lemma** (in ring) *cons-in-RX*: $\langle a @ p \in carrier((carrier R)[X]) \implies normalize\ p \in carrier((carrier R)[X]) \rangle$

**proof** –

- assume**  $h1: \langle a @ p \in carrier((carrier R)[X]) \rangle$
- then have**  $\langle set (a @ p) \subseteq (carrier R) \rangle$
- using** polynomial-incl' by presburger
- then have**  $\langle set p \subseteq (carrier R) \rangle$
- by** simp
- then show** ?thesis
- using** normalize-gives-polynomial univ-poly-carrier by blast

**qed**

**lemma** (in ring) *p-in-norm*: $\langle p \in carrier((carrier R)[X]) \implies normalize\ p = p \rangle$

**by** (simp add: normalize-polynomial univ-poly-carrier)

**lemma** (in domain) *lower-coeff-add'*: $\langle p \neq [] \implies p \in carrier((carrier R)[X]) \wedge b \in (carrier R) \implies (((normalize\ p) @ [0]) \oplus (carrier R)[X][b]) = ((normalize\ p) @ [b]) \rangle$

**for**  $p\ b$

**proof** –

- interpret**  $kcr: cring (carrier R)[X]$
- using** carrier-is-subring univ-poly-is-crng by auto
- assume**  $h1: \langle p \neq [] \rangle \wedge p \in carrier((carrier R)[X]) \wedge b \in (carrier R)$
- have**  $f0: \langle b \neq 0 \implies polynomial (carrier R) p \wedge polynomial (carrier R) [b] \rangle$
- by** (metis h1(2) insert-subset polynomial-incl' list.sel(1) list.simps(15) polynomial-def univ-poly-carrier)
- with**  $h1$  **show** ?thesis
- apply** (cases  $b=0$ )
- apply** (metis append-self-conv2 domain.mult-X-append-0 domain-axioms kcr.r-zero kcr.zero-closed
- polynomial-incl'**  $p$ -in-norm poly-add-append-zero poly-mult-var'(2) univ-poly-add univ-poly-zero)
- unfolding** univ-poly-add **apply** (subst coeff-iff-polynomial-cond[of  $\langle (carrier R) \rangle$ ])
- apply** (metis polynomial-incl' mult-X-append-0 normalize-polynomial poly-add-closed poly-mult-is-polynomial subring var-closed(1))
- apply** (metis (mono-tags, lifting) Un-insert-right append-Nil2 hd-append2 insert-subset list.simps(15) normalize-polynomial polynomial-def set-append)
- by** (metis lower-coeff-add univ-poly-add)

**qed**

**lemma** (in domain) *poly-invariant*: $\langle p \in carrier((carrier R)[X]) \implies p \neq [] \implies ((normalize\ (take\ (degree\ p)\ p)) \otimes (carrier R)[X]^X) \oplus (carrier R)[X][coeff\ p\ 0] = p \rangle$

**for**  $p$

**proof** –

- interpret**  $kcr: cring (carrier R)[X]$
- using** carrier-is-subring univ-poly-is-crng by auto
- assume**  $h1: \langle p \in carrier (poly-ring R) \rangle \wedge p \neq []$

```

with h1 show ?thesis
  using take-misc-poly apply(cases ‹p=[]›) apply(simp)
  apply(cases ‹ $\exists a. p=[a]$ ›)
  apply (metis One-nat-def diff-is-0-eq' kcr.l-zero le-refl lead-coeff-simp length-Cons
list.sel(1) list.size(3) normalize.simps(1) poly-mult.simps(1) take0 univ-poly-mult
univ-poly-zero)
unfolding univ-poly-mult
apply(subst mult-X-append-0)
using diff-le-self normalize-take-is-poly apply presburger
using length-geq-2[of p] norm-take-not-mt[of p]
apply (metis coeff-iff-length-cond degree-of-nonempty-p lead-coeff-simp normalize-coeff normalize-length-eq)
by (metis (no-types, lifting) append.right-neutral append-self-conv2 coeff-in-carrier
diff-le-self polynomial-incl' normalize-take-invariant lower-coeff-add' normalize-take-is-poly local.normalize-idem)
qed

lemma (in domain) gen-ideal-X-iff':‹p∈(genideal ((carrier R)[X]) {X}) ↔ (p∈carrier ((carrier R)[X]) ∧ coeff p 0 = 0)› for p::'a list
  using poly take-misc-poly apply(safe)
  using domain.univ-poly-is-ring domain-axioms monoid.m-closed ring-def subring
var-closed(1)
  apply (metis (no-types, lifting))
  apply (meson domain.univ-poly-is-ring domain-axioms monoid.m-closed ring-def
subring var-closed(1))
  by (smt (verit, ccfv-threshold) mem-Collect-eq nat-le-linear poly-mult.simps(1)
take-all
  take-in-carrier univ-poly-mult)

lemma (in domain) gen-ideal-X-iff':‹(genideal ((carrier R)[X]) {X}) = {p∈carrier ((carrier R)[X]). coeff p 0 = 0}› for p::'a list
  using gen-ideal-X-iff by auto

lemma (in domain) quot-X-is-R:‹carrier (((carrier R)[X]) Quot (genideal ((carrier R)[X]) {X})) = {x∈carrier((carrier R)[X]). coeff x 0 = a} |a. a∈(carrier R)}›
  proof(subst set-eq-subset, safe)
    interpret kcr:cring (carrier R)[X]
    using carrier-is-subring univ-poly-is-crng by auto
    fix x
    assume h1:‹x ∈ carrier ((carrier R)[X]) Quot (genideal ((carrier R)[X]) {X})›
    have l0:‹as≠[] ⟹ take (length as) (a#as) = a#take (degree as) as› for a::'a
and as
    by (simp add: take-Cons')
    have rule-U:‹xaa ∈ (⋃ x∈Idlpoly-ring R {X}. {x ⊕poly-ring R xa}) =
      (∃ x∈Idlpoly-ring R {X}. xaa = x ⊕poly-ring R xa)›

```

```

for  $x_{aa} \ x_a$ 
by auto
from  $h_1$  have  $\langle \exists x \in \text{carrier} (\text{poly-ring } R). \ x = (\bigcup_{x \in \text{Idl}_{\text{poly-ring } R}} \{X\}. \ \{x \oplus_{\text{poly-ring } R} x_a\}) \rangle$ 
unfolding FactRing-def A-RCOSETS-def RCOSETS-def r-coset-def by simp
with  $h_1$  show  $\langle \exists a. \ x = \{x \in \text{carrier} (\text{poly-ring } R). \ \text{local.coeff } x 0 = a\} \wedge a \in \text{carrier } R \rangle$ 
unfolding FactRing-def A-RCOSETS-def RCOSETS-def r-coset-def
proof(safe, fold FactRing-def A-RCOSETS-def RCOSETS-def r-coset-def)
fix  $x_a$ 
assume  $h_1: \langle (\bigcup_{x \in \text{Idl}_{\text{poly-ring } R}} \{X\}. \ \{x \oplus_{\text{poly-ring } R} x_a\}) \in \text{carrier} (\text{poly-ring } R) \text{ Quot } \text{Idl}_{\text{poly-ring } R} \{X\} \rangle$ 
 $\langle x_a \in \text{carrier} (\text{poly-ring } R) \rangle$ 
 $\langle x = (\bigcup_{x \in \text{Idl}_{\text{poly-ring } R}} \{X\}. \ \{x \oplus_{\text{poly-ring } R} x_a\}) \rangle$ 
 $\langle x \in \text{carrier} (\text{poly-ring } R) \text{ Quot } \text{Idl}_{\text{poly-ring } R} \{X\} \rangle$ 
 $\langle \exists x \in \text{carrier} (\text{poly-ring } R). \ x = (\bigcup_{x \in \text{Idl}_{\text{poly-ring } R}} \{X\}. \ \{x \oplus_{\text{poly-ring } R} x_a\}) \rangle$ 
show  $\langle \exists a. (\bigcup_{x \in \text{Idl}_{\text{poly-ring } R}} \{X\}. \ \{x \oplus_{\text{poly-ring } R} x_a\}) = \{x \in \text{carrier} (\text{poly-ring } R). \ \text{local.coeff } x 0 = a\} \wedge a \in \text{carrier } R \rangle$ 
proof(rule exI[where x=coeff xa 0], safe)
fix  $x' \ x_{aa}$ 
assume  $h_2: \langle x_{aa} \in \text{Idl}_{\text{poly-ring } R} \{X\} \rangle$ 
with  $h_1$  show  $\langle x_{aa} \oplus_{\text{poly-ring } R} x_a \in \text{carrier} (\text{poly-ring } R) \rangle$ 
unfolding FactRing-def A-RCOSETS-def RCOSETS-def r-coset-def
using Idl-X subring var-closed(1) by auto[1]
show  $\langle \text{local.coeff } (x_{aa} \oplus_{\text{poly-ring } R} x_a) 0 = \text{local.coeff } x_a 0 \rangle$ 
apply(insert h1 h2)
unfolding FactRing-def A-RCOSETS-def RCOSETS-def r-coset-def
using Idl-X subring var-closed(1) apply(safe)
apply(frule coeff-0-of-mult-X)
apply(frule zero-coeff-of-Idl-X)
apply(subst coeffs-of-add-poly)
using gen-ideal-X-iff apply blast
apply blast
by (simp add: polynomial-incl univ-poly-carrier)
next
fix  $y$ 
assume  $h_2: \langle y \in \text{carrier} (\text{poly-ring } R) \rangle$ 
 $\langle \text{local.coeff } y 0 = \text{local.coeff } x_a 0 \rangle$ 
with  $h_1$  show  $\langle y \in (\bigcup_{x \in \text{Idl}_{\text{poly-ring } R}} \{X\}. \ \{x \oplus_{\text{poly-ring } R} x_a\}) \rangle$ 
apply(subst rule-U)
apply(rule bexI[where x=y⊕(carrier R) [X](inv_add-monoid ((carrier R)[X]) xa)])
apply (metis a-inv-def kcr.add.inv-solve-right' kcr.minus-closed kcr.minus-eq)
by (metis a-inv-def coeff.simps(1) coeffs-of-add-poly gen-ideal-X-iff kcr.add.inv-closed
kcr.add.inv-solve-right kcr.add.m-closed kcr.add.m-lcomm

```

```

    kcr.r-zero kcr.zero-closed univ-poly-zero)
next
  from h1 show <local.coeff xa 0 ∈ carrier R>
    by (simp add: polynomial-incl univ-poly-carrier)
qed
qed
next
interpret kcr:cring (carrier R)[X]
  using carrier-is-subring univ-poly-is-crng by auto
fix a
assume h1:<a ∈ (carrier R)>
have p-h1:<a≠0 ⟹ [a] ∈ carrier ((carrier R)[X])>
  by (metis Diff-iff const-is-polynomial empty-iff h1 insert-iff univ-poly-carrier)
have rule-s:<{x ∈ carrier (poly-ring R). local.coeff x 0 = a} ∈ carrier (poly-ring
R Quot Idl poly-ring R {X}) =
(∃x∈carrier (poly-ring R).
  {x ∈ carrier (poly-ring R). local.coeff x 0 = a} =
  (∪xa∈Idl poly-ring R {X}. {xa ⊕ poly-ring R x}))>
unfolding FactRing-def A-RCOSETS-def RCOSETS-def r-coset-def by(auto)
show <{x ∈ carrier (poly-ring R). local.coeff x 0 = a}>
  ∈ carrier (poly-ring R Quot Idl poly-ring R {X})>
apply(subst rule-s)
apply(cases <a=0>)
apply(rule bexI[where x=<[]>])
apply(subst Idl-X) apply(safe)[1]
apply (metis (no-types, lifting) PIdl-X UN-iff gen-ideal-X-iff gen-is-cgen
insert-iff kcr.r-zero univ-poly-zero)
using subring var-closed(1) apply force
apply (metis coeff-0-of-mult-X kcr.m-closed kcr.r-zero subring univ-poly-zero
var-closed(1))
apply blast
apply(rule bexI[where x=<[a]>])
apply(subst Idl-X)
apply(safe)
apply(simp)
apply (metis poly-invariant coeff.simps(1) diff-le-self normalize-take-is-poly)
using h1 subring var-closed(1) p-h1 apply(auto)[1]
apply (metis coeffs-of-add-poly diff-Suc-1 domain.coeff-0-of-mult-X domain.poly-mult-var

domain-axioms kcr.l-zero kcr.m-closed kcr.zero-closed lead-coeff-simp length-Cons
list.distinct(1) list.sel(1) list.size(3) p-h1 subring univ-poly-zero var-closed(1))
using p-h1 by auto
qed

lemma (in domain) uniq-a-quot:
  <c ∈ carrier (((carrier R)[X]) Quot (genideal ((carrier R)[X]) {X})) ⟹ ∃!a ∈ (carrier
R). ∀y ∈ c. coeff y 0 = a>
proof(subst (asm) quot-X-is-R, safe)
  fix a

```

```

assume h1: $\langle a \in carrier R \rangle \langle c = \{x \in carrier (poly-ring R). local.coeff x 0 = a\} \rangle$ 
then show  $\langle \exists aa. aa \in carrier R \wedge$ 
 $(\forall y \in \{x \in carrier (poly-ring R). local.coeff x 0 = a\}. local.coeff y 0 =$ 
 $aa) \rangle$ 
apply(intro exI[where x=a])
by fastforce
next
fix a aa y
assume h1: $\langle a \in carrier R \rangle \langle c = \{x \in carrier (poly-ring R). local.coeff x 0 = a\} \rangle$ 
 $\langle aa \in carrier R \rangle$ 
 $\langle \forall y \in \{x \in carrier (poly-ring R). local.coeff x 0 = a\}. local.coeff y 0 = aa \rangle \langle y \in$ 
 $carrier R \rangle$ 
 $\langle \forall ya \in \{x \in carrier (poly-ring R). local.coeff x 0 = a\}. local.coeff ya 0 = y \rangle$ 
have  $\langle \{x | x. x \in carrier ((carrier R)[X]) \wedge local.coeff x 0 = a\} \neq \{\} \rangle$ 
apply(subst ex-in-conv[symmetric]) apply(cases a=0)
apply(rule exI[where x=[]])
apply(fastforce)
apply(rule exI[where x=[a]])
using h1(1) apply(safe)
apply(rule exI[where x=[a]]) apply(simp)
by (metis empty-subsetI insert-subset list.sel(1)
 $list.simps(15) polynomialI set-empty univ-poly-carrier)$ 
then show  $\langle aa = y \rangle$ 
using h1(4) h1(6) all-not-in-conv[of  $\{x | x. x \in carrier (poly-ring R) \wedge$ 
 $local.coeff x 0 = a\}]]$ 
by (metis (no-types, lifting))
qed

lemma (in ring) append-in-carrier: $\langle a \in carrier((carrier R)[X]) \wedge b \in carrier((carrier R)[X]) \implies a @ b \in carrier((carrier R)[X]) \rangle$ 
apply(induct b arbitrary:a)
by (metis append-self-conv2 hd-append2 le-sup-iff mem-Collect-eq
 $partial-object.select-convs(1) polynomial-def set-append univ-poly-def) +$ 

lemma (in domain) The-a-is-a: $\langle a \in (carrier R) \implies$ 
 $(THE aa. \forall y \in \{x | x. x \in carrier ((carrier R)[X]) \wedge local.coeff x 0 = a\}. local.coeff$ 
 $y 0 = aa) = a \rangle$ 
proof -
assume h1: $\langle a \in (carrier R) \rangle$ 
have  $\langle \exists c \in carrier (((carrier R)[X]) Quot (genideal ((carrier R)[X]) \{X\})) .$ 
 $c = \{x | x. x \in carrier ((carrier R)[X]) \wedge local.coeff x 0 = a\} \rangle$ 
apply(subst quot-X-is-R)
using h1 by auto
then obtain c where f0: $\langle c = \{x | x. x \in carrier ((carrier R)[X]) \wedge local.coeff$ 
 $x 0 = a\}$ 
 $\wedge c \in carrier (((carrier R)[X]) Quot (genideal ((carrier R)[X]) \{X\})) \rangle$ 
by blast
then have  $\langle (THE aa. \forall y \in c. local.coeff y 0 = aa) = a \rangle$ 

```

```

by (smt (verit, best) coeff.simps(1) h1 mem-Collect-eq theI uniq-a-quot univ-poly-zero-closed
zero-closed)
then show ?thesis
by (simp add:f0)
qed

lemma (in ring) poly-mult-in-carrier2:
 $\llbracket \text{set } p1 \subseteq \text{carrier } R; \text{set } p2 \subseteq \text{carrier } R \rrbracket \implies \text{poly-mult } p1 \ p2 \in \text{carrier } ((\text{carrier } R)[X])$ 
using poly-mult-is-polynomial polynomial-in-carrier carrier-is-subring
by (simp add: univ-poly-carrier)

lemma (in ring) normalize-equiv:⟨polynomial (carrier R) (normalize p) ⟷ (coeff (normalize p)) ∈ carrier (UP R)⟩
proof(safe)
interpret UP-r: UP-ring R UP R
by (simp add: UP-ring-def local.ring-axioms)+
assume ⟨polynomial (carrier R) (normalize p)⟩
then show ⟨coeff (normalize p) ∈ carrier (UP R)⟩
by (meson carrier-is-subring coeff-degree poly-coeff-in-carrier UP-r.UP-car-memI)
next
interpret UP-r: UP-ring R UP R
by (simp add: UP-ring-def local.ring-axioms)+
assume ⟨coeff (normalize p) ∈ carrier (UP R)⟩
then show ⟨polynomial (carrier R) (normalize p)⟩
unfolding polynomial-def UP-r.P-def UP-def apply(safe)
using coeff-img-restrict[of ⟨(normalize p)⟩] imageE[of - ⟨coeff (normalize p)⟩]
mem-upD[of ⟨coeff (normalize p)⟩] partial-object.select-convs(1)
apply (metis (no-types, lifting))
by (meson ring-axioms polynomial-def ring.normalize-gives-polynomial subsetI)
qed

lemma (in ring) p-in-RX-imp-in-P:⟨p ∈ carrier ((carrier R)[X]) ⟹ coeff p ∈ up R⟩
by (meson bound.intro coeff-in-carrier coeff-length
linorder-not-less mem-upI nat-le-linear polynomial-incl')

lemma (in ring) X-has-correp:⟨coeff X = (λi. if i = 1 then 1 else 0)⟩
unfoldng var-def by(auto)

lemma (in ring) mult-is-mult:
 $\langle \{x,y\} \subseteq \text{carrier } ((\text{carrier } R)[X]) \rangle \implies \text{coeff } (x \otimes_{(\text{carrier } R)[X]} y) = \text{coeff } x \otimes_{\text{UP } R} \text{coeff } y$ 
proof –
interpret UP-r: UP-ring R UP R
by (simp add: UP-ring-def local.ring-axioms)+
assume a1: {x,y} ⊆ carrier ((carrier R)[X])

```

```

then have a2:  $y \in \text{carrier}(\text{poly-ring } R)$   $x \in \text{carrier}(\text{poly-ring } R)$ 
  by auto
then have f3:  $\text{coeff } y \in \text{carrier}(\text{UP } R)$ 
  by (metis p-in-norm normalize-equiv univ-poly-carrier)
have  $\text{coeff } x \in \text{carrier}(\text{UP } R)$ 
  using a2 by (metis p-in-norm normalize-equiv univ-poly-carrier)
then show ?thesis
unfolding univ-poly-mult
apply(subst poly-mult-coeff)
  apply (simp add: polynomial-incl' a2) +
unfolding UP-r.P-def UP-def
  using UP-r.p-in-RX-imp-in-P UP-r.UP-ring-axioms a2(1)
  by (simp add: local.ring-axioms ring.p-in-RX-imp-in-P)
qed

```

```

lemma (in ring) add-is-add: $\langle x \in \text{carrier}(\text{poly-ring } R) \implies$ 
 $y \in \text{carrier}(\text{poly-ring } R)$ 
 $\implies \text{coeff}(x \oplus_{\text{poly-ring } R} y) = \text{coeff } x \oplus_{\text{UP } R} \text{coeff } y \rangle$ 
proof -
  interpret UP-r: UP-ring R UP R
  by (simp add: UP-ring-def local.ring-axioms) +
  assume a1:  $x \in \text{carrier}(\text{poly-ring } R)$ 
  assume a2:  $y \in \text{carrier}(\text{poly-ring } R)$ 
  then have f3:  $\text{coeff } y \in \text{carrier}(\text{UP } R)$ 
  by (metis p-in-norm normalize-equiv univ-poly-carrier)
  have  $\text{coeff } x \in \text{carrier}(\text{UP } R)$ 
  using a1 by (metis p-in-norm normalize-equiv univ-poly-carrier)
  then show ?thesis
  using f3 a2 a1 UP-r.cfs-add[of <coeff x> <coeff y>] coeffs-of-add-poly[of x y] by presburger
qed

```

#### 4.4 The isomorphisms between the different models of polynomials

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lemma (in ring) coeff-iso-RX-P: $\langle \text{coeff} \in \text{ring-iso}(\text{poly-ring } R) (\text{UP } R) \rangle$ 
proof -
  interpret UP-r: UP-ring R UP R
  by (simp add: UP-ring-def local.ring-axioms) +
  {
    fix x
    assume h1:  $x \in \text{carrier}(\text{UP } R)$ 
    then obtain n::nat where <bound 0 n x> using UP-r.P-def unfolding UP-def
    by auto
    then have  $\langle x \neq (\lambda \_. \mathbf{0}) \implies \exists n'. \forall m > n'. x m = \mathbf{0} \wedge x n' \neq \mathbf{0} \rangle$ 
    by (metis UP-ring.coeff-simp UP-r.UP-ring-axioms UP-r.deg-gtE UP-r.deg-nzero-nzero
    h1 UP-r.lcoeff-nonzero not-gr-zero)
    then obtain n':nat where f5:  $\langle x \neq (\lambda \_. \mathbf{0}) \implies \forall m > n'. x m = \mathbf{0} \wedge x n' \neq \mathbf{0} \rangle$ 
  }

```

```

by blast
define l::'a list where l-is:l ≡ rev (map x [0..
then have ⟨x ≠ (λ-. 0) ⟹ normalize l = l⟩
  using f5 by(auto)
from l-is have ⟨l ≠ []⟩
  by simp
then have f6:⟨k ≤ length l - 1 ⟹ coeff l k = l!(length l - 1 - k)⟩ for k
  apply(induct l rule:coeff.induct)
  using coeff-nth diff-diff-left le-neq-implies-less plus-1-eq-Suc by auto
  have gen-ideal-X-iff:⟨k ≤ length g - 1 ⟹ g!k = (rev g) ! (length g - 1 - k)⟩
for g::'a list and k::nat
  apply(induct g)
  apply force
  by (metis One-nat-def diff-Suc-Suc length-rev less-Suc-eq-le minus-nat.diff-0 rev-nth rev-rev-ident)
then have ⟨length l - 1 = n'⟩ using l-is by(auto)
then have f9:⟨∀ n ≤ n'. x n = coeff l n⟩
  using l-is f6
  by (metis add-0 diff-Suc-Suc diff-diff-cancel diff-less-Suc diff-zero l-is length-map length-upn nth-map-upn rev-nth)
then have ⟨∀ n > n'. coeff l n = 0⟩
  using coeff-degree ⟨Polynomials.degree l = n'⟩ by blast
then have f8:⟨∀ n > n'. x n = coeff l n⟩
  using f5 by(auto)
have f10:⟨∀ n. x n = coeff l n⟩
  using f8 f9
  by (meson linorder-not-less)
then have ⟨∃ xa ∈ carrier (poly-ring R). x = coeff xa⟩
apply(cases ⟨x = (λ-. 0)⟩)
  apply(rule bexI[where x = []])
  apply simp
  apply (simp add: univ-poly-zero-closed)
  apply(rule bexI[where x = l])
  apply blast
  by (metis ⟨x ≠ (λ-. 0) ⟹ normalize l = l⟩ ext h1 mem-Collect-eq
    normalize-equiv partial-object.select-convs(1) univ-poly-def) } note subg=this
show ?thesis
  unfolding is-ring-iso-def ring-iso-def
  apply(safe)
  subgoal unfolding ring-hom-def apply(safe)
    apply(simp add: local.ring-axioms UP-def ring.p-in-RX-imp-in-P univ-poly-def)

    apply (simp add: mult-is-mult)
    apply (simp add: add-is-add)
    using UP-r.P-def unfolding univ-poly-def UP-def by(simp add:fun-eq-iff)
    unfolding bij-betw-def inj-on-def apply(safe)
    apply (simp add: coeff-iff-polynomial-cond univ-poly-carrier)
    apply (metis normalize-polynomial mem-Collect-eq normalize-equiv partial-object.select-convs(1))

```

```

univ-poly-def)
apply(simp add:image-def)
by(simp add:subg)
qed

lemma (in ring) RX-iso-P:(carrier R)[X]  $\simeq$  (UP R)
  unfolding is-ring-iso-def
  using coeff-iso-RX-P by force

lemma (in domain) R-isom-RX-X:R  $\simeq$  (((carrier R)[X]) Quot (genideal ((carrier R)[X]) {X}))
  proof(unfold is-ring-iso-def, subst ex-in-conv[symmetric])
    show < $\exists x. x \in \text{ring-iso } R \text{ ((carrier } R) [X] \text{ Quot } \text{Idl}_{(\text{carrier } R)} [X] \{X\})$ >
      proof(rule exI[where x=< $\lambda x. \{y. y \in \text{carrier}((\text{carrier } R)[X]) \wedge \text{coeff } y 0 = x\}$ >],
            rule ring-iso-memI)
        fix x
        assume h1:< $x \in (\text{carrier } R)$ >
        then show < $\{y \in \text{carrier } ((\text{carrier } R) [X]). \text{local.coeff } y 0 = x\} \in \text{carrier} ((\text{carrier } R) [X] \text{ Quot } \text{Idl}_{(\text{carrier } R)} [X] \{X\})$ >
          using quot-X-is-R by auto
      next
      interpret kcr:cring (carrier R)[X]
        using carrier-is-subring univ-poly-is-crng by auto
      fix x y
      assume h1:< $x \in (\text{carrier } R)$ > and h2:< $y \in (\text{carrier } R)$ >
      interpret Rcr: crng R
        by (simp add: is-crng)
      interpret Qcr: crng <(carrier R) [X] Quot Idl(carrier R) [X] {X}>
        by (simp add: ideal.quotient-is-crng kcr.genideal-ideal kcr.is-crng subring
            var-closed(1))
      have left:< $x \in (\text{carrier } R) \wedge y \in (\text{carrier } R) \implies x = 0 \implies$ 
        < $\{ya \in \text{carrier } ((\text{carrier } R) [X]). \text{local.coeff } ya 0 = x \otimes y\} =$ 
        < $\{y \in \text{carrier } ((\text{carrier } R) [X]). \text{local.coeff } y 0 = x\}$ >
         $\otimes_{(\text{carrier } R) [X]} \text{Quot } \text{Idl}_{(\text{carrier } R)} [X] \{X\} \quad \{ya \in \text{carrier } ((\text{carrier } R) [X]). \text{local.coeff } ya 0 = y\}$ >
        if h3:< $x \in (\text{carrier } R) \wedge y \in (\text{carrier } R)$ > for x y
        unfolding FactRing-def A-RCOSETS-def RCOSETS-def rcoset-mult-def r-coset-def
        a-r-coset-def
        apply(simp, safe, simp)
        apply(metis Diff-iff One-nat-def coeff.simps(1) const-is-polynomial diff-self-eq-0
              empty-iff
              gen-ideal-X-iff insert-iff kcr.l-null kcr.r-zero lead-coeff-simp length-Cons
              list.distinct(1) list.sel(1)
              list.size(3) univ-poly-carrier univ-poly-zero univ-poly-zero-closed )
        using gen-ideal-X-iff apply blast
        unfolding univ-poly-mult univ-poly-add
        apply(frule zero-coeff-of-Idl-X)

```

```

apply(subst (asm) Idl-X)
using h3
by (metis (no-types, lifting) PIdl-X coeffs-of-add-poly gen-ideal-X-iff gen-is-cgen
ideal.I-l-closed
      kcr.cgenideal-ideal kcr.m-comm l-zero subring univ-poly-add univ-poly-mult
var-closed(1))
have right :⟨y = 0 ⟹ {ya ∈ carrier ((carrier R) [X]). local.coeff ya 0 = x ⊗
y} =
{y ∈ carrier ((carrier R) [X]). local.coeff y 0 = x} ⊗(carrier R) [X] Quot Idl(carrier R) [X] {X}
{ya ∈ carrier ((carrier R) [X]). local.coeff ya 0 = y}⟩
apply(subst m-comm[OF h1 h2])
apply(subst QcR.m-comm)
using h1 quot-X-is-R left h1 by auto
have poly-mult-a-b:⟨a ∈ (carrier R) ∧ b ∈ (carrier R) ∧ a ≠ 0 ∧ b ≠ 0 ⟹ poly-mult
([a]) ([b]) = [a ⊗ b]⟩ for a b
using integral-iff by force
have poly-mult-0:⟨a ∈ carrier((carrier R)[X]) ∧ b ∈ carrier((carrier R)[X]) ⟹
coeff (poly-mult a b) 0 = coeff a 0 ⊗ coeff b 0⟩
for a b
apply(subst poly-mult-coeff)
by (simp add: polynomial-incl')+
have j0:⟨xa ∈ carrier (poly-ring R) ⟹ local.coeff xa 0 = x ⊗ y ⟹ x ≠ 0
⟹ y ≠ 0
⟹ ∃ xb. xb ∈ carrier (poly-ring R) ∧ local.coeff xb 0 = x ∧ (∃ x. x ∈ carrier
(poly-ring R) ∧
local.coeff x 0 = y ∧ (∃ xc ∈ Idlpoly-ring R {X}. xa = xc ⊕poly-ring R xb ⊗poly-ring R
x)))⟩
for xa
apply(rule exI[where x=⟨[x]⟩])
apply(safe)
subgoal by (metis Diff-iff const-is-polynomial empty-iff h1 insert-iff univ-poly-carrier)
subgoal by simp
apply(rule exI[where x=⟨[y]⟩])
apply(safe)
subgoal by (metis Diff-iff const-is-polynomial empty-iff h2 insert-iff univ-poly-carrier)
subgoal by simp
apply(rule bexI[where x=⟨normalize (take (degree xa) xa @ [0])⟩])
unfolding univ-poly-add univ-poly-mult
apply(subst poly-mult-a-b)
subgoal using h1 h2 by(simp)
subgoal by (metis (no-types, lifting) diff-le-self
domain.coeff-0-of-mult-X domain.m-lcancel domain.poly-mult-var do-
main-axioms h1 h2
poly-invariant take-in-RX normalize-take-is-take poly-mult-var'(2) r-null
subring univ-poly-add
univ-poly-mult zero-closed)
apply(subst Idl-X)
by (metis (no-types, lifting) PIdl-X coeff-0-of-mult-X diff-le-self gen-ideal-X-iff

```

```

gen-is-cgen
  kcr.m-closed take-in-RX poly-mult-var'(2) subring take-in-carrier univ-poly-mult
var-closed(1))
  show fst:⟨{ya ∈ carrier ((carrier R) [X]). local.coeff ya 0 = x ⊗ y} =
    {y ∈ carrier ((carrier R) [X]). local.coeff y 0 = x} ⊗(carrier R) [X] Quot Idl(carrier R) [X] {X}
    {ya ∈ carrier ((carrier R) [X]). local.coeff ya 0 = y}⟩
  proof(safe)
    fix xa
    assume h1:⟨xa ∈ carrier (poly-ring R). local.coeff xa 0 = x ⊗ y⟩
    then show ⟨xa ∈ {y ∈ carrier (poly-ring R). local.coeff y 0 = x} ⊗poly-ring R Quot Idlpoly-ring R {X}
      {ya ∈ carrier (poly-ring R). local.coeff ya 0 = y}⟩
    apply(cases ⟨x=0 ∨ y=0⟩)
    using h2 left right apply blast
    unfolding FactRing-def A-RCSETS-def RCOSETS-def rcoset-mult-def
r-coset-def a-r-coset-def
    using j0 by(auto) [1]
  next
    fix xa
    assume h1':⟨xa ∈ {y ∈ carrier (poly-ring R). local.coeff y 0 = x} ⊗poly-ring R Quot Idlpoly-ring R {X}
      {ya ∈ carrier (poly-ring R). local.coeff ya 0 = y}⟩
    then show ⟨xa ∈ carrier (poly-ring R)⟩
    unfolding FactRing-def A-RCSETS-def RCOSETS-def rcoset-mult-def
r-coset-def a-r-coset-def
    by simp (metis gen-ideal-X-iff kcr.add.m-closed kcr.m-closed univ-poly-add
univ-poly-mult)
    from h1' show ⟨local.coeff xa 0 = x ⊗ y⟩
    unfolding FactRing-def A-RCSETS-def RCOSETS-def rcoset-mult-def
r-coset-def a-r-coset-def
    apply(simp, safe)
    apply(frule zero-coeff-of-Idl-X)
    apply (simp add: polynomial-incl' domain-axioms gen-ideal-X-iff)
    using polynomial-incl' poly-mult-in-carrier
    by (metis coeffs-of-add-poly h1 h2 kcr.m-closed l-distr l-null l-zero poly-mult-0
univ-poly-mult zero-closed)
  qed
  have poly-add-a-b:⟨a ∈ (carrier R) ∧ b ∈ (carrier R) ∧ a ≠ 0 ∧ b ≠ 0 ⟹ poly-add
([a]) ([b]) = normalize [a ⊕ b]⟩ for a b
  by(auto)
  have is-inv-0:⟨local.normalize [invadd-monoid R y ⊕ y] = []⟩
  by (simp add: h2)
  have poly-add-comm: ⟨{x,y,z} ⊆ carrier ((carrier R)[X]) ⟹ poly-add (poly-add
y z) x = poly-add y (poly-add z x)⟩ for x y z
  by (metis insert-subset kcr.add.m-assoc univ-poly-add)
  show ⟨{ya ∈ carrier ((carrier R) [X]). local.coeff ya 0 = x ⊕ y} =
    {y ∈ carrier ((carrier R) [X]). local.coeff y 0 = x} ⊕(carrier R) [X] Quot Idl(carrier R) [X] {X}
    {ya ∈ carrier ((carrier R) [X]). local.coeff ya 0 = y}⟩
  proof(safe)
    fix xa

```

```

assume h1': $\langle xa \in carrier (poly-ring R) \rangle \langle local.coeff\ xa\ 0 = x \oplus y \rangle$ 
then show  $\langle xa \in \{y \in carrier (poly-ring R). local.coeff\ y\ 0 = x\} \oplus_{poly-ring R} Quot\ Idl_{poly-ring R} \{X\}$ 
     $\{ya \in carrier (poly-ring R). local.coeff\ ya\ 0 = y\} \rangle$ 
    apply(cases  $\langle x=0 \vee y=0 \rangle$ )
    unfolding FactRing-def A-RCOSETS-def RCOSETS-def rcoset-mult-def
    r-coset-def a-r-coset-def
        set-add-def set-mult-def apply(simp, safe)[1]
        apply (metis coeff.simps(1) h2 kcr.l-zero l-zero univ-poly-zero univ-poly-zero-closed)
        apply (metis coeff.simps(1) h1 ker.r-zero r-zero univ-poly-zero univ-poly-zero-closed)
        unfolding FactRing-def A-RCOSETS-def RCOSETS-def rcoset-mult-def
    r-coset-def a-r-coset-def
        set-add-def set-mult-def apply(simp)
        apply(rule exI[where  $x=\langle xa \oplus_{(carrier R)} [X] [invadd-monoid R\ y]\rangle$ ])
        apply(safe)
            apply (metis a-inv-def add.Units-eq add.Units-inv-closed add.inv-eq-1-iff
h2 insert-subset
            kcr.add.m-closed list.sel(1) list.simps(15) polynomial-def polynomial-incl
            univ-poly-carrier)
            apply (metis (no-types, lifting) a-assoc add.Units-eq add.Units-inv-closed
            add.Units-r-inv
            coeffs-of-add-poly diff-Suc-1 h1 h2 insert-subset polynomial-incl' lead-coeff-simp
            length-Cons list.distinct(1) list.sel(1) list.simps(15) list.size(3) mem-Collect-eq par-
            tial-object.select-convs(1) polynomial-def r-zero univ-poly-def)
            apply(rule exI[where  $x=\langle [y]\rangle$ ])
            apply(safe) apply(simp add:h2 univ-poly-def polynomial-def)
            apply(simp)
            apply(cases xa)
            unfolding univ-poly-add
            using add.Units-eq add.inv-eq-one-eq add.Units-inv-closed add.Units-l-inv
h2 r-zero apply(auto)[1]
            apply(subst poly-add-comm)
            apply (metis Diff-iff One-nat-def append.right-neutral const-is-polynomial
            diff-self-eq-0
            empty-iff empty-subsetI h2 insert-iff insert-subset inv-ld-coeff length-Cons
            list.distinct(1) list.sel(1)
            list.size(3) normalize.simps(1) normalize-trick univ-poly-carrier)
            apply(subst poly-add-a-b)
            apply(simp add:h2 add.inv-eq-one-eq)
            apply(subst is-inv-0)
            by (metis polynomial-incl' p-in-norm poly-add-zero'(1))
next
    fix xa
assume h1': $\langle xa \in \{y \in carrier (poly-ring R). local.coeff\ y\ 0 = x\} \oplus_{poly-ring R} Quot\ Idl_{poly-ring R} \{X\}$ 
     $\{ya \in carrier (poly-ring R). local.coeff\ ya\ 0 = y\} \rangle$ 
then show  $\langle xa \in carrier (poly-ring R) \rangle$ 
    unfolding FactRing-def A-RCOSETS-def RCOSETS-def rcoset-mult-def
    r-coset-def a-r-coset-def
        set-add-def set-mult-def by(auto)

```

```

from h1' show <local.coeff xa 0 = x ⊕ y>
  unfolding FactRing-def A-RCOSETS-def RCOSETS-def rcoset-mult-def
r-coset-def a-r-coset-def
  set-add-def set-mult-def using polynomial-incl' poly-add-coeff coeffs-of-add-poly
by auto
qed
next
interpret kcr:cring (carrier R)[X]
  using carrier-is-subring univ-poly-is-crng by auto
show <{y ∈ carrier ((carrier R) [X]). local.coeff y 0 = 1} = 1(carrier R) [X] Quot Idl(carrier R) [X] {X}>
  unfolding FactRing-def a-r-coset-def r-coset-def
  using gen-ideal-X-iff apply(simp, safe, simp)
    apply (metis (no-types, lifting) diff-le-self domain.coeff-0-of-mult-X
      domain.poly-mult-var domain-axioms gen-ideal-X-iff kcr.m-closed poly-invariant
      normalize-take-is-poly monoid.simps(2) subring univ-poly-def
      var-closed(1) zero-not-one)
    apply force
  by (metis One-nat-def coeff.simps(1) coeffs-of-add-poly diff-self-eq-0 kcr.l-zero
kcr.one-closed
  lead-coeff-simp length-Cons list.distinct(1) list.sel(1) list.size(3) univ-poly-one
univ-poly-zero
  univ-poly-zero-closed)
next
interpret kcr:cring (carrier R)[X]
  using carrier-is-subring univ-poly-is-crng by auto
have rule-1:<{y ∈ carrier (poly-ring R). local.coeff y 0 = xa} ∈ carrier (poly-ring
R Quot Idl poly-ring R {X}) =
  (exists x ∈ carrier (poly-ring R). {y ∈ carrier (poly-ring R). local.coeff y 0 = xa} =
  (Union xa ∈ Idl poly-ring R {X}. {xa ⊕ poly-ring R x}))> for xa
  unfolding FactRing-def A-RCOSETS-def RCOSETS-def r-coset-def by(auto)

have rule-2:<(forall x. x ∈ carrier (poly-ring R) Quot Idl poly-ring R {X}) =>
  x ∈ (lambda x. {y ∈ carrier (poly-ring R). local.coeff y 0 = x}) ` carrier R)
=> (forall xa. xa ∈ carrier (poly-ring R) =>
  (Union x ∈ Idl poly-ring R {X}. {x ⊕ poly-ring R xa}) ∈ (lambda x. {y ∈ carrier (poly-ring
R). local.coeff y 0 = x}) ` carrier R))
  unfolding FactRing-def A-RCOSETS-def RCOSETS-def r-coset-def
  using UN-singleton by auto
have rule-2':<(forall xa. xa ∈ carrier (poly-ring R) =>
  (Union x ∈ Idl poly-ring R {X}. {x ⊕ poly-ring R xa}) ∈ (lambda x. {y ∈ carrier (poly-ring
R). local.coeff y 0 = x}) ` carrier R)
=> (forall x. x ∈ carrier (poly-ring R) Quot Idl poly-ring R {X}) =>
  x ∈ (lambda x. {y ∈ carrier (poly-ring R). local.coeff y 0 = x}) ` carrier R)>
  unfolding FactRing-def A-RCOSETS-def RCOSETS-def r-coset-def
  using UN-singleton by auto
show <bij-betw (lambda x. {y ∈ carrier ((carrier R) [X]). local.coeff y 0 = x}) (carrier
R) (carrier ((carrier R) [X] Quot Idl(carrier R) [X] {X}))>
  unfolding bij-betw-def

```

```

apply(safe)
  apply(rule inj-onI)
subgoal proof -
  fix x :: 'a and y :: 'a
  assume a1:  $x \in (\text{carrier } R)$ 
  assume a2:  $y \in (\text{carrier } R)$ 
  assume  $\{y \in \text{carrier } ((\text{carrier } R) [X]). \text{local.coeff } y 0 = x\} = \{ya \in \text{carrier } ((\text{carrier } R) [X]). \text{local.coeff } ya 0 = y\}$ 
    then have  $y = (\text{THE } a. \forall as. as \in \{as \in \text{carrier } ((\text{carrier } R) [X]). \text{local.coeff } as 0 = a\})$ 
      using a2 The-a-is-a by force
    then show  $x = y$ 
      using a1 The-a-is-a by auto
qed
proof(subst rule-1)
  fix x xa
  have rule-1': $\langle x' \in (\bigcup_{xa \in \{p \in \text{carrier } (\text{poly-ring } R). \text{local.coeff } p 0 = 0\}}. \{xa \oplus_{\text{poly-ring } R} [\text{local.coeff } x' 0]\}) = (\exists_{xa}. xa \in \text{carrier } (\text{poly-ring } R) \wedge \text{local.coeff } xa 0 = 0 \wedge x' = xa \oplus_{\text{poly-ring } R} [\text{local.coeff } x' 0]) \rangle$  for x'
    by simp
  assume h1': $\langle xa \in \text{carrier } R \rangle$ 
  then show  $\langle \exists x \in \text{carrier } (\text{poly-ring } R).$ 
     $\{y \in \text{carrier } (\text{poly-ring } R). \text{local.coeff } y 0 = xa\} = (\bigcup_{xa \in \text{Idl}_{\text{poly-ring } R} \{X\}. \{xa \oplus_{\text{poly-ring } R} x\}})$ 
    apply(cases  $\langle xa = 0 \rangle$ )
    apply(rule bexI[where x= $\langle [] \rangle$ ])
    using gen-ideal-X-iff kcr.r-zero univ-poly-zero apply(safe)[1]
      apply(simp add: univ-poly-zero)+
      apply(simp add: univ-poly-zero-closed)
    apply(rule bexI[where x= $\langle [xa] \rangle$ ])
    apply(subst gen-ideal-X-iff')
    apply(safe)
      apply(subst rule-1)
      apply(metis coeff.simps(1) coeff-0-of-mult-X diff-le-self kcr.m-closed
        normalize-take-is-poly poly-invariant subring var-closed(1))
      apply(metis bot-least insert-subset list.simps(15) poly-add-is-polynomial
        polynomial-incl'
        set-empty2 subring univ-poly-add univ-poly-carrier)
      apply(metis diff-Suc-1 insert-subset kcr.zero-closed l-zero lead-coeff-simp
        length-Cons
        list.distinct(1) list.sel(1) list.simps(15) list.size(3) poly-add-coeff polynomial-incl'
        univ-poly-add univ-poly-zero)
        by (metis Diff-iff const-is-polynomial emptyE insertE univ-poly-carrier)
next
  show  $\langle \bigwedge x. x \in \text{carrier } (\text{poly-ring } R) \text{ Quot } \text{Idl}_{\text{poly-ring } R} \{X\} \rangle$ 
   $\Rightarrow x \in (\lambda x. \{y \in \text{carrier } (\text{poly-ring } R). \text{local.coeff } y 0 = x\})` \text{carrier } R$ 
  proof(rule rule-2')
    fix x xa

```

```

assume h1:< $x \in \text{carrier}(\text{poly-ring } R \text{ Quot } \text{Idl}_{\text{poly-ring}} R \{X\})\rangle$  < $xa \in \text{carrier}(\text{poly-ring } R)\rangle$ 
then show <( $\bigcup_{x \in \text{Idl}_{\text{poly-ring}} R \{X\}} \{x \oplus_{\text{poly-ring } R} xa\}$ )
 $\in (\lambda x. \{y \in \text{carrier}(\text{poly-ring } R). \text{local.coeff } y 0 = x\})` \text{carrier } R$ 
apply(simp only:image-def, safe)
apply(rule bexI[where  $x = \text{coeff } xa 0$ ])
apply(safe)
by(auto simp:gen-ideal-X-iff coeffs-of-add-poly domain-axioms polynomial-incl')
(metis coeff.simps(1) coeffs-of-add-poly gen-ideal-X-iff insertI1 kcr.add.inv-closed
kcr.add.inv-solve-right kcr.add.m-comm kcr.l-neg kcr.minus-closed
kcr.minus-eq univ-poly-zero)+
qed
qed
qed
qed
lemma (in domain) RX-imp-RX-over-X:
<noetherian-ring ( $\text{carrier } R[X]$ ) $\implies$  noetherian-ring ( $\text{carrier } R[X] \text{ Quot } \text{genideal}(\text{carrier } R[X]) \{X\}$ )
by (meson domain.var-closed(1) domain-axioms empty-subsetI insert-subset noetherian-ring-def
noetherian-ring-imp-quot-noetherian-ring ring.genideal-ideal subring)

lemma (in domain) noetherian-RX-imp-noetherian-R:
<noetherian-ring (( $\text{carrier } R$ )[X]) $\implies$  noetherian-ring  $R$ 
proof -
assume h1:< $\text{noetherian-ring } ((\text{carrier } R)[X])$ >
have < $\text{noetherian-ring } (((\text{carrier } R)[X]) \text{ Quot } (\text{genideal } ((\text{carrier } R)[X]) \{X\}))$ >
using RX-imp-RX-over-X h1 by auto
moreover have < $((\text{carrier } R)[X]) \text{ Quot } (\text{genideal } ((\text{carrier } R)[X]) \{X\}) \simeq R$ >
using R-isom-RX-X local.ring-axioms ring-iso-sym by blast
ultimately show ?thesis
using local.ring-axioms noetherian-isom-imp-noetherian by blast
qed

lemma principal-imp-noetherian:(principal-domain  $R \implies$  noetherian-ring  $R$ )
proof -
assume h1:< $\text{principal-domain } R$ >
then show ?thesis
apply(intro ring.noetherian-ringI)
using cring.axioms(1) domain-def principal-domain.axioms(1) apply blast
by (metis cring.cgenideal-eq-genideal domain-def empty-subsetI finite.emptyI
finite.insertI
insert-subset principal-domain.axioms(1) principal-domain.exists-gen)
qed

```

```

lemma (in ring) coeff-iff-poly-carrier:⟨x ∈ carrier (poly-ring R) ⟹
y ∈ carrier (poly-ring R) ⟹ (x=y) ↔ coeff x = coeff y⟩
by (auto simp add: coeff-iff-polynomial-cond univ-poly-carrier)

lemma zero-is-zero:⟨B = B(zero := 0_B)⟩
unfolding ring-def monoid-def ring-axioms-def abelian-group-def abelian-group-axioms-def
abelian-monoid-def comm-monoid-def by(auto)

lemma ring-iso-imp-iso:⟨A ≈ B ⟹ A ≡ B⟩
unfolding is-ring-iso-def is-iso-def ring-iso-def iso-def
ring-hom-def hom-def by(auto)

lemma (in ring) iso-imp-exist-0:⟨R ≈ B ⟹ ∃ x. ring (B(zero:=x))⟩
proof -
assume h1:⟨R ≈ B⟩
have ⟨ring R⟩
by (simp add: local.ring-axioms)
with h1 obtain h where f0:⟨h ∈ ring-hom R B ∧ bij-betw h (carrier R) (carrier B)⟩
unfolding is-ring-iso-def ring-iso-def by auto
then have f1:ring (B (carrier := h ` (carrier R), zero := h 0_R )) )
using ring-hom-imp-img-ring[of ] h1 unfolding ring-iso-def
using ring.ring-hom-imp-img-ring by blast
moreover have f2:⟨h ` (carrier R) = carrier B⟩
using h1 unfolding ring-iso-def bij-betw-def
by (simp add: f0 bij-betw-imp-surj-on)
then show ?thesis using f1 f2 by(auto)
qed

lemma (in domain) noetherian-R-imp-noetherian-UP-R:
assumes h1:⟨noetherian-ring R⟩
shows ⟨noetherian-ring (UP R)⟩
proof -
interpret UPRing: UP-ring R UP R
by (simp add: UP-ring-def local.ring-axioms)+
have ⟨noetherian-ring ((carrier R)[X])⟩
using noetherian-domain.weak-Hilbert-basis h1
using domain-axioms noetherian-domain.intro by auto
with h1 show ?thesis
unfolding noetherian-domain-def
using ⟨noetherian-ring (poly-ring R)⟩ noetherian-isom-imp-noetherian h1 UP-
ring.UP-ring RX-iso-P
by blast

```

qed

```
lemma (in domain) noetheriandom-R-imp-noetheriandom-UP-R:  
  assumes h1:<noetherian-domain R>  
  shows <noetherian-domain (UP R)>  
proof –  
  interpret UP-dom: UP-domain R UP R  
    by (simp add: UP-domain.intro domain-axioms)+  
  have <noetherian-ring ((carrier R)[X])>  
    using noetherian-domain.weak-Hilbert-basis h1  
    by(auto)  
  with h1 show ?thesis  
    unfolding noetherian-domain-def  
    using UP-dom.domain-axioms noetherian-R-imp-noetherian-UP-R by blast  
qed
```

```
lemma (in cring) Pring-one-index-isom-P:<(Pring R {N}) ⊣ UP R>  
proof –  
  interpret UPcring: UP-cring R UP R  
    by (simp add: UP-cring-def is-cring)+  
  have <IP-to-UP N ∈ ring-hom (Pring R {N}) (UP R)>  
    by (simp add: UPcring.IP-to-UP-ring-hom ring-hom-ring.homh)  
  then show ?thesis unfolding is-ring-iso-def ring-iso-def  
    apply(subst ex-in-conv[symmetric])  
    apply(rule exI[where x=<IP-to-UP N>])  
    unfolding bij-betw-def apply(safe)  
      apply (simp add: UPcring.IP-to-UP-ring-hom-inj)  
      apply (simp add: IP-to-UP-closed is-cring)  
      by (metis UPcring.IP-to-UP-inv UPcring.UP-to-IP-closed image-eqI)  
qed
```

```
lemma (in cring) P-isom-Pring-one-index:<UP R ⊣ (Pring R {N})>  
proof –  
  interpret UPcring: UP-cring R UP R  
    by (simp add: UP-cring-def is-cring)+  
  interpret crR:cring Pring R {N}  
    by (simp add: Pring-is-cring is-cring)  
  show ?thesis  
    using cring.Pring-one-index-isom-P crR.ring-axioms ring-iso-sym is-cring by  
    fastforce  
qed
```

```
lemma (in domain) P-iso-RX:< UP R ⊣ ((carrier R)[X])>  
proof –  
  interpret d: domain (carrier R)[X]  
    by (simp add: subring univ-poly-is-domain)  
  have <(carrier R)[X] ⊣ UP R>  
    using RX-iso-P UP-ring-def local.ring-axioms by blast
```

```

then show ?thesis
  using d.ring-axioms ring-iso-sym by blast
qed

lemma (in domain) IP-noeth-imp-R-noeth:<noetherian-ring (Pring R {a})  $\Rightarrow$ 
noetherian-ring R>
proof –
  assume h1:<noetherian-ring (Pring R {a})>
  have <(Pring R {a})  $\simeq$  ((carrier R)[X])>
    by (meson Pring-one-index-isom-P domain.P-iso-RX domain-axioms ring-iso-trans)

  then have <noetherian-ring ((carrier R)[X])>
    using domain.univ-poly-is-ring domain-axioms h1 noetherian-isom-imp-noetherian
    subring by blast
  then show ?thesis
    using noetherian-RX-imp-noetherian-R by fastforce
qed

lemma (in domain) R-iso-UPR-quot-X:<R  $\simeq$  (UP R) Quot (cgenideal (UP R) ( $\lambda i.$ 
 $i = 1 \text{ then } \mathbf{1} \text{ else } \mathbf{0}$ ))>
proof –
  interpret UP-r: UP-ring R UP R
    by (simp add: UP-ring-def local.ring-axioms)+
  have f0:<coeff  $\in$  ring-iso (poly-ring R) (UP R)>
    using coeff-iso-RX-P by blast
  have <X  $\in$  carrier (poly-ring R)> <( $\lambda i. i = 1 \text{ then } \mathbf{1} \text{ else } \mathbf{0}$ )  $\in$  carrier (UP R)>
    <cring (poly-ring R)> <cring (UP R)>
      apply (simp add: subring var-closed(1))
      apply (force simp: UP-def up-def)
      apply (simp add: subring univ-poly-is-cring)
      by (simp add: UP-cring.UP-cring.UP-cring.intro is-cring)
  then have <(carrier R[X]) Quot (cgenideal (poly-ring R) X)  $\simeq$  (UP R) Quot
(cgenideal (UP R) ( $\lambda i. i = 1 \text{ then } \mathbf{1} \text{ else } \mathbf{0}$ ))>
    using Quot-iso-cgen[of X <poly-ring R> <( $\lambda i. i = 1 \text{ then } \mathbf{1} \text{ else } \mathbf{0}$ )> <(UP R)>
coeff] X-has-correp
    f0 by fastforce
  then show ?thesis
    using domain.R-isom-RX-X domain-axioms gen-is-cgen ring-iso-trans by force
qed

end

```

## 5 The Hilbert Basis theorem for Indexed Polynomials Rings

**theory** Hilbert-Basis

```
imports Weak-Hilbert-Basis
```

```
begin
```

## 5.1 The isomorphism between $A[X_0..X_n]$ and $A[X_0..X_{n-1}][X_n]$

This part until  $\text{var-factor}_\text{iso}$  is due to Aaron Crighton

```
lemma ring-iso-memI':
  assumes f ∈ ring-hom R S
  assumes g ∈ ring-hom S R
  assumes ⋀ x. x ∈ carrier R ⟹ g (f x) = x
  assumes ⋀ x. x ∈ carrier S ⟹ f (g x) = x
  shows f ∈ ring-iso R S
    g ∈ ring-iso S R
proof-
  show 0: f ∈ ring-iso R S
    unfolding ring-iso-def mem-Collect-eq
    apply(rule conjI, rule assms(1), rule bij-betwI[of _ _ _ g])
    using assms ring-hom-memE by auto
  show g ∈ ring-iso S R
    unfolding ring-iso-def mem-Collect-eq
    apply(rule conjI, rule assms(2), rule bij-betwI[of _ _ _ f])
    using assms ring-hom-memE by auto
qed
```

```
lemma(in cring) var-factor-inverse:
  assumes I = J0 ∪ J1
  assumes J1 ⊆ I
  assumes J1 ∩ J0 = {}
  assumes ψ1 = (var-factor-inv I J0 J1)
  assumes ψ0 = (var-factor I J0 J1)
  assumes P ∈ carrier (Pring (Pring R J0) J1)
  shows ψ0 (ψ1 P) = P
proof(induct rule: ring.Pring-car-induct"[of Pring R J0 - J1])
  case 1
  then show ?case
    using Pring-is-ring by blast
  next
    case 2
    then show ?case
      using assms(6) by force
  next
    case (3 c)
    interpret pring-cring: cring Pring R J0
      using Pring-is-ring is-cring by auto
    interpret Rring: cring R
      using is-cring by auto
    have 0: ring-hom-ring (Pring (Pring R J0) J1) (Pring R I) ψ1
```

```

    by (simp add: assms(1) assms(3) assms(4) var-factor-inv-morphism(1))
  have 1: ring-hom-ring (Pring R I) (Pring (Pring R J0) J1) ψ0
    by (simp add: assms(1) assms(3) assms(5) var-factor-morphism'(1))
  have 2: ψ0 ∘ ψ1 ∈ ring-hom (Pring (Pring R J0) J1) (Pring (Pring R J0) J1)

    using 0 1 ring-hom-trans[of ψ1 Pring (Pring R J0) J1 Pring R I ψ0 Pring
  (Pring R J0) J1]
      ring-hom-ring.homh[of Pring R I Pring (Pring R J0) J1 ψ0]
      ring-hom-ring.homh[of Pring (Pring R J0) J1 Pring R I ψ1]
    by blast
  then show ?case using assms
    by (simp add: 3 var-factor-inv-morphism(3) var-factor-morphism'(3))
next
  case (4 p q)
  interpret pring-cring: cring Pring R J0
    using Pring-is-pring is-pring by auto
  interpret Rcring: cring R
    using is-pring by auto
  have 0: ring-hom-ring (Pring (Pring R J0) J1) (Pring R I) ψ1
    by (simp add: assms(1) assms(3) assms(4) var-factor-inv-morphism(1))
  have 1: ring-hom-ring (Pring R I) (Pring (Pring R J0) J1) ψ0
    by (simp add: assms(1) assms(3) assms(5) var-factor-morphism'(1))
  have 2: ψ0 ∘ ψ1 ∈ ring-hom (Pring (Pring R J0) J1) (Pring (Pring R J0) J1)

    using 0 1 ring-hom-trans[of ψ1 Pring (Pring R J0) J1 Pring R I ψ0 Pring
  (Pring R J0) J1]
      ring-hom-ring.homh[of Pring R I Pring (Pring R J0) J1 ψ0]
      ring-hom-ring.homh[of Pring (Pring R J0) J1 Pring R I ψ1]
    by blast
  from 4 show ?case
  proof-
    fix p q
    assume A: p ∈ carrier (Pring (Pring R J0) J1)
      q ∈ carrier (Pring (Pring R J0) J1)
      ψ0 (ψ1 p) = p
      ψ0 (ψ1 q) = q
    show ψ0 (ψ1 (p ⊕ Pring (Pring R J0) J1 q)) = p ⊕ Pring (Pring R J0) J1 q
      using A 2 ring-hom-add[of ψ0 ∘ ψ1 Pring (Pring R J0) J1 Pring (Pring R
  J0) J1 p q]
        comp-apply[of ψ0 ψ1]
      by (simp add: pring-cring.Pring-add pring-cring.Pring-car)
  qed
next
  case (5 p i)
  interpret pring-cring: cring Pring R J0
    using Pring-is-pring is-pring by auto
  interpret Rcring: cring R
    using is-pring by auto
  have 0: ring-hom-ring (Pring (Pring R J0) J1) (Pring R I) ψ1

```

```

    by (simp add: assms(1) assms(3) assms(4) var-factor-inv-morphism(1))
  have 1: ring-hom-ring (Pring R I) (Pring (Pring R J0) J1) ψ0
    by (simp add: assms(1) assms(3) assms(5) var-factor-morphism'(1))
  have 2: ψ0 ∘ ψ1 ∈ ring-hom (Pring (Pring R J0) J1) (Pring (Pring R J0) J1)

  using 0 1 ring-hom-trans[of ψ1 Pring (Pring R J0) J1 Pring R I ψ0 Pring
(Pring R J0) J1]
    ring-hom-ring.homh[of Pring R I Pring (Pring R J0) J1 ψ0]
    ring-hom-ring.homh[of Pring (Pring R J0) J1 Pring R I ψ1]
  by blast
from 5 show ?case
proof-
  fix p i assume A: p ∈ carrier (Pring (Pring R J0) J1)
  ψ0 (ψ1 p) = p
  i ∈ J1
  show ψ0 (ψ1 (p ⊗ Pring (Pring R J0) J1 pvar (Pring R J0) i)) =
    p ⊗ Pring (Pring R J0) J1 pvar (Pring R J0) i
  proof-
    have A1: ψ0 (ψ1 (pvar (Pring R J0) i)) = pvar (Pring R J0) i
      by (metis A(3) assms(1) assms(2) assms(3) assms(4) assms(5)
           var-factor-inv-morphism(2) var-factor-morphism'(2))
    then show ?thesis
      using 2 A ring-hom-mult[of ψ0 ∘ ψ1 (Pring (Pring R J0) J1)] 2
        Pring-car comp-apply[of ψ0 ψ1]
      by (metis pring-cring.Pring-car pring-cring.Pring-var-closed)
  qed
qed
qed

```

```

lemma(in cring) var-factor-iso:
assumes I = J0 ∪ J1
assumes J1 ⊆ I
assumes J1 ∩ J0 = {}
assumes ψ1 = (var-factor-inv I J0 J1)
assumes ψ0 = (var-factor I J0 J1)
shows ψ0 ∈ ring-iso (Pring R I) (Pring (Pring R J0) J1)
  ψ1 ∈ ring-iso (Pring (Pring R J0) J1)(Pring R I)
proof-
  have 1: ψ0 ∈ ring-hom (Pring R I) (Pring (Pring R J0) J1)
    ψ1 ∈ ring-hom (Pring (Pring R J0) J1) (Pring R I)
    ∀x. x ∈ carrier (Pring R I) ⇒ ψ1 (ψ0 x) = x
    ∀x. x ∈ carrier (Pring (Pring R J0) J1) ⇒ ψ0 (ψ1 x) = x
    using assms var-factor-inv-inverse[of I J0 J1 ψ1] var-factor-inverse[of I J0
J1 ψ1]
    by (auto simp add: var-factor-inv-morphism(1) cring.var-factor-morphism'(1)
is-cring
      ring-hom-ring.homh)
  show ψ0 ∈ ring-iso (Pring R I) (Pring (Pring R J0) J1)

```

```

 $\psi_1 \in \text{ring-iso}(\text{Pring}(\text{Pring } R J0) J1) (\text{Pring } R I)$ 
using 1 ring-iso-memI'[of  $\psi_0 \text{Pring } R I \text{Pring}(\text{Pring } R J0) J1 \psi_1$  ]
by auto
qed

```

```

lemma (in cring) is-iso-Prings:
assumes h1:I = J0 ∪ J1
assumes h2:J1 ⊆ I
assumes h3:J1 ∩ J0 = {}
shows (Pring(Pring R J0) J1) ≈ (Pring R I) and (Pring R I) ≈ (Pring(Pring R J0) J1)
proof –
  show ⟨(Pring(Pring R J0) J1) ≈ (Pring R I)⟩
  unfolding is-ring-iso-def
  using h2 var-factor-iso[of I J0 J1 ⟨var-factor-inv I J0 J1⟩ ⟨var-factor I J0 J1⟩]
  using h1 h3 by auto
  show ⟨(Pring R I) ≈ (Pring(Pring R J0) J1)⟩
  unfolding is-ring-iso-def
  using h2 var-factor-iso[of I J0 J1 ⟨var-factor-inv I J0 J1⟩ ⟨var-factor I J0 J1⟩]
  using h1 h3 by auto
qed

```

## 5.2 Preliminaries lemmas

```

lemma (in cring) poly-no-var:
assumes ⟨x ∈ ((carrier R) [X{}]) ∧ xa ≠ {}⟩
shows ⟨x xa = 0⟩
apply(rule ring.Pring-car-induct''[of R x ⟨{}⟩])
  apply (simp add: local.ring-axioms)
  apply (simp add: Pring-car assms)
unfolding indexed-const-def using assms
by(auto simp add: Pring-add indexed-padd-def)

```

```

lemma (in cring) R-isom-P-mt:⟨R ≈ Pring R {}⟩
proof –
  interpret cringP: cring Pring R {}
  by (simp add: Pring-is-cring is-cring)
  have f0:⟨bij-betw indexed-const (carrier R) (carrier (Pring R {}))⟩
  proof(unfold bij-betw-def inj-on-def, safe)
    fix x y
    assume h1:⟨x ∈ carrier R⟩⟨y ∈ carrier R⟩⟨indexed-const x = indexed-const y⟩
    show ⟨indexed-const x = indexed-const y ⟹ x = y⟩
      by (metis indexed-const-def)
  next
    fix x xa
    assume h1:⟨xa ∈ carrier R⟩
    show ⟨indexed-const xa ∈ carrier (Pring R {})⟩

```

```

    by (simp add: h1 indexed-const-closed)
next
  fix x::'f multiset ⇒ 'a
  assume h1:'x ∈ carrier (Pring R {})
  then show 'x ∈ indexed-const ` carrier R
    unfolding image-def apply(safe)
    apply(rule bexI[where x='x {#}])
    unfolding indexed-const-def
    by (auto simp:fun-eq-iff Pring-def poly-no-var)
qed
show ?thesis
  unfolding is-ring-iso-def ring-iso-def
  apply(subst ex-in-conv[symmetric])
  unfolding ring-hom-def
  apply(rule exI[where x=indexed-const])
  apply(safe)
    apply (simp add: indexed-const-closed)
    apply (simp add: indexed-const-mult)
  using cringP.indexed-padd-const
    apply (simp add: Pring-add indexed-padd-const)
    apply (simp add: Pring-one)
    by(simp add:f0)
qed

```

### 5.3 Hilbert Basis theorem

We show after this Hilbert basis theorem, based on Indexed Polynomials in HOL-Algebra and its extension in *PadicFields*

```

theorem (in domain) Hilbert-basis:
  assumes h1:'noetherian-ring R' and h2:'finite I'
  shows 'noetherian-ring (Pring R I)'
proof(induct rule :finite.induct[OF h2])
  case 1
  interpret cringP: cring Pring R {}
  by (simp add: Pring-is-cring is-cring)
  show ?case
    using R-isom-P-mt cringP.ring-axioms h1 noetherian-isom-imp-noetherian by
  auto
next
  case (? A a)
  have f0:'noetherian-ring (Pring R A)'
    using ? by blast
  have f1:'cring (Pring R A)'
    using Pring-is-cring is-cring by auto
  interpret UPcring: UP-cring Pring R A UP (Pring R A)
    by (simp add: UP-cring.intro f1)+
  have f2:'Pring (Pring R A) {a} ≈ UP (Pring R A)'
    using cring.Pring-one-index-isom-P UP-cring-def f1
    by (simp add: UPcring.R.Pring-one-index-isom-P)

```

```

then have f3:<noetherian-ring (UP (Pring R A))>
  using Pring-is-domain domain.noetherian-R-imp-noetherian-UP-R f0 by blast
have f7:<cring (Pring (Pring R A) {a})>
  by (simp add: UPcring.R.Pring-is-cring f1)
then have <UP (Pring R A)  $\simeq$  Pring (Pring R A) {a}>
  by (simp add: cring-def f2 ring-iso-sym)
have f6:<noetherian-ring (Pring (Pring R A) {a})>
  using <UP (Pring R A)  $\simeq$  Pring (Pring R A) {a}> cring.axioms(1) f3
  f7 noetherian-isom-imp-noetherian by auto
have f10:< $a \notin A \implies$  Pring (Pring R A) {a}  $\simeq$  (Pring R (insert a A))>
  apply(rule cring.is-iso-Prings(1))
  by (simp add: is-cring)+
have f11:<ring (Pring R (insert a A))>
  by (simp add: Pring-is-ring)
then show ?case
  apply(cases < $a \in A$ >)
  using f0
  apply (simp add: insert-absorb)
  using noetherian-isom-imp-noetherian[of <Pring (Pring R A) {a}>
    <(Pring R (insert a A))>] f10 f11 f6 by(simp)
qed

lemma (in domain) R-noetherian-implies-IP-noetherian:
  assumes h1:<noetherian-ring R>
  shows <noetherian-ring (Pring R {0..N::nat})>
  using Hilbert-basis h1 by blast

lemma (in domain) IP-noetherian-implies-R-noetherian:
  assumes h1:<noetherian-ring (Pring R I)> and h2:<finite I>
  shows <noetherian-ring R>
proof(insert h1, induct rule:finite.induct[OF h2])
  case 1
  interpret cringP: cring Pring R {}
    by (simp add: Pring-is-cring is-cring)
  have <Pring R {}  $\simeq$  R>
    using local.ring-axioms R-isom-P-mt ring-iso-sym by blast
  then show ?case
    using 1 local.ring-axioms noetherian-isom-imp-noetherian by blast
  next
    case (? A a)
    have f1:<cring (Pring R A)>
      using Pring-is-cring is-cring by auto
    interpret UPcring: UP-cring Pring R A UP (Pring R A)
      by (simp add: UP-cring.intro f1)+
    interpret dom: domain (Pring R (A))
      using Pring-is-domain by blast
    have f2:<Pring (Pring R A) {a}  $\simeq$  UP (Pring R A)>
      using cring.Pring-one-index-isom-P UP-cring-def f1
      by (simp add: UPcring.R.Pring-one-index-isom-P)

```

```

{assume h2: anotin A
  then have <(Pring (Pring R (A)) {a}) ≈ (Pring R (insert a A))>
    by (simp add: cring.is-iso-Prings(1) is-cring)
  then have <noetherian-ring (Pring (Pring R (A)) {a})>
    using 2.prems UPcring.R.Pring-is-ring
    noetherian-isom-imp-noetherian ring-iso-sym by blast
  then have <noetherian-ring (Pring R (A))>
    by (simp add: dom.IP-noeth-imp-R-noeth)
  then have <noetherian-ring R>
    using 2.hyps(2) by blast}note a-not-in=this
then show ?case apply(cases <a∈A>)
  using 2
  apply (simp add: insert-absorb)
  using a-not-in by blast
qed

```

end

## 6 The Hilbert Basis theorem for Formal Power Series

*theory Formal-Power-Series-Ring*

```

imports
  HOL-Library.Extended-Nat
  HOL-Computational-Algebra.Formal-Power-Series
  HOL-Algebra.Module
  HOL-Algebra.Ring-Divisibility
begin

```

We define the ring of formal power series over a domain (idom) as a record to match HOL-Algebra definitions. We then show that it is a domain for addition and multiplication. This is immediate with the existing theory from HOL-Analysis.

We then proceed to show the theorem similar to Hilbert's basis theorem but for the ring of Formal power series.

### 6.1 Preliminaries definition and lemmas

```

context
  fixes R::'a::{idom} ring (structure)
  defines R:R ≡ (carrier = UNIV, monoid.mult = (*), one = 1, zero = 0, add
  = (+))
begin

```

```

lemma ring-R:(ring R)
  apply(unfold-locales)
  using add.right-inverse
  by (auto simp add: R mult.assoc ab-semigroup-add-class.add-ac(1)
        add.left-commute Units-def add.commute ring-class.ring-distrib(2)
        ring-class.ring-distrib(1) exI[of _ - x for x])

lemma domain-R:(domain R)
  apply(rule domainI)
  apply(rule cringI)
    apply (simp add: ring.is-abelian-group ring-R)
    apply (metis Groups.mult-ac(2) R monoid.monoid-comm-monoidI
            monoid.simps(1) ring.is-monoid ring-R)
    apply (simp add: ring.ring-simprules(13) ring-R)
    apply (simp add: R)
  by (simp add: R)

definition
  FPS-ring :: 'a::{idom} fps ring
  where FPS-ring = (carrier = UNIV, monoid.mult = (*), one = 1, zero = 0,
  add = (+))

lemma ring-FPS:(ring FPS-ring)
  apply(rule ringI)
  apply(rule abelian-groupI)
    apply (simp-all add: FPS-ring-def ab-semigroup-add-class.add-ac(1)
            add.left-commute add.commute)
    apply (metis ab-group-add-class.ab-left-minus add.commute)
    apply(rule monoidI)
  by(simp-all add: FPS-ring-def mult.assoc ab-semigroup-add-class.add-ac(1)
            add.left-commute add.commute ring-class.ring-distrib(2) ring-class.ring-distrib(1))

lemma cring-FPS:(cring FPS-ring)
  apply(rule cringI)
  apply (simp add: ring.is-abelian-group ring-FPS)
  apply(rule comm-monoidI)
    apply (simp add: ring.ring-simprules(5) ring-FPS)
    apply (simp add: ring.ring-simprules(6) ring-FPS)
    apply (simp add: ring.ring-simprules(11) ring-FPS)
    apply (simp add: ring.ring-simprules(12) ring-FPS)
    apply (simp add: FPS-ring-def)
  by (simp add: ring.ring-simprules(13) ring-FPS)

lemma domain-FPS:(domain FPS-ring)
  apply(rule domainI)
  apply (simp add: cring-FPS)
  apply (simp add: FPS-ring-def)

```

```

by (simp add: FPS-ring-def)

valuation over  $FPS_{ring}$ 

definition v-subdegree :: ('a::{idom}) fps  $\Rightarrow$  enat where
v-subdegree  $f = (\text{if } f = 0 \text{ then } \infty \text{ else } \text{subdegree } f)$ 

definition valuation::('a::{idom} fps  $\Rightarrow$  enat) (ν)where
 $\nu x \equiv \text{Sup} \{ \text{enat } k \mid k. x \in \text{cgenideal } FPS_{ring} (\text{fps-}X^{\wedge}k) \}$ 

lemma fps-X-pow-k-ideal-iff::cgenideal FPS-ring (fps- $X^{\wedge}k$ ) = {x. v-subdegree x  $\geq$  k}
proof(induct k)
case 0
then show ?case unfolding cgenideal-def
using enat-def zero-enat-def
by (simp add: FPS-ring-def)
next
case (Suc k)
have  $\langle x \in \text{carrier } FPS_{ring} \implies v\text{-subdegree } (x * \text{fps-}X^{\wedge}r) \geq r \rangle$  for r x
apply(cases ⟨x=0⟩)
unfolding v-subdegree-def by(auto)
then show ?case unfolding cgenideal-def v-subdegree-def FPS-ring-def
apply(safe)
apply(auto simp:FPS-ring-def) [1]
by (metis (mono-tags, opaque-lifting) UNIV-I enat-ord-simps(1) fps-shift-times-fps-X-power
monoid.select-convs(1) mult-zero-left partial-object.select-convs(1))
qed

lemma valuation-miscs-1:assumes h1:⟨f ∈ carrier FPS-ring⟩
shows ⟨(valuation f) = (∞::enat)  $\longleftrightarrow$  f = 0⟩
apply(safe)
unfolding valuation-def apply(subst (asm) fps-X-pow-k-ideal-iff)
apply (smt (verit, best) Sup-least infinity-ileE mem-Collect-eq v-subdegree-def)
apply(subst fps-X-pow-k-ideal-iff)
unfolding v-subdegree-def
unfolding enat-def apply(clarsimp)
by (smt (verit, ccfv-threshold) Suc-ile-eq Sup-le-iff enat.exhaust enat-def enat-ord-simps(2)

mem-Collect-eq nat-less-le order.refl)

lemma valuation-miscs-0:
shows ⟨valuation f = Inf {enat n | n. fps-nth f n ≠ 0}⟩
proof(cases ⟨f=0⟩)
case 1:True
have f1:⟨valuation f = ∞⟩
using 1 valuation-miscs-1
by (simp add: FPS-ring-def)
have f0:⟨{enat n | n. fps-nth f n ≠ 0} = {}⟩
by (simp add: 1)

```

```

show ?thesis
  apply(subst f0)
  unfolding Inf-enat-def using f1 by(auto)
next
  case 2:False
    have f0:<fps-nth f n ≠ 0 ⟹ f ∉ cgenideal FPS-ring (fps-X^(Suc n))> for n
      apply(subst fps-X-pow-k-ideal-iff)
      unfolding v-subdegree-def
      using not-less-eq-eq subdegree-leI by auto
    then have <f ∉ cgenideal FPS-ring (fps-X^(n)) ⟹ ∀ i≥n. f ∉ cgenideal FPS-ring
      (fps-X^(i))>
      for n
      by (simp add: 2 fps-X-pow-k-ideal-iff v-subdegree-def)
    with f0 have f2:<fps-nth f n ≠ 0 ⟹ valuation f ≤ n> for n
      unfolding valuation-def
      by (smt (verit, del-insts) Sup-le-iff enat-ord-simps(1) mem-Collect-eq not-less-eq-eq)
    then have <valuation f = v-subdegree f>
      by (smt (verit, best) 2 Orderings.order-eq-iff Sup-le-iff fps-X-pow-k-ideal-iff
        mem-Collect-eq v-subdegree-def valuation-def)
    then show ?thesis unfolding v-subdegree-def subdegree-def
      using 2 enat-def
      by (smt (z3) 2 LeastI-ex cInf-eq-minimum enat-def f2 fps-nonzero-nth mem-Collect-eq)

qed

lemma valuation-miscs-3:<valuation f = v-subdegree f>
proof(cases <f=0>)
  case 1:True
    have f1:<valuation f = ∞>
      using 1 valuation-miscs-1
      by (simp add: FPS-ring-def)
    show ?thesis
      by (metis 1 Formal-Power-Series-Ring.v-subdegree-def f1)
next
  case 2:False
    have f0:<fps-nth f n ≠ 0 ⟹ f ∉ cgenideal FPS-ring (fps-X^(Suc n))> for n
      apply(subst fps-X-pow-k-ideal-iff)
      unfolding v-subdegree-def
      using not-less-eq-eq subdegree-leI by auto
    then have <f ∉ cgenideal FPS-ring (fps-X^(n)) ⟹ ∀ i≥n. f ∉ cgenideal FPS-ring
      (fps-X^(i))>
      for n
      by (simp add: 2 fps-X-pow-k-ideal-iff v-subdegree-def)
    with f0 have f2:<fps-nth f n ≠ 0 ⟹ valuation f ≤ n> for n
      unfolding valuation-def
      by (smt (verit, del-insts) Sup-le-iff enat-ord-simps(1) mem-Collect-eq not-less-eq-eq)
    then have <valuation f = v-subdegree f>
      by (smt (verit, best) 2 Orderings.order-eq-iff Sup-le-iff fps-X-pow-k-ideal-iff
        mem-Collect-eq v-subdegree-def valuation-def)

```

qed

```
lemma triangular-ineq-v:valuation (f + g) ≥ min (valuation f) (valuation g)
  apply(subst (1 2 3) valuation-miscs-3)
  unfolding v-subdegree-def
  by (simp add: subdegree-add-ge')

lemma triang-eq-v:assumes h1:valuation f ≠ valuation g
  shows valuation (f+g) = min (valuation f) (valuation g)
proof -
  have f0:valuation (f+g) ≥ min (valuation f) (valuation g)
    by (simp add:triangular-ineq-v FPS-ring-def)
  have valuation (f+g) ≤ min (valuation f) (valuation g)
    apply(subst (1 2 3) valuation-miscs-3) unfolding min-def v-subdegree-def
    by (smt (verit, ccfv-threshold) Suc-le-eq add-cancel-right-left add-diff-cancel-right'
      add-eq-0-iff2 diff-zero enat-ord-simps(2) enat-ord-simps(3) h1 not-less-eq-eq
      order-le-less
      subdegree-add-eq1 subdegree-add-eq2 subdegree-uminus v-subdegree-def valuation-miscs-3)
    then show ?thesis using f0
    by order
qed
```

```
lemma prod-triang-v:valuation (f*g) = valuation f + valuation g
  apply(subst (1 2 3) valuation-miscs-3)
  unfolding v-subdegree-def by(auto)
```

## 6.2 Premisses for noetherian ring proof

```
definition subdeg-poly-set:subdeg-poly-set S k = {a. a ∈ S ∧ subdegree a = k} ∪ {0}

definition sublead-coeff-set:'b::{zero} fps set ⇒ nat ⇒ 'b set
  where sublead-coeff-set S k ≡ {fps-nth a (subdegree a) | a. a ∈ subdeg-poly-set S k}

lemma ideal-nonempty:ideal I FPS-ring ⇒ I ≠ {}
  by (metis FPS-ring-def UNIV-I empty_iff ideal.axioms(2)
    partial-object.select_conv(1) ring.quotient_eq_iff_same_a_r_cos)

lemma mult-X-in-ideal:ideal I FPS-ring ⇒ ∀ x ∈ I. fps-X * x ∈ I
  unfolding ideal-def ideal-axioms-def
  by (simp add: FPS-ring-def)

lemma non-empty-sublead:ideal I FPS-ring ⇒ sublead-coeff-set I k ≠ {}
  unfolding sublead-coeff-set-def subdeg-poly-set by(auto)

lemma inv-unique:∀ x ∈ carrier FPS-ring. ∃!y. x + y = 0
  by (metis add.right-inverse add-diff-cancel-left')
```

```

lemma inv-same-degree:assumes h: $x \in \text{carrier } \text{FPS-ring}$ 
shows  $\langle \text{subdegree} (\text{invadd-monoid } \text{FPS-ring } x) = \text{subdegree } x \rangle$ 
by (metis FPS-ring-def ring-FPS abelian-group.a-group add-eq-0-iff2 group.l-inv
h
monoid.select-convs(1) monoid.select-convs(2) partial-object.select-convs(1)
ring-def
ring-record-simps(11) ring-record-simps(12) subdegree-uminus)

lemma inv-subdegree-is-inv: assumes h: $x \in \text{carrier } \text{FPS-ring}$ 
shows  $\langle \text{fps-nth} (\text{invadd-monoid } \text{FPS-ring } x) (\text{subdegree } x) =$ 
 $(\text{invadd-monoid } R (\text{fps-nth } x (\text{subdegree } x))) \rangle$ 
unfolding a-inv-def
by (metis FPS-ring-def ring-FPS R UNIV-I a-inv-def
fps-add-nth partial-object.select-convs(1)
ring.ring-simprules(17) ring.ring-simprules(9) ring-R
ring-record-simps(12))

lemma subdeg-inv-in-sublead:
assumes h1: $\langle \text{ideal } I \text{ FPS-ring} \rangle$  and h2: $\langle a \in \text{sublead-coeff-set } I k \rangle$ 
shows  $\langle \text{invadd-monoid } R a \in \text{sublead-coeff-set } I k \rangle$ 
proof -
have f0: $\langle x \in I \implies \text{invadd-monoid } \text{FPS-ring } x \in I \rangle$  for x
by (meson additive-subgroup-def h1 ideal.axioms(1) subgroup.m-inv-closed)
then have f1: $\langle x \in I \implies \text{invadd-monoid } \text{FPS-ring } x \in \text{subdeg-poly-set } I (\text{subdegree } x) \rangle$  for x
unfolding subdeg-poly-set using UnCI h1 ideal.Icarr[of I FPS-ring x] inv-same-degree[of x]
mem-Collect-eq by(auto)
have f2: $\langle x \in I \implies (\text{invadd-monoid } R (\text{fps-nth } x (\text{subdegree } x)) \in \text{sublead-coeff-set } I (\text{subdegree } x)) \rangle$ 
for x
unfolding sublead-coeff-set-def
using f1[of x] h1 ideal.Icarr[of I FPS-ring x] inv-same-degree[of x]
inv-subdegree-is-inv[of x] mem-Collect-eq
by force
have  $\langle 0 \in I \rangle$ 
by (metis FPS-ring-def additive-subgroup.zero-closed h1 ideal.axioms(1) ring.simps(1))
then have f3: $\langle a \neq 0 \implies \exists x \in I. a = \text{fps-nth } x (\text{subdegree } x) \wedge \text{subdegree } x = k \rangle$ 
using h2 unfolding sublead-coeff-set-def subdeg-poly-set
by(auto)
then obtain x where  $\langle a \neq 0 \implies x \in I \wedge a = \text{fps-nth } x (\text{subdegree } x) \wedge \text{subdegree } x = k \rangle$  by blast
then have f5: $\langle a \neq 0 \implies \text{invadd-monoid } R a = \text{fps-nth } (\text{invadd-monoid } \text{FPS-ring } x) (\text{subdegree } x) \rangle$ 
by (metis FPS-ring-def UNIV-I inv-subdegree-is-inv partial-object.select-convs(1))
then have f6: $\langle a \neq 0 \implies \text{fps-nth } (\text{invadd-monoid } \text{FPS-ring } x) (\text{subdegree } x) \in \text{sublead-coeff-set } I k \rangle$ 
using  $\langle a \neq 0 \implies x \in I \wedge a = \text{fps-nth } x (\text{subdegree } x) \wedge \text{subdegree } x = k \rangle$  f2

```

```

by force
then show ?thesis
apply(cases `a=0`)
  apply (metis R a-inv-def h2 ring.minus-zero ring-R ring-record-simps(11))
  using f5 by presburger
qed

lemma mult-stable-sublead:
assumes h1:`ideal I FPS-ring`
and h2:`a ∈ sublead-coeff-set I k`
and h3:`b ∈ sublead-coeff-set I k`
shows `a ⊗_R b ∈ sublead-coeff-set I k`
proof -
have `0 ∈ I`
by (metis FPS-ring-def additive-subgroup.zero-closed h1 ideal.axioms(1) ring.simps(1))
{assume h4:`a ≠ 0` and h5:`b ≠ 0`
then have f3:`∃ x ∈ I. a = fps-nth x (subdegree x) ∧ subdegree x = k`
  using h2 unfolding sublead-coeff-set-def subdeg-poly-set
  by(auto)
then obtain x where f0:`x ∈ I ∧ a = fps-nth x (subdegree x) ∧ subdegree x = k`
  by blast
then have `fps-const b ∈ carrier FPS-ring`
  by (simp add: FPS-ring-def)
then have `fps-const b * x ∈ I`
  by (metis FPS-ring-def f0 h1 ideal-axioms-def ideal-def monoid.simps(1))
then have `fps-nth (fps-const b * x) k = a * b`
  by (simp add: f0)
then have `subdegree (fps-const b * x) = k`
  using f0 h4 h5 by force
then have `a ⊗_R b ∈ sublead-coeff-set I k`
  unfolding sublead-coeff-set-def subdeg-poly-set FPS-ring-def
  using R `fps-const b * x ∈ I` `fps-nth (fps-const b * x) k = a * b` by force
}note proof-2=this
then show ?thesis
apply(cases `a=0 ∨ b=0`)
  using R h2 h3 by auto
qed

lemma add-stable-sublead:
assumes h1:`ideal I FPS-ring`
and h2:`a ∈ sublead-coeff-set I k`
and h3:`b ∈ sublead-coeff-set I k`
shows `a ⊕_{add-monoid R} b ∈ sublead-coeff-set I k`
proof -
have f0:`0 ∈ I`
by (metis FPS-ring-def additive-subgroup.zero-closed h1 ideal.axioms(1) ring.simps(1))
have p2:`a = -b ⟹ a + b ∈ sublead-coeff-set I k`
  unfolding sublead-coeff-set-def subdeg-poly-set by(auto)
{assume h4:`a ≠ 0` and h5:`b ≠ 0` and h6:`a = -b`

```

```

then have  $f3: \exists x \in I. a = \text{fps-nth } x (\text{subdegree } x) \wedge \text{subdegree } x = k$ 
  using  $h2$  unfolding sublead-coeff-set-def subdeg-poly-set
  by(auto)
then obtain  $x$  where  $f2: \forall x \in I \wedge a = \text{fps-nth } x (\text{subdegree } x) \wedge \text{subdegree } x = k$ 
by blast
have  $f4: \exists x \in I. b = \text{fps-nth } x (\text{subdegree } x) \wedge \text{subdegree } x = k$ 
  using  $f0 h3 h4 h5$  unfolding sublead-coeff-set-def subdeg-poly-set
  by(auto)
then obtain  $y$  where  $f1: \forall y \in I \wedge b = \text{fps-nth } y (\text{subdegree } y) \wedge \text{subdegree } y = k$ 
by blast
then have  $\langle x + y \in I \rangle$  using  $h1$  unfolding ideal-def
  using  $f2$  additive-subgroup.a-closed[of  $I$  FPS-ring  $x y$ ]
  by (simp add: FPS-ring-def)
have  $f4: \text{fps-nth } (x+y) k = a + b$ 
  by (simp add:  $f1 f2$ )
have  $\langle \forall i < k. \text{fps-nth } (x+y) i = 0 \rangle$ 
  by (simp add:  $f1 f2$  nth-less-subdegree-zero)
then have  $f5: \text{subdegree } (x+y) = k$ 
  by (metis  $\langle \text{fps-nth } (x+y) k = a + b \rangle$  eq-neg-iff-add-eq-0  $h6$  subdegreeI)
then have  $\langle a+b \in \text{sublead-coeff-set } I k \rangle$ 
  using  $f4 f5$  unfolding sublead-coeff-set-def subdeg-poly-set
  using  $\langle x + y \in I \rangle$  by force
}note proof-1=this
then show ?thesis
apply(cases  $\langle a=0 \vee b=0 \vee a=-b \rangle$ )
using  $R h2 h3 p2$  proof-1 by auto
qed

lemma outer-stable-sublead:
assumes  $h1: \langle \text{ideal } I \text{ FPS-ring} \rangle$  and  $h2: \langle a \in \text{sublead-coeff-set } I k \rangle$  and  $h3: \langle b \in \text{carrier } R \rangle$ 
shows  $\langle b \otimes a \in \text{sublead-coeff-set } I k \rangle$ 
proof -
have  $\langle 0 \in I \rangle$ 
  by (metis FPS-ring-def additive-subgroup.zero-closed  $h1$  ideal.axioms(1) ring.simps(1))
then have  $p2: \langle 0 \in \text{sublead-coeff-set } I k \rangle$  unfolding sublead-coeff-set-def subdeg-poly-set
by(auto)
{assume  $h4: \langle a \neq 0 \rangle$  and  $h5: \langle b \neq 0 \rangle$ 
then have  $f3: \exists x \in I. a = \text{fps-nth } x (\text{subdegree } x) \wedge \text{subdegree } x = k$ 
  using  $h2$  unfolding sublead-coeff-set-def subdeg-poly-set
  by(auto)
then obtain  $x$  where  $f0: \forall x \in I \wedge a = \text{fps-nth } x (\text{subdegree } x) \wedge \text{subdegree } x = k$ 
by blast
then have  $\langle \text{fps-const } b \in \text{carrier } \text{FPS-ring} \rangle$ 
  by (simp add: FPS-ring-def)
then have  $\langle \text{fps-const } b * x \in I \rangle$ 
  by (metis FPS-ring-def  $f0 h1$  ideal-axioms-def ideal-def monoid.simps(1))
then have  $\langle \text{fps-nth } (\text{fps-const } b * x) k = a * b \rangle$ 
  by (simp add:  $f0$ )}

```

```

then have ⟨subdegree (fps-const b * x) = k⟩
  using f0 h4 h5 by force
then have ⟨b ⊗R a ∈ sublead-coeff-set I k⟩
  unfolding sublead-coeff-set-def subdeg-poly-set FPS-ring-def
  using R ⟨fps-const b * x ∈ I⟩ ⟨fps-nth (fps-const b * x) k = a * b⟩ by force
}note proof-2=this
then show ?thesis
  apply(cases ⟨a=0 ∨ b=0⟩)
  using R h2 h3 proof-2 p2 by auto
qed

lemma sublead-ideal:⟨ideal I FPS-ring ⟹ ideal (sublead-coeff-set I k) R⟩
apply(rule idealI)
apply(simp add:ring-R)
apply(rule group.subgroupI)
using abelian-group.a-group ring.is-abelian-group ring-R apply fastforce
apply (simp add: R)
apply(simp add:non-empty-sublead)
using subdeg-inv-in-sublead apply blast
using add-stable-sublead apply force
apply (simp add: outer-stable-sublead mult.commute)
by (metis Groups.mult-ac(2) R monoid.simps(1) outer-stable-sublead)

lemma order-sublead:
assumes h1:⟨J1 ⊆ J2⟩ and h2:⟨ideal J1 FPS-ring⟩ and h3:⟨ideal J2 FPS-ring⟩
shows ⟨sublead-coeff-set J1 k ⊆ sublead-coeff-set J2 k⟩
unfolding sublead-coeff-set-def subdeg-poly-set
using h1 by blast

lemma sup-sublead-stable-add:⟨ideal I FPS-ring ⟹
a ∈ ⋃ (range (sublead-coeff-set I)) ⟹
b ∈ ⋃ (range (sublead-coeff-set I))
⟹ a ⊗add-monoid R b ∈ ⋃ (range (sublead-coeff-set I))⟩
proof –
have f2:⟨x≠0 ∧ x∈subdeg-poly-set I k ⟹ subdegree x = k⟩ for x k
  unfolding subdeg-poly-set by auto
then have f1:⟨x≠0 ∧ x∈subdeg-poly-set I k ⟹ fps-nth x k ∈ sublead-coeff-set I k⟩ for x k
  unfolding sublead-coeff-set-def by blast
assume h1:⟨ideal I FPS-ring⟩ ⟨a ∈ ⋃ (range (sublead-coeff-set I))⟩
⟨b ∈ ⋃ (range (sublead-coeff-set I))⟩
then obtain x x' k k'
  where f0:⟨a = fps-nth x k ∧ x∈subdeg-poly-set I k ∧ b = fps-nth x' k' ∧
x'∈subdeg-poly-set I k'⟩
  unfolding sublead-coeff-set-def apply(safe)
  by (metis (mono-tags, lifting) Un-def mem-Collect-eq subdeg-poly-set)
have p1:⟨k=k' ⟹ a≠0 ⟹ b≠0 ⟹ a∈sublead-coeff-set I k ∧ b ∈ sublead-coeff-set I k⟩
  using h1 f0 f1 fps-nonzero-nth by blast

```

```

have f3:<:k < k' ==> a ≠ 0 ==> b ≠ 0 ==> fps-X^(k'-k)*x ∈ I
  by (metis (no-types, lifting) Formal-Power-Series-Ring.FPS-ring-def UNIV-I
Un-iff
  additive-subgroup.zero-closed f0 h1(1) ideal-axioms-def ideal-def mem-Collect-eq
monoid.simps(1)
  partial-object.select-convs(1) ring.simps(1) singletonD subdeg-poly-set)
then have f4:<:k < k' ==> a ≠ 0 ==> b ≠ 0 ==> subdegree (fps-X^(k'-k)*x) = k'
  by (metis f2 add-diff-inverse-nat f0 fps-nonzero-nth fps-subdegree-mult-fps-X-power(1)

less-numeral-extra(3) nat-diff-split-asm zero-less-diff)
then have f5:<:k < k' ==> a ≠ 0 ==> b ≠ 0 ==> (fps-X^(k'-k)*x) ∈ subdeg-poly-set
I k'
  unfolding subdeg-poly-set using f3 by auto
then have f6:<:k < k' ==> a ≠ 0 ==> b ≠ 0
  ==> fps-nth ((fps-X^(k'-k)*x) + x') k' ∈ ∪ (range (sublead-coeff-set I))
  by (metis R UNIV-I UN-iff add-stable-sublead f0 f1 fps-add-nth fps-mult-fps-X-power-nonzero(1)

fps-zero-nth h1(1) monoid.simps(1) ring-record-simps(12))
have f7:<:b ≠ 0 ==> k < k' ==> a = - b ==> ∃ r. 0 ∈ sublead-coeff-set I r
  by (metis R additive-subgroup.zero-closed h1(1) ideal-def ring.simps(1) sub-
lead-ideal)
have f8:<:a ≠ 0 ==> b ≠ 0 ==> k < k' ==> a ≠ - b ==> ∃ r. a + b ∈ sub-
lead-coeff-set I r
  by (metis UN-E add-diff-cancel-left' add-less-same-cancel2 diff-add-inverse2 f0
f6
  fps-X-power-mult-nth fps-add-nth less-imp-add-positive not-less-zero)
then have p2:<:a ≠ 0 ==> b ≠ 0 ==> k < k' ==> ∃ r. a ⊕ b ∈ sublead-coeff-set
I r
  apply(cases `a = - b`)
  by(auto simp:R FPS-ring-def f7)
have f3':<:k' < k ==> a ≠ 0 ==> b ≠ 0 ==> fps-X^(k-k')*x' ∈ I
  by (metis (no-types, lifting) Formal-Power-Series-Ring.FPS-ring-def UNIV-I
Un-iff
  additive-subgroup.zero-closed f0 h1(1) ideal-axioms-def ideal-def mem-Collect-eq
monoid.simps(1)
  partial-object.select-convs(1) ring.simps(1) singletonD subdeg-poly-set)
then have f4':<:k' < k ==> a ≠ 0 ==> b ≠ 0 ==> subdegree (fps-X^(k-k')*x') = k
  by (metis f2 add-diff-inverse-nat f0 fps-nonzero-nth fps-subdegree-mult-fps-X-power(1)

less-numeral-extra(3) nat-diff-split-asm zero-less-diff)
then have f5':<:k' < k ==> a ≠ 0 ==> b ≠ 0 ==> (fps-X^(k-k')*x') ∈ subdeg-poly-set
I k'
  unfolding subdeg-poly-set using f3' by auto
then have f6':<:k' < k ==> a ≠ 0 ==> b ≠ 0
  ==> fps-nth ((fps-X^(k-k')*x') + x) k ∈ ∪ (range (sublead-coeff-set I))
  by (metis R UNIV-I UN-iff add-stable-sublead f0 f1 fps-add-nth fps-mult-fps-X-power-nonzero(1)

fps-zero-nth h1(1) monoid.simps(1) ring-record-simps(12))
have f7':<:b ≠ 0 ==> k' < k ==> a = - b ==> ∃ r. 0 ∈ sublead-coeff-set I r

```

```

by (metis R additive-subgroup.zero-closed h1(1) ideal-def ring.simps(1) sub-
lead-ideal)
have f8':⟨a ≠ 0 ⟹ b ≠ 0 ⟹ k' < k ⟹ a ≠ - b ⟹ ∃ r. a + b ∈ sub-
lead-coeff-set I r⟩
by (metis (no-types, lifting) UN-iff f8 add.commute add-diff-cancel-right' add-diff-inverse-nat
f0 f4' f6' fps-X-power-mult-nth fps-add-nth not-less-zero nth-subdegree-zero-iff
subdegree-0)
then have p3:⟨a ≠ 0 ⟹ b ≠ 0 ⟹ k' < k ⟹ ∃ r. a ⊕ b ∈ sublead-coeff-set
I r⟩
apply(cases ⟨a=-b⟩)
by(auto simp:R FPS-ring-def f7')
have cases:⟨k=k' ∨ k<k' ∨ k'<k⟩
by auto
then show ?thesis
apply(cases ⟨a=0 ∨ b= 0⟩)
using R h1(2) h1(3) apply force
using Formal-Power-Series-Ring.add-stable-sublead R h1(1) p1 p2 p3 by(force)

qed

lemma sup-sublead-ideal:⟨ideal I FPS-ring ⟹ ideal (⋃ k. sublead-coeff-set I k) = I⟩
apply(rule idealI)
apply(simp add: ring-R)
apply(rule group.subgroupI)
using abelian-group.a-group ring.is-abelian-group ring-R apply blast
apply(simp add: R)
using non-empty-sublead apply force
using subdeg-inv-in-sublead apply force
using sup-sublead-stable-add apply force
apply (metis UN-iff outer-stable-sublead)
by (metis UN-iff ideal.I-r-closed sublead-ideal)

lemma Sub-subdeg-eq-ideal:⟨ideal J FPS-ring ⟹ (⋃ k. subdeg-poly-set J k) = J⟩
unfolding subdeg-poly-set apply(safe)
apply (metis Formal-Power-Series-Ring.FPS-ring-def
additive-subgroup.zero-closed ideal.axioms(1) ring.simps(1))
by auto

lemma eq-subdeg:
assumes h1:⟨J1 ⊆ J2⟩
and h3:⟨ideal J1 FPS-ring⟩ and h4:⟨ideal J2 FPS-ring⟩
shows ⟨J1 = J2 ⟷ (∀ k. subdeg-poly-set J1 k = subdeg-poly-set J2 k)⟩
proof -
have ⟨∀ k. subdeg-poly-set J1 k = subdeg-poly-set J2 k
⟹ (∪ k. subdeg-poly-set J1 k) = (∪ k. subdeg-poly-set J2 k)⟩

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```

    by auto
  then have f0:⟨∀ k. subdeg-poly-set J1 k = subdeg-poly-set J2 k ⟹ J1 = J2⟩
    by (metis Sub-subdeg-eq-ideal h3 h4)
  have f1:⟨J1 = J2 ⟹ ∀ k. subdeg-poly-set J1 k = subdeg-poly-set J2 k⟩
    unfolding subdeg-poly-set by auto
  then show ?thesis using f0 f1 by auto
qed

lemma inculded-sublead:⟨ideal I FPS-ring ⟹ sublead-coeff-set I k ⊆ sublead-coeff-set I (k+1)⟩
  unfolding sublead-coeff-set-def subdeg-poly-set
proof(safe)
  fix x a
  assume ⟨ideal I local.FPS-ring⟩
  ⟨a ∈ I⟩
  ⟨k = subdegree a⟩
  show ⟨∃ aa. fps-nth a (subdegree a) = fps-nth aa (subdegree aa)
    ∧ aa ∈ {aa ∈ I. subdegree aa = subdegree a + 1} ∪ {0}⟩
    apply(rule exI[where x=⟨fps-X * a⟩])
    by (simp add:subdegree-eq-0-iff ⟨a ∈ I⟩ ⟨ideal I local.FPS-ring⟩ mult-X-in-ideal)+

next
  show ⟨∃ a. fps-nth 0 (subdegree 0) = fps-nth a (subdegree a)
    ∧ a ∈ {a ∈ I. subdegree a = k + 1} ∪ {0}⟩
    by auto
qed

lemma included-sublead-gen:assumes ⟨ideal I FPS-ring⟩ ⟨k ≤ k'⟩
  shows ⟨sublead-coeff-set I k ⊆ sublead-coeff-set I (k')⟩
  using assms
  apply(induct ⟨k' - k⟩)
  apply simp
  by (metis Suc-eq-plus1 inculded-sublead lift-Suc-mono-le)

lemma sup-sublead:
  assumes h1:⟨ideal I FPS-ring⟩
  and h2: ⟨noetherian-ring R⟩
  shows ⟨(⋃ {sublead-coeff-set I k | k ∈ UNIV}) ⊆ {sublead-coeff-set I k | k ∈ UNIV}⟩
  apply(rule noetherian-ring.ideal-chain-is-trivial[OF h2, of ⟨{sublead-coeff-set I k | k ∈ UNIV}⟩])
  apply blast
  unfolding subset-chain-def using included-sublead-gen
  by(auto simp add: h1 sublead-ideal)(meson h1 in-mono linorder-linear)

lemma subdeg-inf-imp-s-tendsto-zero:
  fixes s::⟨nat ⇒ 'a::{idom} fps⟩
  assumes g2:⟨strict-mono (λn. subdegree (s n))⟩
  shows ⟨s ⟶ 0⟩
proof –
  have g1:⟨(λx. 1/x) ⟶ 0⟩

```

```

using lim-1-over-n by force
have ‹∀ n. ∃ k. n < subdegree (s k)›
  by (metis dual-order.strict-trans g2 gt-ex linorder-not-le
       nat-neq-iff strict-mono-imp-increasing)
have r1:⟨r>0 ⟹ (n::nat) > log 2 (1/r) ⟹ (1/2^n < r) ⟺ 2^n > 1/r for
  n r
  by (auto simp:field-simps)
have r2:⟨r>0 ⟹ (n::nat) > log 2 (1/r) ⟹ 2^n > 2 powr (log 2 (1/r)) for
  n r
  by (simp add: log-less-iff powr-realpow)
then have r3:⟨r>0 ⟹ (n::nat) > log 2 (1/r) ⟹ 2 powr (log 2 (1/r)) = 1/r for r n
  by auto
then have r4:⟨r>0 ⟹ (n::nat) > log 2 (1/r) ⟹ 2^n > 1/r for r n
  using ‹∀ r n. [0 < r; log 2 (1 / r) < real n] ⟹ 2 powr log 2 (1 / r) < 2 ^ n› by force
  then have r5:⟨r>0 ⟹ (n::nat) > log 2 (1/r) ⟹ 1/2^n < r for r n
  using ‹∀ r n. [0 < r; log 2 (1 / r) < real n] ⟹ (1 / 2 ^ n < r) = (1 / r < 2 ^ n)› by blast
have ‹ceiling (r::real) ≥ r› for r
  by simp
then have r6:⟨r>0 ⟹ (ceiling (log 2 (1/r))) ≥ log 2 (1/r) for r
  by auto
then have r7:⟨r>0 ⟹ (n::nat) > (ceiling (log 2 (1/r))) ⟹ 1/2^n < r for
  r n
  by (metis ‹∀ r n. [0 < r; log 2 (1 / r) < real n] ⟹ 1 / 2 ^ n < r›
       ceiling-less-cancel ceiling-of-nat)
have r8:⟨r>0 ⟹ ∃ n::nat. n > (log 2 (1/r)) for r
  by (simp add: reals-Archimedean2)
have r9:⟨r>0. ∃ n0. ∀ n≥n0. 1/2^n < r
proof(safe)
  fix r::real
  assume ‹r>0›
  then obtain n::nat where ‹n > (log 2 (1/r))› using r8 by blast
  show ‹∃ n0. ∀ n≥n0. 1 / 2 ^ n < r›
    apply(rule exI[where x=n])
    using ‹0 < r› ‹log 2 (1 / r) < real n› r5 by auto
qed
show t2:⟨s ⟶ 0›
proof(rule metric-LIMSEQ-I)
  fix r::real
  assume ‹0 < r›
  then obtain n where ‹n > 0 ∧ 1/2^n < r› using r9
    by (metis gr0I less-or-eq-imp-le zero-less-numeral)
  then have ‹∀ k≥n. inverse (2^k) < r›
    by (smt (verit, ccfv-threshold) inverse-eq-divide
         inverse-less-iff-less power-increasing-iff zero-less-power)
  then obtain n1 where ‹1/2^(subdegree (s n1)) < r ∧ n1 > 0›
    by (metis ‹∀ n. ∃ k. n < subdegree (s k)› bot-nat-0.not-eq-extremum di-

```

```

vide-inverse
  mult-1 nle-le not-less-iff-gr-or-eq order-less-le-trans)
then have <dist (s n1) 0 < r>
  by (simp add: <0 < r> dist-fps-def inverse-eq-divide)
then show < $\exists no. \forall n \geq no. dist(s n) 0 < r$ >
  apply(intro exI[where x=n1])
  apply(safe) using g2
  unfolding dist-fps-def strict-mono-def
  using power-strict-increasing-iff[of 2 <subdegree (s n1)>] inverse-eq-divide
  inverse-le-iff-le
  by (smt (verit) <0 < r> <1 / 2 ^ subdegree (s n1) < r ∧ 0 < n1>
    diff-zero le-eq-less-or-eq power-less1-D)
qed
qed

```

```

lemma idl-sum:<finite A ==> ideal {x. ∃ s. x = ( $\sum i \in \{0..<\text{card } A\}. s i * \text{from-nat-into } A i\}$ } R> for A
proof(rule idealI)
assume <finite A>
show <ring R>
  using ring-R by (simp)
  show <subgroup {x. ∃ s. x = ( $\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i\}$ }>
  (add-monoid R)
  proof(rule group.subgroupI)
  show <Group.group (add-monoid R)>
    using abelian-group.a-group ring.is-abelian-group ring-R by blast
    show <{x. ∃ s. x = ( $\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i\}$ } ⊆ carrier
    (add-monoid R)>
    by (simp add: R)
    show <{x. ∃ s. x = ( $\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i\}$ } ≠ {}>
    by blast
next
  fix a assume <a ∈ {x. ∃ s. x = ( $\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i\}$ }>
  then show <invadd-monoid R a ∈ {x. ∃ s. x = ( $\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i\}$ }>
  proof(safe)
    fix s
    have p6:<(THE y. ( $\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i\} + y = 0 \wedge y$ 
    +  

    ( $\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i\} = 0\)$ )
    = ( $\sum i = 0..<\text{card } A. - (s i * \text{from-nat-into } A i)\}$ ) for A:<'a set> and s
    using theI'[of <λy. ( $\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i\} + y = 0 \wedge$ 
    y +
    ( $\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i\} = 0\}$ ]
    by (smt (verit, best) add.commute add.right-inverse add-left-imp-eq sum.cong
    sum-negf)

```

```

assume ⟨ $a = (\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i)$ ⟩
then show ⟨ $\exists sa. \text{inv}_{\text{add-monoid } R} (\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i) = (\sum i = 0..<\text{card } A. sa i * \text{from-nat-into } A i)$ ⟩
    apply(intro exI[where  $x = \langle -s \rangle$ ])
    by(auto simp add:m-inv-def p6 R)
qed
next
fix  $a b$ 
assume ⟨ $a \in \{x. \exists s. x = (\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i)\}$ ⟩
    ⟨ $b \in \{x. \exists s. x = (\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i)\}$ ⟩
    then show ⟨ $a \otimes_{\text{add-monoid } R} b \in \{x. \exists s. x = (\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i)\}$ ⟩
        proof(safe)
            fix  $s sa$ 
            assume ⟨ $a = (\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i)$ ⟩
                ⟨ $b = (\sum i = 0..<\text{card } A. sa i * \text{from-nat-into } A i)$ ⟩
                then show ⟨ $\exists sb. (\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i) \otimes_{\text{add-monoid } R} (\sum i = 0..<\text{card } A. sa i * \text{from-nat-into } A i) = (\sum i = 0..<\text{card } A. sb i * \text{from-nat-into } A i)$ ⟩
                    apply(intro exI[where  $x = \langle \lambda i. s i + sa i \rangle$ ])
                    by(simp add:R comm-semiring-class.distrib sum.distrib)
            qed
        qed
    next
    fix  $a x$ 
    assume ⟨finite A⟩ ⟨ $a \in \{x. \exists s. x = (\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i)\}$ ⟩ ⟨ $x \in \text{carrier } R$ ⟩
    then show ⟨ $x \otimes a \in \{x. \exists s. x = (\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i)\}$ ⟩
        ⟨ $a \otimes x \in \{x. \exists s. x = (\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i)\}$ ⟩
        proof(safe)
            fix  $s$ 
            assume ⟨ $a = (\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i)$ ⟩
            then show
                ⟨ $\exists sa. x \otimes (\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i) = (\sum i = 0..<\text{card } A. sa i * \text{from-nat-into } A i)$ ⟩
                apply(intro exI[where  $x = \langle (\lambda i. x * s i) \rangle$ ])
                by(simp add:R comm-semiring-class.distrib sum.distrib mult.assoc sum-distrib-left)

            show
                ⟨ $\exists sa. (\sum i = 0..<\text{card } A. s i * \text{from-nat-into } A i) \otimes x = (\sum i = 0..<\text{card } A. sa i * \text{from-nat-into } A i)$ ⟩
                apply(intro exI[where  $x = \langle (\lambda i. x * s i) \rangle$ ])
                by(simp add:R comm-semiring-class.distrib sum.distrib mult.assoc sum-distrib-left mult.left-commute mult.commute)
            qed
        qed

lemma genideal-sum-rep:
⟨finite A ⟹ genideal R A = {x. ∃ s. x = (∑ i ∈ {0..<card A}. s i * from-nat-into

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 $A \ i) \} \text{ for } A$ 
proof(subst set-eq-subset, rule conjI)
  assume hr: $\langle \text{finite } A \rangle$ 
  then have unq: $\langle x \in A \implies \exists !i. \ i < \text{card } A \wedge \text{from-nat-into } A \ i = x \rangle$  for x
    using bij-betw-from-nat-into-finite[of A, OF  $\langle \text{finite } A \rangle$ ]
    unfolding bij-betw-def inj-on-def
    by (smt (verit, ccfv-threshold)  $\langle \text{bij-betw (from-nat-into } A) \ \{.. < \text{card } A\} \ A \rangle$ 
      bij-betw-iff-bijections lessThan-iff)
    have  $\langle A \neq \{\} \implies (\text{card } (\{0.. < \text{card } A\} \cap \{i. \text{from-nat-into } A \ i = x\})) = 1 \rangle$  if
    hh: $\langle x \in A \rangle$  for x
    proof(rule ccontr)
      assume hhh: $\langle \text{card } (\{0.. < \text{card } A\} \cap \{i. \text{from-nat-into } A \ i = x\}) \neq 1 \rangle$   $\langle A \neq \{\} \rangle$ 
      then have jm:
         $\langle \text{card } (\{0.. < \text{card } A\} \cap \{i. \text{from-nat-into } A \ i = x\}) > 1 \implies \exists i_1 i_2. \ i_1 \neq i_2 \wedge$ 
         $i_1 < \text{card } A \wedge \text{from-nat-into } A \ i_1 = \text{from-nat-into } A \ i_2 \wedge \text{from-nat-into } A \ i_1 =$ 
        x
        by (smt (verit, ccfv-SIG) Int-Collect One-nat-def atLeastLessThan-iff card-le-Suc0-iff-eq
          finite-Int finite-atLeastLessThan linorder-not-less n-not-Suc-n)
      then have  $\langle \text{card } (\{0.. < \text{card } A\} \cap \{i. \text{from-nat-into } A \ i = x\}) > 1 \rangle$  using hhh
      hr
        by (metis (mono-tags, lifting) Int-def atLeastLessThan-iff card-eq-0-iff emptyE
          finite-Int
            finite-atLeastLessThan le0 less-one linorder-neqE-nat mem-Collect-eq that
            unq)
        then show False using jm unq[OF hh] by(auto)
      qed
      then have  $\langle A \subseteq \{x. \exists s. \ x = (\sum i = 0.. < \text{card } A. \ s \ i * \text{from-nat-into } A \ i)\} \rangle$ 
      proof(safe)
        fix x
        assume hhhh: $\langle (\forall x. \ x \in A \implies A \neq \{\}) \implies \text{card } (\{0.. < \text{card } A\} \cap \{i. \text{from-nat-into } A \ i = x\}) = 1 \rangle$ 
           $\langle x \in A \rangle$ 
        then have of-nat ( $\text{card } (\{0.. < \text{card } A\} \cap \{xa. \text{from-nat-into } A \ xa = x\}) = 1 \rangle$ 
          by (metis One-nat-def card.empty less-nat-zero-code of-nat-1 unq)
        with hhhh show  $\langle \exists s. \ x = (\sum i = 0.. < \text{card } A. \ s \ i * \text{from-nat-into } A \ i) \rangle$ 
          apply(cases  $\langle x=0 \rangle$ )
          apply(rule exI[where  $x=\langle \lambda i. \ 0 \rangle$ ])
          apply(simp)
          apply(rule exI[where  $x=\langle \lambda i. \ \text{if from-nat-into } A \ i = x \text{ then } 1 \text{ else } 0 \rangle$ ])
          apply(subst if-distrib[where  $f=\langle \lambda x. \ x*a \rangle$  for a])
          apply(subst sum.If-cases)
          by(simp)+
        qed
        then show  $\langle \text{Idl } A \subseteq \{x. \exists s. \ x = (\sum i = 0.. < \text{card } A. \ s \ i * \text{from-nat-into } A \ i)\} \rangle$ 
          unfolding genideal-def using idl-sum[OF hr] by(auto)
        show  $\langle \{x. \exists s. \ x = (\sum i = 0.. < \text{card } A. \ s \ i * \text{from-nat-into } A \ i)\} \subseteq \text{Idl } A \rangle$ 
        proof(safe)
          fix x and s: $\langle \text{nat} \Rightarrow 'a \rangle$ 

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have a:<math>\forall i < \text{card } A. \text{from-nat-into } A i \in A</math>
  by (metis card.empty from-nat-into less-nat-zero-code)
then have b:<math>\forall i. s i \in \text{carrier } R</math>
  using R by auto
then have <math>\forall i < \text{card } A. s i * \text{from-nat-into } A i \in \text{genideal } R A</math>
  using ring.genideal-ideal[OF ring-R, of A] ideal.I-l-closed[of - R ]
  by (metis R a ideal-def monoid.simps(1) partial-object.select-convs(1)
       ring.genideal-self subsetD subset-UNIV)
have ff:<math>A \subseteq \text{carrier } R</math> by (simp add:R)
then have <math>\forall i < n. g i \in \text{genideal } R A \implies (\sum_{i \in \{0..n\}} g i) \in \text{genideal } R A</math>
  for g::nat => 'a and n
  apply(induct n)
  apply (metis R additive-subgroup.zero-closed atLeastLessThan-iff
         ideal-def not-less-zero ring.genideal-ideal ring.simps(1) ring-R sum.neutral)
  using ring.genideal-ideal[OF ring-R, of A, OF <math>A \subseteq \text{carrier } R</math>]
  additive-subgroup.a-closed[of <math>\text{genideal } R A</math> R - -] unfolding ideal-def
by(auto simp:R)
  then show <math>(\sum_{i = 0..< \text{card } A} s i * \text{from-nat-into } A i) \in \text{Idl } A</math>
  using <math>\forall i < \text{card } A. s i * \text{from-nat-into } A i \in \text{Idl } A</math> by presburger
qed
qed

```

```

lemma fps-sum-rep-nth':
  fps-nth (sum (<math>\lambda i. \text{fps-const}(a i) * \text{fps-}X^i</math>) {0..m}) n = (if <math>n \leq m</math> then <math>a n</math> else 0)
  by (simp add: fps-sum-nth if-distrib cong del: if-weak-cong)

lemma abs-tndsto: shows <math>(\lambda n. (\sum_{i \leq n} \text{fps-const}(s i) * \text{fps-}X^i))::'a \text{fps} \longrightarrow \text{Abs-fps } s</math>
  (is <math>?s \longrightarrow ?a</math>)
proof -
  have <math>\exists n0. \forall n \geq n0. \text{dist} (?s n) ?a < r \text{ if } r > 0 \text{ for } r</math>
  proof -
    obtain n0 where n0: <math>(1/2)^{n0} < r^{n0} > 0</math>
    using reals-power-lt-ex[OF <math>r > 0</math>, of 2] by auto
    show ?thesis
  proof -
    have dist (?s n) ?a < r if nn0: <math>n \geq n0 \text{ for } n</math>
    proof -
      from that have thnn0: <math>(1/2)^n \leq (1/2 :: \text{real})^{n0}</math>
      by (simp add: field-split-simps)
      show ?thesis
    proof (cases ?s n = ?a)
      case True
      then show ?thesis
      unfolding metric-space.dist-eq-0-iff
      using <math>r > 0</math> by (simp del: dist-eq-0-iff)
    next
  
```

```

case False
from False have dth: dist (?s n) ?a = (1/2)n subdegree (?s n - ?a)
  by (simp add: dist-fps-def field-simps)
from False have kn: subdegree (?s n - ?a) > n
  apply (intro subdegree-greaterI) apply(simp-all add: fps-sum-rep-nth')
  by (metis (full-types) atLeast0AtMost fps-sum-rep-nth')
then have dist (?s n) ?a < (1/2)n
  by (simp add: field-simps dist-fps-def)
also have ... ≤ (1/2)n
  using nn0 by (simp add: field-split-simps)
also have ... < r
  using n0 by simp
finally show ?thesis .
qed
qed
then show ?thesis by blast
qed
qed
then show ?thesis
  unfolding lim-sequentially by blast
qed

lemma add-stable-FPS-ring:ideal I FPS-ring  $\implies$  a ∈ I  $\implies$  b ∈ I  $\implies$  a + b ∈ I
  unfolding FPS-ring-def
  by (metis additive-subgroup.a-closed ideal.axioms(1) ring-record-simps(12))

lemma abs-tndsto-le: shows  $\langle (\lambda n. (\sum i < n. \text{fps-const } (s i) * \text{fps-}X^i)) : 'a \text{ fps} \rangle$ 
   $\longrightarrow \text{Abs-fps } s$ 
  using LIMSEQ-lessThan-iff-atMost abs-tndsto by blast

lemma bij-betw-strict-mono:
  assumes strict-mono (f::nat $\Rightarrow$ nat)
  shows bij-betw f UNIV (f'UNIV)
  by (simp add: assms bij-betw-imageI strict-mono-on-imp-inj-on)

lemma no-i-inf-0:strict-mono (f::nat $\Rightarrow$ nat)  $\implies$  i < f 0  $\implies$   $\neg(\exists j. f j = i)$ 
  by (auto simp add: strict-mono-less)

lemma inter-mt:strict-mono (f::nat $\Rightarrow$ nat)  $\implies$  {.. < f 0}  $\cap$  range f = {}
  by (metis Int-emptyI lessThan-iff no-i-inf-0 rangeE)

lemma range-inter-f:strict-mono (f::nat $\Rightarrow$ nat)  $\implies$  {.. < f n}  $\cap$  range f = f'{0.. < n}
  apply(induct n)
  apply (simp add: inter-mt)
  by (auto simp:strict-mono-less strict-monoD)

lemma simp-rule-sum:strict-mono (f::nat $\Rightarrow$ nat)  $\implies$  ( $\sum i \in \{.. < f (\text{Suc } n)\}$ . (if i

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 $\in \text{range } f$ 
 $\text{then } (s ((\text{inv-into } \text{UNIV } f) i)) * \text{fps-}X^{\widehat{i}} \text{ else } 0) = (\sum_{i \in \{.. < f n\}}. (\text{if } i \in \text{range } f \text{ then}$ 
 $(s ((\text{inv-into } \text{UNIV } f) i)) * \text{fps-}X^{\widehat{i}} \text{ else } 0) + (s ((\text{inv-into } \text{UNIV } f) (f n))) * \text{fps-}X^{\widehat{(f n)}})$ 
proof –
assume h1:<strict-mono f>
have f0:< $\forall i \in \{f n < .. < f\}.$  (if  $i \in \text{range } f$  then  $(s ((\text{inv-into } \text{UNIV } f) i)) * \text{fps-}X^{\widehat{i}}$  else 0) = 0>
by (metis greaterThanLessThan-iff h1 not-less-eq rangeE strict-mono-less)
then have s:< $\{.. < f\} = \{f n\} \cup \{f n < .. < f\}$ >
by (metis h1 ivl-disj-un-one(1) strict-mono-Suc-iff)
show ?thesis
apply(subst s)
apply(subst sum.union-disjoint)
apply(auto)[3]
using f0 apply(simp)
by (smt (verit, ccfv-SIG) lessThan-Suc-atMost rangeI sum.lessThan-Suc)
qed

lemma rewriting-sum: assumes <strict-mono (f::nat $\Rightarrow$ nat)>
shows <math display="block">(\sum_{i < n}. \text{fps-const } (s i) * \text{fps-}X^{\widehat{(f i)}}) = (\sum_{i \in \{.. < f n\}}. (\text{if } i \in \text{range } f \text{ then } \text{fps-const } (s (\text{inv-into } \text{UNIV } f i)) * \text{fps-}X^{\widehat{i}}
 $\text{else } 0))proof(induct n)
case 0
then show ?case
by (simp add: assms inter-mt sum.If-cases )
next
case (Suc n)
then show ?case
apply(subst simp-rule-sum)
by(auto simp:assms strict-mono-on-imp-inj-on)
qed$ 
```

```

lemma exists-seq:<strict-mono (f::nat $\Rightarrow$ nat)  $\implies$ 
 $\exists s. (\sum_{i \in \{.. < f n\}}. (\text{if } i \in \text{range } f \text{ then } \text{fps-const } (s' (\text{inv-into } \text{UNIV } f i)) * \text{fps-}X^{\widehat{i}}$ 
 $\text{else } 0)) = (\sum_{i \in \{.. < f n\}}. \text{fps-const } (s i) * \text{fps-}X^{\widehat{i}})apply(rule exI[where x=< $\lambda i. (\text{if } i \in \text{range } f \text{ then } (s' ((\text{inv-into } \text{UNIV } f) i)) \text{ else } 0)$ >])
using rewriting-sum
by (smt (verit, best) fps-const-0-eq-0 lambda-zero sum.cong)

lemma exists-seq':<strict-mono (f::nat $\Rightarrow$ nat)  $\implies$ 
 $\exists s. (\sum_{i < n}. \text{fps-const } (s' i) * (\text{fps-}X^{\widehat{a}} \text{fps})^{\widehat{(f i)}}) =$ 
 $(\sum_{i \in \{.. < f n\}}. \text{fps-const } (s i) * \text{fps-}X^{\widehat{i}})$$ 
```

```

apply(subst rewriting-sum[])
using exists-seq[of f <\lambda i. (s' i)>]
by(auto)

lemma exists-seq-all:<strict-mono (f::nat⇒nat) ⟹
  ∃ s. ∀ n. (∑ i∈{... (if i ∈ range f then fps-const (s' (inv-into UNIV f i)) *fps-X^i else 0)}) =
    = (∑ i∈{... fps-const (s i) *fps-X^i})
  apply(rule exI[where x=<\lambda i. (if i ∈ range f then (s' ((inv-into UNIV f) i)) else 0)>])
  using rewriting-sum
  by (smt (verit, best) fps-const-0-eq-0 lambda-zero sum.cong)

```

```

lemma exists-seq-all':<strict-mono (f::nat⇒nat) ⟹
  ∃ s. ∀ n. (∑ i< n. fps-const (s' i) * fps-X^(f i)) =
    = (∑ i∈{... fps-const (s i) *fps-X^i})
  apply(subst rewriting-sum)
  using exists-seq-all[of f <\lambda i. (s' i)>]
  by(auto)

```

```

lemma tendsto-f-seq:assumes <strict-mono (f::nat⇒nat)>
  shows <(λn. (∑ i∈{... fps-const (s i) *fps-X^i})::'a fps) ⟶ Abs-fps (λi. s i)>
  using fps-notation LIMSEQ-subseq-LIMSEQ[OF abs-tndsto-le[of s], of f] assms
  by(auto simp:o-def)

```

```

lemma LIMSEQ-add-fps:
  fixes x y :: 'a::idom fps
  assumes f:f ⟶ x and g:(g ⟶ y)
  shows ((λx. f x + g x) ⟶ x + y)
  proof –
    from f have <∀ e>0. ∃ n. ∀ j≥n. dist (f j) x < e/2>
      using lim-sequentially
      using half-gt-zero by blast
    from g have f0:<∀ e>0. ∃ n. ∀ j≥n. dist (g j) y < e/2>
      using lim-sequentially half-gt-zero by blast
    have f4:<dist (f j - x) 0 = dist (f j) x> for j
      unfolding dist-fps-def by(auto)
    have f5:<dist (g j - y) 0 = dist (g j) y> for j
      by (metis diff-0-right dist-fps-def eq-iff-diff-eq-0)
    then have f0':<dist (f j + g j) (x + y) = dist (f j - x + g j - y) 0> for j
      unfolding dist-fps-def
      by (auto simp add: add.commute add-diff-eq diff-diff-eq2)
    have f1:<dist (f j - x + g j - y) 0 ≤ max (dist (f j - x) 0) (dist (g j - y) 0)>
      for j

```

```

unfolding dist-fps-def apply(auto simp:le-max-iff-disj field-simps)[1]
  by (metis (no-types, lifting) add-diff-add eq-iff-diff-eq-0 min-le-iff-disj subdegree-add-ge')
    then have f2: $\langle \text{dist} (f j - x + g j - y) 0 \leq \text{dist} (f j - x) 0 + \text{dist} (g j - y) 0 \rangle$ 
    for j
      by (smt (verit) zero-le-dist)
    from f0 have f3: $\langle \forall e > 0. \exists n. \forall j \geq n. \text{dist} (f j) x + \text{dist} (g j) y < e/2 + e/2 \rangle$ 
      by (metis ‹ $\forall e > 0. \exists n. \forall j \geq n. \text{dist} (f j) x < e / 2$ › add-strict-mono le-trans linorder-le-cases)
    then show ?thesis
    unfolding LIMSEQQ-def
    by (metis f0' f2 f4 f5 field-sum-of-halves order-le-less-trans)
  qed

```

```

lemma LIMSEQQ-cmult-fps:
  fixes x y :: 'a::idom fps
  assumes f:f  $\longrightarrow$  x
  shows  $((\lambda x. c * f x) \longrightarrow c * x)$ 
proof -
  from f have ‹ $\forall e > 0. \exists n. \forall j \geq n. \text{dist} (f j) x < e$ ›
  using lim-sequentially
  using half-gt-zero by blast
  have  $\langle \text{dist} (c * f j - c * x) 0 = \text{dist} (c * (f j)) (c * x) \rangle$ 
  for j
    unfolding dist-fps-def by auto
    have  $\langle \forall i \leq n . \text{fps-nth} (f j) i = \text{fps-nth} x i \implies (\sum i = 0..n. \text{fps-nth} c i * \text{fps-nth} (f j) (n - i)) = (\sum i = 0..n. \text{fps-nth} c i * \text{fps-nth} x (n - i)) \rangle$ 
    for j n
      using diff-le-self by presburger
    have  $\langle c \neq 0 \implies \text{dist} (f j) x \geq \text{dist} (c * f j) (c * x) \rangle$ 
    for j
    proof(cases ‹x = f j›)
      case True
      then show ?thesis
      unfolding dist-fps-def subdegree-def
      by(auto)
    next
      case False
      then have rule-su: $\langle (\text{LEAST } n. \text{fps-nth} (f j) n \neq \text{fps-nth} x n) \leq (\text{LEAST } n. \text{fps-nth} (c * f j) n \neq \text{fps-nth} (c * x) n) \implies c \neq 0 \implies \text{dist} (c * f j) (c * x) \leq \text{dist} (f j) x \rangle$ 
      unfolding dist-fps-def subdegree-def by(auto)
      have f0: $\langle n < (\text{LEAST } n. \text{fps-nth} (f j) n \neq \text{fps-nth} x n) \implies (\sum i = 0..n. \text{fps-nth} c i * \text{fps-nth} (f j) (n - i)) = (\sum i = 0..n. \text{fps-nth} c i * \text{fps-nth} x (n - i)) \rangle$ 
      for n
        by (metis (mono-tags, lifting) less-imp-diff-less not-less-Least)
      have f1: $\langle \forall n. (\sum i = 0..n. \text{fps-nth} c i * \text{fps-nth} (f j) (n - i)) = (\sum i = 0..n. \text{fps-nth} c i * \text{fps-nth} x (n - i)) \implies$ 

```

```

 $x \neq f j \implies c \neq 0 \implies (\text{LEAST } n. \text{fps-nth } (f j) \ n \neq \text{fps-nth } x \ n) \leq (\text{LEAST } n. \text{False})$ 
  (is ‹?P ⟹ ?R1 ⟹ ?R2 ⟹ ?R3›)
  proof –
    assume a1:  $c \neq 0$ 
    assume a2:  $x \neq f j$ 
    assume  $\forall n. (\sum i = 0..n. \text{fps-nth } c \ i * \text{fps-nth } (f j) \ (n - i)) =$ 
            $(\sum i = 0..n. \text{fps-nth } c \ i * \text{fps-nth } x \ (n - i))$  (is ‹?P›)
    then show  $(\text{LEAST } n. \text{fps-nth } (f j) \ n \neq \text{fps-nth } x \ n) \leq (\text{LEAST } n. \text{False})$ 
      using a2 a1 by (metis (no-types) fps-ext fps-mult-nth mult-cancel-left)
    qed
    have f2: ‹ $x \neq f j \implies c \neq 0 \implies (\text{LEAST } n. \text{fps-nth } (f j) \ n \neq \text{fps-nth } x \ n)$ 
            $\leq (\text{LEAST } n. (\sum i = 0..n. \text{fps-nth } c \ i * \text{fps-nth } (f j) \ (n - i)) \neq$ 
            $(\sum i = 0..n. \text{fps-nth } c \ i * \text{fps-nth } x \ (n - i)))$ › (is ‹?R1 ⟹ ?R2 ⟹ ?P1›)
    proof (cases ‹?P›)
      case True
      assume ‹x ≠ f j› ‹c ≠ 0›
      then show ?thesis using True f1 by (auto)
    next
      case False
      assume a1:  $c \neq 0$ 
      assume a2:  $x \neq f j$ 
      show ?thesis
      proof (insert False a1 a2, rule ccontr)
        fix n
        assume **: ‹¬ ?P›
        assume *: ‹¬ ?P1›
        (is ‹¬ ?a ≤ ?b›)
        then have ‹fps-nth (f j) ?b = fps-nth (f j) ?b›
          by blast
        also have ‹(sum i = 0..?b. fps-nth c i * fps-nth (f j) (?b - i))
            $\neq (sum i = 0..?b. fps-nth c i * fps-nth x (?b - i))$ ›
          using *
          by (smt (verit, best) ** LeastI sum.cong)
        thus False
          using * f0 linorder-not-le by blast
      qed
    qed
  from False show ?thesis
  unfolding dist-fps-def subdegree-def
  by (simp add: f2 fps-mult-nth)
qed
then show ?thesis
unfolding LIMSEQ-def
by (metis ‹∀ e>0. ∃ n. ∀ j≥n. dist (f j) x < e› dist-self lambda-zero or-
der-le-less-trans)
qed

```

### 6.3 The Hilbert Basis theorem

```

theorem Hilbert-basis-FPS:
  assumes h2:<noetherian-ring R>
  shows <noetherian-ring FPS-ring>
  proof(rule ring.noetherian-ringI)
    show fst:<ring FPS-ring>
      by (simp add: ring-FPS)
    fix I
    assume h1:<ideal I FPS-ring>
    show < $\exists A \subseteq \text{carrier } \text{FPS-ring}. \text{ finite } A \wedge I = \text{Idl}_{\text{FPS-ring}} A$ >
    proof(cases <I={0}  $\vee$  I = carrier FPS-ring>)
      case True
      then show ?thesis apply(safe)
        apply(rule exI[where x=<{0}>])
        apply(simp add:genideal-def)
        using h1 ideal.Icarr apply fastforce
        apply(rule exI[where x=<{1}>])
        using ideal.I-l-closed by(fastforce simp:FPS-ring-def genideal-def)
      next
      case False
      have f0:<subset.chain {I. ideal I R} {(sublead-coeff-set I k)|k. k $\in$  UNIV}>
      unfolding subset-chain-def using included-sublead-gen[OF h1] sublead-ideal[OF
      h1]
        by (smt (verit, ccfv-threshold) mem-Collect-eq nle-le subsetI)
      have f2:<{(sublead-coeff-set I k)|k. k $\in$  UNIV}>  $\neq \{\}$ 
        using h1 by(auto)
      have <genideal R S = genideal R (S $\cup$ {0})> for S
      unfolding genideal-def
        by (metis R Un-insert-right additive-subgroup.zero-closed
          ideal.axioms(1) insert-subset ring.simps(1) sup-bot-right)
      have <( $\bigcup k. \text{sublead-coeff-set } I k$ )  $\in$  { sublead-coeff-set I m|m. m $\in$  UNIV}>
        by (smt (verit, best) Collect-cong full-SetCompr-eq h1 h2 image-iff mem-Collect-eq
        sup-sublead)
        then have < $\exists m. (\bigcup k. \text{sublead-coeff-set } I k) = \text{sublead-coeff-set } I m$ > by auto
        then obtain m where f60:<( $\bigcup k. \text{sublead-coeff-set } I k$ ) = sublead-coeff-set I
        m  $\wedge$  m $>0by (metis Formal-Power-Series-Ring.included-sublead-gen UNIV-I UN-upper
            bot-nat-0.extremum dual-order.eq-iff h1 less-Suc0 neq0-conv)
        have < $\forall k \geq m. \text{sublead-coeff-set } I m = \text{sublead-coeff-set } I k$ >
          using Formal-Power-Series-Ring.included-sublead-gen f60 h1 by auto
        from f2 have < $\exists S. \forall k. \text{finite } (S k) \wedge (\text{sublead-coeff-set } I k) = \text{genideal } R (S$ 
        k)>
          using h2 h1 sublead-ideal[OF h1] unfolding noetherian-ring-def noethe-
          rian-ring-axioms-def
          by meson
        then obtain S where f4:< $\forall k. \text{finite } (S k) \wedge (\text{sublead-coeff-set } I k) = \text{genideal }$ 
        R (S k)> by blast
        then have
          < $\exists S. \forall k. \text{finite } (S k) \wedge 0 \notin S k \wedge (\text{sublead-coeff-set } I k) = \text{genideal } R (S k) \wedge$$ 
```

```

 $(\forall k \geq m. S k = S m)$ 
  apply(intro exI[where  $x = (\lambda k. \text{if } k \leq m \text{ then } S k - \{0\} \text{ else } S m - \{0\})$ ])
    by (smt (verit, ccfv-threshold) Diff-iff Un-Diff-cancel Un-commute  $\wedge S. \text{Idl}$ 
       $S = \text{Idl } (S \cup \{0\})$ )
       $\forall k \geq m. \text{sublead-coeff-set } I m = \text{sublead-coeff-set } I k \wedge \text{finite-Diff nle-le}$ 
       $\text{singletonI}$ )
    then obtain  $S'$  where f5:
       $\forall k. \text{finite } (S' k) \wedge 0 \notin S' k \wedge (\text{sublead-coeff-set } I k) = \text{genideal } R (S' k) \wedge$ 
       $(\forall k \geq m. S' k = S' m)$ 
      by blast
      have *: $\forall x \in (S' j). \exists y \in I. \text{subdegree } y = j \wedge \text{fps-nth } y j = x$  for j
      proof (safe)
        fix x
        assume h3: $x \in S' j$ 
        then have  $x \in \text{sublead-coeff-set } I j$ 
          using f5 unfolding genideal-def by(auto)
        then show  $\exists y \in I. \text{subdegree } y = j \wedge \text{fps-nth } y j = x$ 
          unfolding sublead-coeff-set-def subdeg-poly-set using f5
          using h3 by auto
      qed
      define f where  $f = (\lambda j x. (\text{SOME } y. y \in I \wedge \text{subdegree } y = j \wedge \text{fps-nth } y j = x))$ 
      define B where  $B = (\lambda j. \{f j x | x \in S' j\})$ 
      have bij-betw (f k) (S' k) (B k) for k
        apply(rule bij-betwI[where  $g = (\lambda x. \text{fps-nth } x k)$ ])
        using B-def apply blast
        using f5 B-def image-def f-def Pi-def apply(safe)
          apply (smt (verit, del-insts) * someI-ex)
          apply (smt (verit, del-insts) * f-def someI-ex)
          unfolding f-def B-def image-def
          apply(safe)
          by (smt (verit, ccfv-threshold) * Eps-cong someI-ex)
      then have f6: $\text{card } (B j) = \text{card } (S' j)$  for j
        by (metis bij-betw-same-card)
      have f7: $\text{bij-betw } (\text{from-nat-into } (B k)) (\{0..<\text{card } (B k)\}) (B k)$  for k
        by (simp add: B-def atLeast0LessThan bij-betw-from-nat-into-finite f5)
      have f8: $\text{bij-betw } (\text{from-nat-into } (S' k)) (\{0..<\text{card } (S' k)\}) (S' k)$  for k
        by (simp add: B-def atLeast0LessThan bij-betw-from-nat-into-finite f5)
      have  $\forall x \in S' k. \exists y \in B k. x = \text{fps-nth } y k$  for k
        using f5 unfolding B-def f-def sublead-coeff-set-def subdeg-poly-set
        apply(safe)
        by (smt (verit, ccfv-threshold) * mem-Collect-eq someI-ex)
      have f30: $\forall x \in B k. \exists y \in S' k. y = \text{fps-nth } x k$  for k
        using f5 unfolding B-def f-def sublead-coeff-set-def subdeg-poly-set
        apply(safe)
        by (smt (verit, ccfv-threshold) * mem-Collect-eq someI-ex)
      have  $\forall i < \text{card } (B k). \exists !n. n < \text{card } (B k) \wedge \text{fps-nth } (\text{from-nat-into } (B k) n) k$ 
         $= \text{from-nat-into } (S' k) i$ 
        for k

```

```

proof(safe)
fix i
assume <i<card (B k)>
then have <from-nat-into (S' k) i ∈ S' k>
  by (metis card.empty f6 from-nat-into less-nat-zero-code)
then show <∃ n<card (B k). fps-nth (from-nat-into (B k) n) k = from-nat-into
(S' k) i>
  by (smt (verit, ccfv-threshold) <∀k. ∀x∈S' k. ∃y∈B k. x = fps-nth y k>
    atLeastLessThan-iff bij-betw-iff-bijections f7)
next
have f9:<h∈B k ∧ g∈ B k ⇒ h ≠ g ⇔ fps-nth h k ≠ fps-nth g k> for k h g
  using f5 unfolding B-def f-def sublead-coeff-set-def subdeg-poly-set apply(safe)
  by (smt (verit) * someI-ex)+

fix i n y
assume <i < card (B k)> <n < card (B k)>
  <fps-nth (from-nat-into (B k) n) k = from-nat-into (S' k) i> <y < card (B
k)>
  <fps-nth (from-nat-into (B k) y) k = from-nat-into (S' k) i>
then have <(from-nat-into (B k) n) = (from-nat-into (B k) y)>
  using f9
  by (metis card.empty from-nat-into less-nat-zero-code)
then show <n = y> using f7 unfolding bij-betw-def inj-on-def
  by (metis (no-types, opaque-lifting) <n < card (B k)> <y < card (B k)>
    atLeastLessThan-iff bij-betw-iff-bijections f7 zero-le)
qed
then have <∃ p. (∀ i<card (S' k). fps-nth (from-nat-into (B k) (p i)) k =
from-nat-into (S' k) i)>
  for k
  apply(intro exI[where x=λi. THE n. n<card (B k)
    ∧ fps-nth (from-nat-into (B k) (n)) k = from-nat-into (S' k) i])
  apply(safe)
  by (smt (z3) f6 theI')
then obtain p
  where f11:<(∀ i<card (S' k). fps-nth (from-nat-into (B k) (p k i)) k =
from-nat-into (S' k) i)>
  for k
  by metis
  have <x≠y ⇒ x∈S' j ∧ y∈S' j ⇒ (SOME y. y∈I ∧ subdegree y = j ∧ fps-nth
y j = x)
    ≠ (SOME y'. y'∈I ∧ subdegree y' = j ∧ fps-nth y' j = y)> for x y j
    using *
  apply(safe)
  by (smt (verit, best) someI-ex)
then have <∀ x y. x∈S' j ∧ y∈S' j ∧ x≠y → f j x ≠ f j y> for j
  unfolding f-def by(auto)
have f10:<finite (B j)> for j
  using f6 f5 B-def
  using <∀k. bij-betw (f k) (S' k) (B k)> bij-betw-finite by blast

```

```

from idl-sum
have ‹∀x∈sublead-coeff-set I m. (∃ s. x = (∑ i∈{0..(S m)}. s i * from-nat-into (S m) i))›
  using f4 genideal-sum-rep by blast
have ‹genideal R {} = {0}›
  unfolding genideal-def
proof(safe)
  fix x
  assume 1:‹x ∈ {I. ideal I R ∧ {} ⊆ I}› ‹x ∉ {}›
  have ‹ideal ({0}) R›
    using R ring.zeroideal_ring-R by fastforce
  then have ‹x ∈ {0}› using 1 by auto
  then show ‹x=0› by auto
next
fix X
assume 2:‹ideal X R› ‹{} ⊆ X›
then show ‹0 ∈ X›
  using R additive-subgroup.zero-closed ideal.axioms(1) by fastforce
qed
have ‹sublead-coeff-set I m ≠ {0} ⟹ S m ≠ {}›
  using ‹Idl {} = {0}› f4 by force
define I' where ‹I' ≡ genideal FPS-ring (⋃ k≤m. B k)›
have f62:‹(⋃ k≤m. B k) ⊆ I ∧ finite (⋃ k≤m. B k)›
  apply(rule conjI)
  using B-def f-def f10 apply(auto simp:image-def * some-eq-ex)[1]
  apply(smt (verit, del-insts) * some-eq-ex)
  using f10 apply(induct m) by(auto)
then have ‹I' ⊆ I›
  unfolding I'-def
  by (meson Formal-Power-Series-Ring.ring-FPS h1 ring.genideal-minimal)
have ‹∀ k≥m. S' m = S' k›
  using f5 by blast
have eq-fps-S':‹{fps-nth f k | f ∈ B k} = S' k› for k
  unfolding B-def f-def apply(safe)
  using B-def f30 f-def apply blast
  using B-def ‹⋀ k. ∀ x ∈ S' k. ∃ y ∈ B k. x = fps-nth y k› f-def by blast
{
  fix f m'
  assume h9:‹f ≠ 0› ‹f ∈ I› ‹subdegree f ≤ m› ‹f ∉ I'› ‹subdegree f = m'›
  with h9 have ‹fps-nth f m' ∈ sublead-coeff-set I m'›
    unfolding sublead-coeff-set-def subdeg-poly-set
    by blast
  then have ‹∃ s. fps-nth f m' = (∑ k=0..(S' m'). (s k) * from-nat-into (S' m') k)›
    using f5
    using genideal-sum-rep by blast
    then obtain s where f12:‹fps-nth f m' = (∑ k=0..(S' m'). (s k) * from-nat-into (S' m') k)›
      by blast

```

```

then have f21:( $\sum k=0..<\text{card } (S' m'). (s k)*\text{from-nat-into } (S' m') k$ )
=  $\text{fps-nth } (\sum k=0..<\text{card } (B m'). (\text{fps-const } (s k))*\text{from-nat-into } (B m') (p m')) m'$ 
using f11
apply(subst fps-sum-nth)
apply(subst fps-mult-left-const-nth)
using f6 by fastforce
then have f14:
⟨fps-nth f m' = fps-nth ( $\sum k=0..<\text{card } (B m'). (\text{fps-const } (s k))*\text{from-nat-into } (B m') (p m' k)) m'$ ⟩
using f11 f12 by auto
then have
⟨fps-nth ((f - ( $\sum k=0..<\text{card } (B m'). (\text{fps-const } (s k))*\text{from-nat-into } (B m') (p m' k)))) m' = 0⟩
by auto
have f22:( $(\text{from-nat-into } (B m') (p m' ka)) \in B m'$ ) for ka
by (metis atLeastLessThan0 card.empty f12 f6 from-nat-into h9(1)
h9(5) nth-subdegree-zero-iff sum.empty)
then have f13:( $\forall k < m'. \text{fps-nth } (\text{from-nat-into } (B m') (p m' ka)) k = 0$ )
for ka
unfolding B-def f-def using f5 unfolding sublead-coeff-set-def subdeg-poly-set
by (smt (verit, best) * h9 mem-Collect-eq nth-less-subdegree-zero someI-ex)
then have
 $\forall ka < m'. \text{fps-nth } (\sum k=0..<\text{card } (B m'). (\text{fps-const } (s k))*\text{from-nat-into } (B m') (p m' k)) ka = 0$ 
apply(subst fps-sum-nth)
apply(subst fps-mult-left-const-nth) apply(safe)
apply(subst f13) by(auto)
then have f18:( $ka \leq m' \implies (\text{fps-nth } (f - (\sum k=0..<\text{card } (B m'). (\text{fps-const } (s k))*\text{from-nat-into } (B m') (p m' k))) ka = 0)$ ) for ka
apply(cases ⟨ka=m'⟩)
using f14 apply fastforce
using nth-less-subdegree-zero
using h9(5) by force
have ⟨from-nat-into (B m') (p m' k) ∈ I'⟩ for k
using f22 unfolding I'-def genideal-def
using h9(3) h9(5) by blast
then have f23:( $(\text{fps-const } (s k))*\text{from-nat-into } (B m') (p m' k) \in I'$ ) for k
by (metis FPS-ring-def I'-def UNIV-I ideal.I-l-closed monoid.select-convs(1)

partial-object.select-convs(1) ring.genideal-ideal ring-FPS subset-UNIV)
have f24:( $\sum k=0..<r. (\text{fps-const } (s k))*\text{from-nat-into } (B m') (p m' k) \in I'$ ) for r using f22
apply(induct r)
apply (metis (full-types) Formal-Power-Series-Ring.FPS-ring-def I'-def
additive-subgroup.zero-closed atLeastLessThan0 ideal-def partial-object.select-convs(1))

ring.genideal-ideal ring.simps(1) ring-FPS sum.empty top-greatest)$ 
```

```

apply(subst sum.atLeast0-lessThan-Suc)
  unfolding I'-def
  by (metis (no-types, lifting) Formal-Power-Series-Ring.FPS-ring-def I'-def
       additive-subgroup.a-closed f23 ideal.axioms(1) partial-object.select-convs(1)

      ring.genideal-ideal ring-FPS ring-record-simps(12) subset-UNIV)
  then have f26:
    ·(f - (∑ k=0.. $\langle$ card (B m'). (fps-const (s k))*from-nat-into (B m') (p m' k))) = 0  $\Rightarrow$  False
    using h9 by auto
  have ·subdegree (f - (∑ k=0.. $\langle$ card (B m'). (fps-const (s k))*from-nat-into (B m') (p m' k))) > m'
    using f26
    by (smt (verit, ccfv-SIG)f18 enat-ord-code(4) enat-ord-simps(1)
        linorder-not-less nth-subdegree-zero-iff)
  then have · $\exists g \in I'$ . subdegree (f + g) > m'  $\wedge$  (f + g)  $\neq$  0
    using f26
    apply(intro bexI[where x= $\leftarrow$  (∑ k=0.. $\langle$ card (B m'). (fps-const (s k))*from-nat-into (B m') (p m' k))])
    using f26 f24 apply(safe)
    apply(auto)[2]
    by (metis (no-types, lifting) Formal-Power-Series-Ring.FPS-ring-def
         Formal-Power-Series-Ring.ring-FPS I'-def UNIV-I ideal.I-l-closed
         monoid.select-convs(1)
         mult-1s(3) partial-object.select-convs(1) ring.genideal-ideal subset-UNIV)
  } note first = this
  {
    fix f
    assume h10: $\langle$ f $\neq$ 0 $\rangle$   $\langle$ f $\in$ I $\rangle$   $\langle$ f $\notin$ I' $\rangle$   $\langle$ subdegree f < m $\rangle$ 
    have · $\exists g \in I'$ . subdegree (f + g) > subdegree f  $\wedge$  f+g  $\neq$  0
      using first[OF h10(1) h10(2) - h10(3)]
      using h10(4) nat-less-le
      by blast
    have · $\exists g \in I'$ . subdegree (f + g)  $\geq$  m'  $\wedge$  f+g  $\neq$  0 if hh: $\langle$ m'  $\leq$  m $\rangle$  for m'
      using hh h10 proof(induct m' arbitrary:f)
      case 0
      then show ?case
        using first less-or-eq-imp-le by blast
    next
      case (Suc m')
      then obtain g where g1: $\langle$ g $\in$ I'  $\wedge$  subdegree (f + g)  $\geq$  m'  $\wedge$  f+g  $\neq$  0 $\rangle$ 
        using first order-less-imp-le
        by (metis less-Suc-eq-le nle-le)
      {assume hh1:subdegree (f+g) < Suc m'
        with g1 have g2: $\langle$ subdegree (f+g) = m' $\rangle$ 
          by auto
        have g3: $\langle$ f+g  $\in$  I $\rangle$ 
          by (metis Formal-Power-Series-Ring.FPS-ring-def Suc.preds(3)
               I'  $\subseteq$  I additive-subgroup.a-closed g1 h1 ideal.axioms(1))
      }
  }

```

```

in-mono ring-record-simps(12)
have g4:⟨f+g ∈ I'⟩
proof(rule ccontr)
assume ⟨¬f+g ∈ I'⟩
have ⟨¬g ∈ I'⟩
using g1 unfolding I'-def
by (metis (no-types, lifting) FPS-ring-def Formal-Power-Series-Ring.genideal-sum-rep
Formal-Power-Series-Ring.idl-sum UNIV-I f62 ideal.I-l-closed
monoid.select-convs(1)
mult-1s(3) partial-object.select-convs(1))
then have ⟨f+g-g ∈ I'⟩
by (metis (no-types, lifting) Formal-Power-Series-Ring.FPS-ring-def
Formal-Power-Series-Ring.genideal-sum-rep Formal-Power-Series-Ring.idl-sum
I'-def
Suc.prems(4) f62 ⟨¬f+g ∈ I'⟩ add.commute additive-subgroup.a-closed
ideal.axioms(1)
minus-add-cancel ring-record-simps(12))
then have ⟨f ∈ I'⟩ by auto
then show False
using Suc.prems(4) by auto
qed
have g5: ⟨subdegree (f+g) ≤ m⟩
by (simp add: Suc.prems(1) Suc-leD g2)
then obtain g' where ⟨g' ∈ I' ∧ subdegree (f + g + g') > subdegree (f+g)
∧ f+g+g' ≠ 0⟩
using first[OF - g3 g5 g4, of m']
using g1 g2 by blast
then have ⟨subdegree (f+g+g') ≥ Suc m'⟩
using Suc-le-eq g2 by blast
then have ⟨∃ g' ∈ I'. subdegree (f + g + g') ≥ Suc m' ∧ f+g+g' ≠ 0⟩
using ⟨g' ∈ I' ∧ subdegree (f + g) < subdegree (f + g + g') ∧ f + g +
g' ≠ 0⟩ by blast
}note proof1=this
then obtain g' where ttt:⟨subdegree (f+g) < Suc m' ⟹ g' ∈ I' ∧
subdegree (f + g) < subdegree (f + g + g') ∧ f + g + g' ≠ 0⟩
using order-less-le-trans by blast
show ?case apply(cases ⟨subdegree (f+g) ≥ Suc m'⟩)
using g1 apply blast
using proof1
apply(intro bexI[where x=⟨g + g'⟩])
apply (metis Suc-leI ab-semigroup-add-class.add-ac(1)
g1 le-less-Suc-eq linorder-not-less ttt)
unfolding I'-def
by (metis (no-types, lifting) FPS-ring-def Formal-Power-Series-Ring.genideal-sum-rep
Formal-Power-Series-Ring.idl-sum I'-def ∪ (B ‘ {..m}) ⊆ I ∧ finite
(∪ (B ‘ {..m}))⟩
additive-subgroup.a-closed g1 ideal.axioms(1) linorder-not-less ring-record-simps(12)
ttt)

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qed
} note snd=this
{
fix f m'
assume h9:<f ≠ 0> <f∈I> <subdegree f ≥ m> <subdegree f = m'> <f∉I'>
then have <fps-nth f m' ∈ sublead-coeff-set I m'>
  unfolding sublead-coeff-set-def subdeg-poly-set by auto
then have f28:<fps-nth f m' ∈ sublead-coeff-set I m>
  <sublead-coeff-set I m' = genideal R (S' m)>
  using <∀ k≥m. sublead-coeff-set I m = sublead-coeff-set I k>
  h9 less-or-eq-imp-le apply blast
  using f5 by (metis h9(3-4))
then have <∃ s. fps-nth f m' = (∑ k=0..card (S' m). (s k)*from-nat-into
(S' m) k)>
  using genideal-sum-rep f5 by blast
  then obtain s where f12:<fps-nth f m' = (∑ k=0..card (S' m). (s
k)*from-nat-into (S' m) k)>
  by blast
  then have f21:<(∑ k=0..card (S' m). (s k)*from-nat-into (S' m) k)
=fps-nth (∑ k=0..card (B m). (fps-const (s k))*from-nat-into (B m) (p m k))>
  using f11
  apply(subst fps-sum-nth)
  apply(subst fps-mult-left-const-nth)
  using f6 by fastforce
then have f14:
  <fps-nth f m' = fps-nth (∑ k=0..card (B m). (fps-const (s k))*from-nat-into
(B m) (p m k)) m>
  using f11 f12 by auto
have f22:<(from-nat-into (B m) (p m ka)) ∈ B m> for ka
  by (metis atLeastLessThan0 card.empty f12 f6 from-nat-into h9(1) h9(4)
  nth-subdegree-zero-iff sum.empty)
then have <subdegree (from-nat-into (B m) (p m k)) = m> for k
  unfolding B-def f-def sublead-coeff-set-def subdeg-poly-set
  by (smt (verit, best) * h9 mem-Collect-eq nth-less-subdegree-zero someI-ex)
then have f32:<subdegree ((fps-X^(m'-m))*from-nat-into (B m) (p m k)) =
m'> for k
  using fps-subdegree-mult-fps-X-power(1)
  by (metis f30 f22 f5 h9(3) h9(4) le-add-diff-inverse nth-subdegree-zero-iff)
have f31:
  <fps-nth ((fps-X^(m'-m))*from-nat-into (B m) (p m k)) m' = fps-nth
  (from-nat-into (B m) (p m k)) m>
  for k
  by (metis diff-diff-cancel diff-le-self fps-X-power-mult-nth h9(3) h9(4)
  linorder-not-less)
  then have f31b:<fps-nth (fps-const (s k)*(fps-X^(m'-m))*from-nat-into (B
m) (p m k)) m' =
  fps-nth (fps-const (s k)*from-nat-into (B m) (p m k)) m> for k
  by (simp add: mult.assoc)

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then have f33: $\langle \text{fps-nth } f m' = \text{fps-nth} (\sum k=0..<\text{card } (B m). (\text{fps-const } (s k)) * (\text{fps-X}^{\sim}(m'-m))) * \text{from-nat-into } (B m) (p m k) \rangle m'$ 
  apply(subst fps-sum-nth)
  apply(subst f31b)
  apply(subst fps-mult-left-const-nth)
  by (simp add: f14 fps-sum-nth)
then have f36: $\langle (\text{f} - (\sum k=0..<\text{card } (B m). (\text{fps-const } (s k)) * (\text{fps-X}^{\sim}(m'-m))) * \text{from-nat-into } (B m) (p m k))) m' = 0 \rangle$ 
  by auto
have  $\langle \text{from-nat-into } (B m) (p m k) \in I' \rangle$  for k
  using f22 unfolding I'-def genideal-def
  using h9 by blast
then have f23: $\langle (\text{fps-const } (s k)) * \text{from-nat-into } (B m) (p m k) \in I' \rangle$  for k
  by (metis FPS-ring-def I'-def UNIV-I ideal.I-l-closed monoid.select-convs(1)

  partial-object.select-convs(1) ring.genideal-ideal ring-FPS subset-UNIV)
then have f23: $\langle (\text{fps-const } (s k)) * \text{fps-X}^{\sim}(m'-m) * \text{from-nat-into } (B m) (p m k) \in I' \rangle$  for k
  by (metis (no-types, lifting) FPS-ring-def Formal-Power-Series-Ring.genideal-sum-rep

  Formal-Power-Series-Ring.idl-sum I'-def UNIV-I  $\langle \bigwedge k. \text{from-nat-into } (B m) (p m k) \in I' \rangle$ 
  f62 ideal.I-l-closed monoid.select-convs(1) partial-object.select-convs(1))
have f24: $\langle (\sum k=0..<r. (\text{fps-const } (s k)) * \text{fps-X}^{\sim}(m'-m)) * \text{from-nat-into } (B m) (p m k) \in I' \rangle$ 
  for r
  using f22
  apply(induct r)
  apply(simp)
  apply (metis (full-types) Formal-Power-Series-Ring.FPS-ring-def I'-def additive-subgroup.zero-closed
  ideal-def partial-object.select-convs(1) ring.genideal-ideal ring.simps(1)
  ring-FPS top-greatest)
  apply(subst sum.atLeast0-lessThan-Suc)
  unfolding I'-def
  by (metis (no-types, lifting) Formal-Power-Series-Ring.FPS-ring-def I'-def additive-subgroup.a-closed f23 ideal.axioms(1) partial-object.select-convs(1)

  ring.genideal-ideal ring-FPS ring-record-simps(12) subset-UNIV)
then have f26:
   $\langle (\text{f} - (\sum k=0..<\text{card } (B m). (\text{fps-const } (s k)) * \text{fps-X}^{\sim}(m'-m))) * \text{from-nat-into } (B m) (p m k) \rangle = 0 \implies \text{False}$ 
  (is  $\langle \text{f} - ?A = 0 \implies \text{False} \rangle$ ) using h9 by auto
  have  $\langle \forall i < m'. \text{fps-nth } ((\text{fps-const } (s k)) * (\text{fps-X}^{\sim}(m'-m))) * \text{from-nat-into } (B m) (p m k) \rangle i = 0$ 
  for k
  using f32
  by (metis ab-semigroup-mult-class.mult-ac(1) fps-mult-nth-outside-subdegrees(2))

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then have  $f34: \forall i < m'. \text{fps-nth} (?A) i = 0$ 
  apply(subst fps-sum-nth)
  by(auto)
then have  $f35: \text{subdegree } ?A = m'$ ,
  by (metis (no-types, lifting) f33 h9(1) h9(4) nth-subdegree-nonzero subdegreeI)
have  $\langle x \in I' \implies -x \in I' \rangle$  for x
  unfolding  $I'$ -def FPS-ring-def
  by (metis (no-types, lifting) Formal-Power-Series-Ring.genideal-sum-rep
      Formal-Power-Series-Ring.idl-sum UNIV-I f62
      ideal.I-l-closed monoid.select-convs(1) mult-1s(3) partial-object.select-convs(1))

have  $f39: \text{subdegree } (- ?A) \geq m$ 
  using subdegree-uminus[of ?A] f35 h9 by argo
have  $\langle \text{subdegree } (f - (\sum k=0..<\text{card}(B m). (fps-const(s k))*(fps-X^{\wedge}(m'-m)) * \text{from-nat-into}(B m) (p m k))) > m' \rangle$ 
  using h9 f33
by (metis (no-types, lifting) f36 f35 diff-zero f26 fps-sub-nth le-neq-implies-less

nth-subdegree-nonzero subdegree-leI)
then have  $\langle \exists g. \exists s. g = -(\sum k=0..<\text{card}(B m). (fps-const(s k))*(fps-X^{\wedge}(m'-m)) * \text{from-nat-into}(B m) (p m k)) \wedge \text{subdegree } (f + g) > m' \wedge (f + g) \neq 0 \wedge g \in I' \wedge \text{subdegree } (g) \geq m \rangle$ 
  using f26 f24  $\langle \bigwedge x. x \in I' \implies -x \in I' \rangle$  f39
  by (metis (no-types, lifting) add-uminus-conv-diff)
}note thrd=this
have  $\text{in-}I': \langle x \in I' \implies -x \in I' \rangle$  for x
  unfolding  $I'$ -def FPS-ring-def
  by (metis (no-types, lifting) Formal-Power-Series-Ring.genideal-sum-rep
      Formal-Power-Series-Ring.idl-sum UNIV-I  $\bigcup (B ' \{..m\}) \subseteq I \wedge \text{finite}$ 
 $(\bigcup (B ' \{..m\}))'$ 
      ideal.I-l-closed monoid.select-convs(1) mult-1s(3) partial-object.select-convs(1))

have  $\langle I \subseteq I' \rangle$ 
proof(safe, rule ccontr)
fix f
assume h10:  $\langle f \in I \rangle \langle f \notin I' \rangle$ 
then have f40:  $\langle f \neq 0 \rangle$ 
  by (metis FPS-ring-def  $I'$ -def additive-subgroup.zero-closed ideal.axioms(1)
      partial-object.select-convs(1) ring.genideal-ideal ring.simps(1) ring-FPS
      subset-UNIV)
have  $\langle \exists g \in I'. \text{subdegree } (f + g) \geq m \wedge f + g \neq 0 \rangle$ 
  using snd[OF f40 h10]
by (metis Formal-Power-Series-Ring.FPS-ring-def Formal-Power-Series-Ring.ring-FPS
       $I'$ -def
      add.right-neutral additive-subgroup.zero-closed f40 ideal.axioms(1)
      linorder-not-less
      order-refl partial-object.select-convs(1) ring.genideal-ideal ring.simps(1)
      subset-UNIV)

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then obtain g where f41:⟨g∈I' ∧ subdegree (f + g) ≥ m ∧ f+g≠0⟩ by blast

then have hyps:⟨f+g≠0⟩ ⟨f+g ∈ I⟩ ⟨subdegree(f+g)≥m⟩ ⟨(f+g)∉I'⟩
proof -
  show ⟨f + g ≠ 0⟩ ⟨m ≤ subdegree (f + g)⟩ using f41 by auto
  have ⟨g∈I⟩
    using ⟨I' ⊆ I⟩ f41 by blast
  then show ⟨f + g ∈ I⟩
    by (metis FPS-ring-def additive-subgroup.a-closed h1 h10(1) ideal-def
ring-record-simps(12))
  show ⟨f + g ∉ I'⟩
  proof(rule ccontr)
    assume ⟨¬f+g ∈ I'⟩
    have ⟨¬g ∈ I'⟩
      unfolding I'-def FPS-ring-def
    by (metis Formal-Power-Series-Ring.FPS-ring-def Formal-Power-Series-Ring.ring-R
I'-def
f41 ideal.I-l-closed iso-tuple-UNIV-I monoid.select-convs(1) mult-minus1

partial-object.select-convs(1) ring.genideal-ideal subset-UNIV)
  then have ⟨f+g-g ∈ I'⟩
    by (metis (no-types, lifting) Formal-Power-Series-Ring.FPS-ring-def
Formal-Power-Series-Ring.genideal-sum-rep Formal-Power-Series-Ring.idl-sum
I'-def
f62 ⟨¬ f + g ∈ I'⟩ add-stable-FPS-ring uminus-add-conv-diff)
  then have ⟨f∈I'⟩ by auto
  then show False
    using h10(2) by blast
  qed
qed
define the-s where ⟨the-s ≡ rec-nat (f+g)
(λn sn. sn + (SOME g. ∃ s. g = -(∑ k=0..<card (B m). (fps-const (s k))*(fps-X^(subdegree
sn - m)))
*from-nat-into (B m) (p m k))
∧ subdegree (sn + g) > subdegree sn ∧ (sn + g) ≠ 0 ∧ g∈I' ∧ subdegree g ≥m))⟩
  have subst-rec:⟨ the-s (Suc n) = the-s n + (SOME g. ∃ s. g = -(∑ k=0..<card
(B m).
(fps-const (s k))*(fps-X^(subdegree (the-s (n)) - m))) *from-nat-into (B m) (p m
k))
∧ subdegree ((the-s (n)) + g) > subdegree (the-s (n)) ∧ ((the-s (n)) + g) ≠ 0
∧ g∈I' ∧ subdegree g ≥m)⟩ for n
    unfolding the-s-def
    apply(induct n)
    by (meson old.nat.simps(7))+
  have hyps-the:⟨the-s n ≠ 0 ∧ the-s n ∈ I ∧ subdegree(the-s n)≥m ∧ (the-s n)∉I'⟩
for n
  proof(induct n)
    case 0
    then show ?case unfolding the-s-def using hyps by auto

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next
  case (Suc n)
    then have y1: $\langle \text{the-}s\ n \neq 0 \rangle$  and y2: $\langle \text{the-}s\ n \in I \rangle$  and y3: $\langle m \leq \text{subdegree}(\text{the-}s\ n) \rangle$ 
      and y4: $\langle \text{the-}s\ n \notin I' \rangle$ 
      by auto
    have f50: $\langle \exists g. \exists s. g = -(\sum k=0..<\text{card}(B\ m). \text{fps-const}(s\ k) * \text{fps-}X$ 
     $\wedge (\text{subdegree}(\text{the-}s\ n) - m) * \text{from-nat-into}(B\ m)(p\ m\ k)) \wedge \text{subdegree}(\text{the-}s\ n)$ 
     $< \text{subdegree}(\text{the-}s\ n + g) \wedge \text{the-}s\ n + g \neq 0 \wedge g \in I' \wedge \text{subdegree}\ g \geq m$ 
      using thrd[OF y1 y2 y3 - y4, of  $\langle \text{subdegree}(\text{the-}s\ n) \rangle$ ] by auto
    let ?g =  $\langle (\text{SOME } g. \exists s. g = -(\sum k=0..<\text{card}(B\ m). \text{fps-const}(s\ k) * \text{fps-}X$ 
     $\wedge (\text{subdegree}(\text{the-}s\ n) - m) * \text{from-nat-into}(B\ m)(p\ m\ k)) \wedge \text{subdegree}(\text{the-}s\ n)$ 
     $< \text{subdegree}(\text{the-}s\ n + g) \wedge \text{the-}s\ n + g \neq 0 \wedge g \in I' \wedge \text{subdegree}\ g \geq m) \rangle$ 
    have  $\langle \text{the-}s\ (\text{Suc } n) \notin I' \rangle$ 
    proof(subst subst-rec, rule ccontr)
      assume h100:  $\neg \text{the-}s\ n + ?g \in I'$ 
      have  $\langle ?g \in I' \rangle$ 
      by(smt someI-ex f50)
      then have  $\langle -?g \in I' \rangle$ 
      using in-I' by auto
      then have  $\langle \text{the-}s\ n + ?g - ?g \in I' \rangle$ 
      by (metis (no-types, lifting) Formal-Power-Series-Ring.FPS-ring-def
            Formal-Power-Series-Ring.genideal-sum-rep Formal-Power-Series-Ring.idl-sum
I'-def
            f62 h100 add-stable-FPS-ring add-uminus-conv-diff)
      then have  $\langle \text{the-}s\ n \in I' \rangle$  by auto
      then show False
        using y4 by blast
    qed
    have  $\langle \text{the-}s\ (\text{Suc } n) \in I \rangle$ 
    proof(subst subst-rec)
      have  $\langle ?g \in I' \rangle$ 
      by(smt someI-ex f50)
      then show  $\langle \text{the-}s\ n + ?g \in I \rangle$ 
        using  $\langle I' \subseteq I \rangle$  add-stable-FPS-ring h1 y2 by blast
    qed
    have f51: $\langle \text{the-}s\ (\text{Suc } n) \neq 0 \rangle$ 
      apply(subst subst-rec)
      by (smt someI-ex f50)
    have  $\langle m \leq \text{subdegree}(\text{the-}s\ (\text{Suc } n)) \rangle$ 
    proof(subst subst-rec)
      have  $\langle \text{subdegree}\ ?g \geq m \rangle$ 
      by (smt someI-ex f50)
      then show  $\langle m \leq \text{subdegree}(\text{the-}s\ n + ?g) \rangle$ 
        using f51 apply(subst (asm) subst-rec)

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by (smt (verit) add-diff-cancel-left' dual-order.trans f50 linorder-le-less-linear
    subdegree-diff-eq1 subdegree-diff-eq2 y1)
qed
then show ?case
  using ‹the-s (Suc n) ∈ I› ‹the-s (Suc n) ∉ I'› f51 by blast
qed
have f53: ∀ n. ∃ g. ∃ s. g = - (SUM k=0..(B m). (fps-const (s k))*
(fps-X^subdegree(the-s(n)) - m))*from-nat-into(B m) (p m k))
  ∧ subdegree ((the-s(n)) + g) > subdegree (the-s(n))
  ∧ ((the-s(n)) + g) ≠ 0 ∧ g ∈ I' ∧ subdegree g ≥ m
  using thrd hyps-thes by blast
then have f53': ∀ n. ∃ g. ∃ s. the-s (Suc n) = the-s n + g ∧ g = -
(SUM k=0..(B m). (fps-const (s k))*(fps-X^subdegree(the-s(n)) - m))*from-nat-into(B m) (p m k))
  ∧ subdegree ((the-s(n)) + g) > subdegree (the-s(n)) ∧ ((the-s(n)) + g) ≠ 0 ∧
g ∈ I' ∧ subdegree g ≥ m
  apply(subst subst-rec)
  by (smt (z3) tfl-some)
from f53 have ‹subdegree (the-s n) < subdegree (the-s (Suc n))› for n
  apply(subst subst-rec)
  by (smt someI-ex f53 sum.cong)
then have f56: strict-mono (λn. subdegree (the-s n)),
  using strict-mono-Suc-iff by blast
have f70: strict-mono (λk. subdegree(the-s k) - m)
  using f56 unfolding strict-mono-def
  using diff-less-mono hyps-thes by presburger
let ?f = λk. subdegree (the-s k) - m
have ‹bij-betw ?f UNIV (range ?f)›
  by (simp add: ‹strict-mono ?f› bij-betw-imageI strict-mono-on-imp-inj-on)
from f56 have f80: the-s → 0
  using subdeg-inf-imp-s-tendsto-zero by blast
have f54: ∃ g' s'. ∀ n. g' n = -(SUM k=0..(B m). (fps-const (s' n k))*
(fps-X^subdegree(the-s(n)) - m))*from-nat-into(B m) (p m k))
  ∧ subdegree ((the-s n) + (g' n)) > subdegree (the-s n) ∧ ((the-s n) + g' n) ≠ 0 ∧
g' n ∈ I'
  ∧ subdegree (g' n) ≥ m
  using f53 by meson
have ∃ g' s'. ∀ n. the-s (Suc n) = the-s n + g' n ∧ g' n = -(SUM k=0..(B m).
(fps-const (s' n k))*(fps-X^subdegree(the-s(n)) - m))*from-nat-into(B m) (p m k))
  ∧ subdegree ((the-s n) + (g' n)) > subdegree (the-s n) ∧ ((the-s n) + g' n) ≠ 0 ∧
g' n ∈ I'
  ∧ subdegree (g' n) ≥ m
  using f53' by meson
then obtain g' s' where f55: ∀ n. the-s (Suc n) = the-s n + g' n ∧ g' n =
-(SUM k=0..(B m). (fps-const (s' n k))*(fps-X^subdegree(the-s(n)) - m))*from-nat-into(B m) (p m

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k))
 $\wedge \text{subdegree}((\text{the-}s\ n) + (g'\ n)) > \text{subdegree}(\text{the-}s\ n) \wedge ((\text{the-}s\ n) + g'\ n) \neq 0 \wedge$ 
 $g'\ n \in I'$ 
 $\wedge \text{subdegree}(g'\ n) \geq m$ 
    by blast
  then have ‹ $\forall n. \exists s. \forall k. s' n k = s (\text{subdegree}(\text{the-}s\ n) - m) k$ ›
    by force
  have ‹ $\text{the-}s\ n = f + g + (\sum k < n. (\text{the-}s(\text{Suc } k) - \text{the-}s\ k))$ › for n
    apply(induct n)
    apply(subst subst-rec[rule-format])
    apply(simp add: the-s-def)
    by simp
  then have t1: ‹ $f + g = \text{the-}s\ n - (\sum k < n. (\text{the-}s(\text{Suc } k) - \text{the-}s\ k))$ › for n
    by (metis (no-types, lifting) add-diff-cancel-right')
  then have ‹ $f + g = \text{the-}s\ n - (\sum k < n. g' k)$ › for n
    by (simp add: f55)
  then have ‹ $f + g = \text{the-}s\ n - (\sum k < n. -(\sum i=0..<\text{card } (B\ m). (\text{fps-const } (s' k\ i)))*)$ 
(fps-X^ (subdegree (the-s k) - m))*from-nat-into (B m) (p m i)))› for n
    by(simp add:f55)
  then have f87: ‹ $f + g = \text{the-}s\ n + (\sum k < n. (\sum i=0..<\text{card } (B\ m). (\text{fps-const } (s' k\ i)))*)$ 
(fps-X^ (subdegree (the-s k) - m))*from-nat-into (B m) (p m i)))›
for n
    by (simp add: sum-negf)
  then have ‹ $f + g = \text{the-}s\ n + ((\sum i=0..<\text{card } (B\ m). \sum k < n. (\text{fps-const } (s' k\ i)))*)$ 
(fps-X^ (subdegree (the-s k) - m))*from-nat-into (B m) (p m i)))› for n
  proof -
    assume  $\bigwedge n. f + g = \text{the-}s\ n + (\sum k < n. \sum i = 0..<\text{card } (B\ m). \text{fps-const } (s' k\ i) * \text{fps-X}^ (\text{subdegree } (\text{the-}s\ k) - m) * \text{from-nat-into } (B\ m) (p\ m\ i))$ 
    then have  $f + g = \text{the-}s\ n + (\sum n = 0..<n. \sum na = 0..<\text{card } (B\ m).$ 
 $\text{fps-const } (s' n na) * \text{fps-X}^ (\text{subdegree } (\text{the-}s\ n) - m) * \text{from-nat-into } (B\ m) (p\ m\ na))$ 
      using atLeast0LessThan by moura
    then show ?thesis
      using atLeast0LessThan sum.swap by force
  qed
  then have f57: ‹ $f + g = \text{the-}s\ n + ((\sum i=0..<\text{card } (B\ m). (\sum k < n. (\text{fps-const } (s' k\ i)))*)$ 
(fps-X^ (subdegree (the-s k) - m))*from-nat-into (B m) (p m i)))›
for n
    by(auto simp:sum-distrib-right)
  have ‹ $(\lambda n. (f + g)) - \text{the-}s = (\lambda n. (f + g) + (-\text{the-}s\ n))$ ›
    by(auto simp:fun-eq-iff)
  have ‹ $- \text{the-}s \longrightarrow 0$ ›
    apply(rule metric-LIMSEQ-I)
    using f80
    apply(drule metric-LIMSEQ-D)

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unfolding dist-fps-def
by fastforce
then have f58: $\langle(\lambda n. (f+g)) - \text{the-}s \longrightarrow f + g\rangle$ 
proof -
  have  $\forall n. f + g + (- \text{the-}s) n = ((\lambda n. f + g) - \text{the-}s) n$ 
  by auto
  then show ?thesis
    by (metis (no-types) ⟨the- $s \longrightarrow 0\rangle$  LIMSEQ-add-fps[of ⟨( $\lambda n. f + g$ )⟩ ⟨ $f+g$ ⟩ ⟨-the- $s$  0] add.right-neutral lim-sequentially tendsto-const)
  qed
  then have  $f+g = \lim (\lambda n. \text{the-}s n + ((\sum i=0..<\text{card } (B m). (\sum k < n. (\text{fps-const } (s' k i)) * (\text{fps-X}^\sim(\text{subdegree } (\text{the-}s k) - m))) * \text{from-nat-into } (B m) (p m i))))$ 
  using f57 by auto
  have ⟨( $\lambda n. f+g$ ) - the- $s$  = ( $\lambda n. (\sum i=0..<\text{card } (B m). (\sum k < n. (\text{fps-const } (s' k i)) * (\text{fps-X}^\sim(\text{subdegree } (\text{the-}s k) - m))) * \text{from-nat-into } (B m) (p m i)))$ ⟩
  using f57 apply(subst fun-eq-iff, safe)
  by (smt (verit, best) add-diff-cancel-left' minus-apply)
  then have ⟨( $\lambda n. (\sum i=0..<\text{card } (B m). (\sum k < n. (\text{fps-const } (s' k i)) * (\text{fps-X}^\sim(\text{subdegree } (\text{the-}s k) - m))) * \text{from-nat-into } (B m) (p m i))) \longrightarrow f+g$ ⟩
  (is ⟨? $S \longrightarrow f+g$ ⟩ using f58 by presburger
  then have f84: $\langle f+g = \lim ?S\rangle$ 
  by (simp add: limI)
  have f63: ⟨finite ( $\bigcup (B \setminus \{..m\})$ )⟩
  using f62 by fastforce
  have ⟨strict-mono ( $\lambda k. \text{subdegree } ((\sum i=0..<\text{card } (B m). (\text{fps-const } (s' k i)) * (\text{fps-X}^\sim(\text{subdegree } (\text{the-}s k) - m))) * \text{from-nat-into } (B m) (p m i)))$ )⟩
  apply(rule monotone-onI)
  apply(insert f55 f56 hyps-thes)
  by (smt (verit, ccfv-threshold) f87 add.commute add-left-cancel diff-add-cancel

strict-monoD subdeg-inf-imp-s-tendsto-zero subdegree-diff-eq2 subdegree-uminus sum-negf)
then have ⟨( $\lambda k. (\sum i=0..<\text{card } (B m). (\text{fps-const } (s' k i)) * (\text{fps-X}^\sim(\text{subdegree } (\text{the-}s k) - m))) * \text{from-nat-into } (B m) (p m i))) \longrightarrow 0$ ⟩
  using subdeg-inf-imp-s-tendsto-zero by presburger
define fct where ⟨fct = ?f⟩
then have f71: $\langle \text{strict-mono } fct \rangle$  using f70 by auto
have ⟨ $\forall k. \exists s. \forall n. (\sum i < n. (\text{fps-const } (s' i k)) * (\text{fps-X}^\sim(fct i))) = (\sum i < fct n. (\text{fps-const } (s i)) * (\text{fps-X}^\sim(i)))$ ⟩
  using exists-seq-all'[OF f71, of ⟨ $\lambda i. s' i k$ ⟩ for k]
  by meson
then obtain s where f72: $\forall n k. (\sum i < n. (\text{fps-const } (s' i k)) * (\text{fps-X}^\sim(fct i))) = (\sum i < fct n. (\text{fps-const } (s i k)) * (\text{fps-X}^\sim(i)))$ 
  by meson

```

```

then have f85:  $\langle (\lambda n. \sum i < fct n. (fps\text{-}const (s i k)) * (fps\text{-}X^\wedge(i))) \longrightarrow$ 
Abs-fps ( $\lambda i. s i k$ ) $\rangle$  for k
  by (simp add: Formal-Power-Series-Ring.tends-to-f-seq f71)
then have f86:  $\langle (\lambda n. (\sum i < n. (fps\text{-}const (s' i k)) * (fps\text{-}X^\wedge(\text{subdegree (the-}s i) - m)))) \rangle$ 
=  $(\lambda n. \sum i < fct n. (fps\text{-}const (s i k)) * (fps\text{-}X^\wedge(i)))$ 
  for k
  using f72 fct-def by (auto simp: fun-eq-iff)
then have  $\langle (\lambda n. (\sum k < n. (fps\text{-}const (s' k i)) * (fps\text{-}X^\wedge(\text{subdegree (the-}s k) - m)))) \rangle$ 
   $\longrightarrow$  Abs-fps ( $\lambda k. s k i$ ) $\rangle$  for i
  using f85 by presburger
then have f82:  $\langle (\lambda n. (\sum i = 0.. < r. (\sum k < n. (fps\text{-}const (s' k i)) * (fps\text{-}X^\wedge(\text{subdegree (the-}s k) - m)))) * from-nat-into (B m) (p m i)) \longrightarrow (\sum i = 0.. < r. Abs-fps (\lambda k. s k i) * from-nat-into (B m) (p m i)) \rangle$ 
  for r
  proof (induct r)
    case 0
    then show ?case by simp
  next
    case 1:  $(Suc r)$ 
    have  $\langle (\lambda n. (\sum k < n. fps\text{-}const (s' k r) * fps\text{-}X^\wedge(\text{subdegree (the-}s k) - m)) * from-nat-into (B m) (p m r)) \longrightarrow Abs-fps (\lambda k. s k r) * from-nat-into (B m) (p m r) \rangle$ 
    proof -
      have  $(\lambda n. from-nat-into (B m) (p m r) * (\sum n < n. fps\text{-}const (s' n r) * fps\text{-}X^\wedge(\text{subdegree (the-}s n) - m))) \longrightarrow from-nat-into (B m) (p m r) * Abs-fps (\lambda n. s n r)$ 
      using LIMSEQ-cmult-fps f85 f86 by presburger
      then show ?thesis
        by (simp add: mult.commute)
    qed
    then show ?case
      apply (subst atLeast0-lessThan-Suc)
      by (simp add: 1 LIMSEQ-add-fps add.commute)
    qed
    have f83:  $\langle (\sum i = 0.. < r. Abs-fps (\lambda k. s k i) * from-nat-into (B m) (p m i)) \in I' \rangle$  for r
    proof (induct r)
      case 0
      then show ?case
        by (metis (full-types) FPS-ring-def I'-def add-stable-FPS-ring atLeastLessThan0 diff-0
          diff-add-cancel f53 in-I' partial-object.select-convs(1) ring.genideal-ideal
          ring-FPS subset-UNIV sum.empty)
    next
      case 1:  $(Suc r)$ 

```

```

have ⟨ from-nat-into (B m) (p m r) ∈ I' ⟩
  unfolding I'-def genideal-def apply(clarify)
  by (metis (no-types, lifting) UN-subset-iff ab-group-add-class.ab-diff-conv-add-uminus
add.right-neutral
      add-diff-cancel-left' atLeastLessThan0 atMost-iff card.empty f53
from-nat-into in-mono less-irrefl-nat order-refl sum.empty)
  with 1 show ?case apply(clarsimp)
  by (metis (no-types, lifting) 1 FPS-ring-def Formal-Power-Series-Ring.genideal-sum-rep

      Formal-Power-Series-Ring.idl-sum I'-def UNIV-I
      add-stable-FPS-ring f62 ideal.I-l-closed monoid.select-convs(1) par-
      tial-object.select-convs(1))
qed
then have ⟨f+g ∈ I'⟩
proof -
  have ⋀n. lim (λna. ∑ n = 0..<n. (∑ na<na. fps-const (s' na n) * fps-X
  ^ (subdegree (the-s na) - m))
  * from-nat-into (B m) (p m n)) = (∑ n = 0..<n. Abs-fps (λna. s na n) *
  from-nat-into (B m) (p m n))
    by (smt (z3) f82 limI)
  then show ?thesis
    using f83 f84 by presburger
qed
then show False
  using hyps(4) by force
qed
then have ⟨I = I'⟩
  using ⟨I' ⊆ I⟩ by fastforce
then show ⟨∃ A ⊆ carrier local.FPS-ring. finite A ∧ I = Idllocal.FPS-ring A⟩
  by (metis FPS-ring-def I'-def f62 partial-object.select-convs(1) subset-UNIV)
qed
qed
end
end

```

## 7 The Real Ring definition

**theory** *Real-Ring-Definition*

```

imports
  HOL-Algebra.Module
  HOL-Algebra.RingHom
  HOL.Real
  HOL-Computational-Algebra.Formal-Power-Series
begin

```

Defining real ring for examples on Noetherian Rings.

```

definition
  REAL :: real ring
  where REAL = (carrier = UNIV, monoid.mult = (*), one = 1, zero = 0, add
= (+))

lemma REAL-ring:⟨ring REAL⟩
  apply(rule ringI)
    apply(rule abelian-groupI)
    by (auto simp:REAL-def monoidI ab-group-add-class.ab-left-minus distrib-right
distrib-left
      intro: exI[of _ - x for x])

lemma REAL-cring:⟨cring REAL⟩
  unfolding cring-def apply(safe)
    apply (simp add: REAL-ring)
    apply(rule comm-monoidI)
    by(auto simp:REAL-def)

lemma REAL-field: ⟨field REAL⟩
  unfolding field-def domain-def field-axioms-def
  apply(safe)
    apply(simp add:REAL-cring)
  unfolding domain-axioms-def
  by(auto simp:REAL-def Units-def mult.commute nonzero-divide-eq-eq)
    (metis mult.commute nonzero-divide-eq-eq)

end

```

## 8 Examples

**theory** Examples-Noetherian-Rings

```

imports
  Hilbert-Basis
  Real-Ring-Definition
begin

```

### 8.1 Examples of noetherian rings with $\mathbb{Z}$ and $\mathbb{Z}[X]$

```

lemma INTEG-euclidean-domain:⟨euclidean-domain INTEG (λx. nat (abs x))⟩
  apply(rule domain.euclidean-domainI)
  unfolding domain-def domain-axioms-def using INTEG-cring apply(simp add:INTEG-def)
  unfolding INTEG-def
  using abs-mod-less div-mod-decomp-int mult.commute
  by (metis Diff-iff INTEG.R.r-null INTEG-def INTEG-mult UNIV-I abs-ge-zero
insert-iff
  mult-zero-left nat-less-eq-zless partial-object.select-convs(1) ring-record-simps(12))

```

```

lemma principal-ideal-INTEG:⟨ideal I INTEG  $\implies$  principalideal I INTEG⟩
proof(rule principalidealI)
  assume h:⟨ideal I INTEG⟩
  then show ⟨ideal I INTEG⟩ by(simp)
  {assume h1:⟨I ≠ {0}⟩
    define E where imp:⟨E≡{nat (abs x)|x. x∈I ∧ x≠0}⟩
    then have ⟨E≠{}⟩
      using h h1 additive-subgroup.zero-closed unfolding ideal-def
      by fastforce
    then have ⟨∃ n∈E. ∀ x∈E. n≤x ∧ n>0⟩
      using abs-ge-zero imp zero-less-abs-iff
      by (smt (verit) all-not-in-conv exists-least-iff gr-zeroI leI mem-Collect-eq
          nat-0-iff)
    define E' where imp2:⟨E'≡{(abs x)|x. x∈I ∧ x≠0}⟩
    then have ⟨bij-betw nat E' E⟩
      unfolding bij-betw-def
      apply(safe)
      using inj-on-def apply force
      using imp apply blast
      using imp by blast
    then have ⟨∃ n∈E'. ∀ x∈E'. n≤x ∧ n>0⟩
      by (smt (verit, best) ⟨∃ n∈E. ∀ x∈E. n ≤ x ∧ 0 < n⟩
          bij-betw-iff-bijections le-nat-iff nat-eq-iff2 nat-le-iff zero-less-nat-eq)
    then obtain n where f1:⟨∀ x∈E'. n≤x ∧ n>0 ∧ n∈E'⟩ by blast
    then have ⟨∃ a∈I. abs a = n⟩
      using ⟨∃ n∈E'. ∀ x∈E'. n ≤ x ∧ 0 < n⟩ imp2 by blast
    then obtain a where f0:⟨a∈I ∧ abs a = n⟩ by blast
    then have ⟨∀ x. ∃ q r. x = a*q+r ∧ abs r < abs a⟩
      using INTEG-euclidean-domain unfolding euclidean-domain-def
      by (metis ⟨∃ n∈E'. ∀ x∈E'. n ≤ x ∧ 0 < n⟩ ⟨∀ x∈E'. n ≤ x ∧ 0 < n ∧ n ∈
          E'⟩
          abs-mod-less div-mod-decomp-int mult.commute zero-less-abs-iff)
    then have f2:⟨x∈I ∧ r = x - a*q  $\implies$  r∈I⟩ for q r x
      using h unfolding ideal-def INTEG-def additive-subgroup-def subgroup-def
      ideal-axioms-def
      ring-def apply(safe, simp)
      by (metis ⟨a ∈ I ∧ |a| = n⟩ integer-group-def inv-integer-group uminus-add-conv-diff)
    have f3:⟨x∈I ∧ r = x - a*q  $\implies$  abs r < abs a  $\implies$  r = 0⟩ for r q x
      apply(frule f2)
      using imp2 f1 f0
      by fastforce
    have ⟨x∈I ∧ r = x - a*q  $\implies$  abs r < abs a  $\implies$  x ∈ IdlINTEG {a}⟩
      for x r q
      apply(frule f3)
      apply blast
      unfolding genideal-def ideal-def INTEG-def additive-subgroup-def
      subgroup-def ideal-axioms-def by(auto)
    then have ⟨x∈I  $\implies$  x ∈ IdlINTEG {a}⟩ for x
      by (metis ⟨∀ x. ∃ q r. x = a * q + r ∧ |r| < |a|⟩ add-diff-cancel-left')
  }

```

```

then have <ideal I INTEG  $\implies \exists i \in \text{carrier } \text{INTEG}. I = \text{Idl}_{\text{INTEG}} \{i\}using INTEG.R.cgenideal-eq-genideal INTEG.R.cgenideal-minimal f0 by blast
}note non-trivial-ideal=this
show < $\exists i \in \text{carrier } \text{INTEG}. I = \text{Idl}_{\text{INTEG}} \{i\}$ >
  apply(cases < $I = \{0\}$ >)
  apply (metis INTEG.R.genideal-self
    INTEG.R.ring-axioms INTEG-closed h ring.Idl-subset-ideal subsetI sub-
    set-antisym)
  using non-trivial-ideal h by auto
qed

lemma INTEG-noetherian-ring:<noetherian-ring INTEG>
  apply(rule ring.noetherian-ringI)
  apply (simp add: INTEG.R.ring-axioms)
  using principal-ideal-INTEG unfolding principalideal-def
  by (meson INTEG-closed finite.emptyI finite-insert principalideal-axioms-def sub-
  setI)

lemma INTEG-noetherian-domain:<noetherian-domain INTEG>
  unfolding noetherian-domain-def
  using INTEG-noetherian-ring INTEG-euclidean-domain euclidean-domain.axioms(1)
  by blast

lemma Polynomials-INTEG-noetherian-ring:<noetherian-ring (univ-poly INTEG
(carrier INTEG))>
  by (simp add: INTEG-noetherian-domain noetherian-domain.weak-Hilbert-basis)

lemma Polynomials-INTEG-noetherian-domain:<noetherian-domain (univ-poly IN-
TEG (carrier INTEG))>
  using INTEG.R.ring-axioms INTEG-noetherian-domain Polynomials-INTEG-noetherian-ring
domain.univ-poly-is-domain noetherian-domain.axioms(2) noetherian-domain.intro
ring.carrier-is-subring by blast

8.2 Another example with  $\mathbb{R}$  and  $\mathbb{R}[X]$ 

lemma REAL-noetherian-domain:<noetherian-domain REAL>
  unfolding noetherian-domain-def
  by (simp add: REAL-field domain.noetherian-RX-imp-noetherian-R domain.univ-poly-is-principal
field.axioms(1) field.carrier-is-subfield principal-imp-noetherian)

lemma PolyREAL-noetherian-domain:<noetherian-domain (univ-poly REAL (carrier
REAL))>
  unfolding noetherian-domain-def
  by (simp add: REAL-field REAL-noetherian-domain REAL-ring domain.univ-poly-is-domain$ 
```

*field.axioms(1) noetherian-domain.weak-Hilbert-basis ring.carrier-is-subring)*

**end**

## References

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